



Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

Meeting Agenda

Wednesday, December 6, 2023

9:30 AM

Joseph P. Cresse Hearing Room 148

Betty Easley Conference Center

4075 Esplanade Way

Tallahassee, Florida 32399-0850

1. Discussion of amended application for pari-mutuel operating license
 - 1.1. 2023-062189 – Dania Entertainment Center, LLC
2. Discussion of renewal application for slot machine licenses
 - 2.1. 2023-051094 – Casino Miami, LLC
 - 2.2. 2023-055203 – South Florida Racing Association, LLC
 - 2.3. 2023-062956 – PPI, Inc.
3. Discussion of consent orders
 - 3.1. 2023-025872 – Alekseenko-Repass, Inna
 - 3.2. 2023-034324 – Vasquez, Alavaro Hernan
 - 3.3. 2023-043589 – OBS Real Estate Holding, LLC
 - 3.4. 2023-049873 – South Marion Real Estate Holding, LLC
4. Discussion of default final orders
 - 4.1. 2022-045861 – Ramos, Kevin Jermain
 - 4.2. 2022-051619 – Camano Robles, Alexis
 - 4.3. 2023-026969 – Garcia, Yaneri Caridad
 - 4.4. 2023-043905 – Acevedo, Yesiset De la Caridad
 - 4.5. 2023-044814 – Oranje, Zachary R.
 - 4.6. 2023-046372 – Blanco, Andry G.
 - 4.7. 2023-053889 – Gray Jr., Akili Adhama
5. Discussion of stewards' recommended order
 - 5.1. 2023-003854 – Maragh, Aubrey

6. Discussion of license denials
 - 6.1. 2023-058415 – Muldrow, Tamari Raymond
 - 6.2. 2023-063904 – Robinson, Kevin Lapatrick
7. Discussion of policies and procedures
8. Executive Director update
9. Public comment

PERSONS WISHING TO ADDRESS
THE COMMISSION ON ANY OF THE AGENDA ITEMS
SHOULD EMAIL DIXIE.PARKER@FLGAMING.GOV
NO LESS THAN 24 HOURS BEFORE THE
START OF THE MEETING



Florida Gaming Control Commission

JULIE I. BROWN, VICE CHAIR
CHUCK DRAGO, COMMISSIONER
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9:30 AM

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1. Discussion of amended application for pari-mutuel operating license

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Re: Dania Entertainment Center, LLC
2023-062189 Permitholder's application to amend its annual operating license
Date: December 1, 2023

Executive Summary

A jai alai permitholder has applied to amend its annual license by adding three matinee performances, deleting one matinee performance, and deleting two evening performances. The Commission should deny this request.

Background

Dania Entertainment Center, LLC ("Dania") possesses jai alai permit number 274. On or about February 22, 2023, Dania submitted an application for, and was issued, a pari-mutuel operating license for the 2023-2024 fiscal year. Dania completed the application to identify 91 performances of live games (89 matinee and 2 charity). On or about November 1, 2023, Dania submitted an Application for Amendment to Annual License and Operating Dates ("Application") seeking to modify its schedule of live games.

Analysis

Jai alai performances are referred to as games in chapter 550, Florida Statutes.¹ When applying for an annual operating license, all pari-mutuel wagering permitholders, including jai alai facilities, "must specify the number, dates, and starting times of all live performances of live races or games that the permitholder intends to conduct."²

Pursuant to section 550.01215(3), Florida Statutes, after the license has been issued, the Commission is only authorized to approve minor changes to scheduled *racing dates* provided certain requirements are met. There is no equivalent statutory grant of authority for the Commission to approve minor changes to a permitholder's schedule of live games.

Recommendation

The Florida Gaming Control Commission should issue a notice of intent to deny Dania Entertainment Center, LLC's Application.

¹ See, e.g., §550.002(17) and (31), Fla. Stat.

² §550.01215(1), Fla. Stat.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

License Number: 274
Permit Type: JLAI
Permit County: Broward
Amendment: A

LICENSE TO CONDUCT PARI-MUTUEL WAGERING

For:

Dania Entertainment Center, LLC

D/B/A Dania Jai-Alai and/or The Casino @ Dania Beach

Licensed to Operate, At the Pari-Mutuel Facility, Located At:

301 East Dania Beach Boulevard
Dania Beach, FL 33004
Broward County



Valid From: July 1, 2023

Expires On: June 30, 2024

Permitholder does intend to accept wagers on intertrack or simulcast events.

Issued and dated, this _____ day of December, 2023.

By _____
Louis Trombetta, Executive Director
Florida Gaming Control Commission

This license, and attached schedule of live performances, is issued in accordance with the Florida Pari-Mutuel Wagering Act and the rules promulgated thereunder. This license shall be operated at the location of a pari-mutuel permit and is subject to any and all laws of the State of Florida.

Dania Entertainment Center, LLC (d/b/a Dania Jai Alai) 2023/2024 Calendar

(Per License #274) Page 2 of 2

JULY 2023						
Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

0
Matinee
0
Evening
0
C/S Perf.

AUGUST 2023						
Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

0
Matinee
0
Evening
0
C/S Perf.

SEPTEMBER 2023						
Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

0
Matinee
0
Evening
0
C/S Perf.

OCTOBER 2023						
Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

0
Matinee
0
Evening
0
C/S Perf.

NOVEMBER 2023						
Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

0
Matinee
0
Evening
0
C/S Perf.

DECEMBER 2023						
Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
					1	2
					Eve	Mat
					8	Eve
3	4	5	6	7	8	9
Mat			Mat	Eve	Eve	Mat
			13	14	15	16
10	11	12	Mat	Eve	Eve	Mat
Mat			Eve	Eve	Eve	Eve
			20	21	22	23
17	18	19	Mat	Eve	Eve	Mat
Mat			Eve	Eve	Eve	Eve
			27	28	29	30
24	25	26	Mat	Eve	Eve	Mat
Mat			Eve	Eve	Eve	Eve
31						
Mat						

14
Matinee
18
Evening
0
C/S Perf.

JANUARY 2024						
Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
	1	2	3	4	5	6
	Mat		Mat	Eve	C/M	Mat
			Eve	Eve		Eve
7	8	9	10	11	12	13
Mat			Mat	Eve	C/M	Mat
			Eve	Eve		Eve
14	15	16	17	18	19	20
Mat	Mat		Mat	Eve	Eve	Mat
			Eve	Eve		Eve
21	22	23	24	25	26	27
Mat			Mat	Eve	Eve	Mat
			Eve	Eve		Eve
28	29	30	31			
Mat			Mat			
			Eve			

15
Matinee
15
Evening
2
C/S Perf.

FEBRUARY 2024						
Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
				1	2	3
				Eve	Eve	Mat
						Eve
4	5	6	7	8	9	10
Mat			Mat	Eve	Eve	Mat
			Eve	Eve		Eve
11	12	13	14	15	16	17
Mat			Mat	Eve	Eve	Mat
			Eve	Eve		Eve
18	19	20	21	22	23	24
Mat	Mat		Mat	Eve	Eve	Mat
			Eve	Eve		Eve
25	26	27	28	29		
Mat						

12
Matinee
15
Evening
0
C/S Perf.

MARCH 2024						
Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

0
Matinee
0
Evening
0
C/S Perf.

APRIL 2024						
Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

0
Matinee
0
Evening
0
C/S Perf.

MAY 2024						
Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

0
Matinee
0
Evening
0
C/S Perf.

JUNE 2024						
Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

0
Matinee
0
Evening
0
C/S Perf.

Note: Full-card simulcast schedule subject to change, Calendar considered informational only.

<u>91</u>	<u>41</u>	<u>48</u>	<u>2</u>
Totals	Matinee	Evening	C/S Perf.

Initial Date

PERMITHOLDER LICENSE CHANGE REQUEST CHECKLIST

PERMITHOLDER: Dania Entertainment Center, LLC (JLAI274) FISCAL YEAR: 2023/2024

DATE RECEIVED: November 1, 2023 CASE NUMBER: 2023-062189

CHANGE REQUESTED: Adding three jai alai matinee performances (Jan. 1st, Jan. 15th, and Feb. 19th), deleting one matinee performance (Feb. 28th), and deleting two evening performances (Feb. 28th and Feb. 29th).

REVIEWER'S NAME: La'Keshia Jelks

Requirement	Met? Y/N	Deficiency Sent	Resolved
Original application form DBPR PMW 3060.	Y		
Original Calendar DBPR PMW 3080.	Y		
Pursuant to FAC 61D-4.004(4) Non-objection letters from permitholders within 50 miles (if applicable)	Y		
61D-2.027 Each performances shall consist of a minimum of 8 races.	Y	Mat: 10 Eve: 10	
Matinee start time: (prior to 6pm)	Y	1PM	
Evening start time*: (6pm & later)	Y	7PM	
*550.375(2) A harness racing permitholder may conduct harness racing only between the hours of 7 p.m. and 2 a.m. *550.5251(1) A thoroughbred racing permitholder may not begin any race later than 7 p.m.			

**CONTACT FROM OTHER FACILITIES
WITHIN FIFTY (50) MILES:**

OBJECTION? RACING?
NO YES

South Florida Racing Association, LLC (544)	X		Not Live
Casino Miami, LLC (273)	X		Not Live
Calder Racecourse, Inc. (285)	X		Live
West Flagler Associates, Ltd. (280/283/286)	X		Live
Gulfstream Park Racing Association, Inc. (321)	X		Live
Gulfstream Park Thoroughbred After Racing Program, Inc. (336)	X		Live

PMW / CARDROOM LICENSE FORWARDED TO THE FOLLOWING FOR FINAL REVIEW:

REVENUE SECTION
PERMIT ADMIN
OGC

REVIEWED
REVIEWED
REVIEWED

INITIALS: ts
INITIALS: ep
INITIALS: jos

DATE: 11/8/2023
DATE: 11/15/23
DATE: 11/8/23

Notes/Comments

Revenue Section:

Permit Admin.

OGC:

Dania Entert. ent Center, LLC (d/b/a Dania Jai Alai) 20. .024 Calendar

(Per License #274) Page 2 of 2

JULY 2023						
Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

0 Matinee 0 Evening 0 C/S Perf.

AUGUST 2023						
Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

0 Matinee 0 Evening 0 C/S Perf.

SEPTEMBER 2023						
Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

0 Matinee 0 Evening 0 C/S Perf.

OCTOBER 2023						
Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

0 Matinee 0 Evening 0 C/S Perf.

NOVEMBER 2023						
Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

0 Matinee 0 Evening 0 C/S Perf.

DECEMBER 2023						
Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
					1	2
					Eve	Mat Eve
3	4	5	6	7	8	9
Mat			Mat Eve	Eve	Eve	Mat Eve
10	11	12	13	14	15	16
Mat			Mat Eve	Eve	Eve	Mat Eve
17	18	19	20	21	22	23
Mat			Mat Eve	Eve	Eve	Mat Eve
24	25	26	27	28	29	30
Mat			Mat Eve	Eve	Eve	Mat Eve
31						
Mat						

14 Matinee 18 Evening 0 C/S Perf.

JANUARY 2024						
Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
		1	2	3	4	5
			Mat Eve	Eve	C/M	Mat Eve
7	8	9	10	11	12	13
Mat			Mat Eve	Eve	C/M	Mat Eve
14	15	16	17	18	19	20
Mat			Mat Eve	Eve	Eve	Mat Eve
21	22	23	24	25	26	27
Mat			Mat Eve	Eve	Eve	Mat Eve
28	29	30	31			
Mat			Mat Eve			

13 Matinee 15 Evening 2 C/S Perf.

FEBRUARY 2024						
Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
				1	2	3
				Eve	Eve	Mat Eve
4	5	6	7	8	9	10
Mat			Mat Eve	Eve	Eve	Mat Eve
11	12	13	14	15	16	17
Mat			Mat Eve	Eve	Eve	Mat Eve
18	19	20	21	22	23	24
Mat			Mat Eve	Eve	Eve	Mat Eve
25	26	27	28	29		
Mat			Mat Eve	Eve		

12 Matinee 17 Evening 0 C/S Perf.

MARCH 2024						
Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

0 Matinee 0 Evening 0 C/S Perf.

APRIL 2024						
Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

0 Matinee 0 Evening 0 C/S Perf.

MAY 2024						
Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

0 Matinee 0 Evening 0 C/S Perf.

JUNE 2024						
Sun.	Mon.	Tues.	Wed.	Thurs.	Fri.	Sat.
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

0 Matinee 0 Evening 0 C/S Perf.

Note: Full-card simulcast schedule subject to change, Calendar considered informational only.

LT Initial 3/13/23 Date

91 Totals 39 Matinee 60 Evening 2 C/S Perf.

Division of Pari-Mutuel Wagering
 Permitholder Operating License Amendment Tracking
 Fiscal Year 2023-2024

PERMIT NO.	LICENSE NAME	# of Initial Perf.	Amendments											Aggregate % Change YTD
			A	B	C	D	E	F	G	H	I	J	K	
JAI-ALAI PERMITS														
0000270	RB Jai ALai LLC	40												0.00%
0000273	Casino Miami, LLC	0												#DIV/0!
0000274	Dania Entertainment Center, LLC	91	5											5.49%
0000277	OBS Real Estate Holdings, LLC	0												#DIV/0!
0000278	Fronton Holdings, LLC	0												#DIV/0!
0000280	West Flagler Associates, Ltd.	26												0.00%
0000281	Dania Entertainment Center, LLC	0												#DIV/0!
0000283	West Flagler Associates, Ltd.	22												0.00%
0000284	Kings Court Key, LLC	0												#DIV/0!
0000285	Calder Race Course, Inc.	0												#DIV/0!
0000286	West Flagler Associates, Ltd.	40												0.00%
THOROUGHBRED RACING PERMITS														
0000320	Tampa Bay Downs, Inc.	91	1											1.10%
0000321	Gulfstream Park Racing Association Inc.	174	5	11										9.20%
0000323	Tropical Park, LLC	0												#DIV/0!
0000325	Calder Race Course, Inc.	0												#DIV/0!
0000336	Gulfstream park Thoroughbred After Racing	40												0.00%
HARNESS RACING PERMITS														
0000430	PPL Inc.	0												#DIV/0!
QUARTER HORSE RACING PERMITS														
0000537	Tampa Bay Downs, Inc.	0												#DIV/0!
0000542	Gretta Racing, LLC	0												#DIV/0!
0000544	South Florida Racing Association, LLC	0												#DIV/0!
0000545	South Marion Real Estate Holdings, LLC	0												#DIV/0!
0000547	Hamilton Downs, Horsetrack, LLC	0												#DIV/0!
0000950	Ocala Breeders' Sales Company, Inc.	0												#DIV/0!

DBPR PMW-3060 – Permitholder Application for License and Operating Dates



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

INSTRUCTIONS

This form is to be submitted in conjunction with Form DBPR PMW-3080 – Permitholder Calendar and Form DBPR PMW-3190 – Officers and Directors.

Check the box that designates the purpose of this form filing:

- Application for Annual License and Operating Dates
 Application for Amendment to Annual License and Operating Dates

PERMITHOLDER INFORMATION

Permitholder Name
DANIA ENTERTAINMENT CENTER, LLC

Permit # 274

FEID# or SSN *

Doing Business As (D/B/A)
DANIA JAI ALAI

MAILING ADDRESS

Street Address or P.O. Box
301 EAST DANIA BEACH BLVD

City
DANIA BEACH

State
FL

Zip Code (+4 optional)
33004

County
(if Florida address) **BROWARD**

Country **USA**

CONTACT INFORMATION

Contact Name
ARNALDO SUAREZ

Title
CEO

Primary Phone Number
954-920-1511 X 1264

Fax Number
954-272-7622

Primary E-Mail Address
ARNALDO.SUAREZ@DANIACASINO.COM

Cell Phone Number
954-643-2422

PHYSICAL LOCATION OF PARI-MUTUEL FACILITY

Street Address
301 EAST DANIA BEACH BLVD

City
DANIA BEACH

State
FL

Zip Code (+4 optional)
33004

If there is a lease agreement to operate live performances at another pari-mutuel facility, the applicant shall attach a copy of the lease agreement containing the following information:

- (1) The name of the applicant and the lessor;
- (2) The address of the applicant and the lessor;
- (3) The type of permit held by both the applicant and the lessor;
- (4) The exact location where the applicant is currently permitted to conduct pari-mutuel performances;
- (5) The exact location where the lessor is currently permitted to conduct pari-mutuel performances; and
- (6) The exact location where the applicant intends to conduct pari-mutuel performances pursuant to the lease agreement.

*Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

ADDITIONAL INFORMATION

Since the submission of your last application, has a permit recall/cancellation election been held in your county?
 Yes No If no, please attach a certificate from the Clerk of the Circuit Court or other authorized County Official certifying that the permit has not been recalled.

Has there been any change in ownership interest, officers, partners, or directors; or a change in ownership or location of the pari-mutuel facility? If changed, state fully. If none, state "No change." Use additional pages, if necessary.

NO CHANGE

Is the applicant incorporated? Yes No If yes, under the laws of which state? FL

Please list all officers and directors of the applicant using Form DBPR PMW-3190 – Officers and Directors.

Please document persons who are the bona fide and beneficial owners of the entire stock of the applicant using Form DBPR PMW-3190 – Officers and Directors. If corporation, list name of corporation and stockholders; if partnership, list partners.

Please list the stockholders of record of the applicant using Form DBPR PMW-3190 – Officers and Directors.

Please list the stockholders of the applicant who are subject to a voting trust or have been pledged to a trustee or party other than the beneficial owner using Form DBPR PMW-3190 – Officers and Directors.

Have any persons listed on Form DBPR PMW-3190 – Officers and Directors ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges (other than minor traffic violations) in any state or county? Yes No
 If yes, list the individual(s) name, license number and title:

OPERATING SEASON INFORMATION

The applicant desires to conduct a racing/jai alai meet for the 20 ²³ _____ - 20 ²⁴ _____ season during the following period(s). Please follow instructions on calendars attached to permit application to mark days, dates, and types of performances.

Opening Date(s):
DEC 01, 2023

Closing Date(s):
FEB 25, 2024

Number of Dark Days:
21

Number of Live Days:
66

Performances

Number of Evening Performances	<u>48</u>
Number of Matinee Performances	<u>41</u>
Number of Charity/Scholarship Performances	<u>2</u>
Total Number of Performances	<u>91</u>

Number of races/games during evening performances: 10

Number of races/games during matinee performances: 10

Starting time: 7PM

Starting time: 1PM

For greyhound tracks only:

Do you intend to hold an additional charity day for the greyhound adoption program? Yes No

If yes, please indicate the date when the "Greyhound Adopt-a-Pet Day" will be held: _____

OATH

I swear or affirm that the information provided in this application is true and complete. I understand that knowingly providing false information on this application could subject the applicant to criminal penalties relating to perjury or other offenses.

ARNALDO SUAREZ

CEO

Name (Please Print)

Title (Please Print)

Signature

Date

10/16/2023

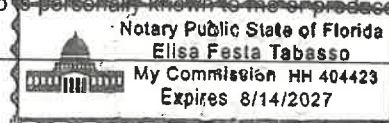
State of Florida,
 County of BROWARD

Sworn to (or affirmed) and subscribed before me this 16 day of OCT, 20 23.

Arnaldo Suarcz, who is personally known to me or produced the following as identification:

[Signature]
 Notary Public

My Commission Expires: 8/14/27





[Previous on List](#) [Next on List](#) [Return to List](#)

No Filing History

Fictitious Name Detail

Fictitious Name

DANIA JAI-ALAI

Filing Information

Registration Number G21000033163
Status ACTIVE
Filed Date 03/09/2021
Expiration Date 12/31/2026
Current Owners 1
County BROWARD
Total Pages 1
Events Filed NONE
FEI/EIN Number [REDACTED]

Mailing Address

301 E DANIA BEACH BLVD
DANIA, FL 33004

Owner Information

DANIA ENTERTAINMENT CENTER LLC
301 E DANIA BEACH BLVD
DANIA, FL 33004
FEI/EIN Number: [REDACTED]
Document Number: M11000003117

Document Images

03/09/2021 -- Fictitious Name Filing [View image in PDF format](#)

[Previous on List](#) [Next on List](#) [Return to List](#)

No Filing History



[Previous on List](#) [Next on List](#) [Return to List](#)

No Filing History

Fictitious Name Detail

Fictitious Name

THE CASINO @ DANIA BEACH

Filing Information

Registration Number G21000033168
Status ACTIVE
Filed Date 03/09/2021
Expiration Date 12/31/2026
Current Owners 1
County BROWARD
Total Pages 1
Events Filed NONE
FEI/EIN Number [REDACTED]

Mailing Address

301 E DANIA BEACH BLVD
DANIA, FL 33004

Owner Information

DANIA ENTERTAINMENT CENTER LLC
301 E DANIA BEACH BLVD
DANIA, FL 33004
FEI/EIN Number: [REDACTED]
Document Number: M11000003117

Document Images

03/09/2021 -- Fictitious Name Filing [View image in PDF format](#)

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No Filing History



**STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com**

PERMITHOLDER INFORMATION	
Name DANIA ENTERTAINMENT CENTER, LLC DBA DANIA JAI-ALAI	Permit # 274

INSTRUCTIONS
Submit this form in conjunction with the form DBPR PMW-3060 – Permitholder Application for License and Operating Dates.
Please do not overlook the cardroom section and the required application oath on page 4.
Please fill in appropriate year, and date below and on the following pages. Using the letter code below, write the type of performance in each box. Fill in the total number of performances for each month.
LETTER CODES
M = Matinee E = Evening C = Charity S = Scholarship

Example

1	2	3	4	5	6	7
	E	M	M	S	C	C
8	9	10	11	12	13	14
	M					

July Year: 2023

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
M	<u>0</u>	E	<u>0</u>	C/S	<u>0</u>	
Total <u>0</u>						

August Year: 2023

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
M	<u>0</u>	E	<u>0</u>	C/S	<u>0</u>	
Total <u>0</u>						

September Year: 2023

Sun	Mon	Tues	Wed	Thurs	Fri	Sat

M 0 E 0 C/S 0

Total 0

October Year: 2023

Sun	Mon	Tues	Wed	Thurs	Fri	Sat

M 0 E 0 C/S 0

Total 0

November Year: 2023

Sun	Mon	Tues	Wed	Thurs	Fri	Sat

M 0 E 0 C/S 0

Total 0

December Year: 2023

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
					1	2
					E	M
3	4	5	6	7	8	9
M			M	E	E	M
10	11	12	13	14	15	16
M			M	E	E	M
17	18	19	20	21	22	23
M			M	E	E	M
24	25	26	27	28	29	30
M			M	E	E	M
31						
M						

M 14 E 18 C/S 0

Total 32

January

Year: 2024

February

Year: 2024

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
	1	2	3 M E	4 E	5 C	6 M E
7 M	8	9	10 M E	11 E	12 C	13 M E
14 M	15 M	16	17 M E	18 E	19 E	20 M E
21 M	22	23	24 M E	25 E	26 E	27 M E
28 M	29	30	31 M E			

M 15 E 15 C/S 2

Total 32

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
				1 E	2 E	3 M E
4 M	5	6	7 M E	8 E	9 E	10 M E
11 M	12	13	14 M E	15 E	16 E	17 M E
18 M	19 M	20	21 M E	22 E	23 E	24 M E
25 M	26	27	28	29		

M 12 E 15 C/S 0

Total 27

March

Year: 2024

April

Year: 2024

Sun	Mon	Tues	Wed	Thurs	Fri	Sat

M 0 E 0 C/S 0

Total 0

Sun	Mon	Tues	Wed	Thurs	Fri	Sat

M 0 E 0 C/S 0

Total 0

May Year: 2024

June Year: 2024

Sun	Mon	Tues	Wed	Thurs	Fri	Sat

Sun	Mon	Tues	Wed	Thurs	Fri	Sat

M 0 E 0 C/S 0
Total 0

M 0 E 0 C/S 0
Total 0

CARDROOM OPERATORS ONLY						
Hours of Cardroom Operations						
Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
9AM-3AM	9AM-3AM	9AM-3AM	9AM-3AM	9AM-3AM	9AM-4AM	9AM-4AM
—	—	—	—	—	—	—

Year Round? Yes No DECEMBER-JUNE

OATH

I swear or affirm that the information provided in this application is true and complete. I understand that knowingly providing false information on this application could subject the applicant to criminal penalties relating to perjury or other offenses.

Arnaldo Suarez CEO [Signature] 10/16/2023
 Name (Please Print) Title (Please Print) Signature Date

State of Florida,
 County of Broward
 Sworn to (or affirmed) and subscribed before me this 16 day of OCT, 2023,
Arnaldo Suarez, who is personally known to me or produces the following as identification:

[Signature]
 Notary Public
 My Commission Expires: 8/14/27

Notary Public State of Florida
 Elisa Festa Tabasso
 My Commission HH 404423
 Expires 8/14/2027

THE CASINO @ DANIA BEACH

October 5, 2023

Richard Sukhu c/o Iliana Velazquez
President and General Manager
Calder Casino

Greetings,

The Casino @ Dania Beach respectfully requests your approval to amend the following future Jai-Alai dates for the 2023-2024 performances filed with the FGCC:

#274 Dania Alai

ADD 2024 Performances (3):


1. MATINEE January 1, 2024
2. MATINEE January 15, 2024
3. MATINEE February 19, 2024

CANCEL 2024 Performances (3):

1. MATINEE February 28, 2024
2. EVENING February 28, 2024
3. MATINEE February 29, 2024

Please check a box and provide your signature below to indicate whether you have no objection or object to the requested change.

With Appreciation,


Elisa Festa Tabasso
Director of Compliance

NO OBJECTION

OBJECT:

cc: A. Suarez



THE CASINO @ DANIA BEACH

October 5, 2023

Daniel J Licciardi
General Manager
Casino Miami

Greetings,

The Casino @ Dania Beach respectfully requests your approval to amend the following future Jai-Alai dates for the 2023-2024 performances filed with the FGCC:

#274 Dania Alai

ADD 2024 Performances (3):

1. MATINEE January 1, 2024
2. MATINEE January 15, 2024
3. MATINEE February 19, 2024

CANCEL 2024 Performances (3):

1. MATINEE February 28, 2024
2. EVENING February 28, 2024
3. MATINEE February 29, 2024

Please check a box and provide your signature below to indicate whether you have no objection or object to the requested change.

With Appreciation,



Elisa Festa Tabasso
Director of Compliance

NO OBJECTION

Daniel J Licciardi 10/5/2023

OBJECT:

cc: A. Suarez

THE CASINO @ DANIA BEACH

October 16, 2023

Sheri L R Holmes Stirling
Vice President Administration
Gulfstream Park Racing & Casino #321 & # 336

Greetings,

The Casino @ Dania Beach respectfully requests your approval to amend the following future Jai-Alai dates for the 2023-2024 performances filed with the FGCC:

#274 Dania Alai

ADD 2024 Performances (3):

1. MATINEE January 1, 2024
2. MATINEE January 15, 2024
3. MATINEE February 19, 2024

CANCEL 2024 Performances (3):

1. MATINEE February 28, 2024
2. EVENING February 28, 2024
3. MATINEE February 29, 2024

Please check a box and provide your signature below to indicate whether you have no objection or object to the requested change.

With Appreciation,



Elisa Festa Tabasso
Director of Compliance

NO OBJECTION



OBJECT: _____

cc: A. Suarez

THE CASINO @ DANIA BEACH

October 5, 2023

Steve Calabro
CEO
Hialeah Park

Greetings,

The Casino @ Dania Beach respectfully requests your approval to amend the following future Jai-Alai dates for the 2023-2024 performances filed with the FGCC:

#274 Dania Alai

ADD 2024 Performances (3):

1. MATINEE January 1, 2024
2. MATINEE January 15, 2024
3. MATINEE February 19, 2024

CANCEL 2024 Performances (3):

1. MATINEE February 28, 2024
2. EVENING February 28, 2024
3. MATINEE February 29, 2024

Please check a box and provide your signature below to indicate whether you have no objection or object to the requested change.

With Appreciation,



Elisa Festa Tabasso
Director of Compliance

NO OBJECTION

OBJECT:



cc: A. Suarez

THE CASINO @ DANIA BEACH

October 6, 2023

Scott Savin
General Manager
West Flagler #280 # 283 #286

Greetings,

The Casino @ Dania Beach respectfully requests your approval to amend the following future Jai-Alai dates for the 2023-2024 performances filed with the FGCC:

#274 Dania Alai

ADD 2024 Performances (3):

1. MATINEE January 1, 2024
2. MATINEE January 15, 2024
3. MATINEE February 19, 2024

CANCEL 2024 Performances (3):

1. MATINEE February 28, 2024
2. EVENING February 28, 2024
3. MATINEE February 29, 2024

Please check a box and provide your signature below to indicate whether you have no objection or object to the requested change.

With Appreciation,



Elisa Festa Tabasso
Director of Compliance

NO OBJECTION



OBJECT:

cc: A. Suarez



State of Florida
Department of Business and Professional Regulation
Chronology Report

Case #: 2023062189 **Incident date:** 11/01/2023 **Status:** 10 - Initial Review

Lic Type: 1001 **Disposition:**

Case Type: Complaint

Responsible: ljelks - JELKS, LA'KESHA

Complainant: DANIA ENTERTAINMENT CENTER, LLC
 301 EAST DANIA BEACH BLVD., DANIA BEACH, FL 33004

Respondent: FLORIDA GAMING CONTROL COMMISSION
 4070 ESPLANADE WAY, SUITE 250, TALLAHASSEE, FL 32399

Summary: Dania Entertainment Center, LLC (JLAI274) submitted an application to amend its annual operating license.

Chronology:	Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
	11/01/2023	R		ljelks	JELKS, LA'KESHA	ljelks	
	11/01/2023	S	1001	10	Initial Review	ljelks	

2. Discussion of renewal application for slot machine licenses

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Re: Request to renew Annual Slot Machine License
Casino Miami, LLC d/b/a Casino Miami, Miami Jai-Alai, and/or Casino Miami
Jai-Alai (JLAI273)
Case# 2023-051094
Date: October 24, 2023

Executive Summary

A slot machine licensee has submitted an application to renew its annual slot machine license. The Commission should approve this request.

Background

Slot machine gaming is authorized in Miami-Dade and Broward Counties at certain pari-mutuel facilities.¹ One such facility is Casino Miami, LLC d/b/a Casino Miami, Miami Jai-Alai, and/or Casino Miami Jai-Alai (“Casino Miami”). Casino Miami is located in Miami-Dade County and possesses a valid jai alai permit. Casino Miami was issued a pari-mutuel operating license for the 2023-2024 fiscal year.

Commission staff received Casino Miami’s application to renew its slot machine license on August 31, 2023. The application was deemed complete upon receipt of payment.

Analysis

In addition to submitting a complete application, a slot machine licensee must satisfy numerous requirements to be entitled to a renewed slot machine license.² Casino Miami has satisfied all of these requirements.

Recommendation: The Florida Gaming Control Commission should approve Casino Miami, LLC d/b/a Casino Miami, Miami Jai-Alai, and/or Casino Miami Jai-Alai’s application for renewal of its slot machine license effective December 7, 2023 through December 6, 2024.

¹ See generally Art. X, § 23, Fla. Const.; § 551.101, Fla. Stat.

² See, e.g., §§ 551.103(1)(f) (mandating \$2,000,000.00 surety bond for slot machine licensees); 551.106(1)(a) (requiring payment of annual nonrefundable \$2,000,000.00 license fee); 551.118 (requiring payment of annual nonrefundable regulatory fee of \$250,000.00 to fund compulsive or addictive gambling prevention program); see also Fla. Admin. Code R. 75-14 (“Pari-Mutuel Wagering Facility Slot Machine Operations”).

**STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING**

PERMITHOLDER APPLICATION FOR ANNUAL SLOT MACHINE LICENSE CHECKLIST

APPLICATION INFORMATION				
Permitholder Name:		MIAMI CASINO – 273 – 1003		
Date Received:		August 31, 2022	Case#: 2023-051094	
Reviewer Name:		La'Kesha Jelks		
SLOT MACHINE LICENSE REQUIREMENTS				
	Requirement Description	Requirement Met? Y/N	Date Deficiency Letter Sent	Date Deficiency Resolved
1	Original application form DBPR PMW 3405.	Y		
2	Original Authorization for Release of Information form DBPR PMW 3195.	Y		
3	List of all ownership interests of five percent or greater as described in Rule 75-14.002(b)(c)(d) and (e), FAC, Form DBPR 0040.	Y		
4	A copy of the binding written agreements between the facility and respective associations as required by Section 551.104(10), Florida Statutes (thoroughbred only).	N/A		
5	Evidence of a current surety bond in the amount of \$2,000,000, payable to the Governor of the State of Florida, as specified in s. 551.103(1)(f), FS.	Y Aug. 31, 2024		
6	Payment of the non-refundable \$2,000,000 application fee for a Slot Machine License as required by Section 551.106(1), FS submitted via EFT. Payment of the non-refundable \$250,000 regulatory fee for the Compulsive or Addictive Gambling Prevention Program as required by Section 551.118, FS, made payable to the Division, submitted via EFT.	Y	9/12/2023	11/14/2023
7	A list of slot machine business occupational licensees (i.e. vendors, manufacturers, distributors, service companies, etc.) with whom the permitholder intends to do business.	Y		
8	Disclosure of the applicant's internal control procedures required by Rule 75-14.058, Florida Administrative Code.	Y	9/12/2023	10/16/2023

Application approved by:

Jamie Pouncey
Permitholder Administrator

11/20/23
Date

[Signature]
Second Review

11-1-23
Date

[Signature]
Second Review

11-1-2023
Date

La'Kesha Jelks

From: Cyntoria Harris
Sent: Wednesday, November 15, 2023 3:27 PM
To: Daniel Licciardi
Cc: La'Kesha Jelks; Jamie Pouncey
Subject: RE: Casino Miami, LLC Slots license

Good afternoon,

The application has been approved.

License

Fed Tax #	Lic Type	1020 - Pari-Mutuel Business Occupational	Expires On	06/30/2026
File # 13364	Name	THE PHILLIP G RUFFIN FLORIDA GAMING TRUST	Extended To	
License # 13810431	Rank	PBUS - Pari-Mutuel Business Occupational	Renewed On	
Entity # 13810431	Lic Status	Current		

Sincerely,



**Florida Gaming
Control Commission**
flgaming.gov

Cyntoria Harris,
Operations Review Specialist
Florida Gaming Control
Commission
Office of Operations
(850) 794-8130 Office
(850) 536-8714 Fax

From: Daniel Licciardi <dlicciardi@playcasinomiami.com>
Sent: Wednesday, November 15, 2023 3:04 PM
To: Jamie Pouncey <Jamie.Pouncey@flgaming.gov>
Cc: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>; Cyntoria Harris <Cyntoria.Harris@flgaming.gov>
Subject: RE: Casino Miami, LLC Slots license

Here you go, thanks
Dan

From: Jamie Pouncey <Jamie.Pouncey@flgaming.gov>
Sent: Wednesday, November 15, 2023 2:54 PM
To: Daniel Licciardi <dlicciardi@playcasinomiami.com>
Cc: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Subject: RE: Casino Miami, LLC Slots license

What is up with the trust application? We have not received the updated form.

La'Kesha Jelks

From: Daniel Licciardi <dlicciardi@playcasinomiami.com>
Sent: Wednesday, November 15, 2023 3:04 PM
To: Jamie Pouncey
Cc: La'Kesha Jelks; Cyntoria Harris
Subject: RE: Casino Miami, LLC Slots license
Attachments: DBPR PMW-3130 Casino Miami 11-9-2023.pdf

Here you go, thanks
Dan

From: Jamie Pouncey <Jamie.Pouncey@flgaming.gov>
Sent: Wednesday, November 15, 2023 2:54 PM
To: Daniel Licciardi <dlicciardi@playcasinomiami.com>
Cc: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Subject: RE: Casino Miami, LLC Slots license

What is up with the trust application? We have not received the updated form.

Thank you,



**Florida Gaming
Control Commission**
flgaming.gov

Jamie Pouncey
Program Administrator
850.794.8116 Office 850.964.1705 Cell

From: Daniel Licciardi <dlicciardi@playcasinomiami.com>
Sent: Wednesday, November 8, 2023 10:25 AM
To: Jamie Pouncey <Jamie.Pouncey@flgaming.gov>
Subject: RE: Casino Miami, LLC Slots license

Thank you!

From: Jamie Pouncey <Jamie.Pouncey@flgaming.gov>
Sent: Wednesday, November 8, 2023 10:24 AM
To: Daniel Licciardi <dlicciardi@playcasinomiami.com>
Subject: RE: Casino Miami, LLC Slots license

4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399

Thank you,





RECEIVED

2023 NOV -9 AM 9:47

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes No Has the business ever been convicted of a felony? If yes, the court disposition records for all convictions listed must be submitted with this application and you must list the details in the section provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE

Yes No Has the business or any owner, officer, director or manager of the business ever had a racing or gaming license suspended, revoked, or denied in this or any other racing or gaming jurisdiction? If yes, you must list the details in the section provided below.

Yes No Has the business or any owner, officer, director or manager of the business ever voluntarily relinquished a racing or gaming license in lieu of prosecution? If yes, you must list the details in the section provided below.

Yes No Is there any pending enforcement or disciplinary action against the business or any owner, officer, director or manager of the business in this or any other racing or gaming jurisdiction? If yes, you must list the details in the section provided below.

If yes was answered to any of the above questions, provide details here:

TO BE COMPLETED BY CORPORATIONS

List State Where Incorporated:

Yes No Is the corporation registered with the Florida Department of State?

Yes No Is the corporation a subsidiary of another corporation conducting business in Florida? If yes, provide the name of the parent corporation;

*You must attach copies of the parent company's organizational and ownership charts to this application

TO BE COMPLETED BY STABLE, KENNEL AND ANYONE WHO OWNS RACING ANIMALS

Yes No Does the business own or lease animals intended for racing in Florida?

If you answered yes to the question above, what type of racing animal does the business own?

Greyhounds Thoroughbreds Standardbreds Quarter Horses

Stable Name, Kennel Name, or Business Name

Trainer Name

TO BE COMPLETED BY VENDORS/DISTRIBUTORS

What type of product(s) does your company manufacture, distribute, and/or sell?

TO BE COMPLETED BY TOTALISATOR COMPANIES

Yes No Pursuant to Section 550.495(2)(c), Florida Statutes, has the company obtained a performance bond in the sum of \$250,000 issued by a surety approved by the division or insurance, acceptable to the division, against financial loss in the amount of \$250,000, insuring the state against such a revenue loss?

Please provide the address of your hub servicing Florida:

In compliance with Section 550.495(2)(b), Florida Statutes, by signing below, each totalisator company agrees to pay the Division of Pari-Mutuel Wagering an amount equal to the loss of any state revenues from missed or cancelled races, games or performances due to acts of the totalisator owner or operator or its agents or employees or failures of the totalisator system, except for circumstances beyond control of the totalisator company or agent or employee, as determined by the Division:

Donald Smith 11/9/2023 V.P. & G.M.
Signature of Applicant, Owner, or Chief Executive Title

La'Kesha Jelks

From: Karen Stelter
Sent: Tuesday, November 14, 2023 10:39 AM
To: La'Kesha Jelks
Cc: Jamie Pouncey; Tracy Swain
Subject: Slot Payment
Attachments: TAXPAYMENTA-20231114.log

Good morning,

Per the attached, #273 Casino Miami has paid their slot fees for permit renewal. Please let me know if you have any questions or need any additional information.



Best Regards,

Karen L Stelter, Professional Accountant Specialist, FCCM
Florida Gaming Control Commission
Division of Pari-Mutuel Wagering, Office of Revenue and Financial
Analysis
4070 Esplanade Way, Tallahassee, FL 32399
850-794-8129 Office ~ 850-539-8715 FAX

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```
[11/14/2023 10:35:12] Connecting to DB load interface parameters and email lists.
[11/14/2023 10:35:12] Getting file list for mask slic_input/EFT/*.* from remote host
dbprftp.state.fl.us
[11/14/2023 10:35:13] File List: EFT231114080830.DAT
EFT231114090521.DAT
TESTEFT
```

```
[11/14/2023 10:35:13] Getting file slic_input/EFT/EFT231114080830.DAT from remote
host dbprftp.state.fl.us as
/usr/local/application/job/SingleLicense/accenture/fldbpr/batchInterface/exclude/inb
ound/EFT231114080830.DAT
```

```
[11/14/2023 10:35:14] File get successful.
[11/14/2023 10:35:14] Connecting to LicenseEase.
[11/14/2023 10:35:14] ***** Processing AB&T EFT Records
*****
```

```
[11/14/2023 10:35:14] Truncating eft tables - executing preprocess_eft.
[11/14/2023 10:35:14] Tables truncated.
[11/14/2023 10:35:14] Reading input file EFT231114080830.DAT.
[11/14/2023 10:35:14] Checking for existing entry for deposit number AD0093
[11/14/2023 10:35:14] Passed check for no existing entry in eft_pmw_hold.
[11/14/2023 10:35:15] 1 records inserted to eft_hd.
[11/14/2023 10:35:15] 1 records inserted to eft_btch_hd.
[11/14/2023 10:35:15] 250 records inserted to eft_dtl_load.
[11/14/2023 10:35:15] 250 records inserted to eft_dtl_load_hist.
[11/14/2023 10:35:15] 1 records inserted to eft_settle_tr.
[11/14/2023 10:35:15] 1 records inserted to eft_tr.
[11/14/2023 10:35:15] 1 records inserted to eft_pmw_hold.
[11/14/2023 10:35:15] Executing procedure create_eft_receipts...
[11/14/2023 10:35:29] Getting errors for email...
[11/14/2023 10:35:29] 17 errors recorded for Deposit Number: AD0093
[11/14/2023 10:35:29]
```

CREATE_DATE	DEP_NUM	ACCT_NUM	TAX_CATEGORY	SETTLE_DATE	APPL_PERIOD
PMT_TYPE		PMT_AMT	NDC_VER_CD	ERROR_DESC	
11/14/2023	AD0093	000000007908304	5375	11/14/2023	10/31/2023
1		\$1,930.06	P01	Invalid license number	
11/14/2023	AD0093	000000005800020	5335	11/14/2023	10/31/2023
1		\$1,215.37	P01	Invalid license number	
11/14/2023	AD0093	000000003909382	5335	11/14/2023	10/31/2023
1		\$265.91	P01	Invalid license number	
11/14/2023	AD0093	000000001600066	5335	11/14/2023	10/31/2023
1		\$255.32	P01	Invalid license number	
11/14/2023	AD0093	000000001600066	5325	11/14/2023	10/31/2023
1		\$227.20	P01	Invalid license number	
11/14/2023	AD0093	000000004102266	5320	11/14/2023	10/31/2023
1		\$167.04	P01	Invalid license number	
11/14/2023	AD0093	000000006104407	5320	11/14/2023	10/31/2023
1		\$105.60	P01	Invalid license number	
11/14/2023	AD0093	000000001600066	5320	11/14/2023	10/31/2023
1		\$38.93	P01	Invalid license number	

11/14/2023	AD0093	000000001617078	5320	11/14/2023	10/31/2023
1		\$31.53	P01	Invalid license number	
11/14/2023	AD0093	000000006911172	5320	11/14/2023	10/31/2023
1		\$22.32	P01	Invalid license number	
11/14/2023	AD0093	000000001545439	5330	11/14/2023	10/31/2023
1		\$22.07	P01	Invalid license number	
11/14/2023	AD0093	000000005800020	5320	11/14/2023	10/31/2023
1		\$20.21	P01	Invalid license number	
11/14/2023	AD0093	000000006210428	5320	11/14/2023	10/31/2023
1		\$9.43	P01	Invalid license number	
11/14/2023	AD0093	000000007906290	5335	11/14/2023	09/30/2023
1		\$6.26	P01	Invalid license number	
11/14/2023	AD0093	000000002300916	5325	11/14/2023	10/31/2023
1		\$4.63	P01	Invalid license number	
11/14/2023	AD0093	000000007902488	5325	11/14/2023	10/31/2023
1		\$1.33	P01	Invalid license number	
11/14/2023	AD0093	000000005800020	5330	11/14/2023	10/31/2023
1		\$3,355.79	P01	Invalid license number	

[11/14/2023 10:35:29] The following batch numbers available: 23010153

[11/14/2023 10:35:29]

[11/14/2023 10:35:29] ***** Processing PMW EFT Records

[11/14/2023 10:35:29] Truncating eft tables - executing preprocess_eft.

[11/14/2023 10:35:30] Tables truncated.

[11/14/2023 10:35:30] Reading input file EFT231114080830.DAT.

[11/14/2023 10:35:30] Passed check for no existing entry in eft_pmw_hold.

[11/14/2023 10:35:30] 1 records inserted to eft_hd.

[11/14/2023 10:35:30] 1 records inserted to eft_btch_hd.

[11/14/2023 10:35:30] 2 records inserted to eft_dtl_load.

[11/14/2023 10:35:30] 2 records inserted to eft_dtl_load_hist.

[11/14/2023 10:35:30] 1 records inserted to eft_settle_tr.

[11/14/2023 10:35:30] 1 records inserted to eft_tr.

[11/14/2023 10:35:30] 1 records inserted to eft_pmw_hold.

[11/14/2023 10:35:30] Executing procedure create_eft_receipts...

[11/14/2023 10:35:30] Getting errors for email...

[11/14/2023 10:35:30] 1 errors recorded for Deposit Number: AD0093

[11/14/2023 10:35:30]

CREATE_DATE	DEP_NUM	ACCT_NUM	TAX_CATEGORY	SETTLE_DATE	APPL_PERIOD
PMT_TYPE		PMT_AMT	NDC_VER_CD	ERROR_DESC	
11/14/2023	AD0093	000000000000273	5318	11/14/2023	12/01/2023
2		\$2,250,000.00	P01	Slot Fees must be manually	

deposited and assigned to applications.

[11/14/2023 10:35:31] The following batch numbers available: 23010155 23010153

[11/14/2023 10:35:31]

[11/14/2023 10:35:31] Running gzip to compress file for archiving.

[11/14/2023 10:35:31] gzip finished. Process exit code is: 0

[11/14/2023 10:35:31] Moving File to archive:

```

//bprtlfpvs03/Seebeyond/archive/eft/EFT231114080830.DAT.gz
[11/14/2023 10:35:31] Archive finished.
[11/14/2023 10:35:31] Deleting file slic_input/EFT/EFT231114080830.DAT from remote
host dbprftp.state.fl.us
[11/14/2023 10:35:31] File delete successful.
[11/14/2023 10:35:31] Getting file slic_input/EFT/EFT231114090521.DAT from remote
host dbprftp.state.fl.us as
/usr/local/application/job/SingleLicense/accenture/fldbpr/batchInterface/exclude/inb
ound/EFT231114090521.DAT
[11/14/2023 10:35:32] File get successful.
[11/14/2023 10:35:32] Connecting to LicenseEase.
[11/14/2023 10:35:32] ***** Processing AB&T EFT Records
*****
[11/14/2023 10:35:32] Truncating eft tables - executing preprocess_eft.
[11/14/2023 10:35:32] Tables truncated.
[11/14/2023 10:35:33] Reading input file EFT231114090521.DAT.
[11/14/2023 10:35:33] Checking for existing entry for deposit number WC0093
[11/14/2023 10:35:33] Passed check for no existing entry in eft_pmw_hold.
[11/14/2023 10:35:33] 1 records inserted to eft_hd.
[11/14/2023 10:35:33] 1 records inserted to eft_btch_hd.
[11/14/2023 10:35:33] 3 records inserted to eft_dtl_load.
[11/14/2023 10:35:34] 3 records inserted to eft_dtl_load_hist.
[11/14/2023 10:35:34] 1 records inserted to eft_settle_tr.
[11/14/2023 10:35:34] 1 records inserted to eft_tr.
[11/14/2023 10:35:34] 1 records inserted to eft_pmw_hold.
[11/14/2023 10:35:34] Executing procedure create_eft_receipts...
[11/14/2023 10:35:35] Getting errors for email...
[11/14/2023 10:35:35] 0 errors recorded for Deposit Number: WC0093
[11/14/2023 10:35:35] The following batch numbers available: 23010155 23010153
[11/14/2023 10:35:35] ***** Processing PMW EFT Records
*****
[11/14/2023 10:35:35] Truncating eft tables - executing preprocess_eft.
[11/14/2023 10:35:35] Tables truncated.
[11/14/2023 10:35:35] Reading input file EFT231114090521.DAT.
[11/14/2023 10:35:35] Passed check for no existing entry in eft_pmw_hold.
[11/14/2023 10:35:35] 1 records inserted to eft_hd.
[11/14/2023 10:35:35] 1 records inserted to eft_btch_hd.
[11/14/2023 10:35:35] 0 records inserted to eft_dtl_load.
[11/14/2023 10:35:35] 0 records inserted to eft_dtl_load_hist.
[11/14/2023 10:35:35] 1 records inserted to eft_settle_tr.
[11/14/2023 10:35:35] 1 records inserted to eft_tr.
[11/14/2023 10:35:35] 1 records inserted to eft_pmw_hold.
[11/14/2023 10:35:35] No EFT records in file to process.
[11/14/2023 10:35:35] No Records inserted for PMW.
[11/14/2023 10:35:35] Running gzip to compress file for archiving.
[11/14/2023 10:35:35] gzip finished. Process exit code is: 0
[11/14/2023 10:35:35] Moving File to archive:
//bprtlfpvs03/Seebeyond/archive/eft/EFT231114090521.DAT.gz
[11/14/2023 10:35:35] Archive finished.

```

[11/14/2023 10:35:35] Deleting file slic_input/EFT/EFT231114090521.DAT from remote host dbprftp.state.fl.us
[11/14/2023 10:35:36] File delete successful.
[11/14/2023 10:35:36] SMTP Host: mail.dbpr.state.fl.us
[11/14/2023 10:35:36] From: call.center@dbpr.state.fl.us
[11/14/2023 10:35:36] To: chris.presley@dbpr.state.fl.us
[11/14/2023 10:35:36] To: deshima.rodgers@dbpr.state.fl.us
[11/14/2023 10:35:36] To: Eric.Thiele@dbpr.state.fl.us
[11/14/2023 10:35:36] To: Karen.Stelter@flgaming.gov
[11/14/2023 10:35:37] To: Kelley.VanValkenburgh@myfloridalicense.com
[11/14/2023 10:35:37] To: Kira.Sadler@myfloridalicense.com
[11/14/2023 10:35:37] To: Latheria.Gibbs@myfloridalicense.com
[11/14/2023 10:35:37] To: Maria.Nickels@myfloridalicense.com
[11/14/2023 10:35:37] To: Mike.Coleman@myfloridalicense.com
[11/14/2023 10:35:37] To: Neysa.Brogdon@dbpr.state.fl.us
[11/14/2023 10:35:37] To: Sally.Huggins@myfloridalicense.com
[11/14/2023 10:35:37] To: Stephanie.Gurley@myfloridalicense.com
[11/14/2023 10:35:37] To: TecOperations@dbpr.state.fl.us
[11/14/2023 10:35:37] To: Tracy.Swain@flgaming.gov
[11/14/2023 10:35:37] To: wesley.dupont@dbpr.state.fl.us
[11/14/2023 10:35:37] Subject: Seebeyond Interface TAXPAYMENTA was partially successful!
[11/14/2023 10:35:37] Content: Seebeyond Interface TAXPAYMENTA was partially successful. Errors occurred during processing. Log file is attached.
[11/14/2023 10:35:37] Attachment:
/usr/local/application/job/SingleLicense/accenture/fldbpr/batchInterface/exclude/log/TAXPAYMENTA-20231114.log



State of Florida
Department of Business and Professional Regulation
Cash Listing Report

Client: 79 - Department of Business & Professional Regulation

Origin: EFT

Fiscal Year: 2023

Batch #: [REDACTED] **Total \$ Entered:** \$ 2,250,000.00

Deposit #: [REDACTED]

Deposit Date: 2023-11-15

Receipt: 1 **Receipts Entered:** 1

Total: \$ 2,250,000.00

Status: Deposited

Receipt #	DLN	Received	Disp	Pmt	Note	Unassigned	Prof	Remitted By / Beneficiary	File #	License #	Assigned
230066661		\$ 2,250,000.00	DEP	EFT		\$ 0.00					
							1003	CASINO MIAMI, LLC	9	273	\$ 2,250,000.00
Total:		\$ 2,250,000.00				\$ 0.00					\$ 2,250,000.00

[VR Home](#) | [Inbox](#) | [Entity](#) | [Application](#) | [License](#) | [Cash](#) | [Exam](#) | [Inspection](#) | [Enforcement](#) | [Report](#)

[Application Home](#) | [Change Application](#)

Domain: **10 - Division of Pari-Mutuel Wagering**

Logged in as: **tjleiks**

[VR Home](#) > [Application Search](#) > [Transaction Check List](#) > **Transaction Header**

Fed Tax #	Name CASINO MIAMI, LLC	Lic Type 1003 - Permitholder App for Annual Slot Licens	Trans Class I - Initial
File # 9	Rank Permit holder Appl Annual Slot Mach Lic	App # 136	Sec Class S - Standard
License # 273	Lic Status Current	Status Open	
Entity # 273	Expires On 12/06/2023	Trans Code 1020 - Slot Machine License	

App Date

Normal Expiration Date

Override Expiration Date

Application Disposition

1st Reminder

2nd Reminder

Total Fee \$ **2,250,000.00**

Paid \$ **2,250,000.00**

Released \$ **2,250,000.00**

Due \$ **0.00**

Notes

Set License Expiry

Application For

Rank **Permit holder Appl Annual Slot Mach Lic**

Lic Status **Current**

Modifiers

Type Code Additional Info

Type	Modifier	Additional Info	Actions
No items found.			

[Get Adobe Reader.](#)

La'Kesha Jelks

From: Karen Stelter
Sent: Tuesday, November 14, 2023 10:39 AM
To: La'Kesha Jelks
Cc: Jamie Pouncey; Tracy Swain
Subject: Slot Payment
Attachments: TAXPAYMENTA-20231114.log

Good morning,

Per the attached, #273 Casino Miami has paid their slot fees for permit renewal. Please let me know if you have any questions or need any additional information.



Best Regards,

Karen L Stelter, Professional Accountant Specialist, FCCM
Florida Gaming Control Commission
Division of Pari-Mutuel Wagering, Office of Revenue and Financial Analysis
4070 Esplanade Way, Tallahassee, FL 32399
850-794-8129 Office ~ 850-539-8715 FAX

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The State of Florida has a very broad public records law pursuant to Chapter 119, Florida Statutes. Most written communications to and from state officials regarding state business are public records, available to the public and media upon request. Therefore your e-mail communications may be subject to public disclosure. [LARGER VIEW](#)

```

[11/14/2023 10:35:12] Connecting to DB load interface parameters and email lists.
[11/14/2023 10:35:12] Getting file list for mask slic_input/EFT/*.* from remote host
dbprftp.state.fl.us
[11/14/2023 10:35:13] File List: EFT231114080830.DAT
EFT231114090521.DAT
TESTEFT

```

```

[11/14/2023 10:35:13] Getting file slic_input/EFT/EFT231114080830.DAT from remote
host dbprftp.state.fl.us as
/usr/local/application/job/SingleLicense/accenture/fldbpr/batchInterface/exclude/inb
ound/EFT231114080830.DAT
[11/14/2023 10:35:14] File get successful.
[11/14/2023 10:35:14] Connecting to LicenseEase.
[11/14/2023 10:35:14] ***** Processing AB&T EFT Records
*****
[11/14/2023 10:35:14] Truncating eft tables - executing preprocess_eft.
[11/14/2023 10:35:14] Tables truncated.
[11/14/2023 10:35:14] Reading input file EFT231114080830.DAT.
[11/14/2023 10:35:14] Checking for existing entry for deposit number AD0093
[11/14/2023 10:35:14] Passed check for no existing entry in eft_pmw_hold.
[11/14/2023 10:35:15] 1 records inserted to eft_hd.
[11/14/2023 10:35:15] 1 records inserted to eft_btch_hd.
[11/14/2023 10:35:15] 250 records inserted to eft_dtl_load.
[11/14/2023 10:35:15] 250 records inserted to eft_dtl_load_hist.
[11/14/2023 10:35:15] 1 records inserted to eft_settle_tr.
[11/14/2023 10:35:15] 1 records inserted to eft_tr.
[11/14/2023 10:35:15] 1 records inserted to eft_pmw_hold.
[11/14/2023 10:35:15] Executing procedure create_eft_receipts...
[11/14/2023 10:35:29] Getting errors for email...
[11/14/2023 10:35:29] 17 errors recorded for Deposit Number: AD0093
[11/14/2023 10:35:29]

```

CREATE_DATE	DEP_NUM	ACCT_NUM	TAX_CATEGORY	SETTLE_DATE	APPL_PERIOD
PMT_TYPE		PMT_AMT	NDC_VER_CD	ERROR_DESC	
11/14/2023	AD0093	000000007908304	5375	11/14/2023	10/31/2023
1		\$1,930.06	P01	Invalid license number	
11/14/2023	AD0093	000000005800020	5335	11/14/2023	10/31/2023
1		\$1,215.37	P01	Invalid license number	
11/14/2023	AD0093	000000003909382	5335	11/14/2023	10/31/2023
1		\$265.91	P01	Invalid license number	
11/14/2023	AD0093	000000001600066	5335	11/14/2023	10/31/2023
1		\$255.32	P01	Invalid license number	
11/14/2023	AD0093	000000001600066	5325	11/14/2023	10/31/2023
1		\$227.20	P01	Invalid license number	
11/14/2023	AD0093	000000004102266	5320	11/14/2023	10/31/2023
1		\$167.04	P01	Invalid license number	
11/14/2023	AD0093	000000006104407	5320	11/14/2023	10/31/2023
1		\$105.60	P01	Invalid license number	
11/14/2023	AD0093	000000001600066	5320	11/14/2023	10/31/2023
1		\$38.93	P01	Invalid license number	

11/14/2023	AD0093	000000001617078	5320	11/14/2023	10/31/2023
1		\$31.53	P01	Invalid license number	
11/14/2023	AD0093	000000006911172	5320	11/14/2023	10/31/2023
1		\$22.32	P01	Invalid license number	
11/14/2023	AD0093	000000001545439	5330	11/14/2023	10/31/2023
1		\$22.07	P01	Invalid license number	
11/14/2023	AD0093	000000005800020	5320	11/14/2023	10/31/2023
1		\$20.21	P01	Invalid license number	
11/14/2023	AD0093	000000006210428	5320	11/14/2023	10/31/2023
1		\$9.43	P01	Invalid license number	
11/14/2023	AD0093	000000007906290	5335	11/14/2023	09/30/2023
1		\$6.26	P01	Invalid license number	
11/14/2023	AD0093	000000002300916	5325	11/14/2023	10/31/2023
1		\$4.63	P01	Invalid license number	
11/14/2023	AD0093	000000007902488	5325	11/14/2023	10/31/2023
1		\$1.33	P01	Invalid license number	
11/14/2023	AD0093	000000005800020	5330	11/14/2023	10/31/2023
1		\$3,355.79	P01	Invalid license number	

[11/14/2023 10:35:29] The following batch numbers available: 23010153

[11/14/2023 10:35:29]

[11/14/2023 10:35:29] ***** Processing PMW EFT Records

[11/14/2023 10:35:29] Truncating eft tables - executing preprocess_eft.

[11/14/2023 10:35:30] Tables truncated.

[11/14/2023 10:35:30] Reading input file EFT231114080830.DAT.

[11/14/2023 10:35:30] Passed check for no existing entry in eft_pmw_hold.

[11/14/2023 10:35:30] 1 records inserted to eft_hd.

[11/14/2023 10:35:30] 1 records inserted to eft_btch_hd.

[11/14/2023 10:35:30] 2 records inserted to eft_dtl_load.

[11/14/2023 10:35:30] 2 records inserted to eft_dtl_load_hist.

[11/14/2023 10:35:30] 1 records inserted to eft_settle_tr.

[11/14/2023 10:35:30] 1 records inserted to eft_tr.

[11/14/2023 10:35:30] 1 records inserted to eft_pmw_hold.

[11/14/2023 10:35:30] Executing procedure create_eft_receipts...

[11/14/2023 10:35:30] Getting errors for email...

[11/14/2023 10:35:30] 1 errors recorded for Deposit Number: AD0093

[11/14/2023 10:35:30]

CREATE_DATE	DEP_NUM	ACCT_NUM	TAX_CATEGORY	SETTLE_DATE	APPL_PERIOD
11/14/2023	AD0093	000000000000273	5318	11/14/2023	12/01/2023
2		\$2,250,000.00	P01	Slot Fees must be manually	

deposited and assigned to applications.

[11/14/2023 10:35:31] The following batch numbers available: 23010155 23010153

[11/14/2023 10:35:31]

[11/14/2023 10:35:31] Running gzip to compress file for archiving.

[11/14/2023 10:35:31] gzip finished. Process exit code is: 0

[11/14/2023 10:35:31] Moving File to archive:

```

//bprtlfpvs03/Seebeyond/archive/eft/EFT231114080830.DAT.gz
[11/14/2023 10:35:31] Archive finished.
[11/14/2023 10:35:31] Deleting file slic_input/EFT/EFT231114080830.DAT from remote
host dbprftp.state.fl.us
[11/14/2023 10:35:31] File delete successful.
[11/14/2023 10:35:31] Getting file slic_input/EFT/EFT231114090521.DAT from remote
host dbprftp.state.fl.us as
/usr/local/application/job/SingleLicense/accenture/fldbpr/batchInterface/exclude/inb
ound/EFT231114090521.DAT
[11/14/2023 10:35:32] File get successful.
[11/14/2023 10:35:32] Connecting to LicenseEase.
[11/14/2023 10:35:32] ***** Processing AB&T EFT Records
*****
[11/14/2023 10:35:32] Truncating eft tables - executing preprocess_eft.
[11/14/2023 10:35:32] Tables truncated.
[11/14/2023 10:35:33] Reading input file EFT231114090521.DAT.
[11/14/2023 10:35:33] Checking for existing entry for deposit number WC0093
[11/14/2023 10:35:33] Passed check for no existing entry in eft_pmw_hold.
[11/14/2023 10:35:33] 1 records inserted to eft_hd.
[11/14/2023 10:35:33] 1 records inserted to eft_btch_hd.
[11/14/2023 10:35:33] 3 records inserted to eft_dtl_load.
[11/14/2023 10:35:34] 3 records inserted to eft_dtl_load_hist.
[11/14/2023 10:35:34] 1 records inserted to eft_settle_tr.
[11/14/2023 10:35:34] 1 records inserted to eft_tr.
[11/14/2023 10:35:34] 1 records inserted to eft_pmw_hold.
[11/14/2023 10:35:34] Executing procedure create_eft_receipts...
[11/14/2023 10:35:35] Getting errors for email...
[11/14/2023 10:35:35] 0 errors recorded for Deposit Number: WC0093
[11/14/2023 10:35:35] The following batch numbers available: 23010155 23010153
[11/14/2023 10:35:35] ***** Processing PMW EFT Records
*****
[11/14/2023 10:35:35] Truncating eft tables - executing preprocess_eft.
[11/14/2023 10:35:35] Tables truncated.
[11/14/2023 10:35:35] Reading input file EFT231114090521.DAT.
[11/14/2023 10:35:35] Passed check for no existing entry in eft_pmw_hold.
[11/14/2023 10:35:35] 1 records inserted to eft_hd.
[11/14/2023 10:35:35] 1 records inserted to eft_btch_hd.
[11/14/2023 10:35:35] 0 records inserted to eft_dtl_load.
[11/14/2023 10:35:35] 0 records inserted to eft_dtl_load_hist.
[11/14/2023 10:35:35] 1 records inserted to eft_settle_tr.
[11/14/2023 10:35:35] 1 records inserted to eft_tr.
[11/14/2023 10:35:35] 1 records inserted to eft_pmw_hold.
[11/14/2023 10:35:35] No EFT records in file to process.
[11/14/2023 10:35:35] No Records inserted for PMW.
[11/14/2023 10:35:35] Running gzip to compress file for archiving.
[11/14/2023 10:35:35] gzip finished. Process exit code is: 0
[11/14/2023 10:35:35] Moving File to archive:
//bprtlfpvs03/Seebeyond/archive/eft/EFT231114090521.DAT.gz
[11/14/2023 10:35:35] Archive finished.

```

[11/14/2023 10:35:35] Deleting file slic_input/EFT/EFT231114090521.DAT from remote host dbprftp.state.fl.us
[11/14/2023 10:35:36] File delete successful.
[11/14/2023 10:35:36] SMTP Host: mail.dbpr.state.fl.us
[11/14/2023 10:35:36] From: call.center@dbpr.state.fl.us
[11/14/2023 10:35:36] To: chris.presley@dbpr.state.fl.us
[11/14/2023 10:35:36] To: deshima.rodgers@dbpr.state.fl.us
[11/14/2023 10:35:36] To: Eric.Thiele@dbpr.state.fl.us
[11/14/2023 10:35:36] To: Karen.Stelter@flgaming.gov
[11/14/2023 10:35:37] To: Kelley.VanValkenburgh@myfloridalicense.com
[11/14/2023 10:35:37] To: Kira.Sadler@myfloridalicense.com
[11/14/2023 10:35:37] To: Latheria.Gibbs@myfloridalicense.com
[11/14/2023 10:35:37] To: Maria.Nickels@myfloridalicense.com
[11/14/2023 10:35:37] To: Mike.Coleman@myfloridalicense.com
[11/14/2023 10:35:37] To: Neysa.Brogdon@dbpr.state.fl.us
[11/14/2023 10:35:37] To: Sally.Huggins@myfloridalicense.com
[11/14/2023 10:35:37] To: Stephanie.Gurley@myfloridalicense.com
[11/14/2023 10:35:37] To: TecOperations@dbpr.state.fl.us
[11/14/2023 10:35:37] To: Tracy.Swain@flgaming.gov
[11/14/2023 10:35:37] To: wesley.dupont@dbpr.state.fl.us
[11/14/2023 10:35:37] Subject: Seebeyond Interface TAXPAYMENTA was partially successful!
[11/14/2023 10:35:37] Content: Seebeyond Interface TAXPAYMENTA was partially successful. Errors occurred during processing. Log file is attached.
[11/14/2023 10:35:37] Attachment:
/usr/local/application/job/SingleLicense/accenture/fldbpr/batchInterface/exclude/log/TAXPAYMENTA-20231114.log

Department of Business and Professional Regulation
 Division of Pari-Mutuel Wagering
 DBPR PMW-3130 – Business Occupational License Application

Instructions: Please read all sections thoroughly and complete every section that pertains to you and are not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

DEMOGRAPHIC INFORMATION			
Name of Business <i>The Phillip G. Ruffin Florida Gaming Trust</i>		Federal Employer ID Number <i>N/A - Revocable Trust, not required</i>	
Doing Business As (D/B/A) Name (if applicable) <i>N/A</i>		Social Security Number (for sole proprietors) <i>[Redacted] (Phillip G. Ruffin - sole trustee)</i>	
Business Entity Description: <input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> LLC <input type="checkbox"/> Other <input type="checkbox"/> Partnership <input type="checkbox"/> Estate <input type="checkbox"/> Corporation <input checked="" type="checkbox"/> Trust		Has this business ever held a Pari-Mutuel Business License in Florida? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Type of Business Occupational License Applying For: <input checked="" type="checkbox"/> Pari-Mutuel Business <input type="checkbox"/> Cardroom Business		Pari-Mutuel Facilities With Whom You Do Business: <i>Casino Miami, LLC</i>	
The Business Entity is a (check all that apply): - <i>Revocable Trust, no business activity</i>			
Pari-Mutuel: <input type="checkbox"/> Business Animal Owner <input type="checkbox"/> Pari-Mutuel Vendor <input type="checkbox"/> Kennel <input type="checkbox"/> Tote Company <input type="checkbox"/> Stable <input type="checkbox"/> Contractual Concessionaire		Cardroom Business: <input type="checkbox"/> Cardroom Vendor/Distributor <input type="checkbox"/> Cardroom Management Company	
Current Mailing Address <i>3300 Las Vegas Blvd, South</i>		Email Address (optional) <i>dlicciardi@playcasinomiami.com</i>	
City <i>Las Vegas</i>	State <i>NV</i>	Zip Code (+4 optional) <i>89109</i>	Country, if other than USA
Primary Phone Number <i>305-633-6400</i>		Secondary/Cell Phone Number (optional) <i>[Redacted]</i>	
Current Street Address <i>3300 Las Vegas Blvd, South</i>			
City <i>Las Vegas</i>	State <i>NV</i>	Zip Code (+4 optional) <i>89109</i>	Country, if other than USA
Contact Person Name and Title <i>Daniel J. Licciardi</i>			
Contact Person Primary Phone Number <i>305-633-6900</i>	Contact Person Fax Number <i>305-638-8077</i>	Contact Person Primary Email Address <i>dlicciardi@playcasinomiami.com</i>	
LIST THE FOLLOWING: 1) ALL OFFICERS, DIRECTORS AND MANAGERS 2) ALL EQUITABLE OWNERS AND SHAREHOLDERS (MUST TOTAL 100%) *Attach organizational and ownership charts for any business entity listed in response to 1 or 2 above and attach additional pages as necessary.			
NAME	TITLE	% OF OWNERSHIP IF ANY	
<i>Phillip G. Ruffin</i>	<i>Trustee</i>	<i>100%</i>	
FOR DIVISION USE ONLY			
License Code <i>1020</i>	License # <i>13810431</i>	File # <i>13224</i>	App # <i>42147</i> License Year <i>23/24</i>
Association Code <i>910</i>	Date Received <i>11/9/23</i>	Entered By <i>CH</i>	License Fee <i>120.00</i>
<input checked="" type="checkbox"/> ARCI	<input type="checkbox"/> Waiver Requested	<input checked="" type="checkbox"/> O/D/S ARCI	<input type="checkbox"/> Enforcement

FLORIDA RACING

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes No Has the business ever been convicted of a felony? If yes, the court disposition records for all convictions listed must be submitted with this application and you must list the details in the section provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE

Yes No Has the business or any owner, officer, director or manager of the business ever had a racing or gaming license suspended, revoked, or denied in this or any other racing or gaming jurisdiction? If yes, you must list the details in the section provided below.

Yes No Has the business or any owner, officer, director or manager of the business ever voluntarily relinquished a racing or gaming license in lieu of prosecution? If yes, you must list the details in the section provided below.

Yes No Is there any pending enforcement or disciplinary action against the business or any owner, officer, director or manager of the business in this or any other racing or gaming jurisdiction? If yes, you must list the details in the section provided below.

If yes was answered to any of the above questions, provide details here:

TO BE COMPLETED BY CORPORATIONS

List State Where Incorporated:

Yes No Is the corporation registered with the Florida Department of State?

Yes No Is the corporation a subsidiary of another corporation conducting business in Florida? If yes, provide the name of the parent corporation:

*You must attach copies of the parent company's organizational and ownership charts to this application.

TO BE COMPLETED BY STABLE, KENNEL AND ANYONE WHO OWNS RACING ANIMALS

Yes No Does the business own or lease animals intended for racing in Florida?

If you answered yes to the question above, what type of racing animal does the business own?

Greyhounds Thoroughbreds Standardbreds Quarter Horses

Stable Name, Kennel Name, or Business Name

Trainer Name

TO BE COMPLETED BY VENDORS/DISTRIBUTORS

What type of product(s) does your company manufacture, distribute, and/or sell?

TO BE COMPLETED BY TOTALISATOR COMPANIES

Yes No Pursuant to Section 550.495(2)(c), Florida Statutes, has the company obtained a performance bond in the sum of \$250,000 issued by a surety approved by the division or insurance, acceptable to the division, against financial loss in the amount of \$250,000, insuring the state against such a revenue loss?

Please provide the address of your hub servicing Florida:

In compliance with Section 550.495(2)(b), Florida Statutes, by signing below, each totalisator company agrees to pay the Division of Pari-Mutuel Wagering an amount equal to the loss of any state revenues from missed or cancelled races, games or performances due to acts of the totalisator owner or operator or its agents or employees or failures of the totalisator system, except for circumstances beyond control of the totalisator company or agent or employee, as determined by the Division:

Signature of Applicant, Owner, or Chief Executive

Title

FLORIDA GAMING CONTROL COMMISSION

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

AUTHORIZATION FOR RELEASE OF INFORMATION:

I do hereby instruct all law enforcement, criminal justice agencies, gaming commissions, tribal gaming regulatory agencies or commissions, state agencies, or commissions responsible for gaming regulation to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Department of Business and Professional Regulation or the Florida Department of Law Enforcement.

I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Further, I understand that under Florida Statute, any information released that is not specifically exempted shall become part of the public record, releasable upon request to the public pursuant to Chapter 119, Florida Statutes.

[Handwritten Signature]

11/08/2023

Signature of Applicant, Owner, or Chief Executive

Date (MM/DD/YYYY)

Phillip G. Ruffin

Trustee

Print Applicant, Owner, or Chief Executive Name

Print Title

[Redacted]

The Phillip G. Ruffin Florida Gaming

Federal Employer ID Number; or Social Security Number (Sole Proprietors Only)

Print Name of Company

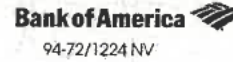
Trust

THE FACE OF THIS DOCUMENT HAS A COLORED BACKGROUND ON WHITE PAPER



CASINO MIAMI, LLC
3500 NW 37th Avenue
Miami, Florida 33142-4923

VOID AFTER 45 DAYS



CHECK NO. 2226

DATE 11/8/2023

PAY TO THE ORDER OF

DBPR

\$120.00

DOLLARS

THE SUM I 20 DOLS 00 CTS

CASINO MIAMI CAGE CHECKING ACCOUNT
2 SIGNATURES REQUIRED

[Signature] MP
[Signature] MP

THIS DOCUMENT CONTAINS A TRUE WATERMARK - HOLD TO A LIGHT TO VIEW



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

APPLICATION CHECKLIST – IMPORTANT – Submit all items on the checklist below to ensure faster processing.

All Business Applicants Must Submit:

- Completed Form DBPR PMW-3130 – Print clearly and complete all sections that are not optional in black or blue ink.
- Additional Pages – If necessary to respond to any application questions.
- Supporting Legal Documentation – If necessary to respond to background information questions in application.
- Three (3) Year Licensing Fee – Make checks or money orders payable to DBPR.
 - Pari-Mutuel Business License – \$120.00; OR
 - Cardroom Business License – \$ 500.00.

Additional Requirements For Related Individuals:

- Pari-Mutuel Businesses – Officers, Directors, Shareholders of 10 percent or more, and Managers of the business applying for licensure must:
 - Hold a valid Florida Pari-Mutuel Professional Occupational License; OR
 - Apply for licensure on Form DBPR PMW-3120.
- Cardroom Businesses Only – Officers, Directors, Shareholders of 10 percent or more, and Managers of the business applying for licensure must fulfill ONE of the requirements below:
 - Individuals Above Requiring Access to a Florida Cardroom must hold a valid Florida Cardroom Employee Occupational License or apply for licensure on Form DBPR PMW-3120; OR
 - Individuals Above NOT Requiring Access to a Florida Cardroom must submit a completed Disclosure Form DBPR PMW-3140, a complete set of fingerprints and any applicable fingerprint fee.

Totalisator Companies Must Submit:

- Proof of a performance bond in the sum of \$250,000 issued by a surety or proof of insurance against financial loss in the amount of \$250,000, insuring the state against such a revenue loss.

Please mail your completed application, documentation and required fee(s) to:
Department of Business and Professional Regulation
Pari-Mutuel Wagering; Licensing Section
2601 Blair Stone Road, Tallahassee, Florida 32399-1037
Phone: 850.487.1395



Licensee: PHILLIP GENE SR RUFFIN

No Picture Available

Reports on PHILLIP GENE SR RUFFIN

- Comprehensive Ruling Report
- Drug Ruling Report (Last 5 Years)
- Comprehensive Licensee Report

Identification Information

Date of Birth	Federal ID/SSN	Country	Sex	Add a New Identification Record
[REDACTED]	[REDACTED]			

Name Information

Name Type	Prefix	First Name	Middle Name	Last Name	Suffix	Add a New Name Record
Current Legal Name		PHILLIP	GENE SR	RUFFIN		

Address Information

Address Type	Street Address	City	State	Zip Code

Phone Information

Phone Number Type	Phone Number

License Information

License Number	License Type	Issued Date	Expiration Date	Licensing Commission	Add a New License Record
067728	Administrative	12/9/2005	12/31/2008	Kansas Racing and Gaming Commission	
058607	Administrative	1/3/2003	12/31/2005	Kansas Racing and Gaming Commission	
048051	Administrative	1/13/2000	12/31/2002	Kansas Racing and Gaming Commission	
042851	Administrative	11/26/1997	12/31/1997	Kansas Racing and Gaming Commission	

Fingerprint Information

Processing Commission	Date Taken	Status	Notes	Add a New Fingerprint Record
Kansas Racing and Gaming Commission	1/1/1999	Unknown	RCI Card Submitted Date	
Kansas Racing and Gaming Commission	12/17/1999	Unknown		
Kansas Racing and Gaming Commission	1/9/2006	Unknown		
Kansas Racing and Gaming Commission	1/1/1997	Unknown		

Ruling Number	Ruling Type	Ruling Date	Fine	Fine Paid?	Suspension Start	Suspension End

Applicable Reports

Comprehensive Ruling Report

Comprehensive Licensee Report

[Licensees](#) [Rulings](#) [Horse Tracking](#) [Bulletin Board](#) [Preferences](#) [Log Off](#)

2/28/04



Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number:
Date of Birth:
First Name: %THE PHILLIP G RUFFIN FLORIDA GAMING TRUST%
Middle Name: %%THE PHILLIP G RUFFIN FLORIDA GAMING TRUST%%
Last Name: %%THE PHILLIP G RUFFIN FLORIDA GAMING TRUST%%
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State
-----------	-----------	------------	-------------	----------------	---------	------------	------	-------



**Florida Gaming
Control Commission**
flgaming.gov

Jamie Pouncey
Program Administrator
850.794.8116 Office 850.964.1705 Cell

From: Jamie Pouncey
Sent: Wednesday, November 8, 2023 9:38 AM
To: Daniel Licciardi <dlicciardi@playcasinomiami.com>
Subject: RE: Casino Miami, LLC Slots license

Hey Dan, when are you going to send payment?

Thank you,



**Florida Gaming
Control Commission**
flgaming.gov

Jamie Pouncey
Program Administrator
850.794.8116 Office 850.964.1705 Cell

From: Daniel Licciardi <dlicciardi@playcasinomiami.com>
Sent: Wednesday, November 1, 2023 4:22 PM
To: Jamie Pouncey <Jamie.Pouncey@flgaming.gov>; La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Subject: RE: Casino Miami, LLC Slots license

Great, thank you

From: Jamie Pouncey <Jamie.Pouncey@flgaming.gov>
Sent: Wednesday, November 1, 2023 4:21 PM
To: Daniel Licciardi <dlicciardi@playcasinomiami.com>; La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Subject: RE: Casino Miami, LLC Slots license

I will need to get back with you on this tomorrow.....

Thank you,



**Florida Gaming
Control Commission**
flgaming.gov

Jamie Pouncey

Program Administrator

850.794.8116 Office 850.964.1705 Cell

From: Daniel Licciardi <dlicciardi@playcasinomiami.com>

Sent: Wednesday, November 1, 2023 4:10 PM

To: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>; Jamie Pouncey <Jamie.Pouncey@flgaming.gov>

Subject: FW: Casino Miami, LLC Slots license

From: Cesar Torres <ctorres@playcasinomiami.com>

Sent: Wednesday, November 1, 2023 3:31 PM

To: Daniel Licciardi <dlicciardi@playcasinomiami.com>

Subject: FW: Casino Miami, LLC Slots license

Will this work?

J. Cesar Torres

Chief Financial Officer

E: ctorres@playcasinomiami.com

P: (305) 633-6400 ext. 2014

F: (305) 634-7013



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From: Gail Knott <gknott@ruffinco.com>

Sent: Wednesday, November 1, 2023 3:22 PM

To: Cesar Torres <ctorres@playcasinomiami.com>

Subject: RE: Casino Miami, LLC Slots license

I do!

From: Cesar Torres <ctorres@playcasinomiami.com>

Sent: Wednesday, November 1, 2023 2:17 PM

To: Gail Knott <gknott@ruffinco.com>
Subject: FW: Casino Miami, LLC Slots license

Gail do you have an org chart of the ownership structure for Casino Miami we can provide the regulators? They are working on our permit application. Please read below for more details.

Regards,

J. Cesar Torres

Chief Financial Officer

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From: Daniel Licciardi <dlicciardi@playcasinomiami.com>

Sent: Wednesday, November 1, 2023 3:05 PM

To: Cesar Torres <ctorres@playcasinomiami.com>

Subject: FW: Casino Miami, LLC Slots license

From: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>

Sent: Wednesday, November 1, 2023 3:01 PM

To: Daniel Licciardi <dlicciardi@playcasinomiami.com>

Subject: Casino Miami, LLC Slots license

Greetings,

Please provide an organizational chart of the ownership structure of Casino Miami, LLC.

Best,

La'Kesha Jelks



La'Kesha Jelks
Operations Review Specialist
Florida Gaming Control Commission
Pari-Mutuel Wagering
Phone: (850) 794-8114

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The State of Florida has a very broad public records law pursuant to Chapter 119, Florida Statutes. Most written communications to and from state officials regarding state business are public records, available to the public and media upon request. Therefore, your e-mail communications may be subject to public disclosure. **LARGER VIEW**

Jamie Pouncey

From: Jamie Pouncey
Sent: Monday, November 6, 2023 9:14 AM
To: 'Daniel Licciardi'
Cc: La'Kesha Jelks
Subject: RE: Casino Miami, LLC Slots license

Form 3130, Business Occupational License. In accordance with section 550.105, Florida Statutes, a trust connected with a racetrack or fronton is required to obtain a business license. Please submit Form 3130 for the listed trust. (Phillip G. Ruffin Gaming Trust)

The above Form may be found at the following link: [Forms \(fl.gov\)](#).

Please call or send me an email if you have any questions.

Thank you,



**Florida Gaming
Control Commission**
flgaming.gov

Jamie Pouncey
Program Administrator
850.794.8116 Office 850.964.1705 Cell

From: Daniel Licciardi <dlicciardi@playcasinomiami.com>
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Great, thank you

From: Jamie Pouncey <Jamie.Pouncey@flgaming.gov>
Sent: Wednesday, November 1, 2023 4:21 PM
To: Daniel Licciardi <dlicciardi@playcasinomiami.com>; La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Subject: RE: Casino Miami, LLC Slots license

I will need to get back with you on this tomorrow.....

Thank you,



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flgaming.gov

Jamie Pouncey
Program Administrator
850.794.8116 Office 850.964.1705 Cell

From: Daniel Licciardi <dlicciardi@playcasinomiami.com>
Sent: Wednesday, November 1, 2023 4:10 PM
To: La'Keshia Jelks <LaKeshia.Jelks@flgaming.gov>; Jamie Pouncey <Jamie.Pouncey@flgaming.gov>
Subject: FW: Casino Miami, LLC Slots license

From: Cesar Torres <ctorres@playcasinomiami.com>
Sent: Wednesday, November 1, 2023 3:31 PM
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Subject: FW: Casino Miami, LLC Slots license

Will this work?

J. Cesar Torres

Chief Financial Officer

E: ctorres@playcasinomiami.com

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F: (305) 634-7013



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From: Gail Knott <gknott@ruffinco.com>
Sent: Wednesday, November 1, 2023 3:22 PM
To: Cesar Torres <ctorres@playcasinomiami.com>
Subject: RE: Casino Miami, LLC Slots license

I do!

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Sent: Wednesday, November 1, 2023 2:17 PM

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Subject: FW: Casino Miami, LLC Slots license

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Chief Financial Officer

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La'Kesha Jelks



La'Kesha Jelks
Operations Review Specialist
Florida Gaming Control Commission
Pari-Mutuel Wagering
Phone: (850) 794-8114

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La'Kesha Jelks

From: Daniel Licciardi <dlicciardi@playcasinomiami.com>
Sent: Wednesday, November 1, 2023 4:10 PM
To: La'Kesha Jelks; Jamie Pouncey
Subject: FW: Casino Miami, LLC Slots license
Attachments: Casino Miami Organization Flow Chart.xlsx

From: Cesar Torres <ctorres@playcasinomiami.com>
Sent: Wednesday, November 1, 2023 3:31 PM
To: Daniel Licciardi <dlicciardi@playcasinomiami.com>
Subject: FW: Casino Miami, LLC Slots license

Will this work?

J. Cesar Torres

Chief Financial Officer

E: ctorres@playcasinomiami.com

P: (305) 633-6400 ext. 2014

F: (305) 634-7013



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Subject: Casino Miami, LLC Slots license

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La'Kesha Jelks



La'Kesha Jelks
Operations Review Specialist
Florida Gaming Control Commission
Pari-Mutuel Wagering
Phone: (850) 794-8114

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La'Kesha Jelks

From: Jamie Pouncey
Sent: Monday, October 9, 2023 8:20 AM
To: La'Kesha Jelks
Subject: FW: Casino Miami - License Renewal IC Memo
Attachments: Casino Miami-License Renewal Memo-2023-24.pdf

Thank you,



FLORIDA
GAMING CONTROL
COMMISSION

Jamie Pouncey
Program Administrator
Office: 850-717-1073 Cell:850-964-1705

From: Bill Crafts <Bill.Crafts@flgaming.gov>
Sent: Friday, October 6, 2023 11:23 AM
To: Jamie Pouncey <Jamie.Pouncey@flgaming.gov>
Cc: Dennis Chen <Dennis.Chen@flgaming.gov>
Subject: Casino Miami - License Renewal IC Memo

Jamie, hello. Hope all is well.
Good job at the Commission Meeting yesterday.

Attached is the IC Memo for the License Renewal for Casino Miami due on December 6th.

Take care.



FLORIDA
GAMING CONTROL
COMMISSION

Bill Crafts
Chief of Slot Operations
Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
Office of Slot Operations
754.288.1019 Office
954.658.3156 Cell



JULIE I. BROWN, Vice Chair
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

MEMORANDUM

TO: Jamie Pouncey, Program Administrator
FROM: Bill Crafts, Chief of Slot Operations
SUBJECT: Casino Miami, LLC d/b/a Casino Miami – Annual Internal Controls Review
DATE: October 6, 2023

The office of Slot Operations has completed our annual review of the Internal Controls of Casino Miami, LLC. At this time, our assessment has shown full compliance with 551, Fla. Stat. (2005) and Fla. Admin. Code R. 75-14. We strive to continuously review all our licensed facilities systems of control, and work closely with the property's management and compliance officers to ensure rigorous adherence to Florida Statute and Administrative Code. Our annual review involves a more comprehensive and robust examination of all Internal Controls as adopted by the facility.

This review was conducted by the Tax & Audit Group of the Office of Slot Operations, with their report SOIC-2024-273 being issued on October 6, 2023.

As always, should you have any questions or concerns pertaining to this matter, please do not hesitate to contact me.

A handwritten signature in blue ink that reads "Bill Crafts".

Bill Crafts
Chief of Slot Operations

OFFICE OF SLOT OPERATIONS
1400 WEST COMMERCIAL BOULEVARD, SUITE 165
FT. LAUDERDALE, FLORIDA 33309

La'Kesha Jelks

From: Beatriz Perez <bperez@playcasinomiami.com>
Sent: Tuesday, September 12, 2023 10:04 AM
To: La'Kesha Jelks
Subject: RE: Casino Miami, LLC (SM273) Slots License Renewal Application

Good morning La'Kesha,

Can you please give Dan or myself a quick call at 305-633-6400, ext. 2011 or 2012.

Thank you,

From: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Sent: Tuesday, September 12, 2023 9:03 AM
To: Beatriz Perez <bperez@playcasinomiami.com>
Subject: Casino Miami, LLC (SM273) Slots License Renewal Application

Greetings,

The Slots Renewal Application submitted for Casino Miami, LLC (SM273) was received however, the application remains incomplete for:

- Payment of the non-refundable \$2 million application fee and payment of the non-refundable \$250k regulatory fee for the Compulsive or Addictive Gambling Prevention Program as required by Section 551.118, FS.
- Disclosure of the applicant's internal control procedures required by 75-14.058, FAC

The items listed requires submission and/or correction to complete the renewal application.

Please be mindful that our Commission Meetings are usually scheduled on the first Thursdays of each month (upcoming meeting dates of Oct. 5th, Nov. 2nd and Dec. 7th) and all items on the agenda are due two weeks prior to the date of the meeting. Casino Miami, LLC's Slots license expires on December 6, 2023. Please keep this in mind when submitting deficient application items.

If you have any questions, please contact me at your earliest convenience.

Best,

La'Kesha Jelks



La'Kesha Jelks
Operations Review Specialist
Florida Gaming Control Commission
Pari-Mutuel Wagering
Phone: (850) 794-8114

The information contained in this transmission is intended solely for the use of the person(s) named herein. If you are not the intended recipient, you are hereby notified that any review, dissemination, distribution or duplication of this communication is strictly prohibited. If you are not the intended recipient, please contact me by reply e-mail and destroy all copies of the original message.

The State of Florida has a very broad public records law pursuant to Chapter 119, Florida Statutes. Most written communications to and from state officials regarding state business are public records, available to the public and media upon request. Therefore, your e-mail communications may be subject to public disclosure. [LARGER VIEW](#)

RECEIVED

PLATTE RIVER INSURANCE COMPANY

2023 SEP -6 AM 10: 52

CONTINUATION CERTIFICATE

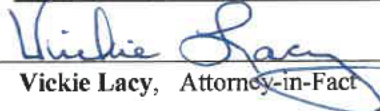
FLORIDA GAMING
CONTROL COMMISSION

PLATTE RIVER INSURANCE COMPANY (hereinafter called the Surety), hereby continues in force its Surety Bond for Florida Slot Machine Licensee Bond, bond numbered [REDACTED] on behalf of Casino Miami, LLC (Principal) in favor of Governor of the State of Florida, (Obligee) in the amount of Two Million and 00/100 Dollars (\$2,000,000.00) for the extended period, beginning on the 31st day of August 2023 and ending on the 31st day of August 2024, subject to the terms, conditions and limitations of said bond.

This continuation certificate is executed upon the express condition that the Surety's liability under said bond, together with this and all previous continuation certificates shall not be cumulative and shall in no event exceed the amount specifically set forth in said bond or any existing certificate changing the amount of said bond.

Signed, sealed and dated: August 31, 2023.

Surety: PLATTE RIVER INSURANCE COMPANY

By: 
Vickie Lacy, Attorney-in-Fact

PLATTE RIVER INSURANCE COMPANY
POWER OF ATTORNEY

Bond Number

KNOW ALL MEN BY THESE PRESENTS, That the PLATTE RIVER INSURANCE COMPANY, a corporation of the State of Nebraska, having its principal offices in the City of Middleton, Wisconsin, does make, constitute and appoint

JOSEPH R. AULBERT; MARC W. BOOTS; RICHARD COVINGTON; VICKIE LACY; MARIA D. ZUNIGA
RYAN VARELA; ASHLEY KOLETAR

its true and lawful Attorney(s)-in-fact, to make, execute, seal and deliver for and on its behalf, as surety, and as its act and deed, any and all bonds, undertakings and contracts of suretyship, provided that no bond or undertaking or contract of suretyship executed under this authority shall exceed in amount the sum of

ALL WRITTEN INSTRUMENTS IN AN AMOUNT NOT TO EXCEED: \$20,000,000.00

This Power of Attorney is granted and is signed and sealed by facsimile under and by the authority of the following Resolution adopted by the Board of Directors of PLATTE RIVER INSURANCE COMPANY at a meeting duly called and held on the 8th day of January, 2002.

"RESOLVED, that the President, Executive Vice President, Vice President, Secretary or Treasurer, acting individually or otherwise, be and they hereby are granted the power and authorization to appoint by a Power of Attorney for the purposes only of executing and attesting bonds and undertakings, and other writings obligatory in the nature thereof, one or more resident vice-presidents, assistant secretaries and attorney(s)-in-fact, each appointee to have the powers and duties usual to such offices to the business of this company; the signature of such officers and seal of the Company may be affixed to any such power of attorney or to any certificate relating thereto by facsimile, and any such power of attorney or certificate bearing such facsimile signatures or facsimile seal shall be valid and binding upon the Company, and any such power so executed and certified by facsimile signatures and facsimile seal shall be valid and binding upon the Company in the future with respect to any bond or undertaking or other writing obligatory in the nature thereof to which it is attached. Any such appointment may be revoked, for cause, or without cause, by any of said officers, at any time."

In connection with obligations in favor of the Florida Department of Transportation only, it is agreed that the power and authority hereby given to the Attorney-in-Fact includes any and all consents for the release of retained percentages and/or final estimates on engineering and construction contracts required by the State of Florida Department of Transportation. It is fully understood that consenting to the State of Florida Department of Transportation making payment of the final estimate to the Contractor and/or its assignee, shall not relieve this surety company of any of its obligations under its bond.

In connection with obligations in favor of the Kentucky Department of Highways only, it is agreed that the power and authority hereby given to the Attorney-in-Fact cannot be modified or revoked unless prior written personal notice of such intent has been given to the Commissioner - Department of Highways of the Commonwealth of Kentucky at least thirty (30) days prior to the modification or revocation.

IN WITNESS WHEREOF, the PLATTE RIVER INSURANCE COMPANY has caused these presents to be signed by its officer undersigned and its corporate seal to be hereto affixed duly attested, this 1st day of January, 2020.

Attest:

Ryan J. Byrnes
Ryan J. Byrnes
Senior Vice President,
Chief Financial Officer and Treasurer
Suzanne M. Broadbent
Suzanne M. Broadbent
Assistant Secretary



PLATTE RIVER INSURANCE COMPANY

John L. Sennott, Jr.
John L. Sennott, Jr.
Chief Executive Officer and President

STATE OF WISCONSIN } S.S.:
COUNTY OF DANE

On the 1st day of January, 2020 before me personally came John L. Sennott, Jr., to me known, who being by me duly sworn, did depose and say: that he resides in the County of Hartford, State of Connecticut; that he is Chief Executive Officer and President of PLATTE RIVER INSURANCE COMPANY, the corporation described in and which executed the above instrument; that he knows the seal of the said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said corporation and that he signed his name thereto by like order.



David J. Regele
David J. Regele
Notary Public, Dane Co., WI
My Commission Is Permanent

STATE OF WISCONSIN } S.S.:
COUNTY OF DANE

I, the undersigned, duly elected to the office stated below, now the incumbent in PLATTE RIVER INSURANCE COMPANY, a Nebraska Corporation, authorized to make this certificate, DO HEREBY CERTIFY that the foregoing attached Power of Attorney remains in full force and has not been revoked; and furthermore, that the Resolution of the Board of Directors, set forth in the Power of Attorney is now in force.

Signed and sealed at the City of Middleton, State of Wisconsin this 31st day of August, 2023



Andrew B. Diaz-Matos
Andrew B. Diaz-Matos
Senior Vice President, General Counsel and Secretary

RECEIVED

PLATTE RIVER INSURANCE COMPANY

2023 SEP -6 AM 10: 52

CONTINUATION CERTIFICATE

FLORIDA GAMING
CONTROL COMMISSION

PLATTE RIVER INSURANCE COMPANY (hereinafter called the Surety), hereby continues in force its **Surety**

Bond for Florida Pari-Mutuel Wagering bond numbered [REDACTED] on behalf of **Casino Miami, LLC**

(Principal) in favor of **State of Florida** , (Obligee) in the amount of **Fifty-Thousand Dollars and 00/100**

(\$50,000.00) for the extended period, beginning on the **31st** day of **August 2023** and ending on the **31st** day of

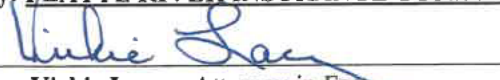
August 2024 , subject to the terms, conditions and limitations of said bond.

This continuation certificate is executed upon the express condition that the Surety's liability under said bond, together with this and all previous continuation certificates shall not be cumulative and shall in no event exceed the amount specifically set forth in said bond or any existing certificate changing the amount of said bond.

Signed, sealed and dated: **August 31, 2023.**

Surety: **PLATTE RIVER INSURANCE COMPANY**

By:


Vickie Lacy, Attorney-in-Fact

PLATTE RIVER INSURANCE COMPANY
POWER OF ATTORNEY

Bond Number

KNOW ALL MEN BY THESE PRESENTS, That the PLATTE RIVER INSURANCE COMPANY, a corporation of the State of Nebraska, having its principal offices in the City of Middleton, Wisconsin, does make, constitute and appoint

JOSEPH R. AULBERT; MARC W. BOOTS; RICHARD COVINGTON; VICKIE LACY; MARIA D. ZUNIGA
RYAN VARELA; ASHLEY KOLETAR

its true and lawful Attorney(s)-in-fact, to make, execute, seal and deliver for and on its behalf, as surety, and as its act and deed, any and all bonds, undertakings and contracts of suretyship, provided that no bond or undertaking or contract of suretyship executed under this authority shall exceed in amount the sum of

ALL WRITTEN INSTRUMENTS IN AN AMOUNT NOT TO EXCEED: \$20,000,000.00

This Power of Attorney is granted and is signed and sealed by facsimile under and by the authority of the following Resolution adopted by the Board of Directors of PLATTE RIVER INSURANCE COMPANY at a meeting duly called and held on the 8th day of January, 2002.

"RESOLVED, that the President, Executive Vice President, Vice President, Secretary or Treasurer, acting individually or otherwise, be and they hereby are granted the power and authorization to appoint by a Power of Attorney for the purposes only of executing and attesting bonds and undertakings, and other writings obligatory in the nature thereof, one or more resident vice-presidents, assistant secretaries and attorney(s)-in-fact, each appointee to have the powers and duties usual to such offices to the business of this company; the signature of such officers and seal of the Company may be affixed to any such power of attorney or to any certificate relating thereto by facsimile, and any such power of attorney or certificate bearing such facsimile signatures or facsimile seal shall be valid and binding upon the Company, and any such power so executed and certified by facsimile signatures and facsimile seal shall be valid and binding upon the Company in the future with respect to any bond or undertaking or other writing obligatory in the nature thereof to which it is attached. Any such appointment may be revoked, for cause, or without cause, by any of said officers, at any time."

In connection with obligations in favor of the Florida Department of Transportation only, it is agreed that the power and authority hereby given to the Attorney-in-Fact includes any and all consents for the release of retained percentages and/or final estimates on engineering and construction contracts required by the State of Florida Department of Transportation. It is fully understood that consenting to the State of Florida Department of Transportation making payment of the final estimate to the Contractor and/or its assignee, shall not relieve this surety company of any of its obligations under its bond.

In connection with obligations in favor of the Kentucky Department of Highways only, it is agreed that the power and authority hereby given to the Attorney-in-Fact cannot be modified or revoked unless prior written personal notice of such intent has been given to the Commissioner - Department of Highways of the Commonwealth of Kentucky at least thirty (30) days prior to the modification or revocation.

IN WITNESS WHEREOF, the PLATTE RIVER INSURANCE COMPANY has caused these presents to be signed by its officer undersigned and its corporate seal to be hereto affixed duly attested, this 1st day of January, 2020.

Attest:

Ryan J. Byrnes
Ryan J. Byrnes
Senior Vice President,
Chief Financial Officer and Treasurer
Suzanne M. Broadbent
Suzanne M. Broadbent
Assistant Secretary



PLATTE RIVER INSURANCE COMPANY

John L. Sennott, Jr.
John L. Sennott, Jr.
Chief Executive Officer and President

STATE OF WISCONSIN }
COUNTY OF DANE } S.S.:

On the 1st day of January, 2020 before me personally came John L. Sennott, Jr., to me known, who being by me duly sworn, did depose and say: that he resides in the County of Hartford, State of Connecticut; that he is Chief Executive Officer and President of PLATTE RIVER INSURANCE COMPANY, the corporation described in and which executed the above instrument; that he knows the seal of the said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said corporation and that he signed his name thereto by like order.



David J. Regele
David J. Regele
Notary Public, Dane Co., WI
My Commission Is Permanent

STATE OF WISCONSIN }
COUNTY OF DANE } S.S.:

I, the undersigned, duly elected to the office stated below, now the incumbent in PLATTE RIVER INSURANCE COMPANY, a Nebraska Corporation, authorized to make this certificate, DO HEREBY CERTIFY that the foregoing attached Power of Attorney remains in full force and has not been revoked; and furthermore, that the Resolution of the Board of Directors, set forth in the Power of Attorney is now in force.

Signed and sealed at the City of Middleton, State of Wisconsin this 31st day of August, 2023



Andrew B. Diaz-Matos
Andrew B. Diaz-Matos
Senior Vice President, General Counsel and Secretary

La'Kesha Jelks

From: Jamie Pouncey
Sent: Tuesday, August 22, 2023 3:56 PM
To: La'Kesha Jelks
Subject: Fwd: Casino Miami 2023-2024 Slot Application Renewal
Attachments: Slot Machine Business Licenses - updated 8-30-2022.xlsx; 41301082 - Continuation Certificate 2023-2024.pdf; 2023-2024 Renewal Forms 3190-3405-3195.pdf; Annual Ownership Interest Form 2023-2024.pdf

Categories: Slots

Get [Outlook for iOS](#)

From: Beatriz Perez <bperez@playcasinomiami.com>
Sent: Tuesday, August 22, 2023 3:53:41 PM
To: Jamie Pouncey <Jamie.Pouncey@flgaming.gov>; Tracy Swain <Tracy.Swain@flgaming.gov>
Cc: Daniel Licciardi <dlicciardi@playcasinomiami.com>
Subject: Casino Miami 2023-2024 Slot Application Renewal

Good Afternoon Ms. Pouncey and Ms. Swain,

Attached please find the Casino Miami 2023-2024 Slot Applications #3405, 3195, 3190 and supporting documents. A Fed EX package with the originals is following.
Please advise if you need any additional information. Thank you.

Respectfully,

Beatriz Perez
Vice President of Human Resources & State Compliance
E: bperez@playcasinomiami.com
P: (305) 633-6400 ext. 2012
F: (305) 638-8077



This e-mail and any files transmitted with it are confidential and intended solely for the use of the individual or entity to whom they are addressed. If you are not the intended recipient, please e-mail the sender immediately by replying to this message and delete the material from any computer. This e-mail is attributed to the sender and may not necessarily reflect the view of Casino Miami, any subsidiary, parent or affiliate.

DBPR PMW-3405 – Permitholder Renewal Application for Annual Slot Machine License



**STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com**

INSTRUCTIONS		
This form is to be submitted in conjunction with Form DBPR PMW-3480, Authorization for Release of Information, and Form DBPR PMW-3190, Officers and Directors. All three forms must be submitted to the division one month prior to the expiration of the current slot machine license.		
ORGANIZATION INFORMATION		
Federal Employer ID Number: [REDACTED]		
Permitholder's Legal Name: Casino Miami, LLC		
Doing Business As (D/B/A) Name: Casino Miami		
MAILING ADDRESS		
Street Address or P.O. Box: 3500 NW 37th Avenue		
City: Miami	State: FL	Zip Code: 33142
County: Miami-Dade	Country: USA	
CONTACT INFORMATION		
Contact Name: Daniel J. Licciardi		Title: VP & GM
Primary Phone Number: 305 633-6400	Primary E-Mail Address: dlicciardi@playcasinomiami.com	
PHYSICAL ADDRESS		
Street Address: 3500 NW 37th Avenue		
City: Miami	State: FL	Zip Code (+4 optional) 33142
County: Miami-Dade		
ADDITIONAL CONTACT INFORMATION		
Alternate Phone Number: [REDACTED]	Fax Number: 305 638-8077	
Alternate E-Mail Address: [REDACTED]		
SLOT MACHINE AREA INFORMATION		
Short Description of Physical Location of Slot Machine Area(s): -68,000 Sq Ft located in the noerthwest area		
of the building		
What is the number of slot machines you intend to operate during the license period?		1,000-1,100
Name and license number of Slot Machine Operations Manager or Slot Machine Management Company: Neal Elliott #8333787		
Name and address of the Custodian of Records in Florida: Daniel J. Licciardi address above		



**STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com**

PERSONAL INFORMATION					
Social Security Number/Federal Employer ID Number					
IF INDIVIDUAL APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION					
Last Name	First	Middle	Title	Suffix	
Birth Date (MM/DD/YYYY)					
IF BUSINESS APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION					
Representative's Name	Last Ruffin	First Phillip	Middle Gene	Title	Suffix
Permitholder Name Casino Miami, LLC					
Official Capacity Owner					
ATTEST STATEMENT					
<p>I, <u>Phillip G. Ruffin</u>, do hereby instruct all law enforcement (name of applicant/representative) or criminal justice agencies, present and former employers or institutions with whom I or my businesses have a present or past business relationship, as well as all present or past social associates to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Department of Business and Professional Regulation.</p> <p>I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Additionally, I do release such individuals or entities from any and all liability due to the release of information requested.</p> <p>Phillip G. Ruffin (if individual applicant - legal name and any nickname or alias in parentheses)</p> <p>Applicant/Representative Signature: <u><i>[Signature]</i></u> Date: <u>8/18/2023</u></p>					
NOTARIZATION					
The foregoing application was sworn to and subscribed before me this <u>18th</u> Day of <u>August</u> , 20 <u>23</u> by <u>Phillip Ruffin</u> <u><i>[Signature]</i></u> Type or print name of applicant Signature of applicant					
who is personally known to me or who has produced the following as identification.					
<u>DRIVER LICENSE</u> Type of Identification					
<u><i>[Signature]</i></u> Signature of person taking acknowledgment Notary Seal (Rubber Stamp and Expiration)					

License

Fed Tax # [REDACTED]	Lic Type 1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo	Expires On 06/30/2025
File # 922	Name ELLIOTT, NEAL JEFFREY	Extended To
License # 8333787	Rank SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo	Renewed On 04/08/2022
Entity # 8333787	Lic Status Current	

Address

Street # 3347	Street LAKESIDE DR		
Line 2			
Line 3			
City DAVIE	State FL	Zip 33328	
	Routing		

List the dates and hours of slot machine operations:

24 hrs. daily

Does the applicant have any ownership interest in another Florida permit? If yes, disclose the name of the permit: _____	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Does the applicant have any ownership interest in a slot machine testing laboratory company? If yes, disclose the name of the testing laboratory: _____	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Does the applicant have any ownership interest in a slot machine manufacturer and/or distributor? If yes, disclose the name of the slot machine manufacturer/distributor: _____	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Has any other jurisdiction taken action regarding the applicant's gaming license? If yes, provide the name of the jurisdiction, the reason for the action, and the action taken: _____	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Additional requirements to be submitted to the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, Office of Operations, 1940 North Monroe Street, Tallahassee, Florida 32399-1037:

- 1) Submission of a list of all ownership interests of five percent or greater. If a corporation, the name of the state in which incorporated and the names and addresses of the officers, directors, and shareholders holding five percent or more equity or, if a business entity other than a corporation, the names and addresses of the principals, partners, shareholders or any other person holding five percent or more equity as described in Rule 61D-14.002(1)(d), (e) and (f), Florida Administrative Code (F.A.C.), on Form DBPR PMW-3190.
- 2) Submission of a copy of the binding written agreements between the facility and respective associations as required by Section 551.104(10), Florida Statutes.
- 3) Evidence of a current surety bond in the amount of \$2,000,000, payable to the Governor of the State of Florida, as specified in Section 551.103(1)(f), Florida Statutes.
- 4) Submission of a list of slot machine business occupational licensees (i.e., vendors, manufacturers, distributors, service companies, etc.) with whom you intend to do business.
- 5) Disclosure of any administrative, civil or criminal proceedings, and/or any judgments initiated by any governmental agency or any other state or federal agency that would affect the license status of the applicant or any affiliate of the applicant pursuant to Sections 550.054 and 550.1815, Florida Statutes.

Additional requirements to be submitted to the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, Office of Slot Operations, North Broward Regional Service Center, 1400 West Commercial Blvd., Suite 165, Ft. Lauderdale, Florida 33309:

- 1) Submission of the slot machine applicant's employee training plan for the Compulsive or Addictive Gambling Prevention Program.
- 2) A copy of the facility's written policy for creating opportunities to purchase from minority vendors in Florida, creating employment opportunities for minority residents in Florida, opportunities for construction services provided by minority contractors, and ensuring opportunities for employment are offered on an equal, nondiscriminatory basis.
- 3) A copy of the licensee's current internal controls.
- 4) A copy of the licensee's current Surveillance Plan and Security Plan.
- 5) A current version of the licensee's Floor Plan as described in Rule 61D-14.050, F.A.C.

ATTEST STATEMENT

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as if made under oath. To the best of my knowledge, all information contained on this application is true and correct. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida, pursuant to Section 551.107, Florida Statutes.


Signature of Applicant or Applicant's Representative

8/18/2023
Date



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
 www.myfloridalicense.com

PERSONAL INFORMATION					
Social Security Number/Federal Employer ID Number					
IF INDIVIDUAL APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION					
Last Name	First	Middle	Title	Suffix	
Birth Date (MM/DD/YYYY)					
IF BUSINESS APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION					
Representative's Name	Last	First	Middle	Title	Suffix
	Ruffin	Phillip	Gene		
Permitholder Name					
Casino Miami, LLC					
Official Capacity					
Owner					
ATTEST STATEMENT					
I, <u>Phillip G. Ruffin</u> , do hereby instruct all law enforcement					
(name of applicant/representative)					
or criminal justice agencies, present and former employers or institutions with whom I or my businesses have a present or past business relationship, as well as all present or past social associates to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Department of Business and Professional Regulation.					
I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Additionally, I do release such individuals or entities from any and all liability due to the release of information requested.					
Phillip G. Ruffin					
(if individual applicant - legal name and any nickname or alias in parentheses)					
Applicant/Representative Signature: <u></u>				Date: <u>8/18/2023</u>	
NOTARIZATION					
The foregoing application was sworn to and subscribed before me this <u>18th</u> Day of <u>August</u> , 20 <u>2023</u>					
by <u>Phillip Ruffin</u>		<u></u>			
Type or print name of applicant		Signature of applicant			
who is personally known to me or who has produced the following as identification.					
<u>DRIVER LICENSE</u>					
Type of identification					
<u></u>					
Signature of person taking acknowledgment Notary Seal (Rubber Stamp and Expiration)					



**STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING**
www.myfloridalicense.com

Please provide information on the partners, managers, officers, or directors for your business entity below.

ORGANIZATION NAME	
Name of Organization Casino Miami, LLC	Permit # 273
D/B/A or Trade Name	

LIMITED LIABILITY CORPORATION QUESTIONS
If your corporation is a limited liability corporation (LLC), is the corporation member managed or manager managed? You can check your Articles of Incorporation for this information. Member Managed <input type="checkbox"/> Manager Managed <input checked="" type="checkbox"/>

Please list below all Officers, Directors, Managers, and/or Shareholders with 5 percent or more interest in the business:

Attach additional sheets as necessary.

MANAGEMENT INFORMATION				
Last Name Ruffin	First Phillip	Middle Gene	Title	Suffix
Office Held Owner	License # 11847428	Percentage of Ownership 100%		
RESIDENCE ADDRESS				
Street Address or P.O. Box 3300 Las Vegas Blvd., South				
City Las Vegas		State NV	Zip Code (+4 optional) 89109	
County (if Florida address)		Country USA		

MANAGEMENT INFORMATION				
Last Name Liccardi	First Daniel	Middle Joseph	Title	Suffix
Office Held VP & GM	License # 79835	Percentage of Ownership 0.00		
RESIDENCE ADDRESS				
Street Address or P.O. Box 1915 Westward Drive				
City Miami Springs		State FL	Zip Code (+4 optional) 33166	
County (if Florida address) Miami-Dade		Country USA		

License

Fed Tax # [REDACTED]	Lic Type 1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo	Expires On 06/30/2024
File # 6902	Name RUFFIN, PHILLIP	Extended To
License # 11847428	Rank SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo	Renewed On 05/21/2021
Entity # 11847428	Lic Status Current	

Address

Street # 3300	Street LAS VEGAS BLVD S		
Line 2			
Line 3			
City LAS VEGAS	State NV	Zip 89109	
	Routing		

License

Fed Tax # [REDACTED]	Lic Type 1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo	Expires On 06/30/2024
File # 2300	Name LICCIARDI, DANIEL JOSEPH	Extended To
License # 79835	Rank SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo	Renewed On 04/09/2021
Entity # 79835	Lic Status Current	



Address

Street # 1915	Street WESTWARD DRIVE		
Line 2			
Line 3			
City MIAMI SPRINGS	State FL	Zip 33166	
	Routing		

MANAGEMENT INFORMATION				
Last Name	First	Middle	Title	Suffix
Office Held		License #	Percentage of Ownership	
RESIDENCE ADDRESS				
Street Address or P.O. Box				
City			State	Zip Code (+4 optional)
County (if Florida address)			Country	

MANAGEMENT INFORMATION				
Last Name	First	Middle	Title	Suffix
Office Held		License #	Percentage of Ownership	
RESIDENCE ADDRESS				
Street Address or P.O. Box				
City			State	Zip Code (+4 optional)
County (if Florida address)			Country	

MANAGEMENT INFORMATION				
Last Name	First	Middle	Title	Suffix
Office Held		License #	Percentage of Ownership	
RESIDENCE ADDRESS				
Street Address or P.O. Box				
City			State	Zip Code (+4 optional)
County (if Florida address)			Country	

OATH			
I swear or affirm that the information provided in this application is true and complete. I understand that knowingly providing false information on this application could subject the applicant to criminal penalties relating to perjury or other offenses.			
Phillip Ruffin	Owner		8/18/2023
Name (Please Print)	Title (Please Print)	Signature	Date
NEVADA			
State of Florida,			
County of <u>CLARK</u>			
Sworn to (or affirmed) and subscribed before me this <u>18th</u> day of <u>August</u> , 20 <u>23</u> .			
Phillip Ruffin, who is personally known to me or produced the following as identification:			
<u>DRIVER LICENSE</u>			
<u>Alicia Capanna</u>		ALICIA CAPANNA	
Notary Public		Notary Public, State of Nevada	
My Commission Expires: <u>09/02/2024</u>		APPT. NO. 23-0840-01	
		My Appt. Expires 09-02-2024	



**STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING**
www.myfloridailicense.com

Please provide information on the partners, managers, officers, or directors for your business entity below.

ORGANIZATION NAME	
Name of Organization Casino Miami, LLC	Permit # 273
D/B/A or Trade Name	

LIMITED LIABILITY CORPORATION QUESTIONS
If your corporation is a limited liability corporation (LLC), is the corporation member managed or manager managed? You can check your Articles of Incorporation for this information. Member Managed <input type="checkbox"/> Manager Managed <input checked="" type="checkbox"/>

Please list below all Officers, Directors, Managers, and/or Shareholders with 5 percent or more interest in the business:

Attach additional sheets as necessary.

MANAGEMENT INFORMATION				
Last Name	First	Middle	Title	Suffix
Ruffin	Phillip	Gene		
Office Held Owner	License # 11847428	Percentage of Ownership 100%		
RESIDENCE ADDRESS				
Street Address or P.O. Box 3300 Las Vegas Blvd., South				
City Las Vegas		State NV	Zip Code (+4 optional) 89109	
County (if Florida address)		Country USA		

MANAGEMENT INFORMATION				
Last Name	First	Middle	Title	Suffix
Licciardi	Daniel	Joseph		
Office Held VP & GM	License # 79835	Percentage of Ownership 0.00		
RESIDENCE ADDRESS				
Street Address or P.O. Box 1915 Westward Drive				
City Miami Springs		State FL	Zip Code (+4 optional) 33166	
County (if Florida address) Miami-Dade		Country USA		

75-4.005 Annual Notification of Permitholder Ownership Interest



**STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING**

Instructions: This is an OPTIONAL form created for the convenience of pari-mutuel permitholders to aid in the submission of the information required by Rule 75-4.005, Florida Administrative Code, between July 1 and July 31 of each state fiscal year. Please review this form thoroughly and complete all sections that pertain to you and are not marked optional. Print clearly in black or blue ink.

PERMITHOLDER INFORMATION			
Permitholder Name Casino Miami, LLC		Permit # 273	
Doing Business As (D/B/A) Name (if applicable)			
Select all that apply: <input type="checkbox"/> Sole Proprietorship <input checked="" type="checkbox"/> Limited Liability Company <input type="checkbox"/> Partnership <input type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Other			
Current Mailing Address 3500 NW 37 th Avenue		Email Address (optional) dicciardi@playcasinomiami.com	
City Miami	State FL	Zip Code (+4 optional) 33142	Country, if other than USA
Primary Phone Number 305-633-6400		Secondary/Cell Phone Number (optional) [REDACTED]	
Current Street Address 3500 NW 37 th Avenue			
City Miami	State FL	Zip Code (+4 optional) 33142	Country, if other than USA
Contact Person Name and Title Daniel J. Licciardi, General Manager			
Contact Person Primary Phone Number 305-633-6900	Contact Person Fax Number 305-638-8077	Contact Person Primary Email Address dicciardi@playcasinomiami.com	
Fiscal Year			
Previous Fiscal Year July 1, 2022 through June 30, 2023		Current Fiscal Year July 1, 2023 through June 30, 2024	
ALL PERMITHOLDERS must provide a ledger that reflects transfers of five (5) percent or more of the stock or equity of any principals, partners, or shareholders for all times during the PREVIOUS fiscal year. (ATTACH ADDITIONAL PAGES AS NEEDED)			
N/A – no transfers			

75-4.005 Annual Notification of Permitholder Ownership Interest



**STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING**

Instructions: This is an OPTIONAL form created for the convenience of pari-mutuel permitholders to aid in the submission of the information required by Rule 75-4.005, Florida Administrative Code, between July 1 and July 31 of each state fiscal year. Please review this form thoroughly and complete all sections that pertain to you and are not marked optional. Print clearly in black or blue ink.

PERMITHOLDER INFORMATION			
Permitholder Name Casino Miami, LLC		Permit # 273	[REDACTED]
Doing Business As (D/B/A) Name (if applicable)			
Select all that apply: <input type="checkbox"/> Sole Proprietorship <input checked="" type="checkbox"/> Limited Liability Company <input type="checkbox"/> Partnership <input type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Other			
Current Mailing Address 3500 NW 37 th Avenue		Email Address (optional) dicciardi@playcasinomiami.com	
City Miami	State FL	Zip Code (+4 optional) 33142	Country, if other than USA
Primary Phone Number 305-633-6400		Secondary/Cell Phone Number (optional) [REDACTED]	
Current Street Address 3500 NW 37 th Avenue			
City Miami	State FL	Zip Code (+4 optional) 33142	Country, if other than USA
Contact Person Name and Title Daniel J. Licciardi, General Manager			
Contact Person Primary Phone Number 305-633-6900	Contact Person Fax Number 305-638-8077	Contact Person Primary Email Address dicciardi@playcasinomiami.com	
Fiscal Year			
Previous Fiscal Year July 1, 2022 through June 30, 2023		Current Fiscal Year July 1, 2023 through June 30, 2024	
<p align="center">ALL PERMITHOLDERS must provide a ledger that reflects transfers of five (5) percent or more of the stock or equity of any principals, partners, or shareholders for all times during the PREVIOUS fiscal year. (ATTACH ADDITIONAL PAGES AS NEEDED)</p>			
N/A – no transfers			

If a CORPORATION, provide the following information for all shareholders holding five (5) percent or more equity on July 1st of the PREVIOUS fiscal year.
(ATTACH ADDITIONAL PAGES AS NEEDED)

NAME	ADDRESS	NUMBER OF SHARES

If a CORPORATION, provide the following information for all shareholders holding five (5) percent or more equity on June 30th of the PREVIOUS fiscal year.
(ATTACH ADDITIONAL PAGES AS NEEDED)

NAME	ADDRESS	NUMBER OF SHARES

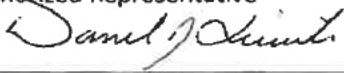
If a BUSINESS ENTITY other than a corporation, provide the following information for all principals, partners, or shareholders holding five (5) percent or more equity on July 1st of the PREVIOUS fiscal year.
(ATTACH ADDITIONAL PAGES AS NEEDED)

NAME	ADDRESS	AMOUNT OF OWNERSHIP EQUITY
See attached Schedule A		

If a BUSINESS ENTITY other than a corporation, provide the following information for all principals, partners, or shareholders holding five (5) percent or more equity on June 30th of the PREVIOUS fiscal year.
(ATTACH ADDITIONAL PAGES AS NEEDED)

NAME	ADDRESS	AMOUNT OF OWNERSHIP EQUITY
See attached Schedule B		

SIGNATURE

Signature of Authorized Representative

 Daniel J Licciardi
 Print Authorized Representative Name

 83-1331668
 Federal Employer ID Number; or
 Social Security Number (Sole Proprietors Only)

Date (MM/DD/YYYY) 07/26/2023
 Vice President and General Manager _____
 Print Title

 Casino Miami, LLC
 Print Name of Permitholder

Please mail your completed form and any additional documentation to:
Florida Gaming Control Commission
Pari-Mutuel Wagering; Auditing Section
2601 Blair Stone Road, Tallahassee, Florida 32399-1037
Phone: 850.794.8086

Schedule A

<u>Name</u>	<u>Address</u>	<u>Amount of Ownership Equity</u>
Ruffin Acquisition II, LLC	3300 Las Vegas Blvd., South Las Vegas, NV 89109	100% - Directly
Phillip G. Ruffin Florida Gaming Trust	3300 Las Vegas Blvd., South Las Vegas, NV 89109	100% - Indirectly
Phillip G. Ruffin	7030 Tomiyasu Lane Las Vegas, NV 89120	100% - Indirectly

Schedule B

<u>Name</u>	<u>Address</u>	<u>Amount of Ownership Equity</u>
Ruffin Acquisition II, LLC	3300 Las Vegas Blvd., South Las Vegas, NV 89109	100% - Directly
Phillip G. Ruffin Florida Gaming Trust	3300 Las Vegas Blvd., South Las Vegas, NV 89109	100% - Indirectly
Phillip G. Ruffin	7030 Tomiyasu Lane Las Vegas, NV 89120	100% - Indirectly

**FLOW OF OWNERSHIP
TRANSFER TO TRUST**

Phillip G. Ruffin Florida Gaming Trust
(A Revocable Grantor Trust)

Formed on: November 8, 2021

Phillip G Ruffin Trustee
Business Address:
Treasure Island Hotel & Casino
3300 Las Vegas Blvd. South



100%

Ruffin Acquisition II, LLC, a Delaware Limited Liability Company

Formed on: July 16, 2018

EIN: [REDACTED]

Address:

850 New Burton Road, Suite 201
Dover, DE 19904



100%

Casino Miami, LLC a Delaware Limited Liability Company

Formed on: July 16, 2018

EIN: [REDACTED]

Address:

850 New Burton Road, Suite 201
Dover, DE 19904

Platte River Insurance Company

Mailing Address: P.O. Box 5900, Madison, WI 53705-0900

July 20, 2023

CONTINUATION CERTIFICATE

State of Florida, DBPR
Director of the Division of Pari-Mutuel Wagering
1940 North Monroe Street
Tallahassee, FL 32399

Re:
Bond Number: ██████████
Bond Amount: \$2,000,000.00
Type of Bond: GAMING OR LOTTERY BOND

Platte River Insurance Company (hereinafter called the Company) in consideration of the payment of the premium of \$40,000.00 hereby continues in force its bond numbered ██████████ for Casino Miami, LLC 850 New Burton Road, Suite 201, Dover, DE 19904 for the extended period, beginning on the 31st day of August, 2023, and ending on the 31st day of August, 2024, subject to the terms, conditions and limitations of said bond.

This continuation certificate is executed upon the express conditions that the Company's liability under said bond, together with this and all previous continuation certificates shall not be cumulative and shall in no event exceed the amount specifically set forth in said bond or any existing certificate changing the amount of said bond.

Signed, sealed, and dated: July 20, 2023

Platte River Insurance Company

By: Timothy Steele
Timothy Steele Attorney-in-Fact



For inquiries, please contact:
CapSpecialty
PO Box 5900
Madison, WI 53705-0900
Phone 800-475-4450 Fax 678-528-6638

OBLIGEE COPY

Platte River Insurance Company

Mailing Address: P.O. Box 5900, Madison, WI 53705-0900

July 20, 2023

CONTINUATION CERTIFICATE

Casino Miami, LLC
850 New Burton Road, Suite 201
Dover, DE 19904

Re:
Bond Number: [REDACTED]
Bond Amount: \$2,000,000.00
Type of Bond: GAMING OR LOTTERY BOND
Obligee: State of Florida, DBPR Director of the Division of Pari-Mutuel Wagering

Platte River Insurance Company (hereinafter called the Company) in consideration of the payment of the premium of \$40,000.00 hereby continues in force its bond numbered [REDACTED] for Casino Miami, LLC 850 New Burton Road, Suite 201, Dover, DE 19904 for the extended period, beginning on the 31st day of August, 2023, and ending on the 31st day of August, 2024, subject to the terms, conditions and limitations of said bond.

This continuation certificate is executed upon the express conditions that the Company's liability under said bond, together with this and all previous continuation certificates shall not be cumulative and shall in no event exceed the amount specifically set forth in said bond or any existing certificate changing the amount of said bond.

Signed, sealed, and dated: July 20, 2023

Platte River Insurance Company

By: **PRODUCER/CUSTOMER COPY**
Attorney-in-Fact

Agent: 17302 Br. 18 Terr. 42
McGriff Insurance Services, Inc.
10100 Katy Freeway, Ste 400
Houston, TX 77043

Phone 713-877-8975

PRODUCER/CUSTOMER COPY

Continuation Certificate
Rev. 10/21

PLATTE RIVER INSURANCE COMPANY
POWER OF ATTORNEY

Bond Number

KNOW ALL MEN BY THESE PRESENTS, That the PLATTE RIVER INSURANCE COMPANY, a corporation of the State of Nebraska, having its principal offices in the City of Middleton, Wisconsin, does make, constitute and appoint

Timothy Steele
Name of Individual

its true and lawful Attorney(s)-in-fact, to make, execute, seal and deliver for and on its behalf, as surety, and as its act and deed, any and all bonds, undertakings and contracts of suretyship, provided that no bond or undertaking or contract of suretyship executed under this authority shall exceed in amount the sum of \$ 2,000,000.00 for [redacted] on behalf of Casino Miami LLC
Bond Amount Bond Number Principal

This Power of Attorney is granted and is signed and sealed by facsimile under and by the authority of the following Resolution adopted by the Board of Directors of PLATTE RIVER INSURANCE COMPANY at a meeting duly called and held on the 8th day of January, 2002.

"RESOLVED, that the President, Executive Vice President, Vice President, Secretary or Treasurer, acting individually or otherwise, be and they hereby are granted the power and authorization to appoint by a Power of Attorney for the purposes only of executing and attesting bonds and undertakings, and other writings obligatory in the nature thereof, one or more resident vice-presidents, assistant secretaries and attorney(s)-in-fact, each appointee to have the powers and duties usual to such offices to the business of this company; the signature of such officers and seal of the Company may be affixed to any such power of attorney or to any certificate relating thereto by facsimile, and any such power of attorney or certificate bearing such facsimile signatures or facsimile seal shall be valid and binding upon the Company, and any such power so executed and certified by facsimile signatures and facsimile seal shall be valid and binding upon the Company in the future with respect to any bond or undertaking or other writing obligatory in the nature thereof to which it is attached. Any such appointment may be revoked, for cause, or without cause, by any of said officers, at any time."

In connection with obligations in favor of the Florida Department of Transportation only, it is agreed that the power and authority hereby given to the Attorney-in-Fact includes any and all consents for the release of retained percentages and/or final estimates on engineering and construction contracts required by the State of Florida Department of Transportation. It is fully understood that consenting to the State of Florida Department of Transportation making payment of the final estimate to the Contractor and/or its assignee, shall not relieve this surety company of any of its obligations under its bond.

In connection with obligations in favor of the Kentucky Department of Highways only, it is agreed that the power and authority hereby given to the Attorney-in-fact cannot be modified or revoked unless prior written personal notice of such intent has been given to the Commissioner - Department of Highways of the Commonwealth of Kentucky at least thirty (30) days prior to the modification or revocation.

IN WITNESS WHEREOF, the PLATTE RIVER INSURANCE COMPANY has caused these presents to be signed by its officer undersigned and its corporate seal to be hereto affixed duly attested, this 1st day of September, 2022.

Attest:

[Signature]
Ryan J. Byrnes
Senior Vice President,
Chief Financial Officer and Treasurer
[Signature]
Todd Burrick
Chief Underwriting Officer



PLATTE RIVER INSURANCE COMPANY
[Signature]
Adam L. Sills
Chief Executive Officer and President

STATE OF WISCONSIN } S.S.:
COUNTY OF DANE

On the 1st day of September, 2022 before me personally came Adam L. Sills, to me known, who being by me duly sworn, did depose and say: that he resides in the County of New York, State of New York; that he is Chief Executive Officer and President of PLATTE RIVER INSURANCE COMPANY, the corporation described in and which executed the above instrument; that he knows the seal of the said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said corporation and that he signed his name thereto by like order.



[Signature]
David J. Regole
Notary Public, Dane Co., WI
My Commission Is Permanent

STATE OF WISCONSIN } S.S.:
COUNTY OF DANE

I, the undersigned, duly elected to the office stated below, now the incumbent in PLATTE RIVER INSURANCE COMPANY, a Nebraska Corporation, authorized to make this certificate, DO HEREBY CERTIFY that the foregoing attached Power of Attorney remains in full force and has not been revoked; and furthermore, that the Resolution of the Board of Directors, set forth in the Power of Attorney is now in force.

Signed and sealed at the City of Middleton, State of Wisconsin this 20th day of July, 2023



[Signature]
Suzanne M. Broadbent
Secretary

Responsible Surety Platte River Insurance Company

Bond # [REDACTED]

Date August 31, 2018



**SURETY BOND
FOR
FLORIDA SLOT MACHINE LICENSEE**

STATE OF Texas
COUNTY OF Harris

KNOW ALL MEN BY THESE PRESENTS: That Casino Miami, LLC as Principal, and Platte River Insurance Company a corporation incorporated under the laws of the State of Nebraska, licensed to transact surety business in the State of Florida, and with a principal business address at P.O. Box 5900, Madison, WI 53705-0900, as Surety, are hereby held and firmly bound unto the Governor of the State of Florida as obligee, and his or her successors in office in the sum of Two Million and 00/100 Dollars (\$2,000,000.00) lawful money of the United States of America, for which sum, well and truly to be paid, we hereby bind ourselves, our heirs, executors, administrators, successors and assigns jointly and severally.

This obligation is conditioned as follows:

1. The Principal has applied for and/or obtained a license to operate slot machine(s) in the State of Florida pursuant to Chapter 551, Florida Statutes, and Chapter 61D-14, Florida Administrative Code (F.A.C.). If approved, the Principal, pursuant to the provisions of Chapter 551, Florida Statutes and Chapter 61D-14, F.A.C., is required to:
 - (a) Remit payment of all license fees and taxes required by law.
 - (b) Faithfully performs all requirements imposed by law or regulation or the conditions of the license.
 - (c) Furnish a bond in an amount determined by the Florida Department of Business and Professional Regulation (DBPR) through a corporation qualified under the laws of the State of Florida as surety, payable to the State of Florida.

2. This bond must be established and held in trust for the benefit and protection of the State of Florida. In addition the bond may not be released, in whole or in part except to the Florida DBPR on written demand of the Director of the Division of Pari-Mutuel Wagering of the DBPR or by the Principal with the written instructions from the Director of the Division of Pari-Mutuel Wagering of the DBPR. The Principal may receive income, if any, accruing on the reserve unless the Director of the Division of Pari-Mutuel Wagering, of the DBPR instructs otherwise.
 - (a) The agreement establishing the bond is effective upon DBPR approval of the Principal for its slot machine license.
 - (b) Amendments to any agreement establishing the bond may not be made without the prior written approval of the DBPR.

Responsible Surety Platte River Insurance Company

Bond # [REDACTED]

Date August 31, 2018

3. If the Principal complies with all of the provisions of Chapter 551, Florida Statutes, and Chapter 61D-14, F.A.C., and in particular pay the license fees and taxes when due and demanded, then this obligation shall be null and void, but will otherwise remain in full force and effect.

4. Upon the Principal's failure to comply with Chapter 551, Florida Statutes, and Chapter 61D-14, F.A.C., including the Principal's failure to promptly pay all gaming fees and taxes when due and demanded, the Director of the Division of Pari-Mutuel Wagering of the DBPR may make demand upon the surety for the payment of the amount of the default to also include any fines or administrative penalties imposed as a result of a default by said Principal up to but not to exceed the amount of its liability as defined by this bond.

5. THIS BOND WILL EXPIRE 31st day of August, 2019, but may be continued by continuation certificate signed by the Principal and Surety. The Surety reserves the right to withdraw, except the Surety may not withdraw as to any liability already incurred or accrued hereunder, and may do so only upon giving written notice of the withdrawal to the Director of the Division of Pari-Mutuel Wagering, State of Florida, DBPR, 1940 North Monroe Street, Tallahassee, Florida 32399. Withdrawal shall not be effective until sixty (60) days have elapsed after acknowledgement of the notice by the DBPR.

6. Withdrawal shall not in any case affect the surety's liability arising out of any outstanding amount incurred prior to the expiration of the 60-day period after which DBPR has acknowledged the surety's notice of withdrawal.

WITNESS our hand and seal this 31st day of August, 2018.

PRINCIPAL Casino Miami, LLC

BY: _____ President

ATTEST: _____ Secretary (CORPORATE SEAL)

SURETY: Platte River Insurance Company

BY:  _____ Richard Covington
Attorney-in-Fact (Attach Power of Attorney or other Authority)

COUNTERSIGNED:  _____
Resident Agent-Licensed in Florida

PRINT: Laura D. Mosholder; Sanford, FL Lic # A185646
Name Registered Agent and Address

**PLATTE RIVER INSURANCE COMPANY
POWER OF ATTORNEY**

KNOW ALL MEN BY THESE PRESENTS, That the PLATTE RIVER INSURANCE COMPANY, a corporation of the State of Nebraska, having its principal offices in the City of Middleton, Wisconsin, does make, constitute and appoint

JOSEPH R. AULBERT; MARC W. BOOTS; RICHARD COVINGTON; VICKIE LACY; P.T. OSBURN; MARIA D. ZUNIGA

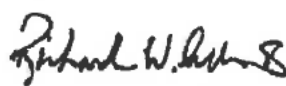
its true and lawful Attorney(s)-in-fact, to make, execute, seal and deliver for and on its behalf, as surety, and as its act and deed, any and all bonds, undertakings and contracts of suretyship, provided that no bond or undertaking or contract of suretyship executed under this authority shall exceed in amount the sum of

ALL WRITTEN INSTRUMENTS IN AN AMOUNT NOT TO EXCEED: \$20,000,000.00

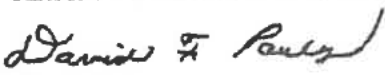
This Power of Attorney is granted and is signed and sealed by facsimile under and by the authority of the following Resolution adopted by the Board of Directors of PLATTE RIVER INSURANCE COMPANY at a meeting duly called and held on the 8th day of January, 2002.

"RESOLVED, that the President, and Vice-President, the Secretary or Treasurer, acting individually or otherwise, be and they hereby are granted the power and authorization to appoint by a Power of Attorney for the purposes only of executing and attesting bonds and undertakings and other writings obligatory in the nature thereof, one or more vice-presidents, assistant secretaries and attorney(s)-in-fact, each appointee to have the powers and duties usual to such offices to the business of the Corporation; the signature of such officers and the seal of the Corporation may be affixed to such power of attorney or to any certificate relating thereto by facsimile, and any such power of attorney or certificate bearing such facsimile signatures or facsimile seal shall be valid and binding upon the Corporation in the future with respect to any bond or undertaking or other writing obligatory in the nature thereof to which it is attached. Any such appointment may be revoked, for cause, or without cause, by any of said officers, at any time."

IN WITNESS WHEREOF, the PLATTE RIVER INSURANCE COMPANY has caused these presents to be signed by its officer undersigned and its corporate seal to be hereto affixed duly attested, this 2nd day of May, 2011.

Attest:

Richard W. Allen III
President
Surety & Fidelity Operations




PLATTE RIVER INSURANCE COMPANY

David F. Pauly
CEO & President

STATE OF WISCONSIN } s.s.
COUNTY OF DANE }

On the 2nd day of May, 2011 before me personally came David F. Pauly, to me known, who being by me duly sworn, did depose and say: that he resides in the County of Dane, State of Wisconsin; that he is President of PLATTE RIVER INSURANCE COMPANY, the corporation described herein and which executed the above instrument; that he knows the seal of the said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said corporation and that he signed his name thereto by like order.

NOTARY
PUBLIC
WISCONSIN
CERTIFICATE



Daniel W. Krueger
Notary Public, Dane Co., WI
My Commission Is Permanent

STATE OF WISCONSIN } s.s.
COUNTY OF DANE }

I, the undersigned, duly elected to the office stated below, now the incumbent in PLATTE RIVER INSURANCE COMPANY, a Nebraska Corporation, authorized to make this certificate, DO HEREBY CERTIFY that the foregoing attached Power of Attorney remains in full force and has not been revoked; and furthermore, that the Resolution of the Board of Directors, set forth in the Power of Attorney is now in force.

Signed and sealed at the City of Middleton, State of Wisconsin this 31st day of August, 2018




Alan S. Ogilvie
Secretary

THIS DOCUMENT IS NOT VALID UNLESS PRINTED ON GREEN SHADED BACKGROUND WITH A RED SERIAL NUMBER IN THE UPPER RIGHT HAND CORNER. IF YOU HAVE ANY QUESTIONS CONCERNING THE AUTHENTICITY OF THIS DOCUMENT CALL, 800-475-4450.

Platte River Insurance Company

Mailing Address: P.O. Box 5900, Madison, WI 53705-0900

July 20, 2023

CONTINUATION CERTIFICATE

State of Florida, DBPR
Director of the Division of Pari-Mutuel Wagering
1940 North Monroe Street
Tallahassee, FL 32399

Re:
Bond Number: [REDACTED]
Bond Amount: \$50,000.00
Type of Bond: GAMING OR LOTTERY BOND

Platte River Insurance Company (hereinafter called the Company) in consideration of the payment of the premium of \$1,000.00 hereby continues in force its bond numbered [REDACTED] for Casino Miami, LLC 850 New Burton Road, Suite 201, Dover, DE 19904 for the extended period, beginning on the 31st day of August, 2023, and ending on the 31st day of August, 2024, subject to the terms, conditions and limitations of said bond.

This continuation certificate is executed upon the express conditions that the Company's liability under said bond, together with this and all previous continuation certificates shall not be cumulative and shall in no event exceed the amount specifically set forth in said bond or any existing certificate changing the amount of said bond.

Signed, sealed, and dated: July 20, 2023

Platte River Insurance Company

By: Timothy Steele
Timothy Steele Attorney-in-Fact



For inquiries, please contact:
CapSpecialty
PO Box 5900
Madison, WI 53705-0900
Phone 800-475-4450 Fax 678-528-6638

OBLIGEE COPY

Platte River Insurance Company

Mailing Address: P.O. Box 5900, Madison, WI 53705-0900

July 20, 2023

CONTINUATION CERTIFICATE

Casino Miami, LLC
850 New Burton Road, Suite 201
Dover, DE 19904

Re:
Bond Number: [REDACTED]
Bond Amount: \$50,000.00
Type of Bond: GAMING OR LOTTERY BOND
Obligee: State of Florida, DBPR Director of the Division of Pari-Mutuel Wagering

Platte River Insurance Company (hereinafter called the Company) in consideration of the payment of the premium of \$1,000.00 hereby continues in force its bond numbered [REDACTED] for Casino Miami, LLC 850 New Burton Road, Suite 201, Dover, DE 19904 for the extended period, beginning on the 31st day of August, 2023, and ending on the 31st day of August, 2024, subject to the terms, conditions and limitations of said bond.

This continuation certificate is executed upon the express conditions that the Company's liability under said bond, together with this and all previous continuation certificates shall not be cumulative and shall in no event exceed the amount specifically set forth in said bond or any existing certificate changing the amount of said bond.

Signed, sealed, and dated: July 20, 2023

Platte River Insurance Company

By: **PRODUCER/CUSTOMER COPY**
Attorney-in-Fact

Agent: 17302 Br. 18 Terr. 42
McGriff Insurance Services, Inc.
10100 Katy Freeway, Ste 400
Houston, TX 77043

Phone 713-877-8975

PRODUCER/CUSTOMER COPY

PLATTE RIVER INSURANCE COMPANY
POWER OF ATTORNEY

[Redacted] Bond Number

KNOW ALL MEN BY THESE PRESENTS, That the PLATTE RIVER INSURANCE COMPANY, a corporation of the State of Nebraska, having its principal offices in the City of Middleton, Wisconsin, does make, constitute and appoint

Timothy Steele
Name of Individual

its true and lawful Attorney(s)-in-fact, to make, execute, seal and deliver for and on its behalf, as surety, and as its act and deed, any and all bonds, undertakings and contracts of suretyship, provided that no bond or undertaking or contract of suretyship executed under this authority shall exceed in amount the sum of \$2,000,000.00 for [Redacted] on behalf of Casino Miami, LLC
Bond Amount Bond Number Principal

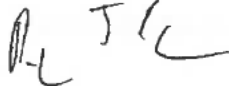

This Power of Attorney is granted and is signed and sealed by facsimile under and by the authority of the following Resolution adopted by the Board of Directors of PLATTE RIVER INSURANCE COMPANY at a meeting duly called and held on the 8th day of January, 2002.

"RESOLVED, that the President, Executive Vice President, Vice President, Secretary or Treasurer, acting individually or otherwise, be and they hereby are granted the power and authorization to appoint by a Power of Attorney for the purposes only of executing and attesting bonds and undertakings, and other writings obligatory in the nature thereof, one or more resident vice-presidents, assistant secretaries and attorney(s)-in-fact, each appointee to have the powers and duties usual to such offices to the business of this company; the signature of such officers and seal of the Company may be affixed to any such power of attorney or to any certificate relating thereto by facsimile, and any such power of attorney or certificate bearing such facsimile signatures or facsimile seal shall be valid and binding upon the Company, and any such power so executed and certified by facsimile signatures and facsimile seal shall be valid and binding upon the Company in the future with respect to any bond or undertaking or other writing obligatory in the nature thereof to which it is attached. Any such appointment may be revoked, for cause, or without cause, by any of said officers, at any time."

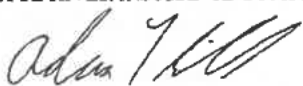
In connection with obligations in favor of the Florida Department of Transportation only, it is agreed that the power and authority hereby given to the Attorney-in-Fact includes any and all consents for the release of retained percentages and/or final estimates on engineering and construction contracts required by the State of Florida Department of Transportation. It is fully understood that consenting to the State of Florida Department of Transportation making payment of the final estimate to the Contractor and/or its assignee, shall not relieve this surety company of any of its obligations under its bond.

In connection with obligations in favor of the Kentucky Department of Highways only, it is agreed that the power and authority hereby given to the Attorney-in-Fact cannot be modified or revoked unless prior written personal notice of such intent has been given to the Commissioner - Department of Highways of the Commonwealth of Kentucky at least thirty (30) days prior to the modification or revocation.

IN WITNESS WHEREOF, the PLATTE RIVER INSURANCE COMPANY has caused these presents to be signed by its officer undersigned and its corporate seal to be hereto affixed duly attested, this 1st day of September, 2022.

Attest:

Ryan J. Byrnes
Senior Vice President,
Chief Financial Officer and Treasurer

Todd Burrick
Chief Underwriting Officer

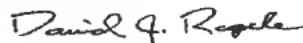


PLATTE RIVER INSURANCE COMPANY

Adam L. Sills
Chief Executive Officer and President

STATE OF WISCONSIN } S.S.:
COUNTY OF DANE

On the 1st day of September, 2022 before me personally came Adam L. Sills, to me known, who being by me duly sworn, did depose and say: that he resides in the County of New York, State of New York; that he is Chief Executive Officer and President of PLATTE RIVER INSURANCE COMPANY, the corporation described in and which executed the above instrument; that he knows the seal of the said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said corporation and that he signed his name thereto by like order.



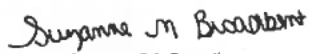

David J. Rogele
Notary Public, Dane Co., WI
My Commission Is Permanent

STATE OF WISCONSIN } S.S.:
COUNTY OF DANE

I, the undersigned, duly elected to the office stated below, now the incumbent in PLATTE RIVER INSURANCE COMPANY, a Nebraska Corporation, authorized to make this certificate, DO HEREBY CERTIFY that the foregoing attached Power of Attorney remains in full force and has not been revoked; and furthermore, that the Resolution of the Board of Directors, set forth in the Power of Attorney is now in force.

Signed and sealed at the City of Middleton, State of Wisconsin this 20th day of July, 2023




Suzanne M. Broadbent
Secretary

Responsible Surety Platte River Insurance Company
Bond #
Date August 31, 2018



SURETY BOND FOR FLORIDA PARI-MUTUEL WAGERING

STATE OF Texas

COUNTY OF Harris

KNOW ALL MEN BY THESE PRESENTS: That Casino Miami, LLC as
Principal, and Platte River Insurance Company a corporation
incorporated under the laws of the State of Nebraska, licensed to transact
surety business in the State of Florida, and with a principal business address at
P.O. Box 5900, Madison, WI 53705-0900

as Surety, are hereby held and firmly bound unto the Governor of the State of Florida as
obligee, and his or her successors in office in the sum of Fifty-Thousand Dollars
(\$50,000) lawful money of the United States of America, for which sum, well and truly to
be paid, we hereby bind ourselves, our heirs, executors, administrators, successors and
assigns jointly and severally.

This obligation is conditioned as follows:

1. The Principal has applied for and/or obtained a license to conduct Pari-Mutuel
Wagering in the State of Florida pursuant to Chapter 550, Florida Statutes and Chapters
61D-2 through 9, Florida Administrative Code (F.A.C.). If approved, the Principal, pursuant
to the provisions of Chapter 550, Florida Statutes, and Chapters 61D-2 through 9, F.A.C.,
is required to:

- (a) Remit payment of all license fees and taxes required by law.
- (b) Faithfully perform all requirements imposed by law or regulation or the conditions of the license.
- (c) Furnish a bond in the amount of fifty-thousand dollars (\$50,000) through a corporation qualified under the laws of the State of Florida as surety, payable to the State of Florida.

2. This bond must be established and held in trust for the benefit and protection of the
State of Florida. In addition the bond may not be released, in whole or in part except to
the Florida DBPR on written demand of the Director of the Division of Pari-Mutuel
Wagering of the DBPR or by the Principal with the written instructions from the Director
of the Division of Pari-Mutuel Wagering of the DBPR. The Principal may receive income,
if any, accruing on the reserve unless the Director of the Division of Pari-Mutuel
Wagering, of the DBPR instructs otherwise.

- (a) The agreement establishing the bond is effective upon DBPR approval of the Principal for its annual operating dates and/or cardroom operator license.

Responsible Surety

Platte River Insurance Company

Bond # _____

Date August 31, 2018

(b) Amendments to any agreement establishing the bond may not be made without the prior written approval of the DBPR.

3. If the Principal complies with all of the provisions of Chapter 550, Florida Statutes, and Chapters 61D-2 through 9, F.A.C., and in particular, pay the license fees and taxes when due and demanded, then this obligation shall be null and void, but will otherwise remain in full force and effect.

4. Upon the Principal's failure to comply with Chapter 550, Florida Statutes, and Chapters 61D-2 through 9, F.A.C., including but not limited to the Principal's failure to promptly pay all gaming fees and taxes when due and demanded, the Director of the Division of Pari-Mutuel Wagering of the DBPR may make demand upon the surety for the payment of the amount of the default to also include any fines or administrative penalties imposed as a result of a default by said Principal up to but not to exceed the amount of its liability as defined by this bond.

5. THIS BOND WILL EXPIRE on the 31st day of August, 2019, but may be continued by continuation certificate signed by the Principal and Surety. The Surety reserves the right to withdraw, except the Surety may not withdraw as to any liability already incurred or accrued hereunder, and may do so only upon giving written notice of the withdrawal to the Director of the Division of Pari-Mutuel Wagering, State of Florida, DBPR 1940 North Monroe Street, Tallahassee, Florida, 32399. Withdrawal shall not be effective until sixty (60) days have elapsed after acknowledgement of the notice by the DBPR.

6. Withdrawal shall not in any case affect the surety's liability arising out of any outstanding amount incurred prior to the expiration of the 60-day period after which DBPR has acknowledged the surety's notice of withdrawal.

WITNESS our hand and seal this 31st day of August, 2018.

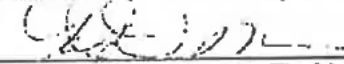
PRINCIPAL Casino Miami, LLC

BY: _____ **President**

ATTEST: _____ **Secretary (CORPORATE SEAL)**

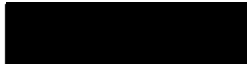
SURETY: Platte River Insurance Company

BY:  _____ **Richard Covington**
Attorney-in-Fact (Attach Power of Attorney or other Authority)

COUNTERSIGNED:  _____
Resident Agent-Licensed in Florida

PRINT: Laura D. Mosholder, Sanford, FL Lic# A185646
Name Registered Agent and Address

**PLATTE RIVER INSURANCE COMPANY
POWER OF ATTORNEY**



KNOW ALL MEN BY THESE PRESENTS, That the PLATTE RIVER INSURANCE COMPANY, a corporation of the State of Nebraska, having its principal offices in the City of Middleton, Wisconsin, does make, constitute and appoint

JOSEPH R. AULBERT; MARC W. BOOTS; RICHARD COVINGTON; VICKIE LACY; P.T. OSBURN; MARIA D. ZUNIGA

its true and lawful Attorney(s)-in-fact, to make, execute, seal and deliver for and on its behalf, as surety, and as its act and deed, any and all bonds, undertakings and contracts of suretyship, provided that no bond or undertaking or contract of suretyship executed under this authority shall exceed in amount the sum of

-----ALL WRITTEN INSTRUMENTS IN AN AMOUNT NOT TO EXCEED: \$20,000,000.00-----

This Power of Attorney is granted and is signed and sealed by facsimile under and by the authority of the following Resolution adopted by the Board of Directors of PLATTE RIVER INSURANCE COMPANY at a meeting duly called and held on the 8th day of January, 2002.

"RESOLVED, that the President, and Vice-President, the Secretary or Treasurer, acting individually or otherwise, be and they hereby are granted the power and authorization to appoint by a Power of Attorney for the purposes only of executing and attesting bonds and undertakings and other writings obligatory in the nature thereof, one or more vice-presidents, assistant secretaries and attorney(s)-in-fact, each appointee to have the powers and duties usual to such offices to the business of the Corporation; the signature of such officers and the seal of the Corporation may be affixed to such power of attorney or to any certificate relating thereto by facsimile, and any such power of attorney or certificate bearing such facsimile signatures or facsimile seal shall be valid and binding upon the Corporation in the future with respect to any bond or undertaking or other writing obligatory in the nature thereof to which it is attached. Any such appointment may be revoked, for cause, or without cause, by any of said officers, at any time."

IN WITNESS WHEREOF, the PLATTE RIVER INSURANCE COMPANY has caused these presents to be signed by its officer undersigned and its corporate seal to be hereto affixed duly attested, this 2nd day of May, 2011.

Attest:

Richard W. Allen III

Richard W. Allen III
President
Surety & Fidelity Operations



PLATTE RIVER INSURANCE COMPANY

David F. Pauly

David F. Pauly
CEO & President

STATE OF WISCONSIN } S.S.:
COUNTY OF DANE }

On the 2nd day of May, 2011 before me personally came David F. Pauly, to me known, who being by me duly sworn, did depose and say: that he resides in the County of Dane, State of Wisconsin; that he is President of PLATTE RIVER INSURANCE COMPANY, the corporation described herein and which executed the above instrument; that he knows the seal of the said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said corporation and that he signed his name thereto by like order.



Daniel W. Krueger

Daniel W. Krueger
Notary Public, Dane Co., WI
My Commission Is Permanent

STATE OF WISCONSIN } S.S.:
COUNTY OF DANE }

CERTIFICATE

I, the undersigned, duly elected to the office stated below, now the incumbent in PLATTE RIVER INSURANCE COMPANY, a Nebraska Corporation, authorized to make this certificate, DO HEREBY CERTIFY that the foregoing attached Power of Attorney remains in full force and has not been revoked; and furthermore, that the Resolution of the Board of Directors, set forth in the Power of Attorney is now in force.

Signed and sealed at the City of Middleton, State of Wisconsin this 31st day of August, 2018



Alan S. Ogilvie

Alan S. Ogilvie
Secretary

THIS DOCUMENT IS NOT VALID UNLESS PRINTED ON GREEN SHADED BACKGROUND WITH A RED SERIAL NUMBER IN THE UPPER RIGHT HAND CORNER. IF YOU HAVE ANY QUESTIONS CONCERNING THE AUTHENTICITY OF THIS DOCUMENT CALL, 800-475-4450.

PR-POA (5-11)

Slots Machine Business License

✓ AGS , LLC	5475 South Decatur Blvd. Suite 100	Las Vegas	NV	89118	✓ 8281949	6/30/2023
✓ Ainsworth Game Technology, INC	5800 Rafael Rivera Way	Las Vegas	NV	89118	✓ 8069830	6/30/2024
✓ Andren LLC	8362 Pines Blvd # 301	Pembroke Pines	FL	33024	✓ 10426209	6/30/2024
✓ Aristocrat Technologies, INC	10220 Aristocrat Way	Las Vegas	NV	89135	✓ 7830421	6/30/2024
✓ Bally Gaming, INC	6601 South Bermuda RC	Las Vegas	NV	89119-3605	✓ 7805891	6/30/2024
✓ Cummins-Allison Corp	852 Feehanville DR	Mt. Prospect	IL	60056	✓ 7934408	6/30/2025
✓ Gaming Laboratories International LLC	600 Airport Road	Lakewood	NJ	8701	✓ 8237912	6/30/2023
✓ Graphic Controls LLC	400 Exchange Street	Buffalo	NY	14204	✓ 7793413	6/30/2024
✓ IGT (INC)	6355 S. Buffalo Drive	Las Vegas	NV	89113-2133	✓ 7800936	6/30/2024
✓ KGM Gaming, LLC	4250 Wissahickon Aveni	Philadelphia	PA	19129	✓ 8915860	6/30/2023
✓ Konami Gaming, INC	585 Konami Circle	Las Vegas	NV	89119	✓ 7883140	6/30/2024
✓ Reel Games	1501 NE 13 Ave	Fort Lauderdale	FL	33304	✓ 7830710	6/30/2024
✓ Service Central INC.	3321 Louis Sherman Drive	Steger	IL	60475	✓ 8566232	6/30/2024
✓ Aruze Gaming America, INC.	955 Grier Drive Suite A	Las Vegas	NV	89119	✓ 8587586	6/30/2025
✓ NRT Technologies Services, INC.	744 Pilot Road	Las Vegas	NV	89118	✓ 10749126	6/30/2024
✓ Bluberi Gaming USA INC	2120 Rue Letendre Street	Drummondville	QC	J2C 7E9	✓ 11105033	6/30/2025
✓ Everi Games, INC.	7250 S Tenaya Way Suite 100	Las Vegas	NV	89113	✓ 9943621	6/30/2024
✓ Incredible Technologies Casino Games, INC	200 Corporate Woods Parkway	Vernon Hills	IL	60061	✓ 8801896	6/30/2024
✓ Hayes International Inc	7550 S.W. 42ND COURT	Davie	FL	33314	✓ 11018137	6/30/2024
✓ Sky Gaming LLC	1320 Stirling Road Suite 9B	Dania Beach	FL	33004	✓ 8966651	6/30/2023
✓ Interblock	1106 Palms Airport Drive	Las Vegas	NV	89119	✓ 8759471	6/30/2025
✓ Lighting Slot Machines LLC	23 Creek Circle Suite, 400	Boothwyn	PA		✓ 9823078	6/30/2024

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2026
File # 103	Name AGS, LLC	Extended To
License # 8281949	Rank SBUS - Slot Machine Bus Occupational License	Renewed On
Entity # 8281949	Lic Status Current	

Address		
Street # 6775	Street SOUTH EDMOND STREET SUITE 300	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89118
	Routing	

Other		
1st License Date 06/19/2023	Rank Date 06/19/2023	Certificate #
Method I-S-1020	Status Date 06/19/2023	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 84	Name AINSWORTH GAME TECHNOLOGY INC	Extended To
License # 8069830	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/25/2021
Entity # 8069830	Lic Status Current	

Address		
Street # 5800	Street RAFAEL RIVERA WAY	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89118
	Routing	

Other		
1st License Date 06/27/2018	Rank Date 06/25/2021	Certificate #
Method I-S-1017	Status Date 07/26/2018	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 202	Name ANDREN LLC	Extended To
License # 10426209	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 07/02/2021
Entity # 10426209	Lic Status Current	

Address		
Street # 8362	Street PINES BLVD #301	
Line 2		
Line 3		
City PEMBROKE PINES	State FL	Zip 33024
	Routing	

Other		
1st License Date 04/02/2014	Rank Date 07/02/2021	Certificate #
Method I-S-1020	Status Date 07/02/2021	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 13	Name ARISTOCRAT TECHNOLOGIES INC	Extended To
License # 7830421	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 05/07/2021
Entity # 7830421	Lic Status Current	

Address		
Street # 10220	Street ARISTOCRAT WAY	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89135
Routing		

Other		
1st License Date 07/01/2015	Rank Date 05/07/2021	Certificate #
Method I-S-1017	Status Date 07/13/2015	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 9	Name LNW GAMING INC	Extended To
License # 7805891	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/22/2012
Entity # 7805891	Lic Status Current	

Address		
Street # 6601	Street SOUTH BERMUDA ROAD	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89119
Routing		

Other		
1st License Date 06/28/2021	Rank Date 06/28/2021	Certificate #
Method I-S-1020	Status Date 06/28/2021	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent



Fictitious Name FEI/EIN Number List

Fictitious Name	Address	City	State	County	Status
BALLY TECHNOLOGIES	6601 SOUTH BERMUDA ROAD	LAS VEGAS	NV	BROWARD	E
SG GAMING	6601 SOUTH BERMUDA ROAD	LAS VEGAS	NV	MULTIPLE	E
SCIENTIFIC GAMES	6601 BERMUDA ROAD	LAS VEGAS	NV	BROWARD	A
SG	6601 BERMUDA ROAD	LAS VEGAS	NV	BROWARD	A
LIGHT & WONDER	6601 BERMUDA ROAD	LAS VEGAS	NV	MULTIPLE	A
L & W	6601 BERMUDA ROAD	LAS VEGAS	NV	MULTIPLE	A
LNW	6601 BERMUDA ROAD	LAS VEGAS	NV	MULTIPLE	A

License

Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2025
File # 58	Name CUMMINS-ALLISON CORP	Extended To
License # 7934408	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 07/22/2022
Entity # 7934408	Lic Status Current	

Address

Street # 852	Street FEEHANVILLE DR	
Line 2		
Line 3		
City MT PROSPECT	State IL	Zip 60090
	Routing	

Other

1st License Date 07/07/2010	Rank Date 07/22/2022	Certificate #
Method I-S-1020	Status Date 07/22/2022	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License

Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2026
File # 99	Name GAMING LABORATORIES INTERNATIONAL LLC	Extended To
License # 8237912	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 05/01/2023
Entity # 8237912	Lic Status Current	

Address

Street # 600	Street AIRPORT ROAD	
Line 2		
Line 3		
City LAKEWOOD	State NJ	Zip 08701
	Routing	

Other

1st License Date 06/28/2011	Rank Date 05/01/2023	Certificate #
Method I-S-1020	Status Date 03/19/2008	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License

Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 1	Name GRAPHIC CONTROLS ACQUISITION CORP	Extended To
License # 7793413	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/22/2021
Entity # 7793413	Lic Status Current	

Address

Street # 400	Street EXCHANGE STREET	
Line 2		
Line 3		
City BUFFALO	State NY	Zip 14204
	Routing	

Other

1st License Date 07/10/2018	Rank Date 06/22/2021	Certificate #
Method I-S-1017	Status Date 09/11/2018	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 7	Name IGT INC	Extended To
License # 7800936	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/02/2021
Entity # 7800936	Lic Status Current	

Address		
Street # 6355	Street S BUFFALO DRIVE	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89113
Routing		

Other		
1st License Date 06/29/2018	Rank Date 06/02/2021	Certificate #
Method I-S-1017	Status Date 08/01/2018	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2026
File # 152	Name KGM GAMING, LLC	Extended To
License # 8915860	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 07/19/2023
Entity # 8915860	Lic Status Current	

Address		
Street # 2944	Street SAMUEL DRIVE	
Line 2		
Line 3		
City BENSALEM	State PA	Zip 19020
Routing		

Other		
1st License Date 09/11/2013	Rank Date 07/19/2023	Certificate #
Method I-S-1020	Status Date 07/19/2023	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 30	Name KONAMI GAMING INC	Extended To
License # 7883140	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 05/30/2018
Entity # 7883140	Lic Status Current	

Address		
Street # 585	Street KONAMI CIRCLE	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89119
Routing		

Other		
1st License Date 06/30/2021	Rank Date 07/30/2021	Certificate #
Method I-S-1017	Status Date 07/30/2021	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License

Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 14	Name REEL GAMES INC	Extended To
License # 7830710	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/15/2021
Entity # 7830710	Lic Status Current	

Address

Street # 1501	Street NE 13TH AVENUE	
Line 2		
Line 3		
City FORT LAUDERDALE	State FL	Zip 33304
Routing		

Other

1st License Date 06/27/2018	Rank Date 06/15/2021	Certificate #
Method I-S-1017	Status Date 07/09/2018	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License

Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 121	Name SERVICE CENTRAL INC	Extended To
License # 8566232	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 07/30/2021
Entity # 8566232	Lic Status Current	

Address

Street # 3321	Street LOUIS SHERMAN DRIVE	
Line 2		
Line 3		
City STEGER	State IL	Zip 60475
Routing		

Other

1st License Date 09/09/2009	Rank Date 07/30/2021	Certificate #
Method I-S-1020	Status Date 07/30/2021	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License

Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2025
File # 123	Name ARUZE GAMING AMERICA INC	Extended To
License # 8587586	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 07/15/2022
Entity # 8587586	Lic Status Current	

Address

Street # 6900	Street S. DECATUR BLVD. SUITE 100	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89118
Routing		

Other

1st License Date 06/29/2018	Rank Date 07/15/2022	Certificate #
Method I-S-1017	Status Date 07/15/2022	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License
 Fed Tax # [REDACTED] Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
 File # **217** Name **NRT TECHNOLOGIES INC** Extended To
 License # **10749126** Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **07/19/2021**
 Entity # **10749126** Lic Status **Current**

Address
 Street # **10** Street **COMPASS COURT**
 Line 2
 Line 3
 City **TORONTO** State **ON** Zip **M1S 5R3**
 Routing

Other
 1st License Date **04/22/2015** Rank Date **07/19/2021** Certificate #
 Method **I-S-1017** Status Date **07/19/2021** Certificate Date
 Fee Exempt **No** Birth Date Renewal Sent

License
 Fed Tax # [REDACTED] Lic Type **1050 - Slot Machine Business** Expires On **06/30/2025**
 File # **227** Name **BLUBERI GAMING USA INC** Extended To
 License # **11105033** Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **06/30/2022**
 Entity # **11105033** Lic Status **Current**

Address
 Street # **7370** Street **DEAN MARTIN DRIVE SUITE 407**
 Line 2
 Line 3
 City **LAS VEGAS** State **NV** Zip **89139**
 Routing

Other
 1st License Date **07/29/2016** Rank Date **06/30/2022** Certificate #
 Method **I-S-1020** Status Date **07/29/2016** Certificate Date
 Fee Exempt **No** Birth Date Renewal Sent

License
 Fed Tax # [REDACTED] Lic Type **1050 - Slot Machine Business** Expires On **06/30/2024**
 File # **174** Name **EVERI GAMES, INC.** Extended To
 License # **9943621** Rank **SBUS - Slot Machine Bus Occupational License** Renewed On **06/25/2021**
 Entity # **9943621** Lic Status **Current**

Address
 Street # **7250** Street **S TENAYA WAY, SUITE 100**
 Line 2
 Line 3
 City **LAS VEGAS** State **NV** Zip **89113**
 Routing

Other
 1st License Date **06/27/2018** Rank Date **06/25/2021** Certificate #
 Method **I-S-1017** Status Date **07/17/2018** Certificate Date
 Fee Exempt **No** Birth Date Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 140	Name INCREDIBLE TECHNOLOGIES CASINO GAMES INC	Extended To
License # 8801896	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/30/2021
Entity # 8801896	Lic Status Current	

Address		
Street # 200	Street CORPORATE WOODS PARKWAY	
Line 2		
Line 3		
City VERNON HILLS	State IL	Zip 60061
Routing		

Other		
1st License Date 10/25/2010	Rank Date 06/30/2021	Certificate #
Method I-S-1020	Status Date 07/01/2015	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 225	Name HAYES INTERNATIONAL INC	Extended To
License # 11018137	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 07/12/2021
Entity # 11018137	Lic Status Current	

Address		
Street # 7550	Street SW 42ND COURT	
Line 2		
Line 3		
City DAVIE	State FL	Zip 33314
Routing		

Other		
1st License Date 02/26/2016	Rank Date 07/12/2021	Certificate #
Method I-S-1017	Status Date 07/12/2021	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2026
File # 154	Name SKY GAMING, LLC	Extended To
License # 8966651	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/28/2023
Entity # 8966651	Lic Status Current	

Address		
Street # 1714	Street N DIXIE HWY	
Line 2		
Line 3		
City HOLLYWOOD	State FL	Zip 33020
Routing		

Other		
1st License Date 10/21/2020	Rank Date 06/28/2023	Certificate #
Method I-S-1020	Status Date 10/21/2020	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2025
File # 134	Name INTERBLOCK USA L. C.	Extended To
License # 8759471	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/29/2022
Entity # 8759471	Lic Status Current	

Address		
Street # 1106	Street PALMS AIRPORT DRIVE	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89119-3730
Routing		

Other		
1st License Date 08/06/2010	Rank Date 06/29/2022	Certificate #
Method I-S-1020	Status Date 07/21/2016	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 164	Name LIGHTNING SLOT MACHINES LLC	Extended To
License # 9823078	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/24/2021
Entity # 9823078	Lic Status Current	

Address		
Street # 23	Street CREEK CIRCLE SUITE 400	
Line 2		
Line 3		
City BOOTHWYN	State PA	Zip 19061
Routing		

Other		
1st License Date 06/18/2014	Rank Date 06/24/2021	Certificate #
Method I-S-1017	Status Date 07/08/2020	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent



State of Florida
Department of Business and Professional Regulation
Chronology Report

Case #: 2023051094 **Incident date:** 09/11/2023 **Status:** 10 - Initial Review

Lic Type: 1003 **Disposition:**

Case Type: Complaint

Responsible: ljelks - JELKS, LA'KESHA

Complainant: CASINO MIAMI, LLC
 3500 NW 37TH AVENUE, MIAMI, FL 33142

Respondent: FGCC, PARI-MUTUEL WAGERING
 4070 ESPLANADE WAY, TALLAHASSEE, FL 32399

Summary: STATE OF FLORIDA
 FLORIDA GAMING CONTROL COMMISSION
 DIVISION OF PARI-MUTUEL WAGERING

PERMITHOLDER APPLICATION FOR ANNUAL SLOT MACHINE LICENSE CHECKLIST

APPLICATION INFORMATION
 Permitholder Name: Casino Miami 273 – 1003
 Date Received: August 22, 2023
 Reviewer's Name: La'Kesha Jelks

Chronology:	Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
	09/11/2023	R		ljelks	JELKS, LA'KESHA	ljelks	
	09/11/2023	S	1003	10	Initial Review	ljelks	



State of Florida
Department of Business and Professional Regulation
Chronology Report

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Re: Request to renew Annual Slot Machine License
South Florida Racing Association, LLC (QHRS544)
Case# 2023-055203
Date: October 2, 2023

Executive Summary

A slot machine licensee has submitted an application to renew its annual slot machine license. The Commission should approve this request.

Background

Slot machine gaming is authorized in Miami-Dade and Broward Counties at certain pari-mutuel facilities.¹ One such facility is South Florida Racing Association, LLC d/b/a Hialeah Park Racing & Casino, Hialeah Park, and/or Hialeah Park Casino (“Hialeah Park”). Hialeah Park is located in Miami-Dade County and possesses a valid quarter horse permit. Hialeah Park was issued a pari-mutuel operating license for the 2023-2024 fiscal year.

Commission staff received Hialeah Park’s application to renew its slot machine license on September 21, 2023. The application was completed on November 2, 2023.

Analysis

In addition to submitting a complete application, a slot machine licensee must satisfy numerous requirements to be entitled to a renewed slot machine license.² Hialeah Park has satisfied all of these requirements.

Recommendation: The Florida Gaming Control Commission should South Florida Racing Association, LLC d/b/a Hialeah Park Racing & Casino, Hialeah Park, and/or Hialeah Park Casino’s application for renewal of its slot machine license effective December 22, 2023 through December 21, 2024.

¹ See generally Art. X, § 23, Fla. Const.; § 551.101, Fla. Stat.

² See, e.g., §§ 551.103(1)(f) (mandating \$2,000,000.00 surety bond for slot machine licensees); 551.106(1)(a) (requiring payment of annual nonrefundable \$2,000,000.00 license fee); 551.118 (requiring payment of annual nonrefundable regulatory fee of \$250,000.00 to fund compulsive or addictive gambling prevention program); see also Fla. Admin. Code R. 75-14 (“Pari-Mutuel Wagering Facility Slot Machine Operations”).



FLORIDA LICENSE FOR SLOT MACHINE OPERATIONS

WHEREAS South Florida Racing Association, LLC, d/b/a Hialeah Park, Hialeah Park Casino, and/or Hialeah Park Racing & Casino, is the holder of a valid, active pari-mutuel permit to conduct quarter horse racing at its facility located in Miami-Dade County, granted pursuant to Chapter 550, Florida Statutes.

WHEREAS South Florida Racing Association, LLC is authorized to conduct Pari-Mutuel Wagering activities at its facility pursuant to the Laws of Florida, on approved dates.

WHEREAS the majority of voters of Miami-Dade County, Florida authorized slot machines at pari-mutuel facilities within Miami-Dade County in a county-wide referendum conducted on January 28, 2008.

WHEREAS South Florida Racing Association, LLC has made application for licensure to conduct Slot Machine Gaming from December 22, 2023, through December 21, 2024, pursuant to Florida Statutes and Administrative Rules adopted pursuant thereto. It has been found that South Florida Racing Association, LLC has fulfilled all the statutorily required conditions for licensure and is therefore qualified to hold a license to conduct Slot Machine Gaming as authorized under the Laws of Florida.

WHEREAS South Florida Racing Association, LLC has furnished and shall maintain in effect during the term of this license, the appropriate bond as required under Chapter 551, Florida Statutes.

NOW THEREFORE BE IT KNOWN that South Florida Racing Association, LLC is granted a non-transferable license, number **SM544**, under which it may, exclusively at the slot machine facility located at **South Florida Racing Association, LLC**, 2200 East 4th Avenue, Hialeah, Florida, 33013, receive, possess, operate and ship approved slot machine equipment, and conduct Slot Machine Gaming.

BY RECEIVING THIS LICENSE South Florida Racing Association, LLC agrees to, at all times during the term of this license, conduct its Slot Machine Gaming operation and maintain its Slot Machine Gaming facility in accordance with applicable Florida Statutes and Administrative Rules adopted pursuant thereto.

LICENSURE GRANTED this _____ of December 2023, in Tallahassee, Florida.

FOR THE STATE OF FLORIDA:

**Louis Trombetta, Executive Director
Florida Gaming Control Commission**

**STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING**


PERMITHOLDER APPLICATION FOR ANNUAL SLOT MACHINE LICENSE CHECKLIST

APPLICATION INFORMATION				
Permitholder Name: South Florida – 544 – 1003		File 8		
Date Received: September 21, 2023		Case# 2023-055203		
Reviewer Name: La'Keshia Jelks				
SLOT MACHINE LICENSE REQUIREMENTS				
	Requirement Description	Requirement Met? Y/N	Date Deficiency Letter Sent	Date Deficiency Resolved
1	Original application form DBPR PMW 3405.	Y		
2	Original Authorization for Release of Information form DBPR PMW 3195.	Y	10/4/2023	10/20/2023
3	List of all ownership interests of five percent or greater as described in Rule 61D-14.002(b)(c)(d) and (e), FAC, Form DBPR 0040.	Y		
4	A copy of the binding written agreements between the facility and respective associations as required by Section 551.104(10), Florida Statutes (thoroughbred only).	N/A		
5	Evidence of a current surety bond in the amount of \$2,000,000, payable to the Governor of the State of Florida, as specified in s. 551.103(1)(f), FS.	Y	10/4/2023	10/4/2023
6	Payment of the non-refundable \$2,000,000 application fee for a Slot Machine License as required by Section 551.106(1), FS submitted via EFT. Payment of the non-refundable \$250,000 regulatory fee for the Compulsive or Addictive Gambling Prevention Program as required by Section 551.118, FS, made payable to the Division, submitted via EFT.	Y	10/4/2023	10/19/2023
7	A list of slot machine business occupational licensees (i.e. vendors, manufacturers, distributors, service companies, etc.) with whom the permitholder intends to do business.	Y		
8	Disclosure of the applicant's internal control procedures required by Rule 75-14.058, Florida Administrative Code. Which includes: A copy of the Compulsive or Addictive Gambling Prevention Program as required by S. 551.118, FS & A copy of the facility's written policy for creating opportunities to purchase from minority vendors in Florida.	Y	10/4/2023	11/2/2023

Application approved by:

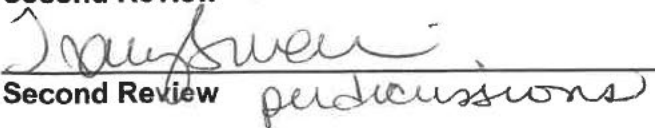

 Permitholder Administrator

11/15/23
Date



 Second Review

11-3-23
Date



 Second Review

11-7-2023
Date



Fidelity and Deposit Company of Maryland

Home Office: P.O. Box 1227, Baltimore, MD 21203-1227

Bond No [REDACTED]

RIDER

To be attached to and form a part of Pari-Mutuel Wagering License & Permit Bond No [REDACTED] ISSUED by the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, as Surety, on behalf of South Florida Racing Association as Principal, in the penal sum of Fifty Thousand Dollars (\$50,000.00) and in favor of the Governor of the State of Florida.

In consideration of the premium charged for the attached bond, it is hereby agreed that the bond be amended as follows:

Amend Obligee to read:

From: Governor of the State of Florida

To: The Governor of the State of Florida as Obligee, and his or her successor in office

Further, we do hereby continue the said bond in force for the further term of one (1) Year beginning on the 28th day of November 2023 and ending on the 28th day of November 2024.

Provided, However, that the attached bond shall be subject to all its agreements, limitations, and conditions except as herein expressly modified, and further that the liability of the Surety under the attached bond and the attached bond as amended by this rider shall not be cumulative.

This rider shall become effective as of the 28th day of November 2023

Signed, sealed, and dated this 23rd day of October 2023

ATTEST:

[Signature]
Sylvia M. Martinez

South Florida Racing Association

[Signature]
Principal

ACCEPTED:

FIDELITY AND DEPOSIT COMPANY OF MARYLAND

By: [Signature]
Donna M. Chiancone, Attorney-in-Fact

**ZURICH AMERICAN INSURANCE COMPANY
COLONIAL AMERICAN CASUALTY AND SURETY COMPANY
FIDELITY AND DEPOSIT COMPANY OF MARYLAND
POWER OF ATTORNEY**

KNOW ALL MEN BY THESE PRESENTS: That the ZURICH AMERICAN INSURANCE COMPANY, a corporation of the State of New York, the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, a corporation of the State of Illinois, and the FIDELITY AND DEPOSIT COMPANY OF MARYLAND a corporation of the State of Illinois (herein collectively called the "Companies"), by **Robert D. Murray, Vice President**, in pursuance of authority granted by Article V, Section 8, of the By-Laws of said Companies, which are set forth on the reverse side hereof and are hereby certified to be in full force and effect on the date hereof, do hereby nominate, constitute, and appoint, **Travis W. SHAFFER, Lori N. BAYBUTT, Donna M. CHIANCONE and Laurie KURTZ, all of Philadelphia, Pennsylvania, EACH**, its true and lawful agent and Attorney-in-Fact, to make, execute, seal and deliver, for, and on its behalf as surety, and as its act and deed: any and all bonds and undertakings, and the execution of such bonds or undertakings in pursuance of these presents, shall be as binding upon said Companies, as fully and amply, to all intents and purposes, as if they had been duly executed and acknowledged by the regularly elected officers of the ZURICH AMERICAN INSURANCE COMPANY at its office in New York, New York., the regularly elected officers of the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY at its office in Owings Mills, Maryland., and the regularly elected officers of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND at its office in Owings Mills, Maryland, in their own proper persons.

The said Vice President does hereby certify that the extract set forth on the reverse side hereof is a true copy of Article V, Section 8, of the By-Laws of said Companies and is now in force.

IN WITNESS WHEREOF, the said Vice-President has hereunto subscribed his/her names and affixed the Corporate Seals of the said **ZURICH AMERICAN INSURANCE COMPANY, COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, and FIDELITY AND DEPOSIT COMPANY OF MARYLAND**, this 13th day of March, A.D. 2020.



**ATTEST:
ZURICH AMERICAN INSURANCE COMPANY
COLONIAL AMERICAN CASUALTY AND SURETY COMPANY
FIDELITY AND DEPOSIT COMPANY OF MARYLAND**

By: *Robert D. Murray*
Vice President

By: *Dawn E. Brown*
Secretary

**State of Maryland
County of Baltimore**

On this 13th day of March, A.D. 2020, before the subscriber, a Notary Public of the State of Maryland, duly commissioned and qualified, **Robert D. Murray, Vice President and Dawn E. Brown, Secretary** of the Companies, to me personally known to be the individuals and officers described in and who executed the preceding instrument, and acknowledged the execution of same, and being by me duly sworn, depose and saith, that he/she is the said officer of the Company aforesaid, and that the seals affixed to the preceding instrument are the Corporate Seals of said Companies, and that the said Corporate Seals and the signature as such officer were duly affixed and subscribed to the said instrument by the authority and direction of the said Corporations.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my Official Seal the day and year first above written.



Constance A. Dunn, Notary Public
My Commission Expires: July 9, 2023

EXTRACT FROM BY-LAWS OF THE COMPANIES

"Article V, Section 8, Attorneys-in-Fact. The Chief Executive Officer, the President, or any Executive Vice President or Vice President may, by written instrument under the attested corporate seal, appoint attorneys-in-fact with authority to execute bonds, policies, recognizances, stipulations, undertakings, or other like instruments on behalf of the Company, and may authorize any officer or any such attorney-in-fact to affix the corporate seal thereto; and may with or without cause modify or revoke any such appointment or authority at any time."

CERTIFICATE

I, the undersigned, Secretary of the ZURICH AMERICAN INSURANCE COMPANY, the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, and the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, do hereby certify that the foregoing Power of Attorney is still in full force and effect on the date of this certificate; and I do further certify that Article V, Section 8, of the By-Laws of the Companies is still in force.

This Power of Attorney and Certificate may be signed by facsimile under and by authority of the following resolution of the Board of Directors of the ZURICH AMERICAN INSURANCE COMPANY at a meeting duly called and held on the 15th day of December 1998.

RESOLVED: "That the signature of the President or a Vice President and the attesting signature of a Secretary or an Assistant Secretary and the Seal of the Company may be affixed by facsimile on any Power of Attorney...Any such Power or any certificate thereof bearing such facsimile signature and seal shall be valid and binding on the Company."

This Power of Attorney and Certificate may be signed by facsimile under and by authority of the following resolution of the Board of Directors of the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY at a meeting duly called and held on the 5th day of May, 1994, and the following resolution of the Board of Directors of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND at a meeting duly called and held on the 10th day of May, 1990.

RESOLVED: "That the facsimile or mechanically reproduced seal of the company and facsimile or mechanically reproduced signature of any Vice-President, Secretary, or Assistant Secretary of the Company, whether made heretofore or hereafter, wherever appearing upon a certified copy of any power of attorney issued by the Company, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

IN TESTIMONY WHEREOF, I have hereunto subscribed my name and affixed the corporate seals of the said Companies, this 23rd day of October 2023



A handwritten signature in cursive script that reads "Brian M. Hodges".

By: Brian M. Hodges
Vice President

TO REPORT A CLAIM WITH REGARD TO A SURETY BOND, PLEASE SUBMIT A COMPLETE DESCRIPTION OF THE CLAIM INCLUDING THE PRINCIPAL ON THE BOND, THE BOND NUMBER, AND YOUR CONTACT INFORMATION TO:

Zurich Surety Claims
1299 Zurich Way
Schaumburg, IL 60196-1056
www.reportsfclaims@zurichna.com
800-626-4577

THE FIDELITY AND DEPOSIT COMPANY

OF MARYLAND
1299 Zurich Way Schaumburg, IL 60196

Statement of Financial Condition As Of December 31, 2022

ASSETS

Bonds.....		\$ 219,365,765
Stocks		17,619,752
Cash and Short-Term Investments		2,767,685
Reinsurance Recoverable		12,309,422
Federal Income Tax Recoverable.....		0
Other Accounts Receivable		32,200,768
TOTAL ADMITTED ASSETS.....		\$ 284,263,392

LIABILITIES, SURPLUS AND OTHER FUNDS

Reserve for Taxes and Expenses		\$ 545,031
Ceded Reinsurance Premiums Payable		42,850,834
Remittances and Items Unallocated		0
Payable to parents, subs and affiliates.....		0
Securities Lending Collateral Liability.....		0
TOTAL LIABILITIES		\$ 43,395,865
Capital Stock, Paid Up	\$ 5,000,000	
Surplus.....	240,867,527	
Surplus as regards Policyholders.....		240,867,527
TOTAL		\$ 284,263,392

Securities carried at \$78,455,274 in the above statement are deposited with various states as required by law.

Securities carried on the basis prescribed by the National Association of Insurance Commissioners. On the basis of market quotations for all bonds and stocks owned, the Company's total admitted assets at December 31, 2022 would be \$266,908,407 and surplus as regards policyholders \$223,512,542.

I, LAURA J. LAZARCZYK, Corporate Secretary of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, do hereby certify that the foregoing statement is a correct exhibit of the assets and liabilities of the said Company on the 31st day of December, 2022.

Developed by
Laura J. Lazarczyk
CORPORATE SECRETARY

Corporate Secretary

State of Illinois }
City of Schaumburg } SS:

Subscribed and sworn to, before me, a Notary Public of the State of Illinois, in the City of Schaumburg, this 15th day of March, 2023.



Ryan Horgan

Notary Public

Jamie Pouncey

From: Bill Crafts
Sent: Thursday, November 2, 2023 7:32 AM
To: Jamie Pouncey
Cc: Dennis Chen; Marva Jones
Subject: Hialeah IC Checklist Memo
Attachments: License Renewal Memo- Hialeah Park.pdf

Jamie, see attached Hialeah IC Checklist Memo for their license that is due in December.

Have a great day.



**Florida Gaming
Control Commission**
flgaming.gov

Bill Crafts
Chief of Slot Operations
Division of Pari-Mutuel Wagering
Office of Slot Operations
754.288.1019 Office
954.658.3156 Cell

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MEMORANDUM

TO: Jamie Pouncey, Program Administrator

FROM: Bill Crafts, Chief of Slot Operations

SUBJECT: South Florida Racing Association, LLC, d/b/a Hialeah Park Racing & Casino Annual Internal Controls Review

DATE: November 1, 2023

The office of Slot Operations has completed our annual review of the Internal Controls of South Florida Racing Association, LLC, d/b/a Hialeah Park Racing & Casino. At this time, our assessment has shown full compliance with 551, Fla. Stat. (2005) and Fla. Admin Code R. 75-14. We strive to continuously review all our licensed facilities systems of control, and work closely with the property's management and compliance officers to ensure rigorous adherence to Florida Statute and Administrative Code. Our annual review involves a more comprehensive and robust examination of all Internal Controls as adopted by the facility.

This review was conducted by the Tax & Audit Group of the Office of Slot Operations, with their report SOIC-2024-544 being issued on November 1, 2023.

As always, should you have any questions or concerns pertaining to this matter, please do not hesitate to contact me.

Bill Crafts
Chief of Slot Operations

PARI-MUTUEL WAGERING

400 WEST COMMERCIAL BLVD

F1

La'Kesha Jelks

From: Schlaffer, Paul <pschlaffer@hialeahpark.com>
Sent: Wednesday, November 1, 2023 5:07 PM
To: Jamie Pouncey; La'Kesha Jelks
Subject: Bond Renewals for South Florida Racing Association
Attachments: Surety Bond SFRA Pari-Mutuel LPM8966220 (November 28th 2023 - November 28th, 2024).pdf; Surety Bond SFRA Slot LPM9029453 (January 1, 2024 - January 1, 2025).pdf

Good Afternoon,

Attached are the continuation certificates for both the Pari-Mutuel and Slot bonds. Note, the obligee has been amended. The originals will be sent via FedEx, tracking 7739 4403 4224.

Best,

*Paul Schlaffer
Director of AML/Casino Compliance & Internal Audit
Hialeah Park Racing & Casino
100 E. 32nd Street
Hialeah, FL 33013
Office: (786) 615-5111*

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Fidelity and Deposit Company of Maryland

Home Office: P.O. Box 1227, Baltimore, MD 21203-1227

Bond No [REDACTED]

RIDER

To be attached to and form a part of Pari-Mutuel Wagering License & Permit Bond No [REDACTED] ISSUED by the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, as Surety, on behalf of South Florida Racing Association as Principal, in the penal sum of Fifty Thousand Dollars (\$50,000.00) and in favor of the Governor of the State of Florida.

In consideration of the premium charged for the attached bond, it is hereby agreed that the bond be amended as follows:

Amend Obligee to read:

From: Governor of the State of Florida

To: The Governor of the State of Florida as Obligee, and his or her successor in office

Further, we do hereby continue the said bond in force for the further term of one (1) Year beginning on the 28th day of November 2023 and ending on the 28th day of November 2024.

Provided, However, that the attached bond shall be subject to all its agreements, limitations, and conditions except as herein expressly modified, and further that the liability of the Surety under the attached bond and the attached bond as amended by this rider shall not be cumulative.

This rider shall become effective as of the 28th day of November 2023

Signed, sealed, and dated this 23rd day of October 2023

ATTEST:

Sylvia M. Martinez
Sylvia M. Martinez

South Florida Racing Association
[Signature]
Principal

ACCEPTED:

FIDELITY AND DEPOSIT COMPANY OF MARYLAND
By: [Signature]
Donna M. Chiancone, Attorney-in-Fact

**ZURICH AMERICAN INSURANCE COMPANY
COLONIAL AMERICAN CASUALTY AND SURETY COMPANY
FIDELITY AND DEPOSIT COMPANY OF MARYLAND
POWER OF ATTORNEY**

KNOW ALL MEN BY THESE PRESENTS: That the ZURICH AMERICAN INSURANCE COMPANY, a corporation of the State of New York, the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, a corporation of the State of Illinois, and the FIDELITY AND DEPOSIT COMPANY OF MARYLAND a corporation of the State of Illinois (herein collectively called the "Companies"), by Robert D. Murray, Vice President, in pursuance of authority granted by Article V, Section 8, of the By-Laws of said Companies, which are set forth on the reverse side hereof and are hereby certified to be in full force and effect on the date hereof, do hereby nominate, constitute, and appoint, Travis W. SHAFFER, Lori N. BAYBUTT, Donna M. CHIANCONE and Laurie KURTZ, all of Philadelphia, Pennsylvania, EACH, its true and lawful agent and Attorney-in-Fact, to make, execute, seal and deliver, for, and on its behalf as surety, and as its act and deed: any and all bonds and undertakings, and the execution of such bonds or undertakings in pursuance of these presents, shall be as binding upon said Companies, as fully and amply, to all intents and purposes, as if they had been duly executed and acknowledged by the regularly elected officers of the ZURICH AMERICAN INSURANCE COMPANY at its office in New York, New York., the regularly elected officers of the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY at its office in Owings Mills, Maryland., and the regularly elected officers of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND at its office in Owings Mills, Maryland, in their own proper persons.

The said Vice President does hereby certify that the extract set forth on the reverse side hereof is a true copy of Article V, Section 8, of the By-Laws of said Companies and is now in force.

IN WITNESS WHEREOF, the said Vice-President has hereunto subscribed his/her names and affixed the Corporate Seals of the said ZURICH AMERICAN INSURANCE COMPANY, COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, and FIDELITY AND DEPOSIT COMPANY OF MARYLAND, this 13th day of March, A.D. 2020.



ATTEST:
ZURICH AMERICAN INSURANCE COMPANY
COLONIAL AMERICAN CASUALTY AND SURETY COMPANY
FIDELITY AND DEPOSIT COMPANY OF MARYLAND

By: Robert D. Murray
Vice President

By: Dawn E. Brown
Secretary

State of Maryland
County of Baltimore

On this 13th day of March, A.D. 2020, before the subscriber, a Notary Public of the State of Maryland, duly commissioned and qualified, Robert D. Murray, Vice President and Dawn E. Brown, Secretary of the Companies, to me personally known to be the individuals and officers described in and who executed the preceding instrument, and acknowledged the execution of same, and being by me duly sworn, deposed and saith, that he/she is the said officer of the Company aforesaid, and that the seals affixed to the preceding instrument are the Corporate Seals of said Companies, and that the said Corporate Seals and the signature as such officer were duly affixed and subscribed to the said instrument by the authority and direction of the said Corporations.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my Official Seal the day and year first above written.



Constance A. Dunn, Notary Public
My Commission Expires: July 9, 2023

EXTRACT FROM BY-LAWS OF THE COMPANIES

"Article V, Section 8, Attorneys-in-Fact. The Chief Executive Officer, the President, or any Executive Vice President or Vice President may, by written instrument under the attested corporate seal, appoint attorneys-in-fact with authority to execute bonds, policies, recognizances, stipulations, undertakings, or other like instruments on behalf of the Company, and may authorize any officer or any such attorney-in-fact to affix the corporate seal thereto; and may with or without cause modify or revoke any such appointment or authority at any time."

CERTIFICATE

I, the undersigned, Secretary of the ZURICH AMERICAN INSURANCE COMPANY, the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, and the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, do hereby certify that the foregoing Power of Attorney is still in full force and effect on the date of this certificate; and I do further certify that Article V, Section 8, of the By-Laws of the Companies is still in force.

This Power of Attorney and Certificate may be signed by facsimile under and by authority of the following resolution of the Board of Directors of the ZURICH AMERICAN INSURANCE COMPANY at a meeting duly called and held on the 15th day of December 1998.

RESOLVED: "That the signature of the President or a Vice President and the attesting signature of a Secretary or an Assistant Secretary and the Seal of the Company may be affixed by facsimile on any Power of Attorney...Any such Power or any certificate thereof bearing such facsimile signature and seal shall be valid and binding on the Company."

This Power of Attorney and Certificate may be signed by facsimile under and by authority of the following resolution of the Board of Directors of the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY at a meeting duly called and held on the 5th day of May, 1994, and the following resolution of the Board of Directors of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND at a meeting duly called and held on the 10th day of May, 1990.

RESOLVED: "That the facsimile or mechanically reproduced seal of the company and facsimile or mechanically reproduced signature of any Vice-President, Secretary, or Assistant Secretary of the Company, whether made heretofore or hereafter, wherever appearing upon a certified copy of any power of attorney issued by the Company, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

IN TESTIMONY WHEREOF, I have hereunto subscribed my name and affixed the corporate seals of the said Companies, this 23rd day of October 2023



Brian M. Hodges

By: Brian M. Hodges
Vice President

TO REPORT A CLAIM WITH REGARD TO A SURETY BOND, PLEASE SUBMIT A COMPLETE DESCRIPTION OF THE CLAIM INCLUDING THE PRINCIPAL ON THE BOND, THE BOND NUMBER, AND YOUR CONTACT INFORMATION TO:

Zurich Surety Claims
1299 Zurich Way
Schaumburg, IL 60196-1056
www.reportsfclaims@zurichna.com
800-626-4577

THE FIDELITY AND DEPOSIT COMPANY

OF MARYLAND
1299 Zurich Way Schaumburg, IL 60196

Statement of Financial Condition As Of December 31, 2022

ASSETS	
Bonds.....	\$ 219,365,765
Stocks.....	17,619,752
Cash and Short-Term Investments.....	2,767,685
Reinsurance Recoverable.....	12,309,422
Federal Income Tax Recoverable.....	0
Other Accounts Receivable.....	32,200,768
TOTAL ADMITTED ASSETS.....	\$ 284,263,392

LIABILITIES, SURPLUS AND OTHER FUNDS	
Reserve for Taxes and Expenses.....	\$ 545,031
Ceded Reinsurance Premiums Payable.....	42,850,834
Remittances and Items Unallocated.....	0
Payable to parents, subs and affiliates.....	0
Securities Lending Collateral Liability.....	0
TOTAL LIABILITIES.....	\$ 43,395,865
Capital Stock, Paid Up.....	\$ 5,000,000
Surplus.....	240,867,527
Surplus as regards Policyholders.....	240,867,527
TOTAL.....	\$ 284,263,392

Securities carried at \$78,455,274 in the above statement are deposited with various states as required by law.

Securities carried on the basis prescribed by the National Association of Insurance Commissioners. On the basis of market quotations for all bonds and stocks owned, the Company's total admitted assets at December 31, 2022 would be \$266,908,407 and surplus as regards policyholders \$223,512,542.

I, LAURA J. LAZARCZYK, Corporate Secretary of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, do hereby certify that the foregoing statement is a correct exhibit of the assets and liabilities of the said Company on the 31st day of December, 2022.

Witnessed by
Laura J. Lazarczyk

Corporate Secretary

State of Illinois }
City of Schaumburg } SS:

Subscribed and sworn to, before me, a Notary Public of the State of Illinois, in the City of Schaumburg, this 15th day of March, 2023.



Ryan Horgan

Notary Public



Fidelity and Deposit Company of Maryland

Home Office: P.O. Box 1227, Baltimore, MD 21203-1227

Bond No [REDACTED]

RIDER

To be attached to and form a part of Florida Slot Machine License Bond No [REDACTED] ISSUED by the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, as Surety, on behalf of South Florida Racing Association as Principal, in the penal sum of Two Million Dollars (\$2,000,000.00) and in favor of the Governor of the State of Florida.

In consideration of the premium charged for the attached bond, it is hereby agreed that the bond be amended as follows:

Amend Obligee to read:

From: Governor of the State of Florida

To: The Governor of the State of Florida as Obligee, and his or her successor in office

Further, we do hereby continue the said bond in force for the further term of one (1) Year beginning on the 1st day of January 2024 and ending on the 1st day of January 2025.

Provided, However, that the attached bond shall be subject to all its agreements, limitations, and conditions except as herein expressly modified, and further that the liability of the Surety under the attached bond and the attached bond as amended by this rider shall not be cumulative.

This rider shall become effective as of the 1st day of January 2024

Signed, sealed, and dated this 23rd day of October 2023

ATTEST:

[Signature]
Sylvia M. Martinez

South Florida Racing Association

[Signature]
Principal

ACCEPTED:

FIDELITY AND DEPOSIT COMPANY OF MARYLAND

By: [Signature]
Donna M. Chiancone, Attorney-in-Fact

**ZURICH AMERICAN INSURANCE COMPANY
COLONIAL AMERICAN CASUALTY AND SURETY COMPANY
FIDELITY AND DEPOSIT COMPANY OF MARYLAND
POWER OF ATTORNEY**

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The said Vice President does hereby certify that the extract set forth on the reverse side hereof is a true copy of Article V, Section 8, of the By-Laws of said Companies and is now in force.

IN WITNESS WHEREOF, the said Vice-President has hereunto subscribed his/her names and affixed the Corporate Seals of the said **ZURICH AMERICAN INSURANCE COMPANY, COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, and FIDELITY AND DEPOSIT COMPANY OF MARYLAND**, this 13th day of March, A.D. 2020.



**ATTEST:
ZURICH AMERICAN INSURANCE COMPANY
COLONIAL AMERICAN CASUALTY AND SURETY COMPANY
FIDELITY AND DEPOSIT COMPANY OF MARYLAND**

By: *Robert D. Murray*
Vice President

By: *Dawn E. Brown*
Secretary

**State of Maryland
County of Baltimore**

On this 13th day of March, A.D. 2020, before the subscriber, a Notary Public of the State of Maryland, duly commissioned and qualified, **Robert D. Murray, Vice President and Dawn E. Brown, Secretary** of the Companies, to me personally known to be the individuals and officers described in and who executed the preceding instrument, and acknowledged the execution of same, and being by me duly sworn, depose and saith, that he/she is the said officer of the Company aforesaid, and that the seals affixed to the preceding instrument are the Corporate Seals of said Companies, and that the said Corporate Seals and the signature as such officer were duly affixed and subscribed to the said instrument by the authority and direction of the said Corporations.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my Official Seal the day and year first above written.



Constance A. Dunn, Notary Public
My Commission Expires: July 9, 2023

EXTRACT FROM BY-LAWS OF THE COMPANIES

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Brian M. Hodges

By: Brian M. Hodges
Vice President

TO REPORT A CLAIM WITH REGARD TO A SURETY BOND, PLEASE SUBMIT A COMPLETE DESCRIPTION OF THE CLAIM INCLUDING THE PRINCIPAL ON THE BOND, THE BOND NUMBER, AND YOUR CONTACT INFORMATION TO:

Zurich Surety Claims
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THE FIDELITY AND DEPOSIT COMPANY

OF MARYLAND
1299 Zurich Way Schaumburg, IL 60196

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Federal Income Tax Recoverable.....	0
Other Accounts Receivable.....	32,200,768
TOTAL ADMITTED ASSETS.....	\$ 284,263,392
 LIABILITIES, SURPLUS AND OTHER FUNDS	
Reserve for Taxes and Expenses.....	\$ 545,031
Ceded Reinsurance Premiums Payable.....	42,850,834
Remittances and Items Unallocated.....	0
Payable to parents, subs and affiliates.....	0
Securities Lending Collateral Liability.....	0
TOTAL LIABILITIES.....	\$ 43,395,865
Capital Stock, Paid Up.....	\$ 5,000,000
Surplus.....	240,867,527
Surplus as regards Policyholders.....	240,867,527
TOTAL.....	\$ 284,263,392

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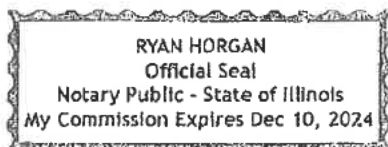
I, LAURA J. LAZARCZYK, Corporate Secretary of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, do hereby certify that the foregoing statement is a correct exhibit of the assets and liabilities of the said Company on the 31st day of December, 2022.

Witnessed by
Laura J. Lazarczyk
Corporate Secretary

Corporate Secretary

State of Illinois }
City of Schaumburg } SS:

Subscribed and sworn to, before me, a Notary Public of the State of Illinois, in the City of Schaumburg, this 15th day of March, 2023.



Ryan Horgan

Notary Public



State of Florida
Department of Business and Professional Regulation
Cash Listing Report

Client: 79 - Department of Business & Professional Regulation

Origin: EFT

Fiscal Year: 2023

Batch #: [REDACTED] **Total \$ Entered:** \$ 2,250,000.00

Deposit #: [REDACTED]

Deposit Date: 2023-10-24

Receipt: 1 **Receipts Entered:** 1

Total: \$ 2,250,000.00

Status: Deposited

Receipt #	DLN	Received	Disp	Pmt	Note	Unassigned	Prof	Remitted By / Beneficiary	File #	License #	Assigned
230055428		\$ 2,250,000.00	DEP	EFT		\$ 2,250,000.00					
							1003	SOUTH FLORIDA RACING ASSOCIATION, LLC	8	544	\$ 0.00
Total:		\$ 2,250,000.00				\$ 2,250,000.00					\$ 0.00

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Application Home | Change Application

Domain: 10 - Division of Pari-Mutuel Wagering

Logged in as: tjelks

VR Home > Application Search > Transaction Check List > Transaction Header

Fed Tax #	Name SOUTH FLORIDA RACING ASSOCIATION, LLC	Lic Type 1003 - Permitholder App for Annual Slot Licens	Trans Class I - Initial
File # 8	Rank Permit holder Appl Annual Slot Mach Lic	App # 134	Sec Class S - Standard
License # 544	Lic Status Current	Status Open	
Entity # 544	Expires On 12/21/2023	Trans Code 1020 - Slot Machine License	

App Date:

Normal Expiration Date:

Override Expiration Date:

Application Disposition:

1st Reminder

2nd Reminder

Total Fee \$	2,250,000.00
Paid \$	2,250,000.00
Released \$	2,250,000.00
Due \$	0.00

Notes

Set License Expiry

Application For

Rank **Permit holder Appl Annual Slot Mach Lic**
Lic Status **Current**

Modifiers

Type Code Additional Info

Type	Modifier	Additional Info	Actions
No items found.			

-
-
-
-
-
-
-
-

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FLORIDA GAMING CONTROL COMMISSION

STATE OF FLORIDA
 DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
 DIVISION OF PARI-MUTUEL WAGERING
 www.myfloridalicense.com

PERSONAL INFORMATION					
Social Security Number/Federal Employer ID Number					
IF INDIVIDUAL APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION					
Last Name	First	Middle	Title	Suffix	
Birth Date (MM/DD/YYYY)					
IF BUSINESS APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION					
Representative's Name	Last Brunetti	First John	Middle Joseph	Title Mr.	Suffix Jr.
Permitholder Name South Florida Racing Association, LLC D/B/A: Hialeah Park Racing & Casino					
Official Capacity President & CEO					
ATTEST STATEMENT					
I, <u>John Joseph Brunetti, Jr.</u> , do hereby instruct all law enforcement (name of applicant/representative) or criminal justice agencies, present and former employers or institutions with whom I or my businesses have a present or past business relationship, as well as all present or past social associates to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Department of Business and Professional Regulation.					
I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Additionally, I do release such individuals or entities from any and all liability due to the release of information requested.					
<u>John Joseph Brunetti, Jr.</u> (if individual applicant - legal name and any nickname or alias in parentheses)					
Applicant/Representative Signature: <u>[Signature]</u> Date: <u>10-11-23</u>					
NOTARIZATION					
The foregoing application was sworn to and subscribed before me this <u>11</u> Day of <u>Oct</u> , 20 <u>23</u>					
by <u>John J. Brunetti, Jr.</u>		<u>[Signature]</u>			
Type or print name of applicant		Signature of applicant			
who is <u>personally known</u> to me or who has produced the following as identification.					
Type of identification		<u>[Signature]</u>			
		Signature of person taking acknowledgement			
		Notary Seal (Rubber Stamp and Expiration)			

FW: Seebeyond Interface TAXPAYMENTB was partially successful!

Karen Stelter <Karen.Stelter@flgaming.gov>

Thu 10/19/2023 1:37 PM

To: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>

Cc: Jamie Pouncey <Jamie.Pouncey@flgaming.gov>; Tracy Swain <Tracy.Swain@flgaming.gov>

 1 attachments (5 KB)

TAXPAYMENTB-20231019.log;

La'Kesha,

Per the attached, we received the slot payment from Hialeah Park #544. Please let me know if you have any questions or need any additional information.

Best Regards,

Karen L Stelter, Professional Accountant Specialist, FCCM
Florida Gaming Control Commission
Division of Pari-Mutuel Wagering, Office of Revenue and Financial Analysis
4070 Esplanade Way, Tallahassee, FL 32399
850-794-8129 Office ~ 850-539-8715 FAX

The information contained in this transmission is intended solely for the use of the person(s) named herein. If you are not the intended recipient, you are hereby notified that any review, dissemination, distribution or duplication of this communication is strictly prohibited. If you are not the intended recipient, please contact me by reply e-mail and destroy all copies of the original message.

The State of Florida has a very broad public records law pursuant to Chapter 119, Florida Statutes. Most written communications to and from state officials regarding state business are public records, available to the public and media upon request. Therefore, your e-mail communications may be subject to public disclosure. LARGER VIEW

-----Original Message-----

From: call.center@dbpr.state.fl.us <call.center@dbpr.state.fl.us>

Sent: Thursday, October 19, 2023 12:00 PM

To: chris.presley@dbpr.state.fl.us; Eric.Thiele@dbpr.state.fl.us; Karen Stelter <Karen.Stelter@flgaming.gov>; Kelley.VanValkenburgh@myfloridalicense.com; Kira.Sadler@myfloridalicense.com; Latheria.Gibbs@myfloridalicense.com; Maria.Nickels@myfloridalicense.com; Mike.Coleman@myfloridalicense.com; Neysa.Brogdon@dbpr.state.fl.us; Sally.Huggins@myfloridalicense.com; Stephanie.Gurley@myfloridalicense.com; TecOperations@dbpr.state.fl.us; Tracy Swain <Tracy.Swain@flgaming.gov>; wesley.dupont@dbpr.state.fl.us
Subject: Seebeyond Interface TAXPAYMENTB was partially successful!

Seebeyond Interface TAXPAYMENTB was partially successful. Errors occurred during processing. Log file is attached.

FW: Seebeyond Interface TAXPAYMENTB was partially successful!

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Thu 10/19/2023 1:37 PM

To: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>

Cc: Jamie Pouncey <Jamie.Pouncey@flgaming.gov>; Tracy Swain <Tracy.Swain@flgaming.gov>

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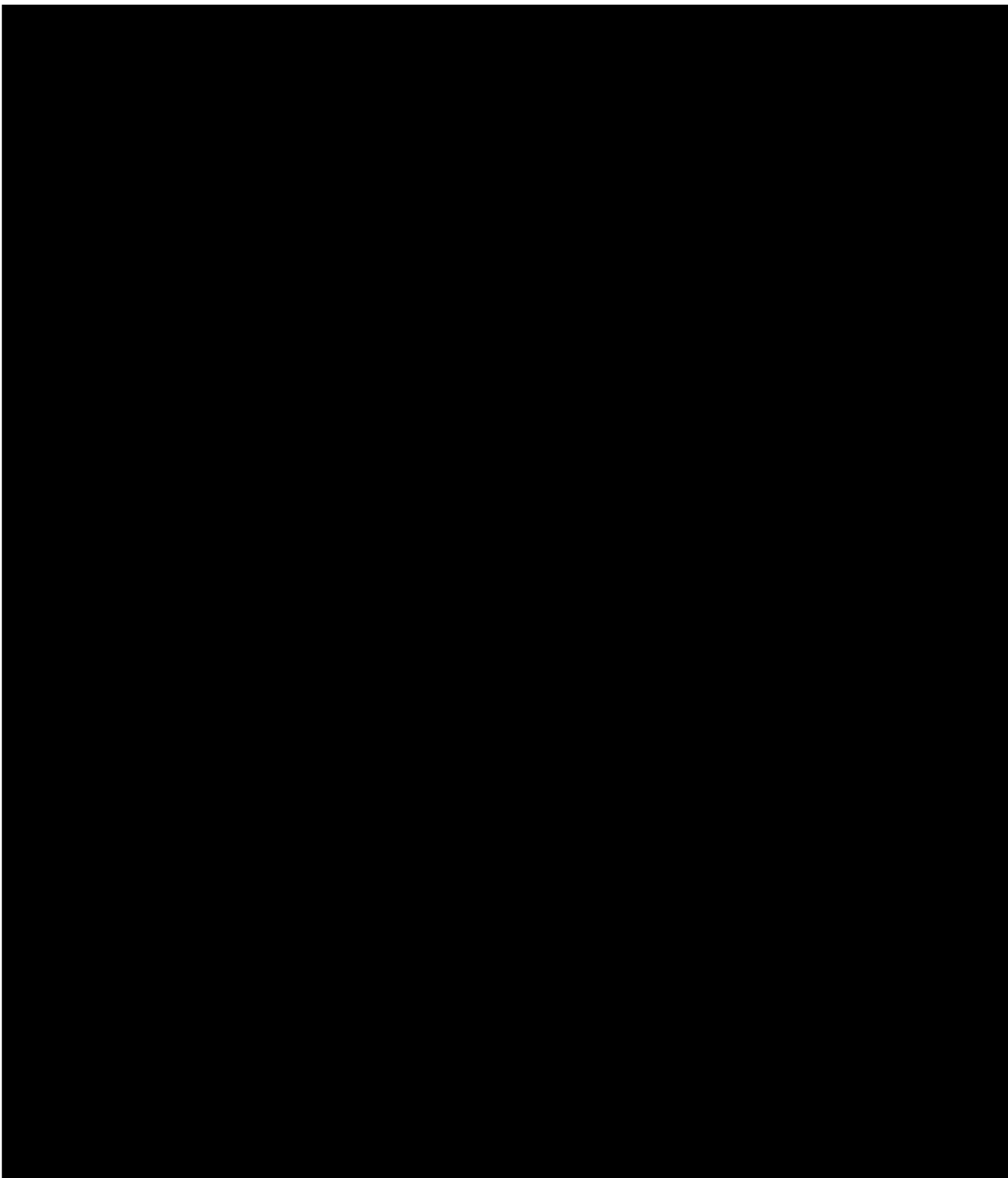
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Subject: Seebeyond Interface TAXPAYMENTB was partially successful!



Seebeyond Interface TAXPAYMENTB was partially successful. Errors occurred during processing. Log file is attached.

La'Kesha Jelks

From: Schlaffer, Paul <pschlaffer@hialeahpark.com>
Sent: Wednesday, October 4, 2023 11:58 AM
To: La'Kesha Jelks; Jamie Pouncey
Subject: RE: Slot License Renewal for South Florida Racing Association
Attachments: SFRA & Kings Court Key Ownership Chart - (2023).pdf; Surety Bond SFRA Slot (December 31, 2022 - December 31, 2023).pdf

Categories: Slots

Good Morning,

I have attached the same ownership chart which I provided to Mr. Marshman prior. This includes the structure for Bal Bay Holdings. I didn't add a cardroom/slot license for Bal Bay Holdings at the time of the original PMW application, as we were following the directive from Mr. Marshman. I will prepare the application paperwork for the additions, however, please provide certainty either way. I don't want to present the paperwork to the owner until we have a firm yes or no on the license requirement.

The current surety bond is attached. I'm working on the continuance with the updated language. The rest is in process, and should be completed soon.

Thank you,

Paul Schlaffer
Director of AML/Casino Compliance & Internal Audit
Hialeah Park Racing & Casino
100 E. 32nd Street
Hialeah, FL 33013
Office: (786) 615-5111

This e-mail, and any attachments thereto, is intended only for use by the addressee(s) named herein or entity named above and may contain legally privileged and/or confidential and regulated information. Accessing information in this email, and any attachments thereto, may require the recipient to hold a slot license in accordance with Florida Statute Chapter 551. Any recipient accessing, reviewing or using information subject to Chapter 551 attests that they hold a valid slot license. If you are not licensed or not the intended recipient of this e-mail, you are hereby notified that any review, use, dissemination, distribution or copying of this e-mail, and any attachments thereto, is strictly prohibited and may be a violation under Florida Statute Chapter 551. If you have received this e-mail in error, please immediately notify me and permanently delete the original and any copy of any e-mail and any printout thereof.

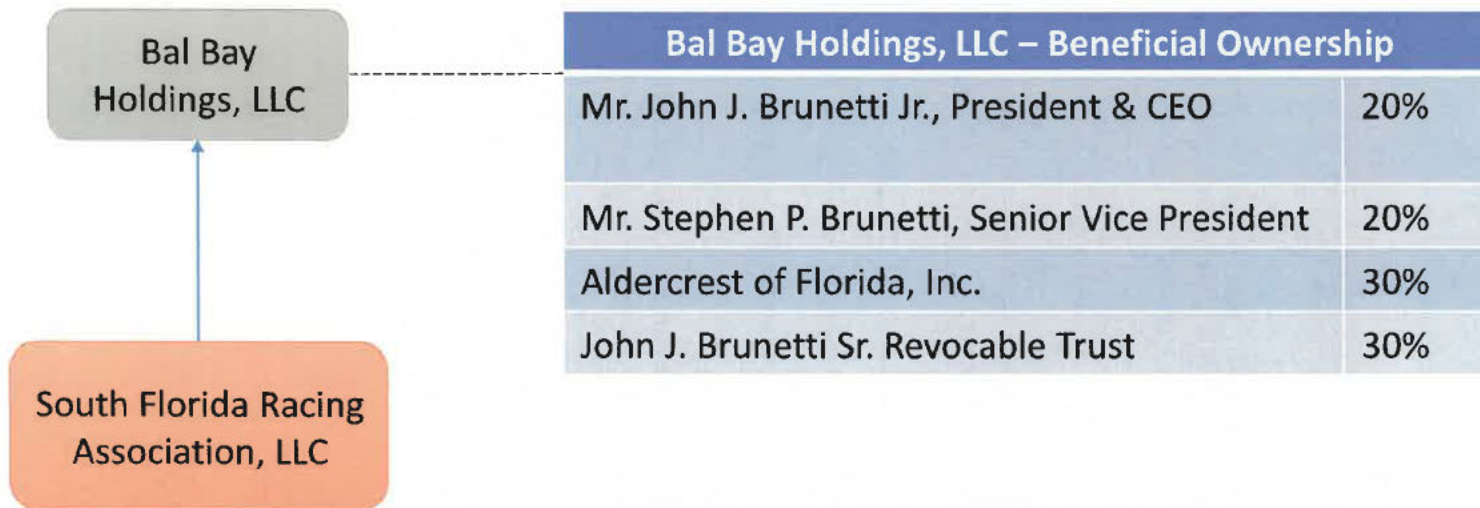
From: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Sent: Wednesday, October 4, 2023 8:56 AM
To: Schlaffer, Paul <pschlaffer@hialeahpark.com>; Jamie Pouncey <Jamie.Pouncey@flgaming.gov>
Subject: RE: Slot License Renewal for South Florida Racing Association

Greetings,

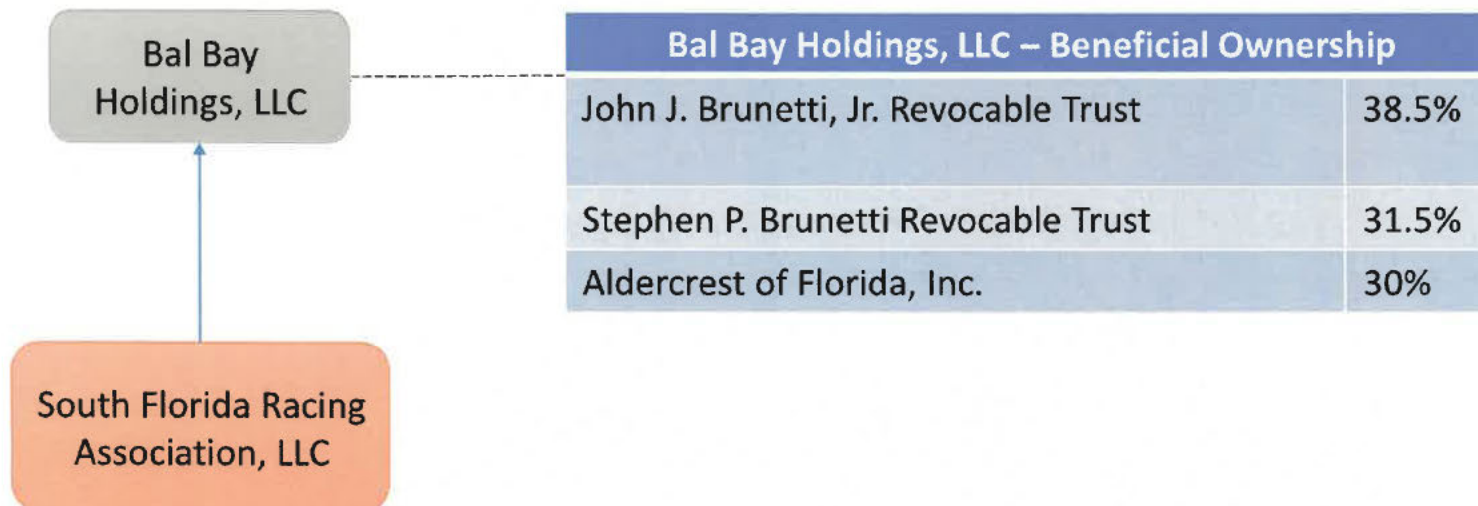
The Slots Renewal Application submitted for South Florida Racing Association, LLC (SM544) was received, however, the application remains incomplete for:

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South Florida Racing Association, LLC Before Changes



South Florida Racing Association, LLC After Changes

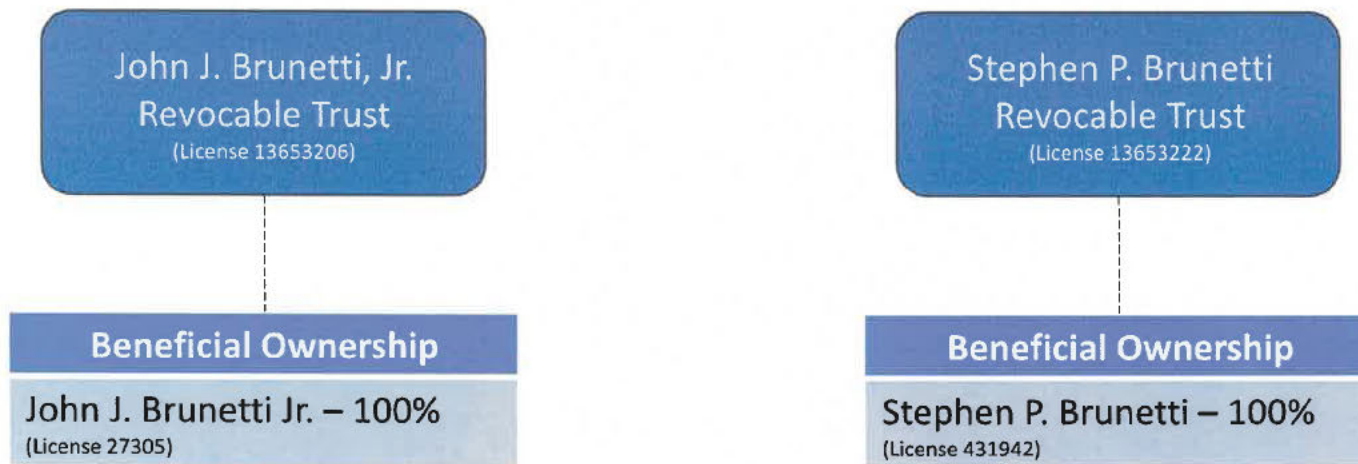


Trusts Before Changes

John J. Brunetti Sr.
Revocable Trust
(License 12595681)

Beneficial Ownership
John J. Brunetti Jr. – 55%
Stephen P. Brunetti – 45%
Richard J. Laiks – 0%

Trusts After Changes



Aldercrest of Florida, Inc.

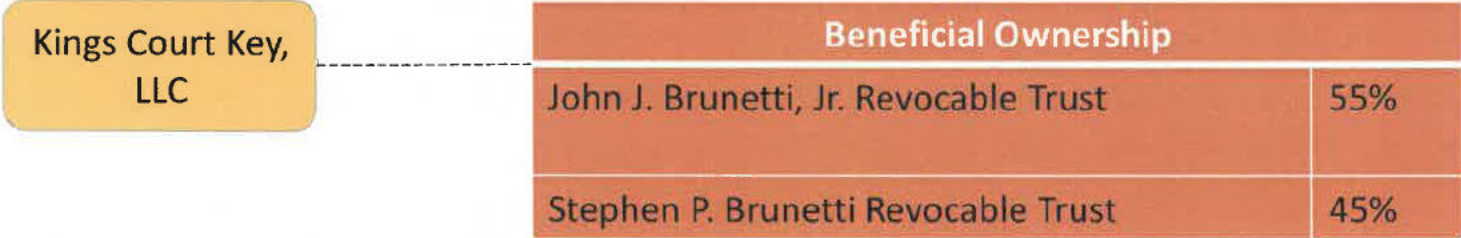
Aldercrest of Florida,
Inc.
(License 8818794)

Beneficial Ownership	
John J. Brunetti, Jr., President	46.764%
Stephen P. Brunetti, Vice President	45%
Brunetti 2021 Dynasty Trust – Beneficiary 1	2.7456%
Brunetti 2021 Dynasty Trust – Beneficiary 2	2.7456%
Brunetti 2021 Dynasty Trust – Beneficiary 3	2.7456%

Kings Court Key, LLC Before Changes

Kings Court Key, LLC	Beneficial Ownership	
	Mr. John J. Brunetti Jr.	20%
	Mr. Stephen P. Brunetti	20%
John J. Brunetti Sr. Revocable Trust	60%	

Kings Court Key, LLC After Changes



La'Kesha Jelks

From: La'Kesha Jelks
Sent: Wednesday, October 4, 2023 8:56 AM
To: Schlaffer, Paul; Jamie Pouncey
Subject: RE: Slot License Renewal for South Florida Racing Association

Categories: Slots

Greetings,

The Slots Renewal Application submitted for South Florida Racing Association, LLC (SM544) was received, however, the application remains incomplete for:

- ✓ Payment of the non-refundable \$2 million application fee and payment of the non-refundable \$250k regulatory fee for the Compulsive or Addictive Gambling Prevention Program as required by Section 551.118, FS.
- ✓ Surety Bond, per 551.103(1)(f), FS.
- ✓ Form 3195, Release of Information
- ✓ Organizational Chart for Bal Bay Holdings, LLC
 - Bal Bay Holdings, LLC may be required to apply for a cardroom/slots business license with our operations department. Bal Bay Holdings, LLC currently has a PMW business license, only. *Nov. agenda meeting*
- ✓ Disclosure of the applicant's internal control procedures required by Rule 75-14.058, Florida Administrative Code (Bill Crafts will provide the necessary documentation)

The items listed requires submission and/or correction to complete the renewal application. These items are also due on or before November 16, 2023, to ensure placement on the December 7, 2023 Florida Gaming Control Commission Meeting Agenda. If you have any questions regarding the deficiencies, please contact me at your earliest convenience.

Best,

La'Kesha Jelks



La'Kesha Jelks
Operations Review Specialist
Florida Gaming Control Commission
Pari-Mutuel Wagering
Phone: (850) 794-8114

The information contained in this transmission is intended solely for the use of the person(s) named herein. If you are not the intended recipient, you are hereby notified that any review, dissemination, distribution or duplication of this communication is strictly prohibited. If you are not the intended recipient, please contact me by reply e-mail and destroy all copies of the original message.

The State of Florida has a very broad public records law pursuant to Chapter 119, Florida Statutes. Most written communications to and from state officials regarding state business are public records, available to the public and media upon request. Therefore, your e-mail communications may be subject to public disclosure. LARGER VIEW

From: Schlaffer, Paul <pschlaffer@hialeahpark.com>
Sent: Thursday, September 21, 2023 6:58 PM



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2023 SEP 27 AM 11:11

FLORIDA GAMING
CONTROL COMMISSION

September 21, 2023

SEND VIA FEDEX

Ms. Jaime Pouncey
Program Administrator
Florida Gaming Control Commission
2601 Blair Stone Road,
Tallahassee, FL 32399-1035

Re: **2023 – 2024 Slot License Renewal for South Florida Racing Association**

Dear Ms. Pouncey:

Attached is our slot license renewal package from South Florida Racing Association, DBA Hialeah Park Racing & Casino. Please note:

1. Included are the original signed forms 3190 and 3405. The 3195 will be sent separately.
2. The facility has opted not to run live racing for the 2023 – 2024 license period.
3. Our current surety bond is valid thru December 31, 2023. The continuation certificate for 2024 will be sent via FedEx separately once received from the underwriter.
4. The list of business vendors, compulsive gambling program, equal opportunity policies, current internal controls, current Surveillance Plan, current Security Plan, and Floor Plan, have been sent via email.
5. There are no adverse factors affecting the application.

Sincerely,

Paul Schlaffer
Director of AML and Casino Compliance



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

INSTRUCTIONS		
This form is to be submitted in conjunction with Form DBPR PMW-3460, Authorization for Release of Information, and Form DBPR PMW-3190, Officers and Directors. All three forms must be submitted to the division one month prior to the expiration of the current slot machine license.		
ORGANIZATION INFORMATION		
Federal Employer ID Number: [REDACTED]		
Permitholder's Legal Name: South Florida Racing Association, LLC		
Doing Business As (D/B/A) Name: Hialeah Park Racing & Casino		
MAILING ADDRESS		
Street Address or P.O. Box: Post Office Box 158		
City: Hialeah	State: FL	Zip Code: 33011
County: Miami-Dade	Country: USA	
CONTACT INFORMATION		
Contact Name: Stephen R. Calabro		Title: Executive VP & GM Gaming Operations
Primary Phone Number: 786-615-5101	Primary E-Mail Address: scalabro@hialeahpark.com	
PHYSICAL ADDRESS		
Street Address: 100 East 32nd Street, 2nd Floor Executive Offices		
City: Hialeah	State: FL	Zip Code (+4 optional) 33013
County: Miami-Dade		
ADDITIONAL CONTACT INFORMATION		
Alternate Phone Number 609-457-6648	Fax Number 305-889-5397	
Alternate E-Mail Address		
SLOT MACHINE AREA INFORMATION		
Short Description of Physical Location of Slot Machine Area(s): Approximately 30,000 Square feet		
of gaming area within the existing area of Hialeah Park Race Course in Hialeah, FL.		
What is the number of slot machines you intend to operate during the license period? 803		
Name and license number of Slot Machine Operations Manager or Slot Machine Management Company: Stephen R. Calabro Lic# 8167486		
Name and address of the Custodian of Records in Florida: HIALEAH PARK RACING & CASINO 100 EAST 32ND STREET, HIALEAH FL 33013		

2023 SEP 27 AM 11:12
RECEIVED
FLORIDA GAMING CONTROL COMMISSION

List the dates and hours of slot machine operations:
Monday - Thursday 9:00am-4:00am; Friday & Saturday 24 hours, Sunday 9:00am - 4:00am

Does the applicant have any ownership interest in another Florida permit? If yes, disclose the name of the permit: _____	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Does the applicant have any ownership interest in a slot machine testing laboratory company? If yes, disclose the name of the testing laboratory: _____	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Does the applicant have any ownership interest in a slot machine manufacturer and/or distributor? If yes, disclose the name of the slot machine manufacturer/distributor: _____	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Has any other jurisdiction taken action regarding the applicant's gaming license? If yes, provide the name of the jurisdiction, the reason for the action, and the action taken: _____	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

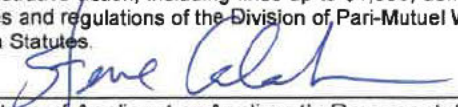
Additional requirements to be submitted to the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, Office of Operations, 1940 North Monroe Street, Tallahassee, Florida 32399-1037:

- 1) Submission of a list of all ownership interests of five percent or greater. If a corporation, the name of the state in which incorporated and the names and addresses of the officers, directors, and shareholders holding five percent or more equity or, if a business entity other than a corporation, the names and addresses of the principals, partners, shareholders or any other person holding five percent or more equity as described in Rule 61D-14.002(1)(d), (e) and (f), Florida Administrative Code (F.A.C.), on Form DBPR PMW-3190.
- 2) Submission of a copy of the binding written agreements between the facility and respective associations as required by Section 551.104(10), Florida Statutes.
- 3) Evidence of a current surety bond in the amount of \$2,000,000, payable to the Governor of the State of Florida, as specified in Section 551.103(1)(f), Florida Statutes.
- 4) Submission of a list of slot machine business occupational licensees (i.e., vendors, manufacturers, distributors, service companies, etc.) with whom you intend to do business.
- 5) Disclosure of any administrative, civil or criminal proceedings, and/or any judgments initiated by any governmental agency or any other state or federal agency that would affect the license status of the applicant or any affiliate of the applicant pursuant to Sections 550.054 and 550.1815, Florida Statutes.

Additional requirements to be submitted to the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, Office of Slot Operations, North Broward Regional Service Center, 1400 West Commercial Blvd., Suite 165, Ft. Lauderdale, Florida 33309:

- 1) Submission of the slot machine applicant's employee training plan for the Compulsive or Addictive Gambling Prevention Program.
- 2) A copy of the facility's written policy for creating opportunities to purchase from minority vendors in Florida, creating employment opportunities for minority residents in Florida, opportunities for construction services provided by minority contractors, and ensuring opportunities for employment are offered on an equal, nondiscriminatory basis.
- 3) A copy of the licensee's current internal controls.
- 4) A copy of the licensee's current Surveillance Plan and Security Plan.
- 5) A current version of the licensee's Floor Plan as described in Rule 61D-14.050, F.A.C.

2023 SEP 27 AM 11:12
 FLORIDA GAMING CONTROL COMMISSION

ATTEST STATEMENT	
Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.	
I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as if made under oath. To the best of my knowledge, all information contained on this application is true and correct. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida, pursuant to Section 551.107, Florida Statutes.	
 _____ Signature of Applicant or Applicant's Representative	9/6/2023 _____ Date



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

Please provide information on the partners, managers, officers, or directors for your business entity below.

ORGANIZATION NAME	
Name of Organization	South Florida Racing Association, LLC
	Permit # 544
D/B/A or Trade Name	Hialeah Park Racing & Casino

LIMITED LIABILITY CORPORATION QUESTIONS
If your corporation is a limited liability corporation (LLC), is the corporation member managed or manager managed? You can check your Articles of Incorporation for this information.
Member Managed <input checked="" type="checkbox"/> Manager Managed <input type="checkbox"/>

Please list below all Officers, Directors, Managers, and/or Shareholders with 5 percent or more interest in the business:

Attach additional sheets as necessary.

MANAGEMENT INFORMATION				
Last Name	First	Middle	Title	Suffix
Bal Bay Holdings, LLC				
Office Held	License #	Percentage of Ownership		
	13653253	100%		
RESIDENCE ADDRESS				
Street Address or P.O. Box P.O. Box 158				
City		State	Zip Code (+4 optional)	
Hialeah		FL	33011	
County (if Florida address)		Country		
Miami-Dade		USA		

MANAGEMENT INFORMATION				
Last Name	First	Middle	Title	Suffix
Office Held	License #	Percentage of Ownership		
RESIDENCE ADDRESS				
Street Address or P.O. Box				
City		State	Zip Code (+4 optional)	
County (if Florida address)		Country		

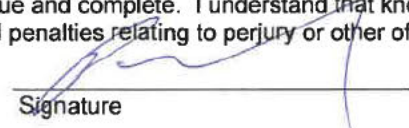
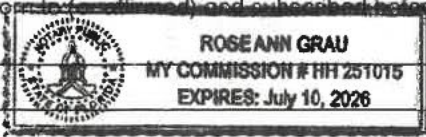
MANAGEMENT INFORMATION				
Last Name	First	Middle	Title	Suffix
Office Held	License #	Percentage of Ownership		
RESIDENCE ADDRESS				
Street Address or P.O. Box				
City	State	Zip Code (+4 optional)		
County (if Florida address)	Country			

MANAGEMENT INFORMATION				
Last Name	First	Middle	Title	Suffix
Office Held	License #	Percentage of Ownership		
RESIDENCE ADDRESS				
Street Address or P.O. Box				
City	State	Zip Code (+4 optional)		
County (if Florida address)	Country			

MANAGEMENT INFORMATION				
Last Name	First	Middle	Title	Suffix
Office Held	License #	Percentage of Ownership		
RESIDENCE ADDRESS				
Street Address or P.O. Box				
City	State	Zip Code (+4 optional)		
County (if Florida address)	Country			

FLORIDA GAMING CONTROL COMMISSION

2023 SEP 27 AM 11:13

OATH			
I swear or affirm that the information provided in this application is true and complete. I understand that knowingly providing false information on this application could subject the applicant to criminal penalties relating to perjury or other offenses.			
Paul Schlaffer	Director of AML/Casino Compliance & Internal Audit		7-11-2023
Name (Please Print)	Title (Please Print)	Signature	Date
State of Florida, County of <u>Dade</u>			
Sworn to (and affirmed) and subscribed before me this <u>11th</u> day of <u>September</u> , 20 <u>23</u> .			
		, who is personally known to me or produced the following as identification:	
Notary Public My Commission Expires: <u>Rose Ann Grau</u> 7-10-26			

ORIGIN ID:OPFA (786) 615-5111
PAUL SCHLAFFER
HIALEAH PARK & RACE TRACK
100 EAST 32ND STREET
EXECUTIVE OFFICES, 2ND FLOOR
HIALEAH, FL 33013
UNITED STATES US

SHIP DATE: 22SEP23
ACTWGT:
CAD: 3953381/INET4640
BILL SENDER

TO **JAIME PONCEY**
FLORIDA GAMING CONTROL COMMISSION
2601 BLAIR STONE ROAD

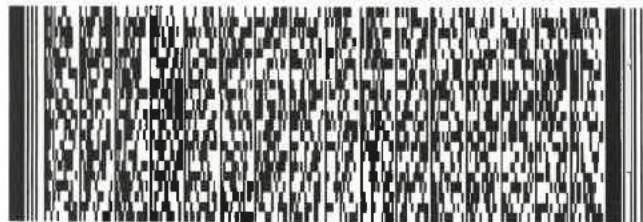
583.46E359AES

TALLAHASSEE FL 32399

(850) 717-7073
INV:
PO

REF:

DEPT:



FedEx
Express



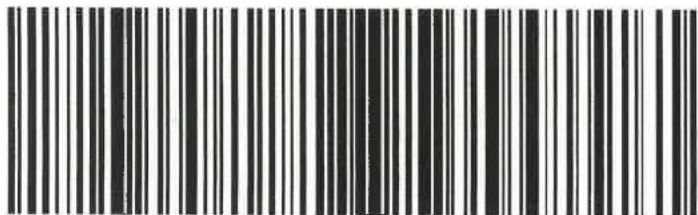
423128721010

MON - 25 SEP 5:00P
STANDARD OVERNIGHT

TRK#
0201 **7734 9783 3745**

XH TLHA

32399
FL-US **TLH**



After printing this label:

1. Use the 'Print' button on this page to print your label to your laser or inkjet printer.
2. Fold the printed page along the horizontal line.
3. Place label in shipping pouch and affix it to your shipment so that the barcode portion of the label can be read and scanned.

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FLORIDA GAMING
CONTROL COMMISSION

2023 SEP 27 AM 11:12

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La'Kesha Jelks

From: Schlaffer, Paul <pschlaffer@hialeahpark.com>
Sent: Thursday, September 21, 2023 6:58 PM
To: Jamie Pouncey; La'Kesha Jelks
Subject: Slot License Renewal for South Florida Racing Association
Attachments: DBPRPMW-3190-E (Signed 2023-2024).pdf; DBPRPMW-3405-E (Signed 2023-2024).pdf; SFRA Slot Business Vendors.pdf; Equal Opportunity Policies.pdf; Responsible Gambling Training Policy.pdf; HP Slots Internal Controls (Final Approved April 18, 2023).pdf; HP Security Manual (Final - November 18, 2022).pdf; Surveillance Manual (Final November 18, 2022).pdf; Hialeah Slots 9-5-2023 Floor Map.pdf; Cover Letter (Hialeah Park 2023-2024).pdf

Good Evening,

Attached are the Slot license renewal forms and information for Hialeah Park. The original 3405 & 3190 will be sent via FedEx to your attention, tracking 7734 9783 3745. A 3195 will be sent separately.

Have a good weekend,

Paul Schlaffer
Director of AML/Casino Compliance & Internal Audit
Hialeah Park Racing & Casino
100 E. 32nd Street
Hialeah, FL 33013
Office: (786) 615-5111

This e-mail, and any attachments thereto, is intended only for use by the addressee(s) named herein or entity named above and may contain legally privileged and/or confidential and regulated information. Accessing information in this email, and any attachments thereto, may require the recipient to hold a slot license in accordance with Florida Statute Chapter 551. Any recipient accessing, reviewing or using information subject to Chapter 551 attests that they hold a valid slot license. If you are not licensed or not the intended recipient of this e-mail, you are hereby notified that any review, use, dissemination, distribution or copying of this e-mail, and any attachments thereto, is strictly prohibited and may be a violation under Florida Statute Chapter 551. If you have received this e-mail in error, please immediately notify me and permanently delete the original and any copy of any e-mail and any printout thereof.



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[Filing History](#)

Fictitious Name Detail

Fictitious Name

HIALEAH PARK RACING & CASINO

Filing Information

Registration Number G13000062398
Status ACTIVE
Filed Date 06/20/2013
Expiration Date 12/31/2028
Current Owners 1
County MIAMI-DADE
Total Pages 3
Events Filed 2
FEI/EIN Number [REDACTED]

Mailing Address

PO BOX 158
HIALEAH, FL 33011

Owner Information

SOUTH FLORIDA RACING ASSOCIATION, LLC
2200 EAST 4TH AVENUE
HIALEAH, FL 33011
FEI/EIN Number: [REDACTED]
Document Number: L08000096700

Document Images

[06/20/2013 -- Fictitious Name Filing](#)

[View image in PDF format](#)

[08/31/2023 -- Fictitious Name Renewal Filing](#)

[View image in PDF format](#)

[07/31/2018 -- Fictitious Name Renewal Filing](#)

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[Filing History](#)



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[Filing History](#)

Fictitious Name Detail

Fictitious Name

HIALEAH PARK

Filing Information

Registration Number G09000124443
Status ACTIVE
Filed Date 06/22/2009
Expiration Date 12/31/2024
Current Owners 3
County MIAMI-DADE
Total Pages 4
Events Filed 2
FEI/EIN Number NONE

Mailing Address

P.O. BOX 158
 HIALEAH, FL 33011

Owner Information

SOUTH FLORIDA RACING ASSOCIATION, LLC
 2200 EAST 4TH AVENUE
 HIALEAH, FL 33013
FEI/EIN Number: [REDACTED]
Document Number: L08000096700

HIALEAH RACING ASSOCIATION, LLC
 2200 EAST 4TH AVENUE
 HIALEAH, FL 33013
FEI/EIN Number: [REDACTED]
Document Number: L00000007187

HIALEAH, INC.
 2200 EAST 4TH AVENUE
 HIALEAH, FL 33013
FEI/EIN Number: [REDACTED]
Document Number: 528438

Document Images

[06/22/2009 -- Fictitious Name Filing](#)

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[08/21/2019 -- Fictitious Name Renewal Filing](#)

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[09/04/2014 -- Fictitious Name Renewal Filing](#)

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No Filing History

Fictitious Name Detail

Fictitious Name

HIALEAH PARK CASINO

Filing Information

Registration Number G23000103008
Status ACTIVE
Filed Date 08/31/2023
Expiration Date 12/31/2028
Current Owners 1
County MULTIPLE
Total Pages 1
Events Filed NONE
FEI/EIN Number NONE

Mailing Address

PO BOX 158
HIALEAH, FL 33011

Owner Information

SOUTH FLORIDA RACING ASSOCIATION, LLC
2200 EAST 4TH AVENUE
HIALEAH, FL 33013
FEI/EIN Number: [REDACTED]
Document Number: L08000096700

Document Images

[08/31/2023 -- Fictitious Name Filing](#)

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No Filing History



September 21, 2023

SEND VIA FEDEX

Ms. Jaime Pouncey
Program Administrator
Florida Gaming Control Commission
2601 Blair Stone Road,
Tallahassee, FL 32399-1035

Re: **2023 – 2024 Slot License Renewal for South Florida Racing Association**

Dear Ms. Pouncey:

Attached is our slot license renewal package from South Florida Racing Association, DBA Hialeah Park Racing & Casino. Please note:

1. Included are the original signed forms 3190 and 3405. The 3195 will be sent separately.
2. The facility has opted not to run live racing for the 2023 – 2024 license period.
3. Our current surety bond is valid thru December 31, 2023. The continuation certificate for 2024 will be sent via FedEx separately once received from the underwriter.
4. The list of business vendors, compulsive gambling program, equal opportunity policies, current internal controls, current Surveillance Plan, current Security Plan, and Floor Plan, have been sent via email.
5. There are no adverse factors affecting the application.

Sincerely,

Paul Schlaffer
Director of AML and Casino Compliance



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
 www.myfloridalicense.com

INSTRUCTIONS		
This form is to be submitted in conjunction with Form DBPR PMW-3460, Authorization for Release of Information, and Form DBPR PMW-3190, Officers and Directors. All three forms must be submitted to the division one month prior to the expiration of the current slot machine license.		
ORGANIZATION INFORMATION		
Federal Employer ID Number: ██████████		
Permitholder's Legal Name: South Florida Racing Association, LLC		
Doing Business As (D/B/A) Name: Hialeah Park Racing & Casino		
MAILING ADDRESS		
Street Address or P.O. Box: Post Office Box 158		
City: Hialeah	State: FL	Zip Code: 33011
County: Miami-Dade	Country: USA	
CONTACT INFORMATION		
Contact Name: Stephen R. Calabro	Title: Executive VP & GM Gaming Operations	
Primary Phone Number: 786-615-5101	Primary E-Mail Address: scalabro@hialeahpark.com	
PHYSICAL ADDRESS		
Street Address: 100 East 32nd Street, 2nd Floor Executive Offices		
City: Hialeah	State: FL	Zip Code (+4 optional) 33013
County: Miami-Dade		
ADDITIONAL CONTACT INFORMATION		
Alternate Phone Number 609-457-6648	Fax Number 305-889-5397	
Alternate E-Mail Address		
SLOT MACHINE AREA INFORMATION		
Short Description of Physical Location of Slot Machine Area(s): Approximately 30,000 Square feet		
of gaming area within the existing area of Hialeah Park Race Course in Hialeah, FL.		
What is the number of slot machines you intend to operate during the license period? 803		
Name and license number of Slot Machine Operations Manager or Slot Machine Management Company: Stephen R. Calabro Lic# 8167486		
Name and address of the Custodian of Records in Florida: HIALEAH PARK RACING & CASINO 100 EAST 32ND STREET, HIALEAH FL 33013		

South Florida Racing Association, LLC

License		
Fed Tax # [REDACTED]	Lic Type 1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo	Expires On 06/30/2024
File # 881	Name CALABRO, STEPHEN R	Extended To
License # 8167486	Rank SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo	Renewed On 04/21/2021
Entity # 8167486	Lic Status Current	
Address		
Street #	Street	
Line 2		
Line 3		
City FORT LAUDERDALE	State FL	Zip 33306
	Routing	
Other		
1st License Date 05/26/2011	Rank Date 04/21/2021	Certificate #
Method I-S-1020	Status Date 12/05/2012	Certificate Date
Fee Exempt No	Birth Date ' / /	Renewal Sent

List the dates and hours of slot machine operations:

Monday - Thursday 9:00am-4:00am; Friday & Saturday 24 hours, Sunday 9:00am - 4:00am

Does the applicant have any ownership interest in another Florida permit? If yes, disclose the name of the permit: _____	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
Does the applicant have any ownership interest in a slot machine testing laboratory company? If yes, disclose the name of the testing laboratory: _____	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
Does the applicant have any ownership interest in a slot machine manufacturer and/or distributor? If yes, disclose the name of the slot machine manufacturer/distributor: _____	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
Has any other jurisdiction taken action regarding the applicant's gaming license? If yes, provide the name of the jurisdiction, the reason for the action, and the action taken: _____	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>

Additional requirements to be submitted to the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, Office of Operations, 1940 North Monroe Street, Tallahassee, Florida 32399-1037:

- 1) Submission of a list of all ownership interests of five percent or greater. If a corporation, the name of the state in which incorporated and the names and addresses of the officers, directors, and shareholders holding five percent or more equity or, if a business entity other than a corporation, the names and addresses of the principals, partners, shareholders or any other person holding five percent or more equity as described in Rule 61D-14.002(1)(d), (e) and (f), Florida Administrative Code (F.A.C.), on Form DBPR PMW-3190.
- 2) Submission of a copy of the binding written agreements between the facility and respective associations as required by Section 551.104(10), Florida Statutes.
- 3) Evidence of a current surety bond in the amount of \$2,000,000, payable to the Governor of the State of Florida, as specified in Section 551.103(1)(f), Florida Statutes.
- 4) Submission of a list of slot machine business occupational licensees (i.e., vendors, manufacturers, distributors, service companies, etc.) with whom you intend to do business.
- 5) Disclosure of any administrative, civil or criminal proceedings, and/or any judgments initiated by any governmental agency or any other state or federal agency that would affect the license status of the applicant or any affiliate of the applicant pursuant to Sections 550.054 and 550.1815, Florida Statutes.

Additional requirements to be submitted to the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, Office of Slot Operations, North Broward Regional Service Center, 1400 West Commercial Blvd., Suite 165, Ft. Lauderdale, Florida 33309:

- 1) Submission of the slot machine applicant's employee training plan for the Compulsive or Addictive Gambling Prevention Program.
- 2) A copy of the facility's written policy for creating opportunities to purchase from minority vendors in Florida, creating employment opportunities for minority residents in Florida, opportunities for construction services provided by minority contractors, and ensuring opportunities for employment are offered on an equal, nondiscriminatory basis.
- 3) A copy of the licensee's current internal controls.
- 4) A copy of the licensee's current Surveillance Plan and Security Plan.
- 5) A current version of the licensee's Floor Plan as described in Rule 61D-14.050, F.A.C.

ATTEST STATEMENT

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as if made under oath. To the best of my knowledge, all information contained on this application is true and correct. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida, pursuant to Section 551.107, Florida Statutes.

Signature of Applicant or Applicant's Representative

Date

Steve Calah _____ 9/6/2023



FLORIDA GAMING CONTROL COMMISSION

STATE OF FLORIDA
 DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
 DIVISION OF PARI-MUTUEL WAGERING
 www.myfloridalicense.com

PERSONAL INFORMATION					
Social Security Number/Federal Employer ID Number					
IF INDIVIDUAL APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION					
Last Name	First	Middle	Title	Suffix	
Birth Date (MM/DD/YYYY)					
IF BUSINESS APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION					
Representative's Name	Last Brunetti	First John	Middle Joseph	Title Mr.	Suffix Jr.
Permitholder Name South Florida Racing Association, LLC D/B/A: Hialeah Park Racing & Casino					
Official Capacity President & CEO					
ATTEST STATEMENT					
<p>I, <u>John Joseph Brunetti, Jr.</u>, do hereby instruct all law enforcement (name of applicant/representative) or criminal justice agencies, present and former employers or institutions with whom I or my businesses have a present or past business relationship, as well as all present or past social associates to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Department of Business and Professional Regulation.</p> <p>I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Additionally, I do release such individuals or entities from any and all liability due to the release of information requested.</p> <p><u>John Joseph Brunetti, Jr.</u> (if individual applicant - legal name and any nickname or alias in parentheses)</p> <p>Applicant/Representative Signature: <u>[Signature]</u> Date: <u>10-11-23</u></p>					
NOTARIZATION					
The foregoing application was sworn to and subscribed before me this <u>11</u> Day of <u>Oct</u> , 20 <u>23</u> , by <u>John J. Brunetti, Jr.</u> , <u>[Signature]</u> Type or print name of applicant Signature of applicant					
who is <u>personally known</u> to me or who has produced the following as identification.					
Type of identification <u>[Signature]</u> Signature of person taking acknowledgment Notary Seal (Rubber Stamp and Expiration)					



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

Please provide information on the partners, managers, officers, or directors for your business entity below.

ORGANIZATION NAME	
Name of Organization South Florida Racing Association, LLC	Permit # 544
D/B/A or Trade Name Hialeah Park Racing & Casino	

LIMITED LIABILITY CORPORATION QUESTIONS
If your corporation is a limited liability corporation (LLC), is the corporation member managed or manager managed? You can check your Articles of Incorporation for this information. Member Managed <input checked="" type="checkbox"/> Manager Managed <input type="checkbox"/>

Please list below all Officers, Directors, Managers, and/or Shareholders with 5 percent or more interest in the business:

Attach additional sheets as necessary.

MANAGEMENT INFORMATION				
Last Name Bal Bay Holdings, LLC	First	Middle	Title	Suffix
Office Held	License # 13653253	Percentage of Ownership 100%		
RESIDENCE ADDRESS				
Street Address or P.O. Box P.O. Box 158				
City Hialeah		State FL	Zip Code (+4 optional) 33011	
County (if Florida address) Miami-Dade		Country USA		

MANAGEMENT INFORMATION				
Last Name	First	Middle	Title	Suffix
Office Held	License #	Percentage of Ownership		
RESIDENCE ADDRESS				
Street Address or P.O. Box				
City		State	Zip Code (+4 optional)	
County (if Florida address)		Country		

South Florida Racing Association, LLC

License		
Fed Tax # [REDACTED]	Lic Type 1020 - Pari-Mutuel Business Occupational	Expires On 06/30/2026
File # 13293	Name BAL BAY HOLDINGS LLC	Extended To
License # 13653253	Rank PBUS - Pari-Mutuel Business Occupational	Renewed On
Entity # 13653253	Lic Status Current	

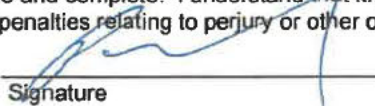
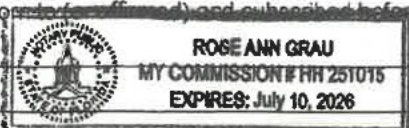
Address		
Street #	Street POST OFFICE BOX 158	
Line 2		
Line 3		
City HIALEAH	State FL	Zip 33011
	Routing	

Other		
1st License Date 07/06/2023	Rank Date 07/06/2023	Certificate #
Method I-S-1020	Status Date 07/06/2023	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

MANAGEMENT INFORMATION				
Last Name	First	Middle	Title	Suffix
Office Held	License #		Percentage of Ownership	
RESIDENCE ADDRESS				
Street Address or P.O. Box				
City		State	Zip Code (+4 optional)	
County (if Florida address)		Country		

MANAGEMENT INFORMATION				
Last Name	First	Middle	Title	Suffix
Office Held	License #		Percentage of Ownership	
RESIDENCE ADDRESS				
Street Address or P.O. Box				
City		State	Zip Code (+4 optional)	
County (if Florida address)		Country		

MANAGEMENT INFORMATION				
Last Name	First	Middle	Title	Suffix
Office Held	License #		Percentage of Ownership	
RESIDENCE ADDRESS				
Street Address or P.O. Box				
City		State	Zip Code (+4 optional)	
County (if Florida address)		Country		

OATH			
I swear or affirm that the information provided in this application is true and complete. I understand that knowingly providing false information on this application could subject the applicant to criminal penalties relating to perjury or other offenses.			
Paul Schlaffer	Director of AML/Casino Compliance & Internal Audit		7-11-2023
Name (Please Print)	Title (Please Print)	Signature	Date
State of Florida, County of <u>Dade</u>			
Sworn to (and affirmed) and subscribed before me this <u>11th</u> day of <u>September</u> , 20 <u>23</u> .			
 _____, who is personally known to me or produced the following as identification:			
Notary Public My Commission Expires: <u>Rose Ann Grau</u> 7-10-26			

La'Kesha Jelks

From: Schlaffer, Paul <pschlaffer@hialeahpark.com>
Sent: Wednesday, November 1, 2023 5:07 PM
To: Jamie Pouncey; La'Kesha Jelks
Subject: Bond Renewals for South Florida Racing Association
Attachments: Surety Bond SFRA Pari-Mutuel LPM8966220 (November 28th 2023 - November 28th, 2024).pdf; Surety Bond SFRA Slot LPM9029453 (January 1, 2024 - January 1, 2025).pdf

Good Afternoon,

Attached are the continuation certificates for both the Pari-Mutuel and Slot bonds. Note, the obligee has been amended. The originals will be sent via FedEx, tracking 7739 4403 4224.

Best,

*Paul Schlaffer
Director of AML/Casino Compliance & Internal Audit
Hialeah Park Racing & Casino
100 E. 32nd Street
Hialeah, FL 33013
Office: (786) 615-5111*

This e-mail, and any attachments thereto, is intended only for use by the addressee(s) named herein or entity named above and may contain legally privileged and/or confidential and regulated information. Accessing information in this email, and any attachments thereto, may require the recipient to hold a slot license in accordance with Florida Statute Chapter 551. Any recipient accessing, reviewing or using information subject to Chapter 551 attests that they hold a valid slot license. If you are not licensed or not the intended recipient of this e-mail, you are hereby notified that any review, use, dissemination, distribution or copying of this e-mail, and any attachments thereto, is strictly prohibited and may be a violation under Florida Statute Chapter 551. If you have received this e-mail in error, please immediately notify me and permanently delete the original and any copy of any e-mail and any printout thereof.



Fidelity and Deposit Company of Maryland

Home Office: P.O. Box 1227, Baltimore, MD 21203-1227

Bond No [REDACTED]

RIDER

To be attached to and form a part of **Pari-Mutuel Wagering License & Permit** Bond No [REDACTED] ISSUED by the **FIDELITY AND DEPOSIT COMPANY OF MARYLAND**, as Surety, on behalf of **South Florida Racing Association** as Principal, in the penal sum of **Fifty Thousand Dollars (\$50,000.00)** and in favor of the **Governor of the State of Florida**.

In consideration of the premium charged for the attached bond, it is hereby agreed that the bond be amended as follows:

Amend Obligee to read:

From: Governor of the State of Florida

To: The Governor of the State of Florida as Obligee, and his or her successor in office


Further, we do hereby continue the said bond in force for the further term of one (1) Year beginning on the **28th day of November 2023** and ending on the **28th day of November 2024**.

Provided, However, that the attached bond shall be subject to all its agreements, limitations, and conditions except as herein expressly modified, and further that the liability of the Surety under the attached bond and the attached bond as amended by this rider shall not be cumulative.

This rider shall become effective as of the **28th** day of **November 2023**

Signed, sealed, and dated this **23rd** day of October **2023**

ATTEST:



Sylvia M. Martinez

South Florida Racing Association



Principal

ACCEPTED:

FIDELITY AND DEPOSIT COMPANY OF MARYLAND

By: 

Donna M. Chiancone, Attorney-in-Fac:

**ZURICH AMERICAN INSURANCE COMPANY
COLONIAL AMERICAN CASUALTY AND SURETY COMPANY
FIDELITY AND DEPOSIT COMPANY OF MARYLAND
POWER OF ATTORNEY**

KNOW ALL MEN BY THESE PRESENTS: That the ZURICH AMERICAN INSURANCE COMPANY, a corporation of the State of New York, the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, a corporation of the State of Illinois, and the FIDELITY AND DEPOSIT COMPANY OF MARYLAND a corporation of the State of Illinois (herein collectively called the "Companies"), by **Robert D. Murray, Vice President**, in pursuance of authority granted by Article V, Section 8, of the By-Laws of said Companies, which are set forth on the reverse side hereof and are hereby certified to be in full force and effect on the date hereof, do hereby nominate, constitute, and appoint, **Travis W. SHAFFER, Lori N. BAYBUTT, Donna M. CHIANCONE and Laurie KURTZ, all of Philadelphia, Pennsylvania, EACH**, its true and lawful agent and Attorney-in-Fact, to make, execute, seal and deliver, for, and on its behalf as surety, and as its act and deed: any and all bonds and undertakings, and the execution of such bonds or undertakings in pursuance of these presents, shall be as binding upon said Companies, as fully and amply, to all intents and purposes, as if they had been duly executed and acknowledged by the regularly elected officers of the ZURICH AMERICAN INSURANCE COMPANY at its office in New York, New York., the regularly elected officers of the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY at its office in Owings Mills, Maryland., and the regularly elected officers of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND at its office in Owings Mills, Maryland, in their own proper persons.

The said Vice President does hereby certify that the extract set forth on the reverse side hereof is a true copy of Article V, Section 8, of the By-Laws of said Companies and is now in force.

IN WITNESS WHEREOF, the said Vice-President has hereunto subscribed his/her names and affixed the Corporate Seals of the said ZURICH AMERICAN INSURANCE COMPANY, COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, and FIDELITY AND DEPOSIT COMPANY OF MARYLAND, this 13th day of March, A.D. 2020.



**ATTEST:
ZURICH AMERICAN INSURANCE COMPANY
COLONIAL AMERICAN CASUALTY AND SURETY COMPANY
FIDELITY AND DEPOSIT COMPANY OF MARYLAND**

By: *Robert D. Murray*
Vice President

By: *Dawn E. Brown*
Secretary

State of Maryland
County of Baltimore

On this 13th day of March, A.D. 2020, before the subscriber, a Notary Public of the State of Maryland, duly commissioned and qualified, **Robert D. Murray, Vice President and Dawn E. Brown, Secretary** of the Companies, to me personally known to be the individuals and officers described in and who executed the preceding instrument, and acknowledged the execution of same, and being by me duly sworn, depose and saith, that he/she is the said officer of the Company aforesaid, and that the seals affixed to the preceding instrument are the Corporate Seals of said Companies, and that the said Corporate Seals and the signature as such officer were duly affixed and subscribed to the said instrument by the authority and direction of the said Corporations.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my Official Seal the day and year first above written.



Constance A. Dunn, Notary Public
My Commission Expires: July 9, 2023

EXTRACT FROM BY-LAWS OF THE COMPANIES

"Article V, Section 8, Attorneys-in-Fact. The Chief Executive Officer, the President, or any Executive Vice President or Vice President may, by written instrument under the attested corporate seal, appoint attorneys-in-fact with authority to execute bonds, policies, recognizances, stipulations, undertakings, or other like instruments on behalf of the Company, and may authorize any officer or any such attorney-in-fact to affix the corporate seal thereto; and may with or without cause modify or revoke any such appointment or authority at any time."

CERTIFICATE

I, the undersigned, Secretary of the ZURICH AMERICAN INSURANCE COMPANY, the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, and the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, do hereby certify that the foregoing Power of Attorney is still in full force and effect on the date of this certificate; and I do further certify that Article V, Section 8, of the By-Laws of the Companies is still in force.

This Power of Attorney and Certificate may be signed by facsimile under and by authority of the following resolution of the Board of Directors of the ZURICH AMERICAN INSURANCE COMPANY at a meeting duly called and held on the 15th day of December 1998.

RESOLVED: "That the signature of the President or a Vice President and the attesting signature of a Secretary or an Assistant Secretary and the Seal of the Company may be affixed by facsimile on any Power of Attorney...Any such Power or any certificate thereof bearing such facsimile signature and seal shall be valid and binding on the Company."

This Power of Attorney and Certificate may be signed by facsimile under and by authority of the following resolution of the Board of Directors of the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY at a meeting duly called and held on the 5th day of May, 1994, and the following resolution of the Board of Directors of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND at a meeting duly called and held on the 10th day of May, 1990.

RESOLVED: "That the facsimile or mechanically reproduced seal of the company and facsimile or mechanically reproduced signature of any Vice-President, Secretary, or Assistant Secretary of the Company, whether made heretofore or hereafter, wherever appearing upon a certified copy of any power of attorney issued by the Company, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

IN TESTIMONY WHEREOF, I have hereunto subscribed my name and affixed the corporate seals of the said Companies, this 23rd day of October 2023



Brian M. Hodges

By: Brian M. Hodges
Vice President

TO REPORT A CLAIM WITH REGARD TO A SURETY BOND, PLEASE SUBMIT A COMPLETE DESCRIPTION OF THE CLAIM INCLUDING THE PRINCIPAL ON THE BOND, THE BOND NUMBER, AND YOUR CONTACT INFORMATION TO:

Zurich Surety Claims
1299 Zurich Way
Schaumburg, IL 60196-1056
www.reportsfclaims@zurichna.com
800-626-4577

THE FIDELITY AND DEPOSIT COMPANY

OF MARYLAND
1299 Zurich Way Schaumburg, IL 60196

Statement of Financial Condition As Of December 31, 2022

ASSETS	
Bonds.....	\$ 219,365,765
Stocks.....	17,619,752
Cash and Short-Term Investments.....	2,767,685
Reinsurance Recoverable.....	12,309,422
Federal Income Tax Recoverable.....	0
Other Accounts Receivable.....	32,200,768
TOTAL ADMITTED ASSETS.....	\$ 284,263,392

LIABILITIES, SURPLUS AND OTHER FUNDS	
Reserve for Taxes and Expenses.....	\$ 545,031
Ceded Reinsurance Premiums Payable.....	42,850,834
Remittances and Items Unallocated.....	0
Payable to parents, subs and affiliates.....	0
Securities Lending Collateral Liability.....	0
TOTAL LIABILITIES.....	\$ 43,395,865
Capital Stock, Paid Up.....	\$ 5,000,000
Surplus.....	240,867,527
Surplus as regards Policyholders.....	240,867,527
TOTAL.....	\$ 284,263,392

Securities carried at \$78,455,274 in the above statement are deposited with various states as required by law.

Securities carried on the basis prescribed by the National Association of Insurance Commissioners. On the basis of market quotations for all bonds and stocks owned, the Company's total admitted assets at December 31, 2022 would be \$266,908,407 and surplus as regards policyholders \$223,512,542.

I, LAURA J. LAZARCZYK, Corporate Secretary of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, do hereby certify that the foregoing statement is a correct exhibit of the assets and liabilities of the said Company on the 31st day of December, 2022.

Executed by
Laura J. Lazarczyk

Corporate Secretary

State of Illinois }
City of Schaumburg } SS:

Subscribed and sworn to, before me, a Notary Public of the State of Illinois, in the City of Schaumburg, this 15th day of March, 2023.



Ryan Horgan

Notary Public



Fidelity and Deposit Company of Maryland

Home Office: P.O. Box 1227, Baltimore, MD 21203-1227

Bond No [REDACTED]

RIDER

To be attached to and form a part of Florida Slot Machine License Bond No. [REDACTED] ISSUED by the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, as Surety, on behalf of South Florida Racing Association as Principal, in the penal sum of Two Million Dollars (\$2,000,000.00) and in favor of the Governor of the State of Florida.

In consideration of the premium charged for the attached bond, it is hereby agreed that the bond be amended as follows:

Amend Obligee to read:

From: Governor of the State of Florida

To: The Governor of the State of Florida as Obligee, and his or her successor in office

Further, we do hereby continue the said bond in force for the further term of one (1) Year beginning on the 1st day of January 2024 and ending on the 1st day of January 2025.

Provided, However, that the attached bond shall be subject to all its agreements, limitations, and conditions except as herein expressly modified, and further that the liability of the Surety under the attached bond and the attached bond as amended by this rider shall not be cumulative.

This rider shall become effective as of the 1st day of January 2024

Signed, sealed, and dated this 23rd day of October 2023

ATTEST:

[Signature]
Sylvia M. Martinez

South Florida Racing Association

[Signature]
Principal

ACCEPTED:

FIDELITY AND DEPOSIT COMPANY OF MARYLAND

By: [Signature]
Donna M. Chiancone, Attorney-in-Fact

**ZURICH AMERICAN INSURANCE COMPANY
COLONIAL AMERICAN CASUALTY AND SURETY COMPANY
FIDELITY AND DEPOSIT COMPANY OF MARYLAND
POWER OF ATTORNEY**

KNOW ALL MEN BY THESE PRESENTS: That the ZURICH AMERICAN INSURANCE COMPANY, a corporation of the State of New York, the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, a corporation of the State of Illinois, and the FIDELITY AND DEPOSIT COMPANY OF MARYLAND a corporation of the State of Illinois (herein collectively called the "Companies"), by **Robert D. Murray, Vice President**, in pursuance of authority granted by Article V, Section 8, of the By-Laws of said Companies, which are set forth on the reverse side hereof and are hereby certified to be in full force and effect on the date hereof, do hereby nominate, constitute, and appoint, **Travis W. SHAFFER, Lori N. BAYBUTT, Donna M. CHIANCONE and Laurie KURTZ, all of Philadelphia, Pennsylvania, EACH**, its true and lawful agent and Attorney-in-Fact, to make, execute, seal and deliver, for, and on its behalf as surety, and as its act and deed: any and all bonds and undertakings, and the execution of such bonds or undertakings in pursuance of these presents, shall be as binding upon said Companies, as fully and amply, to all intents and purposes, as if they had been duly executed and acknowledged by the regularly elected officers of the ZURICH AMERICAN INSURANCE COMPANY at its office in New York, New York., the regularly elected officers of the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY at its office in Owings Mills, Maryland., and the regularly elected officers of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND at its office in Owings Mills, Maryland, in their own proper persons.

The said Vice President does hereby certify that the extract set forth on the reverse side hereof is a true copy of Article V, Section 8, of the By-Laws of said Companies and is now in force.

IN WITNESS WHEREOF, the said Vice-President has hereunto subscribed his/her names and affixed the Corporate Seals of the said **ZURICH AMERICAN INSURANCE COMPANY, COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, and FIDELITY AND DEPOSIT COMPANY OF MARYLAND**, this 13th day of March, A.D. 2020.



**ATTEST:
ZURICH AMERICAN INSURANCE COMPANY
COLONIAL AMERICAN CASUALTY AND SURETY COMPANY
FIDELITY AND DEPOSIT COMPANY OF MARYLAND**

By: *Robert D. Murray*
Vice President

By: *Dawn E. Brown*
Secretary

**State of Maryland
County of Baltimore**

On this 13th day of March, A.D. 2020, before the subscriber, a Notary Public of the State of Maryland, duly commissioned and qualified, **Robert D. Murray, Vice President and Dawn E. Brown, Secretary** of the Companies, to me personally known to be the individuals and officers described in and who executed the preceding instrument, and acknowledged the execution of same, and being by me duly sworn, depose and saith, that he/she is the said officer of the Company aforesaid, and that the seals affixed to the preceding instrument are the Corporate Seals of said Companies, and that the said Corporate Seals and the signature as such officer were duly affixed and subscribed to the said instrument by the authority and direction of the said Corporations.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my Official Seal the day and year first above written.



Constance A. Dunn, Notary Public
My Commission Expires: July 9, 2023

EXTRACT FROM BY-LAWS OF THE COMPANIES

"Article V, Section 8, Attorneys-in-Fact. The Chief Executive Officer, the President, or any Executive Vice President or Vice President may, by written instrument under the attested corporate seal, appoint attorneys-in-fact with authority to execute bonds, policies, recognizances, stipulations, undertakings, or other like instruments on behalf of the Company, and may authorize any officer or any such attorney-in-fact to affix the corporate seal thereto; and may with or without cause modify of revoke any such appointment or authority at any time."

CERTIFICATE

I, the undersigned, Secretary of the ZURICH AMERICAN INSURANCE COMPANY, the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, and the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, do hereby certify that the foregoing Power of Attorney is still in full force and effect on the date of this certificate; and I do further certify that Article V, Section 8, of the By-Laws of the Companies is still in force.

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RESOLVED: "That the signature of the President or a Vice President and the attesting signature of a Secretary or an Assistant Secretary and the Seal of the Company may be affixed by facsimile on any Power of Attorney...Any such Power or any certificate thereof bearing such facsimile signature and seal shall be valid and binding on the Company."

This Power of Attorney and Certificate may be signed by facsimile under and by authority of the following resolution of the Board of Directors of the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY at a meeting duly called and held on the 5th day of May, 1994, and the following resolution of the Board of Directors of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND at a meeting duly called and held on the 10th day of May, 1990.

RESOLVED: "That the facsimile or mechanically reproduced seal of the company and facsimile or mechanically reproduced signature of any Vice-President, Secretary, or Assistant Secretary of the Company, whether made heretofore or hereafter, wherever appearing upon a certified copy of any power of attorney issued by the Company, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

IN TESTIMONY WHEREOF, I have hereunto subscribed my name and affixed the corporate seals of the said Companies, this 23rd day of October 2023



Brian M. Hodges

By: Brian M. Hodges
Vice President

TO REPORT A CLAIM WITH REGARD TO A SURETY BOND, PLEASE SUBMIT A COMPLETE DESCRIPTION OF THE CLAIM INCLUDING THE PRINCIPAL ON THE BOND, THE BOND NUMBER, AND YOUR CONTACT INFORMATION TO:

Zurich Surety Claims
1299 Zurich Way
Schaumburg, IL 60196-1056
www.reportsfclaims@zurichna.com
800-626-4577

THE FIDELITY AND DEPOSIT COMPANY

OF MARYLAND
1299 Zurich Way Schaumburg, IL 60196

Statement of Financial Condition As Of December 31, 2022

ASSETS	
Bonds.....	\$ 219,365,765
Stocks	17,619,752
Cash and Short-Term Investments	2,767,685
Reinsurance Recoverable	12,309,422
Federal Income Tax Recoverable.....	0
Other Accounts Receivable	32,200,768
TOTAL ADMITTED ASSETS.....	\$ 284,263,392

LIABILITIES, SURPLUS AND OTHER FUNDS	
Reserve for Taxes and Expenses	\$ 545,031
Ceded Reinsurance Premiums Payable	42,850,834
Remittances and Items Unallocated	0
Payable to parents, subs and affiliates	0
Securities Lending Collateral Liability.....	0
TOTAL LIABILITIES	\$ 43,395,865
Capital Stock, Paid Up	\$ 5,000,000
Surplus.....	240,867,527
Surplus as regards Policyholders.....	240,867,527
TOTAL	\$ 284,263,392

Securities carried at \$78,455,274 in the above statement are deposited with various states as required by law.

Securities carried on the basis prescribed by the National Association of Insurance Commissioners. On the basis of market quotations for all bonds and stocks owned, the Company's total admitted assets at December 31, 2022 would be \$266,908,407 and surplus as regards policyholders \$223,512,542.

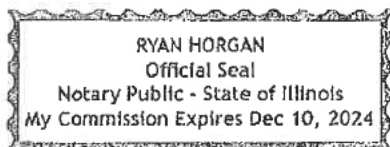
I, LAURA J. LAZARCZYK, Corporate Secretary of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, do hereby certify that the foregoing statement is a correct exhibit of the assets and liabilities of the said Company on the 31st day of December, 2022.

Witnessed by
Laura J. Lazarczyk
Corporate Secretary

Corporate Secretary

State of Illinois }
City of Schaumburg } SS:

Subscribed and sworn to, before me, a Notary Public of the State of Illinois, in the City of Schaumburg, this 15th day of March, 2023.



Ryan Horgan

Notary Public



The Fidelity and Deposit Companies

Surety Service Center Underwriting Unit
Surety Service Center
Suite 300-S
11411 Red Run Boulevard
Owings Mills, MD 21117-3248

Bond No. [REDACTED]

CONTINUATION CERTIFICATE For Miscellaneous Term Bonds

South Florida Racing Association, LLC as Principal, and the Fidelity and Deposit Company of Maryland, as Surety in a certain Bond No. [REDACTED] in the penalty of Two Million Dollars (\$2,000,000.00), in favor of the Governor of the State of Florida do hereby continue said bond in force for the further term of One (1) Year beginning on the 31st day of December, 2022 and ending on the 31st day of December, 2023.

Surety Bond for Florida Slot Machine License

PROVIDED, however, that said bond, as continued hereby, shall be subject to all its terms and conditions, except as herein modified, and that the liability of the said Fidelity and Deposit Company of Maryland under said bond and any and all continuations thereof shall in no event exceed in the aggregate the above named penalty, and that this certificate shall not be valid unless signed by said Principal.

Signed, sealed and dated this 26th day of October, 2022.

South Florida Racing Association, LLC


LILLIAN M. ARMELY

(SEAL)
Witness



Principal

(SEAL)

Principal

(SEAL)

Principal

FIDELITY AND DEPOSIT COMPANY OF MARYLAND

By: 
Donna M. Chiancone, Attorney-in-Fact

A member of the  Zurich Financial Services Group

www.fidelityanddeposit.com

EXTRACT FROM BY-LAWS OF THE COMPANIES

"Article V, Section 8, **Attorneys-in-Fact.** The Chief Executive Officer, the President, or any Executive Vice President or Vice President may, by written instrument under the attested corporate seal, appoint attorneys-in-fact with authority to execute bonds, policies, recognizances, stipulations, undertakings, or other like instruments on behalf of the Company, and may authorize any officer or any such attorney-in-fact to affix the corporate seal thereto; and may with or without cause modify or revoke any such appointment or authority at any time."

CERTIFICATE

I, the undersigned, Secretary of the ZURICH AMERICAN INSURANCE COMPANY, the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, and the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, do hereby certify that the foregoing Power of Attorney is still in full force and effect on the date of this certificate; and I do further certify that Article V, Section 8, of the By-Laws of the Companies is still in force.

This Power of Attorney and Certificate may be signed by facsimile under and by authority of the following resolution of the Board of Directors of the ZURICH AMERICAN INSURANCE COMPANY at a meeting duly called and held on the 15th day of December 1998.

RESOLVED: "That the signature of the President or a Vice President and the attesting signature of a Secretary or an Assistant Secretary and the Seal of the Company may be affixed by facsimile on any Power of Attorney...Any such Power or any certificate thereof bearing such facsimile signature and seal shall be valid and binding on the Company."

This Power of Attorney and Certificate may be signed by facsimile under and by authority of the following resolution of the Board of Directors of the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY at a meeting duly called and held on the 5th day of May, 1994, and the following resolution of the Board of Directors of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND at a meeting duly called and held on the 10th day of May, 1990.

RESOLVED: "That the facsimile or mechanically reproduced seal of the company and facsimile or mechanically reproduced signature of any Vice-President, Secretary, or Assistant Secretary of the Company, whether made heretofore or hereafter, wherever appearing upon a certified copy of any power of attorney issued by the Company, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

IN TESTIMONY WHEREOF, I have hereunto subscribed my name and affixed the corporate seals of the said Companies, this 26th day of October 2022



Brian M. Hodges

By: Brian M. Hodges
Vice President

TO REPORT A CLAIM WITH REGARD TO A SURETY BOND, PLEASE SUBMIT A COMPLETE DESCRIPTION OF THE CLAIM INCLUDING THE PRINCIPAL ON THE BOND, THE BOND NUMBER, AND YOUR CONTACT INFORMATION TO:

Zurich Surety Claims
1299 Zurich Way
Schaumburg, IL 60196-1056
www.reportsclaims@zurichna.com
800-626-4577

FW: Seebeyond Interface TAXPAYMENTB was partially successful!

Karen Stelter <Karen.Stelter@flgaming.gov>

Thu 10/19/2023 1:37 PM

To: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>

Cc: Jamie Pouncey <Jamie.Pouncey@flgaming.gov>; Tracy Swain <Tracy.Swain@flgaming.gov>

 1 attachments (5 KB)

TAXPAYMENTB-20231019.log;

La'Kesha,

Per the attached, we received the slot payment from Hialeah Park #544. Please let me know if you have any questions or need any additional information.

Best Regards,

Karen L Stelter, Professional Accountant Specialist, FCCM
Florida Gaming Control Commission
Division of Pari-Mutuel Wagering, Office of Revenue and Financial Analysis
4070 Esplanade Way, Tallahassee, FL 32399
850-794-8129 Office ~ 850-539-8715 FAX

The information contained in this transmission is intended solely for the use of the person(s) named herein. If you are not the intended recipient, you are hereby notified that any review, dissemination, distribution or duplication of this communication is strictly prohibited. If you are not the intended recipient, please contact me by reply e-mail and destroy all copies of the original message.

The State of Florida has a very broad public records law pursuant to Chapter 119, Florida Statutes. Most written communications to and from state officials regarding state business are public records, available to the public and media upon request. Therefore, your e-mail communications may be subject to public disclosure. **LARGER VIEW**

-----Original Message-----

From: call.center@dbpr.state.fl.us <call.center@dbpr.state.fl.us>

Sent: Thursday, October 19, 2023 12:00 PM

To: chris.presley@dbpr.state.fl.us; Eric.Thiele@dbpr.state.fl.us; Karen Stelter <Karen.Stelter@flgaming.gov>; Kelley.VanValkenburgh@myfloridalicense.com; Kira.Sadler@myfloridalicense.com; Latheria.Gibbs@myfloridalicense.com; Maria.Nickels@myfloridalicense.com; Mike.Coleman@myfloridalicense.com; Neysa.Brogdon@dbpr.state.fl.us; Sally.Huggins@myfloridalicense.com; Stephanie.Gurley@myfloridalicense.com; TecOperations@dbpr.state.fl.us; Tracy Swain <Tracy.Swain@flgaming.gov>; wesley.dupont@dbpr.state.fl.us
Subject: Seebeyond Interface TAXPAYMENTB was partially successful!

Seebeyond Interface TAXPAYMENTB was partially successful. Errors occurred during processing. Log file is attached.

FW: Seebeyond Interface TAXPAYMENTB was partially successful!

Karen Stelter <Karen.Stelter@flgaming.gov>

Thu 10/19/2023 1:37 PM

To: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>

Cc: Jamie Pouncey <Jamie.Pouncey@flgaming.gov>; Tracy Swain <Tracy.Swain@flgaming.gov>

 1 attachments (5 KB)

TAXPAYMENTB-20231019.log;

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Best Regards,

Karen L Stelter, Professional Accountant Specialist, FCCM
Florida Gaming Control Commission
Division of Pari-Mutuel Wagering, Office of Revenue and Financial Analysis
4070 Esplanade Way, Tallahassee, FL 32399
850-794-8129 Office ~ 850-539-8715 FAX

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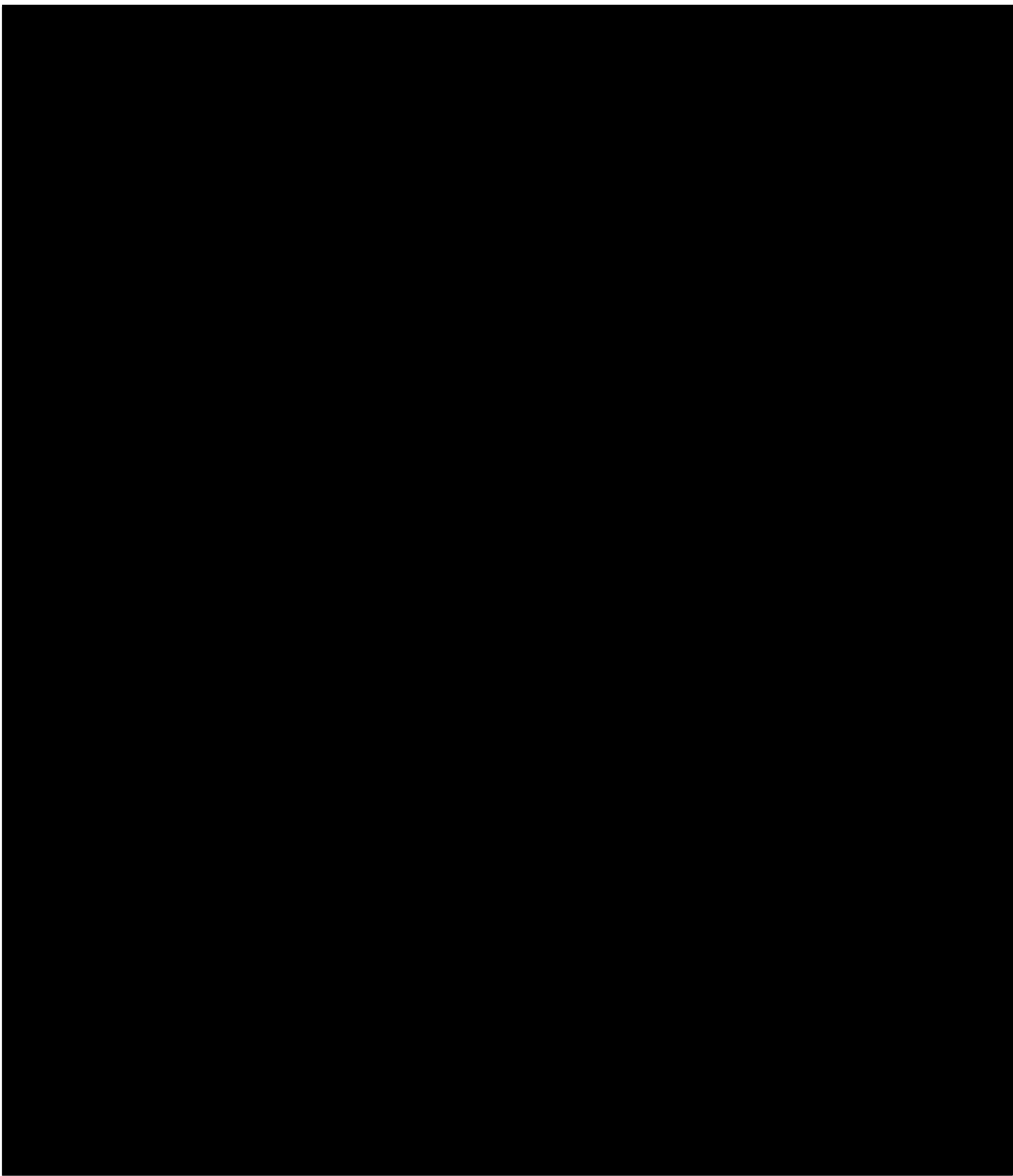
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Subject: Seebeyond Interface TAXPAYMENTB was partially successful!



Seebeyond Interface TAXPAYMENTB was partially successful. Errors occurred during processing. Log file is attached.



State of Florida
Department of Business and Professional Regulation
Cash Listing Report

Client: 79 - Department of Business & Professional Regulation

Origin: EFT

Fiscal Year: 2023

Batch #: [REDACTED] **Total \$ Entered:** \$ 2,250,000.00

Deposit #: [REDACTED]

Deposit Date: 2023-10-24

Receipt: 1 **Receipts Entered:** 1

Total: \$ 2,250,000.00

Status: Deposited

Receipt #	DLN	Received	Disp	Pmt	Note	Unassigned	Prof	Remitted By / Beneficiary	File #	License #	Assigned
230055428		\$ 2,250,000.00	DEP	EFT		\$ 2,250,000.00					
							1003	SOUTH FLORIDA RACING ASSOCIATION, LLC	8	544	\$ 0.00
Total:		\$ 2,250,000.00				\$ 2,250,000.00					\$ 0.00

[VR Home](#) | [Inbox](#) | [Entity](#) | [Application](#) | [License](#) | [Cash](#) | [Exam](#) | [Inspection](#) | [Enforcement](#) | [Report](#)

[Application Home](#) | [Change Application](#)

Domain: **10 - Division of Pari-Mutuel Wagering**

Logged in as: tjetks

[VR Home](#) > [Application Search](#) > [Transaction Check List](#) > **Transaction Header**

Fed Tax #	Name SOUTH FLORIDA RACING ASSOCIATION, LLC	Lic Type 1003 - Permitholder App for Annual Slot Licens	Trans Class I - Initial
File # 8	Rank Permit holder Appl Annual Slot Mach Lic	App # 134	Sec Class S - Standard
License # 544	Lic Status Current	Status Open	
Entity # 544	Expires On 12/21/2023	Trans Code 1020 - Slot Machine License	

Application For

Rank **Permit holder Appl Annual Slot Mach Lic**
Lic Status **Current**

Modifiers

Type Code Additional Info

Type	Modifier	Additional Info	Actions
No items found.			

App Date

mm/dd/yyyy

Normal Expiration Date

Override Expiration Date

mm/dd/yyyy

Application Disposition

1st Reminder

2nd Reminder

Total Fee \$	2,250,000.00
Paid \$	2,250,000.00
Released \$	2,250,000.00
Due \$	0.00

Notes

Set License Expiry

[Get Adobe Reader.](#)



September 15, 2023

VIA E-MAIL

Jaime Pouncey
 Program Administrator
 Florida Gaming Control Commission
 2601 Blair Stone Road
 Tallahassee, Florida 32399-1035

RE: South Florida Racing Association List of Slot Business Vendors

Dear Ms. Pouncey:

Below is the (updated) list of slot machine vendor business licensees with whom SFRA will be doing business.

Slot Vendors	Florida License	Expiration
Ainsworth Game Technology Inc	8069830	6/30/2024 ✓
American Gaming System (AGS, LLC)	8281949	6/30/2026 ✓
Apogee Gaming USA Inc	11936144	6/30/2024 ✓
Aristocrat Technologies Inc	7830421	6/30/2024 ✓
Aruze Gaming America Inc.	8587586	6/30/2025 ✓
LNW Gaming Inc.	7805891	6/30/2024 ✓
Bluberi Gaming USA, Inc.	11105033	6/30/2025 ✓
Cummins-Allison Corp	7934408	6/30/2025 ✓
Empire Technological Group, LTD	11725077	6/30/2024 ✓
Everi Games, Inc.	9943621	6/30/2024 ✓
Everi Payments Inc.	9993721	6/30/2024 ✓
FBM Gaming Inc.	13136235	6/30/2024 ✓
Gaming Arts, LLC	11905567	6/30/2024 ✓
Giesecke+Devrient Currency Technology America, Inc.	7945830	6/30/2024 ✓
Interblock USA L.C.	8759471	6/30/2025 ✓
Incredible Technologies Casino Games, Inc.	8801896	6/30/2024 ✓
IGT Inc.	7800936	6/30/2024 ✓
JVL Systems Inc.	12379217	6/30/2025 ✓
KGM Gaming, LLC	8915860	6/30/2026 ✓
Konami Gaming, Inc.	7883140	6/30/2024 ✓
Lightning Slot Machines, LLC	9823078	6/30/2024 ✓
NAO Ventures Inc; (DBA) Maverick International	11938935	6/30/2024 ✓
Modern Gaming, Inc.	8523052	6/30/2024 ✓
Patriot Gaming & Electronics, Inc.	8605145	6/30/2025 ✓

100 East 32nd Street, 2nd Floor Executive Offices Hialeah, Florida 33013 305 885-8000

South Florida Racing Association, LLC

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 84	Name AINSWORTH GAME TECHNOLOGY INC	Extended To
License # 8069830	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/25/2021
Entity # 8069830	Lic Status Current	

Address		
Street #	Street	LY
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89118
Routing		

Other		
1st License Date 06/27/2018	Rank Date 06/25/2021	Certificate #
Method I-S-1017	Status Date 07/28/2018	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

Select	
Action	<input type="text"/>

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2026
File # 103	Name AGS, LLC	Extended To
License # 8281949	Rank SBUS - Slot Machine Bus Occupational License	Renewed On
Entity # 8281949	Lic Status Current	

Address		
Street #	Street	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89118
Routing		

Other		
1st License Date 06/19/2023	Rank Date 06/19/2023	Certificate #
Method I-S-1020	Status Date 06/19/2023	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 243	Name APOGEE GAMING USA INC	Extended To
License # 11936144	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 08/19/2021
Entity # 11936144	Lic Status Current	

Address		
Street #	Street	
Line 2		
Line 3		
City BAS CAP PELE	State NB	Zip E4N 2X5
Routing		

Other		
1st License Date 01/03/2019	Rank Date 08/19/2021	Certificate #
Method I-S-1017	Status Date 08/19/2021	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

South Florida Racing Association, LLC

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 13	Name ARISTOCRAT TECHNOLOGIES INC	Extended To
License # 7830421	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 05/07/2021
Entity # 7830421	Lic Status Current	
Address		
Street #	Street	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89135
Routing		
Other		
1st License Date 07/01/2015	Rank Date 05/07/2021	Certificate #
Method I-S-1017	Status Date 07/13/2015	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent
License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2025
File # 123	Name ARUZE GAMING AMERICA INC	Extended To
License # 8587586	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 07/15/2022
Entity # 8587586	Lic Status Current	
Address		
Street #	Street	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89118
Routing		
Other		
1st License Date 06/29/2018	Rank Date 07/15/2022	Certificate #
Method I-S-1017	Status Date 07/15/2022	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent
License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 9	Name LNW GAMING INC	Extended To
License # 7805891	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/22/2012
Entity # 7805891	Lic Status Current	
Address		
Street #	Street	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89119
Routing		
Other		
1st License Date 06/28/2021	Rank Date 06/28/2021	Certificate #
Method I-S-1020	Status Date 06/28/2021	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

South Florida Racing Association, LLC

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2025
File # 227	Name BLUBERI GAMING USA INC	Extended To
License # 11105033	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/30/2022
Entity # 11105033	Lic Status Current	
Address		
Street #	Street	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89139
	Routing	
Other		
1st License Date 07/29/2016	Rank Date 06/30/2022	Certificate #
Method I-S-1020	Status Date 07/29/2016	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent
License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2025
File # 58	Name CUMMINS-ALLISON CORP	Extended To
License # 7934408	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 07/22/2022
Entity # 7934408	Lic Status Current	
Address		
Street #	Street	
Line 2		
Line 3		
City MT PROSPECT	State IL	Zip 60090
	Routing	
Other		
1st License Date 07/07/2010	Rank Date 07/22/2022	Certificate #
Method I-S-1020	Status Date 07/22/2022	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent
License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 236	Name EMPIRE TECHNOLOGICAL GROUP LTD	Extended To
License # 11725077	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/30/2021
Entity # 11725077	Lic Status Current	
Address		
Street #	Street	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89113
	Routing	
Other		
1st License Date 05/22/2018	Rank Date 06/30/2021	Certificate #
Method I-S-1017	Status Date 07/05/2018	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

South Florida Racing Association, LLC

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 174	Name EVERI GAMES, INC.	Extended To
License # 9943621	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/25/2021
Entity # 9943621	Lic Status Current	
Address		
Street #	Street	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89113
	Routing	
Other		
1st License Date 06/27/2018	Rank Date 06/25/2021	Certificate #
Method I-S-1017	Status Date 07/17/2018	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent
License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 178	Name EVERI PAYMENTS INC	Extended To
License # 9993721	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 07/15/2021
Entity # 9993721	Lic Status Current	
Address		
Street #	Street	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89113
	Routing	
Other		
1st License Date 06/27/2018	Rank Date 07/15/2021	Certificate #
Method I-S-1017	Status Date 07/15/2021	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent
License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 295	Name FBM GAMING INC	Extended To
License # 13136235	Rank SBUS - Slot Machine Bus Occupational License	Renewed On
Entity # 13136235	Lic Status Current	
Address		
Street #	Street	
Line 2		
Line 3		
City BROKEN ARROW	State OK	Zip 74012
	Routing	
Other		
1st License Date 04/20/2022	Rank Date 04/20/2022	Certificate #
Method I-S-1020	Status Date 04/20/2022	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

South Florida Racing Association, LLC

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 241	Name GAMING ARTS, LLC	Extended To
License # 11905567	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/30/2021
Entity # 11905567	Lic Status Current	

Address		
Street #	Street	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89119
	Routing	

Other		
1st License Date 11/20/2018	Rank Date 06/30/2021	Certificate #
Method I-S-1017	Status Date 01/28/2019	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 60	Name GIESECKE+DEVRIENT CURRENCY TECHNOLOGY AMERICA INC	Extended To
License # 7945830	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 07/19/2021
Entity # 7945830	Lic Status Current	

Address		
Street #	Street	
Line 2		
Line 3		
City DULLES	State VA	Zip 20166
	Routing	

Other		
1st License Date 06/22/2018	Rank Date 07/19/2021	Certificate #
Method I-S-1017	Status Date 07/19/2021	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2025
File # 134	Name INTERBLOCK USA L. C.	Extended To
License # 8759471	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/29/2022
Entity # 8759471	Lic Status Current	

Address		
Street #	Street	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89119-3730
	Routing	

Other		
1st License Date 08/06/2010	Rank Date 06/29/2022	Certificate #
Method I-S-1020	Status Date 07/21/2016	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

South Florida Racing Association, LLC

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 140	Name INCREDIBLE TECHNOLOGIES CASINO GAMES INC	Extended To
License # 8801896	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/30/2021
Entity # 8801896	Lic Status Current	

Address		
Street # 0	Street	
Line 2		
Line 3		
City VERNON HILLS	State IL	Zip 60061
Routing		

Other		
1st License Date 10/25/2010	Rank Date 06/30/2021	Certificate #
Method I-S-1020	Status Date 07/01/2015	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 7	Name IGT INC	Extended To
License # 7800936	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/02/2021
Entity # 7800936	Lic Status Current	

Address		
Street #	Street	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89113
Routing		

Other		
1st License Date 06/29/2018	Rank Date 06/02/2021	Certificate #
Method I-S-1017	Status Date 08/01/2018	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2025
File # 255	Name JVL SYSTEMS INC	Extended To
License # 12379217	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 12/14/2022
Entity # 12379217	Lic Status Current	

Address		
Street #	Street	
Line 2		
Line 3		
City SCARBOROUGH	State ON	Zip M1B 3J1
Routing		

Other		
1st License Date 04/02/2020	Rank Date 12/14/2022	Certificate #
Method I-S-1020	Status Date 12/14/2022	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

South Florida Racing Association, LLC

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2026
File # 152	Name KGM GAMING, LLC	Extended To
License # 8915860	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 07/19/2023
Entity # 8915860	Lic Status Current	

Address		
Street #	Street	
Line 2		
Line 3		
City BENSALEM	State PA	Zip 19020
	Routing	

Other		
1st License Date 09/11/2013	Rank Date 07/19/2023	Certificate #
Method I-S-1020	Status Date 07/19/2023	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 30	Name KONAMI GAMING INC	Extended To
License # 7883140	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 05/30/2018
Entity # 7883140	Lic Status Current	

Address		
Street #	Street	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89119
	Routing	

Other		
1st License Date 06/30/2021	Rank Date 07/30/2021	Certificate #
Method I-S-1017	Status Date 07/30/2021	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 164	Name LIGHTNING SLOT MACHINES LLC	Extended To
License # 9823078	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/24/2021
Entity # 9823078	Lic Status Current	

Address		
Street #	Street	
Line 2		
Line 3		
City BOOTHWYN	State PA	Zip 19061
	Routing	

Other		
1st License Date 06/18/2014	Rank Date 06/24/2021	Certificate #
Method I-S-1017	Status Date 07/08/2020	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

South Florida Racing Association, LLC

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 244	Name NAO VENTURES INC	Extended To
License # 11938935	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/18/2021
Entity # 11938935	Lic Status Current	
Address		
Street #	Street	
Line 2		
Line 3		
City MIAMI	State FL	Zip 33166
	Routing	
Other		
1st License Date 01/07/2019	Rank Date 06/18/2021	Certificate #
Method I-S-1017	Status Date 07/10/2019	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent
License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 114	Name MODERN GAMING INC	Extended To
License # 8523052	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/04/2013
Entity # 8523052	Lic Status Current	
Address		
Street #	Street	
Line 2		
Line 3		
City DENHAM SPRINGS	State LA	Zip 70726
	Routing	
Other		
1st License Date 08/27/2021	Rank Date 08/27/2021	Certificate #
Method I-S-1020	Status Date 08/27/2021	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent
License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2025
File # 124	Name PATRIOT GAMING & ELECTRONICS, INC.	Extended To
License # 8605145	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 07/18/2022
Entity # 8605145	Lic Status Current	
Address		
Street #	Street	
Line 2		
Line 3		
City GRIFFITH	State IN	Zip 46319
	Routing	
Other		
1st License Date 06/25/2010	Rank Date 07/18/2022	Certificate #
Method I-S-1020	Status Date 07/18/2022	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent



Reel Games, Inc.	7830710	6/30/2024 ✓
SFM Janitorial Service, LLC	10143241	6/30/2025 ✓
Service Central, Inc	8566232	6/30/2024 ✓
VSR Industries, Inc	1499535	6/30/2024 ✓
Win Systems International Holdings Inc.	12912398	6/30/2025 ✓
Zitro USA Inc.	10019307	6/30/2024 ✓

South Florida Racing Association, LLC

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 14	Name REEL GAMES INC	Extended To
License # 7830710	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/15/2021
Entity # 7830710	Lic Status Current	

Address		
Street #	Street	
Line 2		
Line 3		
City FORT LAUDERDALE	State FL	Zip 33304
Routing		

Other		
1st License Date 06/27/2018	Rank Date 06/15/2021	Certificate #
Method I-S-1017	Status Date 07/09/2018	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2025
File # 185	Name SFM JANITORIAL SERVICES, LLC	Extended To
License # 10143241	Rank SBUS - Slot Machine Bus Occupational License	Renewed On
Entity # 10143241	Lic Status Current	

Address		
Street #	Street	
Line 2		
Line 3		
City MEDLEY	State FL	Zip 33166
Routing		

Other		
1st License Date 09/22/2022	Rank Date 09/22/2022	Certificate #
Method I-S-1020	Status Date 09/22/2022	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 121	Name SERVICE CENTRAL INC	Extended To
License # 8566232	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 07/30/2021
Entity # 8566232	Lic Status Current	

Address		
Street #	Street	
Line 2		
Line 3		
City STEGER	State IL	Zip 60475
Routing		

Other		
1st License Date 09/09/2009	Rank Date 07/30/2021	Certificate #
Method I-S-1020	Status Date 07/30/2021	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

South Florida Racing Association, LLC

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 29	Name VSR INDUSTRIES INC	Extended To
License # 1499535	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/15/2021
Entity # 1499535	Lic Status Current	
Address		
Street #	Street	
Line 2		
Line 3		
City HENDERSON	State NV	Zip 89014
	Routing	
Other		
1st License Date 08/18/2009	Rank Date 06/15/2021	Certificate #
Method I-S-1020	Status Date 07/07/2015	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent
License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2025
File # 287	Name WIN SYSTEMS INTERNATIONAL HOLDINGS INC	Extended To
License # 12912398	Rank SBUS - Slot Machine Bus Occupational License	Renewed On
Entity # 12912398	Lic Status Current	
Address		
Street #	Street	
Line 2		
Line 3		
City MIAMI	State FL	Zip 33137
	Routing	
Other		
1st License Date 05/24/2022	Rank Date 05/24/2022	Certificate #
Method I-S-1020	Status Date 05/24/2022	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent
License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 182	Name ZITRO USA INC.	Extended To
License # 10019307	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 07/15/2021
Entity # 10019307	Lic Status Current	
Address		
Street #	Street	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89119
	Routing	
Other		
1st License Date 06/29/2015	Rank Date 07/15/2021	Certificate #
Method I-S-1017	Status Date 07/15/2021	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

Jamie Pouncey

From: Bill Crafts
Sent: Thursday, November 2, 2023 7:32 AM
To: Jamie Pouncey
Cc: Dennis Chen; Marva Jones
Subject: Hialeah IC Checklist Memo
Attachments: License Renewal Memo- Hialeah Park.pdf

Jamie, see attached Hialeah IC Checklist Memo for their license that is due in December.

Have a great day.



Bill Crafts
Chief of Slot Operations
Division of Pari-Mutuel Wagering
Office of Slot Operations
754.288.1019 Office
954.658.3156 Cell

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Florida Gaming Control Commission

JIMIE T. BROWN, VICE CHAIR
CHUCK DEWALL, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA HERR, COMMISSIONER

MEMORANDUM

TO: Jamie Pouncey, Program Administrator

FROM: Bill Crafts, Chief of Slot Operations

SUBJECT: South Florida Racing Association, LLC, d/b/a Hialeah Park Racing & Casino Annual Internal Controls Review

DATE: November 1, 2023

The office of Slot Operations has completed our annual review of the Internal Controls of South Florida Racing Association, LLC, d/b/a Hialeah Park Racing & Casino. At this time, our assessment has shown full compliance with 551, Fla. Stat. (2005) and Fla. Admin Code R. 75-14. We strive to continuously review all our licensed facilities systems of control, and work closely with the property's management and compliance officers to ensure rigorous adherence to Florida Statute and Administrative Code. Our annual review involves a more comprehensive and robust examination of all Internal Controls as adopted by the facility.

This review was conducted by the Tax & Audit Group of the Office of Slot Operations, with their report SOIC-2024-544 being issued on November 1, 2023.

As always, should you have any questions or concerns pertaining to this matter, please do not hesitate to contact me.

Bill Crafts
Chief of Slot Operations



State of Florida
Department of Business and Professional Regulation
Chronology Report

Case #: 2023055203 **Incident date:** 10/02/2023 **Status:** 10 - Initial Review

Lic Type: 1003 **Disposition:**

Case Type: Complaint

Responsible: ljelks - JELKS, LA'KESHA

Complainant: SOUTH FLORIDA RACING ASSOCIATION, LLC
100 EAST 32ND STREET, HIALEAH, FL 33013

Respondent: FLORIDA GAMING CONTROL COMMISSION
2601 BLAIR STONE ROAD, TALLAHASSEE, FL 32399

Summary: South Florida Racing Association, LLC (QHRS544) Slots License Renewal Application.

Chronology:

<u>Effective Date</u>	<u>Type</u>	<u>Lic Type</u>	<u>Code</u>	<u>Description</u>	<u>Responsible Party</u>	<u>Respondent</u>
10/02/2023	R		ljelks	JELKS, LA'KESHA	ljelks	
10/02/2023	S	1003	10	Initial Review	ljelks	

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Re: Request to renew Annual Slot Machine License
PPI, Inc. (HRNS430)
Case# 2023-062956
Date: November 6, 2023

Executive Summary

A slot machine licensee has submitted an application to renew its annual slot machine license. The Commission should approve this request.

Background

Slot machine gaming is authorized in Miami-Dade and Broward Counties at certain pari-mutuel facilities. ¹ One such facility is PPI, Inc. d/b/a Harrah's Pompano Beach, Pompano Park Racing, Isle Casino Racing Pompano Park, and/or The Isle Casino and Racing at Pompano Park ("Harrah's"). Harrah's is located in Broward County and possesses a valid harness permit. Harrah's was issued a pari-mutuel operating license for the 2023-2024 fiscal year.

Commission staff received Harrah's application to renew its slot machine license on October 9, 2023. The application will be deemed complete upon receipt of payment and an updated surety bond.

Analysis

In addition to submitting a complete application, a slot machine licensee must satisfy numerous requirements to be entitled to a renewed slot machine license. ² Harrah's has satisfied all of these requirements.

Recommendation: The Florida Gaming Control Commission should PPI, Inc. d/b/a Harrah's Pompano Beach, Pompano Park Racing, Isle Casino Racing Pompano Park, and/or The Isle Casino and Racing at Pompano Park's application for renewal of its slot machine license effective January 9, 2024 through January 8, 2025.

¹ See generally Art. X, § 23, Fla. Const.; § 551.101, Fla. Stat.

² See, e.g., §§ 551.103(1)(f) (mandating \$2,000,000.00 surety bond for slot machine licensees); 551.106(1)(a) (requiring payment of annual nonrefundable \$2,000,000.00 license fee); 551.118 (requiring payment of annual nonrefundable regulatory fee of \$250,000.00 to fund compulsive or addictive gambling prevention program); see also Fla. Admin. Code R. 75-14 ("Pari-Mutuel Wagering Facility Slot Machine Operations").

**STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING**

PERMITHOLDER APPLICATION FOR ANNUAL SLOT MACHINE LICENSE CHECKLIST

APPLICATION INFORMATION				
Permitholder Name: Pompano Park – 430 – 1003		File 5		
Date Received: October 9, 2023		Case# 2023-062956		
Reviewer'S Name: La'Keshia Jelks				
SLOT MACHINE LICENSE REQUIREMENTS				
	Requirement Description		Date Deficiency Letter Sent	Date Deficiency Resolved
1	Original application form DBPR PMW 3405.	Y		
2	Original Authorization for Release of Information form DBPR PMW 3195.	Y		
3	List of all ownership interests of five percent or greater as described in Rule 15-14.002(b)(c)(d) and (e), FAC, Form DBPR 0040.	Y	11/6/2023	
4	A copy of the binding written agreements between the facility and respective associations as required by Section 551.104(10), Florida Statutes (thoroughbred only).	N/A		
5	Evidence of a current surety bond in the amount of \$2,000,000, payable to the Governor of the State of Florida, as specified in s. 551.103(1)(f), FS.	Y 12/07/2023	11/6/2023	
6	Payment of the non-refundable \$2,000,000 application fee for a Slot Machine License as required by Section 551.106(1), FS submitted via EFT. Payment of the non-refundable \$250,000 regulatory fee for the Compulsive or Addictive Gambling Prevention Program as required by Section 551.118, FS, made payable to the Division, submitted via EFT.	N	11/6/2023	
7	A list of slot machine business occupational licensees (i.e. vendors, manufacturers, distributors, service companies, etc.) with whom the permitholder intends to do business.	Y		
8	Disclosure of the applicant's internal control procedures required by Rule 15-14.058, Florida Administrative Code.	Y	11/6/2023	11/21/2023

Application approved by:

James Pouncey
Permitholder Administrator

Tracy Swan
Second Review

Second Review

11/27/23
Date

11/21/2023
Date

Date



FLORIDA LICENSE FOR SLOT MACHINE OPERATIONS

WHEREAS PPI, Inc., d/b/a Harrah's Pompano Beach, Pompano Park Racing, The Isle Casino and Racing at Pompano Park, and/or Isle Casino Racing Pompano Park is the holder of a valid, active pari-mutuel permit to conduct harness racing at its facility located in Broward County, granted pursuant to Chapter 550, Florida Statutes.

WHEREAS PPI, Inc. is authorized to conduct Pari-Mutuel Wagering activities at its facility pursuant to the Laws of Florida, on approved dates.

WHEREAS the majority of voters of Broward County, Florida approved a referendum authorizing slot machines at pari-mutuel facilities within Broward County in a county-wide referendum conducted March 8, 2005.

WHEREAS PPI, Inc. has made application for licensure to conduct Slot Machine Gaming from January 9, 2024, through January 8, 2025, pursuant to Florida Statutes and Administrative Rules adopted pursuant thereto. It has been found that PPI, Inc. has fulfilled all the statutorily required conditions for licensure and is, therefore, qualified to hold a license to conduct Slot Machine Gaming as authorized under the Laws of Florida.

WHEREAS PPI, Inc. has furnished and shall maintain in effect during the term of this license, the appropriate bond as required under Chapter 551, Florida Statutes.

NOW THEREFORE BE IT KNOWN that PPI, Inc. is granted a non-transferable license, Number **SM430**, under which PPI, Inc. may, exclusively at the slot machine facility located at **PPI, Inc.**, at 1800 Southwest 3rd Street, Pompano Beach, Florida 33069, receive, possess, operate and ship approved slot machine equipment, and conduct Slot Machine Gaming.

BY RECEIVING THIS LICENSE PPI, Inc. agrees to, at all times during the term of this license, conduct its Slot Machine Gaming operation and maintain its Slot Machine Gaming facility in accordance with applicable Florida Statutes and Administrative Rules adopted pursuant thereto.

LICENSURE GRANTED this _____ of January 2024, in Tallahassee, Florida.

FOR THE STATE OF FLORIDA

Louis Trombetta, Executive Director
Florida Gaming Control Commission

Jamie Pouncey

From: Bill Crafts
Sent: Monday, November 20, 2023 1:19 PM
To: Jamie Pouncey
Subject: Pompano IC memo
Attachments: Harrah's Pompano License Renewal Memo.pdf

Jamie, we have finished reviewing Pompano's IC's.
See the attached IC memo for the upcoming license renewal.
Have a great holiday week.



Bill Crafts
Chief of Slot Operations
Division of Pari-Mutuel Wagering
Office of Slot Operations
754.288.1019 Office
954.658.3156 Cell

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MEMORANDUM

TO: Jamie Pouncey, Licensing Administrator

FROM: Bill Crafts, Chief of Slot Operations

SUBJECT: Harrah's Pompano Beach, d/b/a Isle Casino Racing Pompano Park – Annual Internal Controls Review

DATE: November 20, 2023

The office of Slot Operations has completed our annual review of the Internal Controls of Harrah's Pompano Beach., d/b/a Isle Casino Racing Pompano Park. At this time, our assessment has shown full compliance with 551, Fla. Stat. (2005) and Fla. Admin. Code R. 75-14. We strive to continuously review all our licensed facilities systems of control and work closely with the property's management and compliance officers to ensure rigorous adherence to Florida Statute and Administrative Code. Our annual review involves a more comprehensive and robust examination of all Internal Controls as adopted by the facility.

This review was conducted by the Tax & Audit Group of the Office of Slot Operations, with their report SOIC-2024-2025-430 being issued on November 20, 2023

As always, should you have any questions or concerns pertaining to this matter, please do not hesitate to Contact me.

Bill Crafts
Chief of Slot Operations

La'Kesha Jelks

From: John J. Keenan <John.Keenan@islepompanopark.com>
Sent: Monday, November 13, 2023 8:51 AM
To: La'Kesha Jelks
Cc: Jon Zachem
Subject: RE: PPI, Inc. d/b/a Harrah's Pompano Beach | Slot License Renewal 23-24
Attachments: 02.15.21 - Caesars SMT Org Structure.pdf; doc00066220231113084116.pdf

Categories: Slots

Ms. Jelks,

I apologize for incorrectly stating the old parent company Isle of Capri Casinos, LLC on the 3190. Please see the updated 3190 that shows **Caesars Entertainment, Inc.** owns 100% of PPI, Inc. The organization chart for Caesars is attached and as follows:

Caesars Entertainment
PPI, Inc.
d/b/a Harrah's Pompano Beach

Thomas Reeg
Chief Executive Officer, Director

Anthony Carano
President & Chief Operating Officer, Director

Edmund Quatmann
Executive Vice President, Chief Legal Officer & Secretary

Bret Yunker
CFO & Assistant Secretary

Troy Buswell
Senior Vice President & General Manager

<https://search.sunbiz.org/Inquiry/CorporationSearch/SearchResultDetail?inquirytype=EntityName&directionType=Initial&searchNameOrder=PPI%20P940000833720&aggregatId=domp-p94000083372-d5b2d668-b285-4e7a-a9ec-0b462f5b47c8&searchTerm=PPI%2C%20INC&listNameOrder=PPI%20F960000062460>

Thank you,



John J. Keenan | DIRECTOR SECURITY, SAFETY, AND COMPLIANCE
 O 954-633-6610
 1800 SW 3rd Street | Pompano Beach, FL 33069
 Caesars | Harrah's Pompano Beach
 www.caesars.com

From: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Sent: Monday, November 13, 2023 8:15 AM
To: John J. Keenan <John.Keenan@islepompanopark.com>
Subject: [EXTERNAL] RE: PPI, Inc. d/b/a Harrah's Pompano Beach | Slot License Renewal 23-24

****CAUTION** - EXTERNAL EMAIL**
DO NOT open attachments or click on links from unknown senders or unexpected emails
 The Original Sender of this email is LaKesha.Jelks@flgaming.gov.

Greetings,

Please provide the ownership organizational chart for Isle of Capri Casinos, LLC.

Best,

La'Kesha Jelks



La'Kesha Jelks
 Operations Review Specialist
 Florida Gaming Control Commission
 Pari-Mutuel Wagering
 Phone: (850) 794-8114

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From: Jamie Pouncey <Jamie.Pouncey@flgaming.gov>
Sent: Monday, November 13, 2023 8:12 AM
To: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Subject: RE: PPI, Inc. d/b/a Harrah's Pompano Beach | Slot License Renewal 23-24

Please explain – we need the ownership org chart.

Thank you,



From: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Sent: Friday, November 10, 2023 11:22 AM
To: Jamie Pouncey <Jamie.Pouncey@flgaming.gov>
Subject: Fwd: PPI, Inc. d/b/a Harrah's Pompano Beach | Slot License Renewal 23-24

Sent from my Verizon, Samsung Galaxy smartphone
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From: John J. Keenan <John.Keenan@islepompanopark.com>
Sent: Friday, November 10, 2023 11:17:06 AM
To: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Cc: Jon Zachem <jon@zachelaw.com>
Subject: RE: PPI, Inc. d/b/a Harrah's Pompano Beach | Slot License Renewal 23-24

Ms. Jelks,

The Internal Control checklist and letter was sent to Bill Crafts today for review. (See first attachment). I have also updated the surety bond and organizational chart for PPI, Inc. executives for your review. The slot and responsible gaming payment will be made in December.

Thank you,



John J. Keenan | DIRECTOR SECURITY, SAFETY, AND COMPLIANCE
O 954-633-6610
1800 SW 3rd Street | Pompano Beach, FL 33069
Caesars | Harrah's Pompano Beach
www.caesars.com

From: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Sent: Monday, November 6, 2023 11:09 AM
To: John J. Keenan <John.Keenan@islepompanopark.com>
Subject: [EXTERNAL] RE: PPI, Inc. d/b/a Harrah's Pompano Beach | Slot License Renewal 23-24

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The Original Sender of this email is LaKesha.Jelks@flgaming.gov.

Greetings,

The Slots Renewal Application submitted for PPI, Inc. d/b/a Harrah's Pompano Beach (SM430) was received, however, the application remains incomplete for:

- Payment of the non-refundable \$2 million application fee and payment of the non-refundable \$250k regulatory fee for the Compulsive or Addictive Gambling Prevention Program as required by Section 551.118, FS.
- Updated surety bond, per 551.103(1)(f), FS.
- Organizational Chart for Isle of Capri Casinos, LLC
- Disclosure of Internal Control Procedures required by 75-14.058, FAC (provided by Bill Crafts)

The items listed require submission and/or correction to complete the renewal application. The next two Commission Meeting dates are December 6, 2023 and January 11, 2024.

Best,

La'Kesha Jelks



La'Kesha Jelks
Operations Review Specialist
Florida Gaming Control Commission
Pari-Mutuel Wagering
Phone: (850) 794-8114

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From: John J. Keenan <John.Keenan@islepompanopark.com>
Sent: Monday, October 9, 2023 12:12 PM
To: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>; Jamie Pouncey (<jamie.pouncey@myfloridalicense.com>
<jamie.pouncey@myfloridalicense.com>
Cc: Jon Zachem <jon@zachemlaw.com>
Subject: PPI, Inc. d/b/a Harrah's Pompano Beach | Slot License Renewal 23-24

You don't often get email from john.keenan@islepompanopark.com. [Learn why this is important](#)

FGCC,

Please see the attached Slot License Renewal documents for PPI, Inc.

Thank you,



John J. Keenan | *DIRECTOR SECURITY, SAFETY, AND COMPLIANCE*
O 954-633-6610
1800 SW 3rd Street | Pompano Beach, FL 33069
Caesars | Harrah's Pompano Beach
www.caesars.com

From: John J. Keenan

Sent: Friday, October 7, 2022 2:28 PM

To: Jelks, La'Kesha <La'Kesha.Jelks@fgcc.fl.gov>; Jamie Pouncey (jamie.pouncey@myfloridalicense.com)
<jamie.pouncey@myfloridalicense.com>

Subject: PPI, Inc. Slot License Renewal 22-23

FGCC,

Please see the attached Slot License Renewal documents for PPI, Inc.

Thank you,



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

Please provide information on the partners, managers, officers, or directors for your business entity below.

ORGANIZATION NAME	
Name of Organization PPI, Inc.	Permit # 430
D/B/A or Trade Name Harrah's Pompano Beach	

LIMITED LIABILITY CORPORATION QUESTIONS
If your corporation is a limited liability corporation (LLC), is the corporation member managed or manager managed? You can check your Articles of Incorporation for this information. Member Managed <input type="checkbox"/> Manager Managed <input type="checkbox"/>

Please list below all Officers, Directors, Managers, and/or Shareholders with 5 percent or more interest in the business:

Attach additional sheets as necessary.

MANAGEMENT INFORMATION				
Last Name	First	Middle	Title	Suffix
Caesars Entertainment				
Office Held Sole Shareholder	License #	Percentage of Ownership		
		100%		
RESIDENCE ADDRESS				
Street Address or P.O. Box 1 Caesars Palace Drive				
City Las Vegas		State Nevada	Zip Code (+4 optional) 89109	
County (if Florida address)		Country USA		

MANAGEMENT INFORMATION				
Last Name	First	Middle	Title	Suffix
Reeg	Thomas	R.	Chief Executive Officer, Director	
Office Held Chief Executive Officer, Director	License # 11228374	Percentage of Ownership		
		0%		
RESIDENCE ADDRESS				
Street Address or P.O. Box 1 Caesars Palace Drive				
City Las Vegas		State Nevada	Zip Code (+4 optional) 89109	
County (if Florida address)		Country USA		



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

Please provide information on the partners, managers, officers, or directors for your business entity below.

ORGANIZATION NAME	
Name of Organization PPI, Inc.	Permit # 430
D/B/A or Trade Name Harrah's Pompano Beach	

LIMITED LIABILITY CORPORATION QUESTIONS
If your corporation is a limited liability corporation (LLC), is the corporation member managed or manager managed? You can check your Articles of Incorporation for this information. Member Managed <input type="checkbox"/> Manager Managed <input type="checkbox"/>

Please list below all Officers, Directors, Managers, and/or Shareholders with 5 percent or more interest in the business:

Attach additional sheets as necessary.

MANAGEMENT INFORMATION				
Last Name	First	Middle	Title	Suffix
Yunker	Bret	D.	Mr.	
Office Held CFO & Assistant Secretary	License # 12163678	Percentage of Ownership		
RESIDENCE ADDRESS				
Street Address or P.O. Box 1 Caesars Palace Drive				
City Las Vegas		State Nevada	Zip Code (+4 optional) 89109	
County (if Florida address)		Country USA		

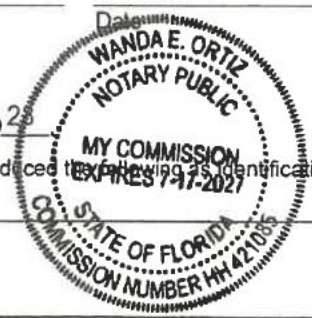
MANAGEMENT INFORMATION				
Last Name	First	Middle	Title	Suffix
Office Held	License #	Percentage of Ownership		
		0%		
RESIDENCE ADDRESS				
Street Address or P.O. Box 1 Caesars Palace Drive				
City Las Vegas		State Nevada	Zip Code (+4 optional) 89109	
County (if Florida address)		Country USA		

MANAGEMENT INFORMATION				
Last Name Carano	First Anthony	Middle L.	Title President & Chief Operating Officer, Director	Suffix
Office Held President & Chief Operating Officer, Director	License # 11228384	Percentage of Ownership 0%		
RESIDENCE ADDRESS				
Street Address or P.O. Box 1 Caesars Palace Drive				
City Las Vegas	State Nevada	Zip Code (+4 optional) 89109		
County (if Florida address)	Country USA			

MANAGEMENT INFORMATION				
Last Name Qualmann	First Edmund	Middle L.	Title Exec. VP, CLO & Secretary	Suffix Jr.
Office Held Executive Vice President, Chief Legal Officer & Secretary	License # 8568010	Percentage of Ownership 0%		
RESIDENCE ADDRESS				
Street Address or P.O. Box 1 Caesars Palace Drive				
City Las Vegas	State Nevada	Zip Code (+4 optional) 89109		
County (if Florida address)	Country USA			

MANAGEMENT INFORMATION				
Last Name Buswell	First Troy	Middle	Title VP & General Manager	Suffix
Office Held Senior Vice President & General Manager	License # 11419459	Percentage of Ownership 0%		
RESIDENCE ADDRESS				
Street Address or P.O. Box 1800 SW 3rd Street				
City Pompano Beach	State Florida	Zip Code (+4 optional) 33069		
County (if Florida address)	Broward	Country USA		

OATH		
I swear or affirm that the information provided in this application is true and complete. I understand that knowingly providing false information on this application could subject the applicant to criminal penalties relating to perjury or other offenses.		
John Keenan	Director of Security, Safety, and Compliance	11/10/2023
Name (Please Print)	Title (Please Print)	Signature
State of Florida,	County of Broward	
Sworn to (or affirmed) and subscribed before me this <u>11</u> day of <u>November</u> , 20 <u>23</u>		
John Keenan, who is personally known to me or produced to me as identification:		
<i>Wanda E. Ortiz</i>		
Notary Public		
My Commission Expires: <u>July 17, 2027</u>		



License

Fed Tax # [REDACTED]	Lic Type 1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo	Expires On 06/30/2026
File # 6170	Name BUSWELL, TROY JOHN	Extended To
License # 11419459	Rank SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo	Renewed On 06/02/2023
Entity # 11419459	Lic Status Current	

Address

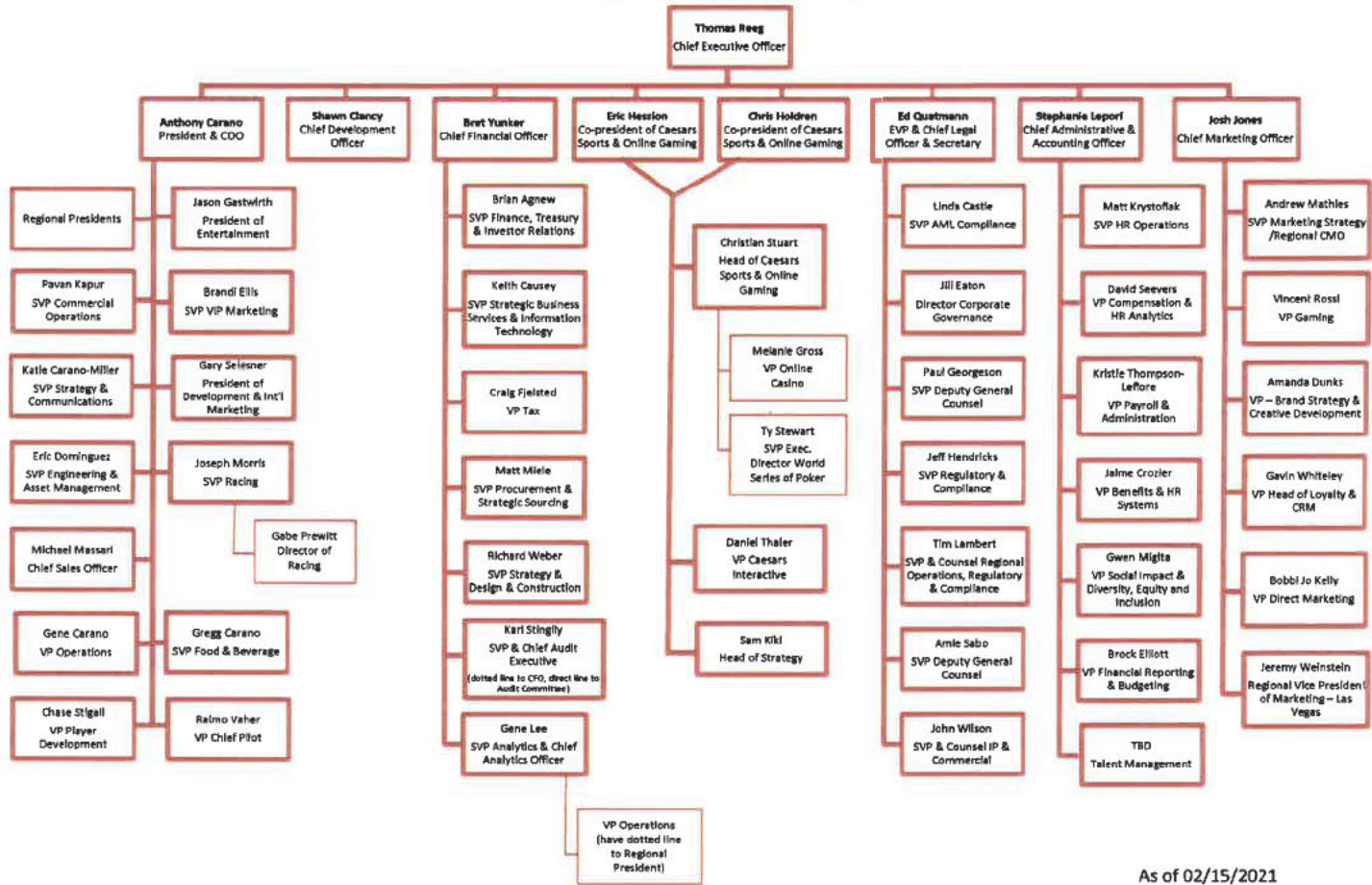
Street #	Street		
Line 2			
Line 3			
City DEERFIELD BEACH	State FL	Zip 33441	
	Routing		

Other

1st License Date 06/09/2017	Rank Date 06/02/2023	Certificate #
Method I-S-1017	Status Date 06/20/2017	Certificate Date
Fee Exempt No	l	Renewal Sent



CAESARS ENTERTAINMENT, INC. Corporate Leadership



As of 02/15/2021

MY QUOTES: CZR

Edit my quotes

Caesars Entertainment, Inc. Common Stock (CZR)



+ ADD TO WATCHLIST

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QUOTES

Summary

Real-Time

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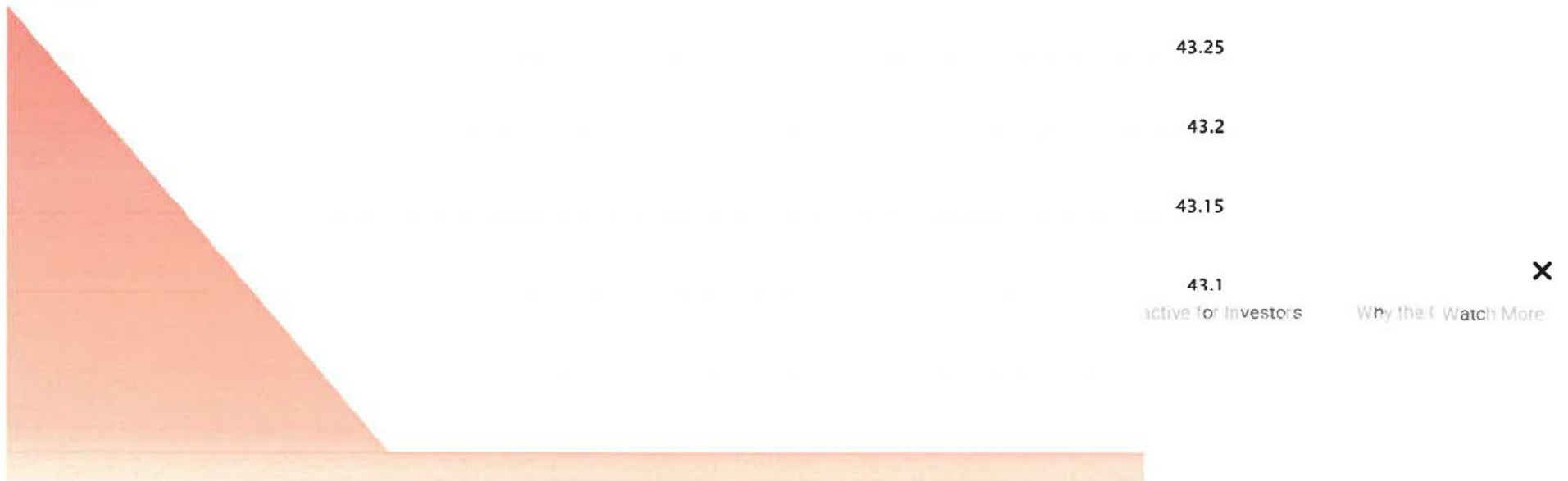
Dividend History
Historical NOCP
Earnings
Option Chain
Institutional Holdings
SEC Filings

Historical Quotes
Financials
P/E & PEG Ratios
Short Interest
Insider Activity
Revenue EPS

Nov 13, 2023 9:18 AM ET

1D 5D 1M 6M YTD 1Y 5Y MAX

PREVIOUS
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\$42.12



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Key Data

Exchange	NASDAQ-GS
Sector	Consumer Discretionary
Industry	Hotels/Resorts
1 Year Target	\$61.00
Today's High/Low	N/A

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Bid Price and Ask Price

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Bid & Ask

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ETFs with CZR as a Top 10 Holding*

Symbol	Weighting	100 Day Price Change (%)	
BEDZ AdvisorShares Hotel ETF	5.09%	+0.38 (+1.51%)	active for Investors
SPHB Invesco S&P 500 High Beta ETF	1.3%	-5.87 (-7.93%)	Why the C Watch More

*Data is provided by Barchart.com. Data reflects weightings calculated at the beginning of each month. Data is subject to change.

**Green highlights the top performing ETF by % change in the past 100 days.

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THE MOTLEY FOOL • 2 DAYS AGO

Century Casinos (CNTY) Q3 Earnings Miss Estimates, Stock Dips

ZACKS • 2 DAYS AGO

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Casino operator Wynn Resorts reaches labor deal with Las Vegas unions

REUTERS • 3 DAYS AGO



Wynn Resorts posts better-than-expected Q3 profit on Macau business recovery

REUTERS • 3 DAYS AGO



Interesting CZR Put And Call Options For December 29th

BNK INVEST • 3 DAYS AGO

MGM Resorts' (MGM) Q3 Earnings & Revenues Top, Stock Up

ZACKS • 3 DAYS AGO

MGM Resorts reaches labor deal with Las Vegas unions, averts strike

REUTERS • 4 DAYS AGO



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About Caesars Entertainment, Inc.

100 WEST LIBERTY STREET, 12TH FLOOR, RENO, Nevada, 89501, United States

+1 775 328-0100

<https://www.caesars.com>



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KEY EXECUTIVES

NAME	TITLE
Anthony L. Carano	President & Chief Operating Officer
Thomas R. Reeg	Chief Executive Officer & Director

Trending Stocks

NVDA

NVIDIA Corporation Common Stock

\$483.98 +0.63 +0.13% ▲

TSLA

Tesla, Inc. Common Stock

\$216.40 +1.75 +0.82% ▲

AAPL

Apple Inc. Common Stock

\$185.63 -0.77 -0.41% ▼

MSFT

Microsoft Corporation Common Stock

\$368.16 -1.51 -0.41% ▼



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La'Kesha Jelks

From: La'Kesha Jelks
Sent: Monday, November 6, 2023 11:09 AM
To: John J. Keenan
Subject: RE: PPI, Inc. d/b/a Harrah's Pompano Beach | Slot License Renewal 23-24

Categories: Slots

Greetings,

The Slots Renewal Application submitted for PPI, Inc. d/b/a Harrah's Pompano Beach (SM430) was received, however, the application remains incomplete for:

- Payment of the non-refundable \$2 million application fee and payment of the non-refundable \$250k regulatory fee for the Compulsive or Addictive Gambling Prevention Program as required by Section 551.118, FS.
- Updated surety bond, per 551.103(1)(f), FS.
- Organizational Chart for Isle of Capri Casinos, LLC
- Disclosure of Internal Control Procedures required by 75-14.058, FAC (provided by Bill Crafts)

The items listed require submission and/or correction to complete the renewal application. The next two Commission Meeting dates are December 6, 2023 and January 11, 2024.

Best,

La'Kesha Jelks



La'Kesha Jelks
Operations Review Specialist
Florida Gaming Control Commission
Pari-Mutuel Wagering
Phone: (850) 794-8114

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From: John J. Keenan <John.Keenan@islepompanopark.com>
Sent: Monday, October 9, 2023 12:12 PM
To: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>; Jamie Pouncey (jamie.pouncey@myfloridalicense.com) <jamie.pouncey@myfloridalicense.com>
Cc: Jon Zachem <jon@zachelaw.com>
Subject: PPI, Inc. d/b/a Harrah's Pompano Beach | Slot License Renewal 23-24

You don't often get email from john.keenan@islepompanopark.com. [Learn why this is important](#)
FGCC,



10/9/2023

La'Kesha Jelks
Operations Review Specialist
Florida Gaming Control Commission
Pari-Mutuel Wagering

Re: PPI, Inc. Slot License Renewal 2023-2024

Dear Ms. Jelks,

Attached you will find the 2023-2024 Slot License renewal application for PPI, Inc. PPI's surety bond will also be updated 12/17/2023 and I will send you a copy of the new bond at that time. The \$2,000,000 payment for slot license renewal will also be made in December 2023.

The following items will be sent to the local FGCC office for approval: Caesars Responsible Gaming Policy, Minority Vendor Policy, Internal Controls, Security Plan, Surveillance Plan, and a copy of our recent FGCC approved Slot Floor Plan.

Respectfully,



John Keenan III

Director of Security, Safety, and Compliance



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No Filing History

Fictitious Name Detail

Fictitious Name

HARRAH'S POMPANO BEACH

Filing Information

Registration Number G22000148926
Status ACTIVE
Filed Date 12/05/2022
Expiration Date 12/31/2027
Current Owners 1
County BROWARD
Total Pages 1
Events Filed NONE
FEI/EIN Number [REDACTED]

Mailing Address

1 CAESARS PALACE DRIVE
 LAS VEGAS, NV 89109

Owner Information

PPI INC
 1 CAESARS PALACE DRIVE
 LAS VEGAS, NV 89109
FEI/EIN Number: [REDACTED]
Document Number: P94000083372

Document Images

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Fictitious Name Detail

Fictitious Name

ISLE CASINO RACING POMPANO PARK

Filing Information

Registration Number G11000109763
Status ACTIVE
Filed Date 11/10/2011
Expiration Date 12/31/2026
Current Owners 1
County BROWARD
Total Pages 3
Events Filed 2
FEI/EIN Number [REDACTED]

Mailing Address

1800 SW 3RD ST
 POMPANO BEACH, FL 33069

Owner Information

PPI, INC.
 1 CAESARS PALACE DRIVE
 LAS VEGAS, NV 89109
FEI/EIN Number: [REDACTED]
Document Number: P94000083372

Document Images

[11/10/2011 -- Fictitious Name Filing](#)

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Fictitious Name Detail

Fictitious Name

THE ISLE CASINO AND RACING AT POMPANO PARK

Filing Information

Registration Number G07309900012
Status ACTIVE
Filed Date 11/05/2007
Expiration Date 12/31/2027
Current Owners 1
County MULTIPLE
Total Pages 4
Events Filed 3
FEI/EIN Number [REDACTED]

Mailing Address

1 CAESARS PALACE DRIVE
 LAS VEGAS, NV 89109

Owner Information

PPI INC
 1 CAESARS PALACE DRIVE
 LAS VEGAS, NV 89109
FEI/EIN Number: [REDACTED]
Document Number: P94000083372

Document Images

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Fictitious Name Detail

Fictitious Name

POMPANO PARK RACING

Filing Information

Registration Number G02291900134
Status ACTIVE
Filed Date 10/21/2002
Expiration Date 12/31/2027
Current Owners 1
County MULTIPLE
Total Pages 5
Events Filed 4
FEI/EIN Number [REDACTED]

Mailing Address

1 CAESARS PALACE DRIVE
 LAS VEGAS, NV 89109

Owner Information

PPI, INC
 1 CAESARS PALACE DRIVE
 LAS VEGAS, NV 89109
FEI/EIN Number: [REDACTED]
Document Number: P94000083372

Document Images

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[12/31/2007 -- RENEWAL](#)

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DBPR PMW-3405 – Permitholder Renewal Application for Annual Slot Machine License



STATE OF FLORIDA
 DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
 DIVISION OF PARI-MUTUEL WAGERING
 www.myfloridalicense.com

INSTRUCTIONS		
This form is to be submitted in conjunction with Form DBPR PMW-3460, Authorization for Release of Information, and Form DBPR PMW-3190, Officers and Directors. All three forms must be submitted to the division one month prior to the expiration of the current slot machine license.		
ORGANIZATION INFORMATION		
Federal Employer ID Number: [REDACTED]		
Permitholder's Legal Name: PPI, Inc.		
Doing Business As (D/B/A) Name: Harrah's Pompano Beach		
MAILING ADDRESS		
Street Address or P.O. Box: 1800 S.W. 3rd Street		
City: Pompano Beach	State: FL	Zip Code: 33069
County: Broward	Country: USA	
CONTACT INFORMATION		
Contact Name: John Keenan III		Title: Director of Security, Safety, and Compliance
Primary Phone Number: 954.633.6575	Primary E-Mail Address: John.Keenan@Islepompanopark.com	
PHYSICAL ADDRESS		
Street Address: 1800 S.W. 3rd Street		
City: Pompano Beach	State: FL	Zip Code (+4 optional) 33069
County: Broward		
ADDITIONAL CONTACT INFORMATION		
Alternate Phone Number 954.972.2000	Fax Number 954.633.6624	
Alternate E-Mail Address n/a		
SLOT MACHINE AREA INFORMATION		
Short Description of Physical Location of Slot Machine Area(s):		
The slot machine gaming area is in a two story building located at 1800 S.W. 3rd Street Pompano Beach, FL 33069 / Gaming space is approximately 50,000 sq ft		
What is the number of slot machines you intend to operate during the license period? <small>Approximately 1261 unless otherwise modified upon Division approval</small>		
Name and license number of Slot Machine Operations Manager or Slot Machine Management Company: Troy Buswell Senior VP/ GM / Slot License #11419459		
Name and address of the Custodian of Records in Florida: Troy Buswell - 1800 S.W. 3rd Street, Pompano Beach, FL 33069		

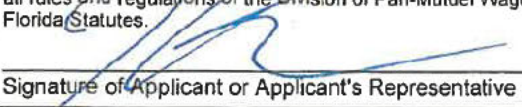
List the dates and hours of slot machine operations:	
Open Monday - Thursday 9:00am to 3:00am / Friday 9:00am to Monday Morning 3:00am and certain state recognized holidays per F.S. 110.117	
Does the applicant have any ownership interest in another Florida permit? If yes, disclose the name of the permit: <u>CLI 430</u>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Does the applicant have any ownership interest in a slot machine testing laboratory company? If yes, disclose the name of the testing laboratory:	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Does the applicant have any ownership interest in a slot machine manufacturer and/or distributor? If yes, disclose the name of the slot machine manufacturer/distributor:	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Has any other jurisdiction taken action regarding the applicant's gaming license? If yes, provide the name of the jurisdiction, the reason for the action, and the action taken:	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Additional requirements to be submitted to the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, Office of Operations, 1940 North Monroe Street, Tallahassee, Florida 32399-1037:

- 1) Submission of a list of all ownership interests of five percent or greater. If a corporation, the name of the state in which incorporated and the names and addresses of the officers, directors, and shareholders holding five percent or more equity or, if a business entity other than a corporation, the names and addresses of the principals, partners, shareholders or any other person holding five percent or more equity as described in Rule 61D-14.002(1)(d), (e) and (f), Florida Administrative Code (F.A.C.), on Form DBPR PMW-3190.
- 2) Submission of a copy of the binding written agreements between the facility and respective associations as required by Section 551.104(10), Florida Statutes.
- 3) Evidence of a current surety bond in the amount of \$2,000,000, payable to the Governor of the State of Florida, as specified in Section 551.103(1)(f), Florida Statutes.
- 4) Submission of a list of slot machine business occupational licensees (i.e., vendors, manufacturers, distributors, service companies, etc.) with whom you intend to do business.
- 5) Disclosure of any administrative, civil or criminal proceedings, and/or any judgments initiated by any governmental agency or any other state or federal agency that would affect the license status of the applicant or any affiliate of the applicant pursuant to Sections 550.054 and 550.1815, Florida Statutes.


Additional requirements to be submitted to the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, Office of Slot Operations, North Broward Regional Service Center, 1400 West Commercial Blvd., Suite 165, Ft. Lauderdale, Florida 33309:

- 1) Submission of the slot machine applicant's employee training plan for the Compulsive or Addictive Gambling Prevention Program.
- 2) A copy of the facility's written policy for creating opportunities to purchase from minority vendors in Florida, creating employment opportunities for minority residents in Florida, opportunities for construction services provided by minority contractors, and ensuring opportunities for employment are offered on an equal, nondiscriminatory basis.
- 3) A copy of the licensee's current internal controls.
- 4) A copy of the licensee's current Surveillance Plan and Security Plan.
- 5) A current version of the licensee's Floor Plan as described in Rule 61D-14.050, F.A.C.

ATTEST STATEMENT	
Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.	
I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as if made under oath. To the best of my knowledge, all information contained on this application is true and correct. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida, pursuant to Section 551.107, Florida Statutes.	
 Signature of Applicant or Applicant's Representative	10/9/2023 Date



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

PERSONAL INFORMATION					
Social Security Number/Federal Employer ID Number: ██████████					
IF INDIVIDUAL APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION					
Last Name	First	Middle	Title	Suffix	
Birth Date (MM/DD/YYYY)					
IF BUSINESS APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION					
Representative's Name	Last	First	Middle	Title	Suffix
	Keenan	John	J.	Mr.	III
Permitholder Name PPI, Inc. d/b/a Harrah's Pompano Beach					
Official Capacity Director of Security, Safety, and Compliance					
ATTEST STATEMENT					
I, <u>PPI, Inc. / John Keenan</u> , do hereby instruct all law enforcement (name of applicant/representative) or criminal justice agencies, present and former employers or institutions with whom I or my businesses have a present or past business relationship, as well as all present or past social associates to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Department of Business and Professional Regulation.					
I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Additionally, I do release such individuals or entities from any and all liability due to the release of information requested.					
<u>PPI, Inc. / John Keenan</u> (if individual applicant - legal name and any nickname or alias in parentheses)					
Applicant/Representative Signature: <u>[Signature]</u> Date: <u>10/9/2023</u>					
NOTARIZATION					
The foregoing application was sworn to and subscribed before me this <u>9</u> Day of <u>October</u> , 20 <u>23</u> by <u>John Keenan</u> Type or print name of applicant Signature of applicant					
who is personally known to me or who has produced the following as identification. ██████████ Type of identification					
<u>Wanda E. Ortiz</u> Signature of person taking acknowledgment Notary Seal (Rubber Stamp and Expiration)					
					



STATE OF FLORIDA
 DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
 DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

Please provide information on the partners, managers, officers, or directors for your business entity below.

ORGANIZATION NAME	
Name of Organization PPI, Inc.	Permit # 430
D/B/A or Trade Name Harrah's Pompano Beach	

LIMITED LIABILITY CORPORATION QUESTIONS
If your corporation is a limited liability corporation (LLC), is the corporation member managed or manager managed? You can check your Articles of Incorporation for this information. Member Managed <input type="checkbox"/> Manager Managed <input type="checkbox"/>

Please list below all Officers, Directors, Managers, and/or Shareholders with 5 percent or more interest in the business:
 Attach additional sheets as necessary.

MANAGEMENT INFORMATION				
Last Name Isle of Capri Casinos LLC	First	Middle	Title	Suffix
Office Held Sole Shareholder	License #	Percentage of Ownership 100%		
RESIDENCE ADDRESS				
Street Address or P.O. Box 100 W. Liberty Street				
City Reno		State Nevada	Zip Code (+4 optional) 89501	
County (if Florida address)		Country USA		

MANAGEMENT INFORMATION				
Last Name Reeg	First Thomas	Middle R.	Title Chief Executive Officer, Director	Suffix
Office Held Chief Executive Officer, Director	License # 11228374	Percentage of Ownership 0%		
RESIDENCE ADDRESS				
Street Address or P.O. Box 100 W. Liberty Street				
City Reno		State Nevada	Zip Code (+4 optional) 89501	
County (if Florida address)		Country USA		

License

Fed Tax # <input type="text"/>	Lic Type 1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo	Expires On 06/30/2025
File # 5783	Name REEG, THOMAS R	Extended To
License # 11228374	Rank SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo	Renewed On 05/11/2022
Entity # 11228374	Lic Status Current	

Address

Street #	Street *		
Line 2			
Line 3			
City THE WOODLANDS	State TX	Zip 77381	
	Routing		

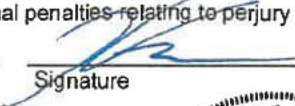


Other


1st License Date 10/20/2016	Rank Date 05/11/2022	Certificate #
Method I-S-1017	Status Date 10/24/2016	Certificate Date
Fee Exempt No		Renewal Sent

MANAGEMENT INFORMATION				
Last Name Carano	First Anthony	Middle L.	Title President & Chief Operating Officer, Director	Suffix
Office Held President & Chief Operating Officer, Director	License # 11228384	Percentage of Ownership 0%		
RESIDENCE ADDRESS				
Street Address or P.O. Box 100 W. Liberty Street				
City Reno	State Nevada	Zip Code (+4 optional) 89501		
County (if Florida address)		Country USA		

MANAGEMENT INFORMATION				
Last Name Qualmann	First Edmund	Middle L.	Title Exec. VP, CLO & Secretary	Suffix Jr.
Office Held Executive Vice President, Chief Legal Officer & Secretary	License # 8568010	Percentage of Ownership 0%		
RESIDENCE ADDRESS				
Street Address or P.O. Box 100 W. Liberty Street				
City Reno	State Nevada	Zip Code (+4 optional) 89501		
County (if Florida address)		Country USA		

MANAGEMENT INFORMATION				
Last Name Buswell	First Troy	Middle	Title VP & General Manager	Suffix
Office Held Senior Vice President & General Manager	License # 11419459	Percentage of Ownership 0%		
RESIDENCE ADDRESS				
Street Address or P.O. Box 1800 SW 3rd Street				
City Pompano Beach	State Florida	Zip Code (+4 optional) 33069		
County (if Florida address) Broward		Country USA		

OATH			
I swear or affirm that the information provided in this application is true and complete. I understand that knowingly providing false information on this application could subject the applicant to criminal penalties relating to perjury or other offenses.			
John Keenan	Director of Security, Safety, and Compliance		10/9/2023
Name (Please Print)	Title (Please Print)	Signature	Date
State of Florida, County of <u>Broward</u>			
Sworn to (or affirmed) and subscribed before me this <u>9</u> day of <u>October</u> , 20 <u>23</u>			
John Keenan, who is personally known to me, produced the following as identification: 			
			
Notary Public My Commission Expires: _____			



License		
Fed Tax # <input type="text"/>	Lic Type 1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo	Expires On 06/30/2025
File # 5784	Name CARANO, ANTHONY LOUIS	Extended To
License # 11228384	Rank SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo	Renewed On 05/11/2022
Entity # 11228384	Lic Status Current	

Address		
Street #	Street	
Line 2		
Line 3		
City RENO	State NV	Zip 89519
	Routing	

Other		
1st License Date 10/20/2016	Rank Date 05/11/2022	Certificate #
Method I-S-1017	Status Date 10/24/2016	Certificate Date
Fee Exempt No		Renewal Sent

License		
Fed Tax # <input type="text"/>	Lic Type 1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo	Expires On 06/30/2024
File # 1346	Name QUATMANN, EDMUND LAWRENCE JR	Extended To
License # 8568010	Rank SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo	Renewed On 10/11/2021
Entity # 8568010	Lic Status Current	

Address		
Street #	Street	
Line 2		
Line 3		
City RENO	State NV	Zip 89519
	Routing	

Other		
1st License Date 06/21/2018	Rank Date 10/11/2021	Certificate #
Method I-S-1017	Status Date 10/11/2021	Certificate Date
Fee Exempt No		Renewal Sent

License		
Fed Tax # <input type="text"/>	Lic Type 1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo	Expires On 06/30/2026
File # 6170	Name BUSWELL, TROY JOHN	Extended To
License # 11419459	Rank SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo	Renewed On 06/02/2023
Entity # 11419459	Lic Status Current	

Address		
Street #	Street	
Line 2		
Line 3		
City DEERFIELD BEACH	State FL	Zip 33441
	Routing	

Other		
1st License Date 06/09/2017	Rank Date 06/02/2023	Certificate #
Method I-S-1017	Status Date 06/20/2017	Certificate Date
Fee Exempt No		Renewal Sent



Symbol or Keyword(s)



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Securing my Investments

ISLE OF CAPRI CASINOS

Isle of Capri Casinos Stock price Equities US4645921044 Casinos & Gaming

End-of-day quote Nasdaq ▼

USD **0.00%**

Summary News Calendar Company

Press releases Isle of Capri Casinos

- Isle of Capri Casinos : Eldorado Resorts Completes Accretive Cash and Stock Acquisition of Isle of Capri Casinos 2017
- Isle of Capri Casinos : Eldorado Resorts and Isle of Capri Casinos Announce Preliminary Results of Elections Made by Isle Stockholders 2017
- Isle of Capri Casinos, Inc. : Announces Commencement of Cash Tender Offer 2017
- Isle of Capri Casinos, Inc. : Completes Sale of Lady Luck Marquette and Announces Redemption of \$50 Million of its Outstanding 5.875% Senior Notes due 2021 2017
- Isle Of Capri Casinos : Will Report FY 2017 Third Quarter Results 2017

[» More press releases](#)

Company Profile

Sector Casinos & Gaming

[» More about the company](#)

Sector Other Casinos & Gaming

	1st Jan change	Capi. (M\$)
ISLE OF CAPRI CASINOS	0.00%	- \$
FLUTTER ENTERTAINMENT PLC	+22.63%	30 196 M \$



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Securing my Investments

Company	Change	Value
EVOLUTION AB	+0.73%	20 031 M \$
ARISTOCRAT LEISURE LIMITED	+30.11%	16 600 M \$
DRAFTKINGS INC.	+196.31%	15 736 M \$
CAESARS ENTERTAINMENT, INC.	+6.68%	9 578 M \$
CHURCHILL DOWNS INCORPORATED	+12.25%	8 852 M \$
ENTAIN PLC	-28.54%	7 271 M \$

» Other Casinos & Gaming

Markets » Equities » Stock Isle of Capri Casinos - Nasdaq

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- Currencies
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STOCKS EQUITY FUNDS WARRANTS

-  English (USA)
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-  English (Canada)
-  Deutsch (Deutschland)
-  Deutsch (Schweiz)
-  Español
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-  Français (Suisse)
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-  Nederlands (Nederland)
-  Nederlands (België)

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 Stock quotes are provided by Factset, Morningstar and S&P Capital IQ

John J. Keenan

From: John J. Keenan <John.keenan@islecorp.com>
Sent: Friday, July 14, 2023 10:35 AM
To: 'Susan Ernst'
Subject: RE: Contact information & Ownership form for PPI, Inc
Attachments: FGCC Annual Notification of Permitholder Ownership Interest Form.docx

Hi Susan,

Please advise if I need to fill out this below statement on the attached form. Over the years I left it blank since it did not apply to our Corporate structure.

Parent company Caesars Entertainment -> owns 100% of PPI, Inc.

*PPI, Inc. / d/b/a Harrah's Pompano Beach
1800 SW 3rd Street
Pompano Beach, FL 33069*

Thank you,

From: Susan Ernst <Susan.Ernst@flgaming.gov>
Sent: Thursday, July 13, 2023 3:34 PM
To: John J. Keenan <John.keenan@islecorp.com>; Troy Buswell <Troy.Buswell@islecorp.com>
Subject: [EXTERNAL] Contact information & Ownership form for PPI, Inc
Importance: High

****CAUTION** - EXTERNAL EMAIL**

DO NOT open attachments or click on links from unknown senders or unexpected emails
The Original Sender of this email is Susan.Ernst@flgaming.gov.

Good Afternoon,

I am emailing to follow up on the information provided with the Engagement Letter regarding my contact information.

Email: Susan.Ernst@flgaming.gov

Cell: 850-964-1689

I apologize for the delay – I have just gotten everything set up today.

In addition, we have not received your Annual Ownership Form for license number 430. This was emailed along with the Engagement Letter earlier this month.

The form is due into the office no later than **close of business on Monday, July 31, 2023**. You can email it to me at this email address, or mail it to the office. I have attached the form for your convenience.

Please feel free to contact me if you have any questions. I look forward to working with you.

Thank you,



FLORIDA
GAMING CONTROL
COMMISSION

Sue Ernst
Tax Auditor IV
Pari-Mutual Wagering
Office of Auditing
850-964-1689
Susan.Ernst@flgaming.gov

75-4.005 Annual Notification of Permitholder Ownership Interest



FLORIDA

**STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING**

Instructions: This is an OPTIONAL form created for the convenience of pari-mutuel permitholders to aid in the submission of the information required by Rule 75-4.005, Florida Administrative Code, between July 1 and July 31 of each state fiscal year. Please review this form thoroughly and complete all sections that pertain to you and are not marked optional. Print clearly in black or blue ink.

PERMITHOLDER INFORMATION			
Permitholder Name PPI, Inc.		Permit #	FEID# or SSN [REDACTED]
Doing Business As (D/B/A) Name (if applicable) Harrah's Pompano Beach			
Select all that apply: <input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> Limited Liability Company <input type="checkbox"/> Partnership <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Other			
Current Mailing Address 1800 SW 3 rd Street		Email Address (optional)	
City Pompano Beach	State FL	Zip Code (+4 optional) 33069	Country, if other than USA
Primary Phone Number 954.972.2000		Secondary/Cell Phone Number (optional) 954.633.6575	
Current Street Address 1800 SW 3 rd Street			
City Pompano Beach	State FL	Zip Code (+4 optional) 33069	Country, if other than USA
Contact Person Name and Title John Keenan, Director of Security, Safety, & Compliance			
Contact Person Primary Phone Number 954.633.6575	Contact Person Fax Number	Contact Person Primary Email Address John.keenan@islepompanopark.com	
Fiscal Year			
Previous Fiscal Year July 1, 2022 through June 30, 2023		Current Fiscal Year July 1, 2023 through June 30, 2024	
ALL PERMITHOLDERS must provide a ledger that reflects transfers of five (5) percent or more of the stock or equity of any principals, partners, or shareholders for all times during the PREVIOUS fiscal year. (ATTACH ADDITIONAL PAGES AS NEEDED)			

If a CORPORATION, provide the following information for all shareholders holding five (5) percent or more equity on July 1st of the PREVIOUS fiscal year.
(ATTACH ADDITIONAL PAGES AS NEEDED)

NAME	ADDRESS	NUMBER OF SHARES

If a CORPORATION, provide the following information for all shareholders holding five (5) percent or more equity on June 30th of the PREVIOUS fiscal year.
(ATTACH ADDITIONAL PAGES AS NEEDED)

NAME	ADDRESS	NUMBER OF SHARES

If a BUSINESS ENTITY other than a corporation, provide the following information for all principals, partners, or shareholders holding five (5) percent or more equity on July 1st of the PREVIOUS fiscal year.
(ATTACH ADDITIONAL PAGES AS NEEDED)

NAME	ADDRESS	AMOUNT OF OWNERSHIP EQUITY

If a BUSINESS ENTITY other than a corporation, provide the following information for all principals, partners, or shareholders holding five (5) percent or more equity on June 30th of the PREVIOUS fiscal year.
(ATTACH ADDITIONAL PAGES AS NEEDED)

NAME	ADDRESS	AMOUNT OF OWNERSHIP EQUITY

SIGNATURE

Signature of Authorized Representative

10/9/2023
Date (MM/DD/YYYY)

Print Authorized Representative Name

Director Security, Safety, Compliance

Print Title

PPI, Inc.

Print Name of Permitholder

Federal Employer ID Number; or
Social Security Number (Sole Proprietors Only)

Please mail your completed form and any additional documentation to:

Florida Gaming Control Commission
Pari-Mutuel Wagering; Auditing Section
2601 Blair Stone Road, Tallahassee, Florida 32399-1037
Phone: 850.794.8086

Responsible Surety WESTERN SURETY COMPANY

Bond # [REDACTED]

Date 12/07/2022

Replacing Bond Number [REDACTED]



**SURETY BOND
FOR
FLORIDA SLOT MACHINE LICENSEE**

STATE OF Florida
COUNTY OF Broward

KNOW ALL MEN BY THESE PRESENTS: That PPL INC. as Principal,
and WESTERN SURETY COMPANY

a corporation incorporated under the laws of the State of SD, licensed to
transact surety business in the State of Florida, and with a principal business address at
151 N. Franklin Street, Chicago IL 60606,

as Surety, are hereby held and firmly bound unto the Governor of the State of Florida as
obligee, and his or her successors in office in the sum of Two Million and no/100 Dollars
(\$ 2,000,000.00) lawful money of the United States of America, for which sum, well
and truly to be paid, we hereby bind ourselves, our heirs, executors, administrators,
successors and assigns jointly and severally.

This obligation is conditioned as follows:

1. The Principal has applied for and/or obtained a license to operate slot machine(s) in
the State of Florida pursuant to Chapter 551, Florida Statutes, and Chapter 61D-14, Florida
Administrative Code (F.A.C.). If approved, the Principal, pursuant to the provisions of Chapter
551, Florida Statutes and Chapter 61D-14, F.A.C., is required to:

(a) Remit payment of all license fees and taxes required by law.

(b) Faithfully performs all requirements imposed by law or regulation or the conditions
of the license.

(c) Furnish a bond in an amount determined by the Florida Department of Business
and Professional Regulation (DBPR) through a corporation qualified under the laws of the
State of Florida as surety, payable to the State of Florida.

2. This bond must be established and held in trust for the benefit and protection of the
State of Florida. In addition the bond may not be released, in whole or in part except to the
Florida DBPR on written demand of the Director of the Division of Pari-Mutuel Wagering of the
DBPR or by the Principal with the written instructions from the Director of the Division of Pari-
Mutuel Wagering of the DBPR. The Principal may receive income, if any, accruing on the
reserve unless the Director of the Division of Pari-Mutuel Wagering, of the DBPR instructs
otherwise.

(a) The agreement establishing the bond is effective upon DBPR approval of the
Principal for its slot machine license.

(b) Amendments to any agreement establishing the bond may not be made without
the prior written approval of the DBPR.

Responsible Surety WESTERN SURETY COMPANY

Bond # [REDACTED]

Date 12/07/2022

Replacing Bond Number: [REDACTED]

3. If the Principal complies with all of the provisions of Chapter 551, Florida Statutes, and Chapter 61D-14, F.A.C., and in particular pay the license fees and taxes when due and demanded, then this obligation shall be null and void, but will otherwise remain in full force and effect.

4. Upon the Principal's failure to comply with Chapter 551, Florida Statutes, and Chapter 61D-14, F.A.C., including the Principal's failure to promptly pay all gaming fees and taxes when due and demanded, the Director of the Division of Pari-Mutuel Wagering of the DBPR may make demand upon the surety for the payment of the amount of the default to also include any fines or administrative penalties imposed as a result of a default by said Principal up to but not to exceed the amount of its liability as defined by this bond.

5. THIS BOND WILL EXPIRE 7th day of December, 2023, but may be continued by continuation certificate signed by the Principal and Surety. The Surety reserves the right to withdraw, except the Surety may not withdraw as to any liability already incurred or accrued hereunder, and may do so only upon giving written notice of the withdrawal to the Director of the Division of Pari-Mutuel Wagering, State of Florida, DBPR, 2601 Blair Stone Road, Tallahassee, Florida 32399-1035. Withdrawal shall not be effective until sixty (60) days have elapsed after acknowledgement of the notice by the DBPR.

6. Withdrawal shall not in any case affect the surety's liability arising out of any outstanding amount incurred prior to the expiration of the 60-day period after which DBPR has acknowledged the surety's notice of withdrawal.

WITNESS our hand and seal this 5th **day of** December, 2022.

PRINCIPAL PPI, INC.

BY: [Signature] President

ATTEST: [Signature] Secretary (CORPORATE SEAL)

SURETY: WESTERN SURETY COMPANY

BY: [Signature]
Meghan Hanes, Attorney-in-Fact (Attach Power of Attorney or other Authority)

COUNTERSIGNED: [Signature]
Resident Agent-Licensed in Florida

PRINT: Susan Ann Welsh, Non-Resident License No. W065205 Address 200 E Randolph St. Chicago, IL 606016
Name Registered Agent and Address

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

A Notary Public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

County of Los Angeles

On 12/5/22 before me, Vanessa Fong, Notary Public, personally appeared Meghan Hanes who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/~~are~~ subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and that by ~~his/her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature

Vanessa Fong
Signature of Notary Public

Western Surety Company

POWER OF ATTORNEY APPOINTING INDIVIDUAL ATTORNEY-IN-FACT

Know All Men By These Presents, That WESTERN SURETY COMPANY, a South Dakota corporation, is a duly organized and existing corporation having its principal office in the City of Sioux Falls, and State of South Dakota, and that it does by virtue of the signature and seal herein affixed hereby make, constitute and appoint

Tracy Aston, Rosa E Rivas, Mary Y Volmar, Samantha Russell, Meghan Hanes, Individually

of Los Angeles, CA, its true and lawful Attorney(s)-in-Fact with full power and authority hereby conferred to sign, seal and execute for and on its behalf bonds, undertakings and other obligatory instruments of similar nature

- In Unlimited Amounts -

and to bind it thereby as fully and to the same extent as if such instruments were signed by a duly authorized officer of the corporation and all the acts of said Attorney, pursuant to the authority hereby given, are hereby ratified and confirmed.

This Power of Attorney is made and executed pursuant to and by authority of the By-Law printed on the reverse hereof, duly adopted, as indicated, by the shareholders of the corporation.

In Witness Whereof, WESTERN SURETY COMPANY has caused these presents to be signed by its Vice President and its corporate seal to be hereto affixed on this 26th day of August, 2022.



WESTERN SURETY COMPANY

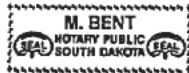
Paul T. Brufat
Paul T. Brufat, Vice President

State of South Dakota }
County of Minnehaha } ss

On this 26th day of August, 2022, before me personally came Paul T. Brufat, to me known, who, being by me duly sworn, did depose and say: that he resides in the City of Sioux Falls, State of South Dakota; that he is the Vice President of WESTERN SURETY COMPANY described in and which executed the above instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal; that it was so affixed pursuant to authority given by the Board of Directors of said corporation and that he signed his name thereto pursuant to like authority, and acknowledges same to be the act and deed of said corporation.

My commission expires

March 2, 2026



M. Bent
M. Bent, Notary Public

CERTIFICATE

I, L. Nelson, Assistant Secretary of WESTERN SURETY COMPANY do hereby certify that the Power of Attorney hereinabove set forth is still in force, and further certify that the By-Law of the corporation printed on the reverse hereof is still in force. In testimony whereof I have hereunto subscribed my name and affixed the seal of the said corporation this 5 day of DEC 2022



WESTERN SURETY COMPANY

L. Nelson
L. Nelson, Assistant Secretary

Form F4260-7-2012

Go to www.cnasurety.com > Owner / Obligee Services > Validate Bond Coverage, if you want to verify bond authenticity.

Authorizing By-Law

ADOPTED BY THE SHAREHOLDERS OF WESTERN SURETY COMPANY

This Power of Attorney is made and executed pursuant to and by authority of the following By-Law duly adopted by the shareholders of the Company.

Section 7. All bonds, policies, undertakings, Powers of Attorney, or other obligations of the corporation shall be executed in the corporate name of the Company by the President, Secretary, and Assistant Secretary, Treasurer, or any Vice President, or by such other officers as the Board of Directors may authorize. The President, any Vice President, Secretary, any Assistant Secretary, or the Treasurer may appoint Attorneys in Fact or agents who shall have authority to issue bonds, policies, or undertakings in the name of the Company. The corporate seal is not necessary for the validity of any bonds, policies, undertakings, Powers of Attorney or other obligations of the corporation. The signature of any such officer and the corporate seal may be printed by facsimile.

License Type	License #	Company	Status	License Expires
Slot Combo	7805891 ✓	Bally Gaming Inc/ SG Gaming ✓	Current	6/30/2024
Slot Machine	7600936 ✓	IGT/Gtech ✓	Current	6/30/2024
Slot Machine	7630421 ✓	Aristocrat Technologies, Inc ✓	Current	6/30/2024
Slot Machine	7883140 ✓	Konami Gaming, Inc./HMS ✓	Current	6/30/2024
Slot Machine	8069830 ✓	Ainsworth Game Technology, Inc. ✓	Current	6/30/2024
Slot Machine	8281949 ✓	AGS, LLC ✓	Current	6/30/2026
Slot Machine	8587586 ✓	Aruze Gaming ✓	Current	6/30/2025
Slot Machine	8801896 ✓	Incredible Technologies ✓	Current	6/30/2024
Slot Machine	9943621 ✓	Multimedia Games, Inc./GCA/Everi ✓	Current	6/30/2024
Slot Machine	8759471 ✓	Interblock ✓	Current	6/30/2025
Slot Machine	9823078 ✓	Lightning Gaming ✓	Current	6/30/2024
Slot Machine	8237912 ✓	Gaming Laboratories International, LLC ✓	Current	6/30/2026
Slot Machine	7793413 ✓	Graphic Control, LLC ✓	Current	6/30/2024
Slot Machine	8605145 ✓	Patriot Gaming ✓	Current	6/30/2025
Slot Machine	9917921 ✓	Casino Tech dba Landry Holdings, LLC ✓	Current	6/30/2024
Slot Machine	1499535 ✓	V.S.R. Lock, Inc. ✓	Current	6/30/2024
Slot Machine	7977121 ✓	JCM American Corporation ✓	Current	6/30/2024
Slot Business	9917853 ✓	Novomatic Americas ✓	Current	6/30/2024
Slot Business	7929049 ✓	AINSWORTH ✓	Current	6/30/2024
Slot Business	7830421 ✓	ARISTOCRAT ✓	Current	6/30/2024
Slot Business	11105033 ✓	BLUBERI GAMING USA ✓	Current	6/30/2025
Slot Machine	7830710 ✓	Reel Games, Inc. ✓	Current	6/30/2024
Slot Machine	11936144 ✓	Apogee Gaming USA inc ✓	Current	6/30/2024
Slot Machine	12372742 ✓	Sega Sammy Creations USA ✓	Current	6/30/2025
Slot Machine	8915860 ✓	KGM Gaming, LLC ✓	Current	6/30/2026
Slot Machine	8032829 ✓	Suzohapp Gaming Solutions ✓	Current	6/30/2025
Slot Machine	8552813 ✓	TCS John Huxley America, Inc ✓	Current	6/30/2024
Slot Combo	7805891	Shuffle Master, Inc. -see Bally Gaming <i>Duplicate</i>	Current	6/30/2024
Slot Combo	7805891	Scientific Games Racing, LLC - see Bally Gaming	Current	6/30/2024
Slot Combo	7805891	Shuffle Master, Inc. -see Bally Gaming	Current	6/30/2024
Slot Business	8010467 ✓	SPIN INC ✓	Current	6/30/2024
Slot Business	10019307 ✓	ZITRO ✓	Current	6/30/2024
Slot Business	11905567 ✓	GAMING ARTS, LLC ✓	Current	6/30/2024

10/9/2023

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 9	Name LNW GAMING INC	Extended To
License # 7805891	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/22/2012
Entity # 7805891	Lic Status Current	

Address		
Street # 6601	Street SOUTH BERMUDA ROAD	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89119
Routing		

Other		
1st License Date 06/28/2021	Rank Date 06/28/2021	Certificate #
Method I-S-1020	Status Date 06/28/2021	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent



Fictitious Name FEI/EIN Number List

Fictitious Name	Address	City	State	County	Status
BALLY TECHNOLOGIES	6601 SOUTH BERMUDA ROAD	LAS VEGAS	NV	BROWARD	E
SG GAMING	6501 SOUTH BERMUDA ROAD	LAS VEGAS	NV	MULTIPLE	E
SCIENTIFIC GAMES	6601 BERMUDA ROAD	LAS VEGAS	NV	BROWARD	A
SG	6601 BERMUDA ROAD	LAS VEGAS	NV	BROWARD	A
LIGHT & WONDER	6601 BERMUDA ROAD	LAS VEGAS	NV	MULTIPLE	A
L & W	6601 BERMUDA ROAD	LAS VEGAS	NV	MULTIPLE	A
LNW	6601 BERMUDA ROAD	LAS VEGAS	NV	MULTIPLE	A

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 7	Name IGT INC	Extended To
License # 7800936	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/02/2021
Entity # 7800936	Lic Status Current	

Address		
Street # 6355	Street S BUFFALO DRIVE	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89113
Routing		

Other		
1st License Date 06/29/2018	Rank Date 06/02/2021	Certificate #
Method I-S-1017	Status Date 08/01/2018	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 13	Name ARISTOCRAT TECHNOLOGIES INC	Extended To
License # 7830421	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 05/07/2021
Entity # 7830421	Lic Status Current	

Address		
Street # 10220	Street ARISTOCRAT WAY	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89135
Routing		

Other		
1st License Date 07/01/2015	Rank Date 05/07/2021	Certificate #
Method I-S-1017	Status Date 07/13/2015	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 30	Name KONAMI GAMING INC	Extended To
License # 7883140	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 05/30/2018
Entity # 7883140	Lic Status Current	

Address		
Street # 585	Street KONAMI CIRCLE	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89119
Routing		

Other		
1st License Date 06/30/2021	Rank Date 07/30/2021	Certificate #
Method I-S-1017	Status Date 07/30/2021	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 84	Name AINSWORTH GAME TECHNOLOGY INC	Extended To
License # 8069830	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/25/2021
Entity # 8069830	Lic Status Current	

Address		
Street # 5800	Street RAFAEL RIVERA WAY	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89118
Routing		

Other		
1st License Date 06/27/2018	Rank Date 06/25/2021	Certificate #
Method I-S-1017	Status Date 07/26/2018	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2026
File # 103	Name AGS, LLC	Extended To
License # 8281949	Rank SBUS - Slot Machine Bus Occupational License	Renewed On
Entity # 8281949	Lic Status Current	

Address		
Street # 6775	Street SOUTH EDMOND STREET SUITE 300	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89118
Routing		

Other		
1st License Date 06/19/2023	Rank Date 06/19/2023	Certificate #
Method I-S-1020	Status Date 06/19/2023	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2025
File # 123	Name ARUZE GAMING AMERICA INC	Extended To
License # 8587586	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 07/15/2022
Entity # 8587586	Lic Status Current	

Address		
Street # 6900	Street S. DECATUR BLVD. SUITE 100	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89118
Routing		

Other		
1st License Date 06/29/2018	Rank Date 07/15/2022	Certificate #
Method I-S-1017	Status Date 07/15/2022	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 140	Name INCREDIBLE TECHNOLOGIES CASINO GAMES INC	Extended To
License # 8801896	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/30/2021
Entity # 8801896	Lic Status Current	

Address		
Street # 200	Street CORPORATE WOODS PARKWAY	
Line 2		
Line 3		
City VERNON HILLS	State IL	Zip 60061
Routing		

Other		
1st License Date 10/25/2010	Rank Date 06/30/2021	Certificate #
Method I-S-1020	Status Date 07/01/2015	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 174	Name EVERI GAMES, INC.	Extended To
License # 9943621	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/25/2021
Entity # 9943621	Lic Status Current	

Address		
Street # 7250	Street S TENAYA WAY, SUITE 100	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89113
Routing		

Other		
1st License Date 06/27/2018	Rank Date 06/25/2021	Certificate #
Method I-S-1017	Status Date 07/17/2018	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2025
File # 134	Name INTERBLOCK USA L. C.	Extended To
License # 8759471	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/29/2022
Entity # 8759471	Lic Status Current	

Address		
Street # 1106	Street PALMS AIRPORT DRIVE	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89119-3730
Routing		

Other		
1st License Date 08/06/2010	Rank Date 06/29/2022	Certificate #
Method I-S-1020	Status Date 07/21/2016	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 164	Name LIGHTNING SLOT MACHINES LLC	Extended To
License # 9823078	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/24/2021
Entity # 9823078	Lic Status Current	

Address		
Street # 23	Street CREEK CIRCLE SUITE 400	
Line 2		
Line 3		
City BOOTHWYN	State PA	Zip 19061
Routing		

Other		
1st License Date 06/18/2014	Rank Date 06/24/2021	Certificate #
Method I-S-1017	Status Date 07/08/2020	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2026
File # 99	Name GAMING LABORATORIES INTERNATIONAL LLC	Extended To
License # 8237912	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 05/01/2023
Entity # 8237912	Lic Status Current	

Address		
Street # 600	Street AIRPORT ROAD	
Line 2		
Line 3		
City LAKEWOOD	State NJ	Zip 08701
	Routing	

Other		
1st License Date 06/28/2011	Rank Date 05/01/2023	Certificate #
Method I-S-1020	Status Date 03/19/2008	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 1	Name GRAPHIC CONTROLS ACQUISITION CORP	Extended To
License # 7793413	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/22/2021
Entity # 7793413	Lic Status Current	

Address		
Street # 400	Street EXCHANGE STREET	
Line 2		
Line 3		
City BUFFALO	State NY	Zip 14204
	Routing	

Other		
1st License Date 07/10/2018	Rank Date 06/22/2021	Certificate #
Method I-S-1017	Status Date 09/11/2018	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2025
File # 124	Name PATRIOT GAMING & ELECTRONICS, INC.	Extended To
License # 8605145	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 07/18/2022
Entity # 8605145	Lic Status Current	

Address		
Street # 217	Street N. LINDBERG	
Line 2		
Line 3		
City GRIFFITH	State IN	Zip 46319
	Routing	

Other		
1st License Date 06/25/2010	Rank Date 07/18/2022	Certificate #
Method I-S-1020	Status Date 07/18/2022	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 173	Name LANDRY HOLDINGS, LLC	Extended To
License # 9917921	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 04/28/2021
Entity # 9917921	Lic Status Current	

Address		
Street #	Street PO BOX 2167	
Line 2		
Line 3		
City CYPRESS	State TX	Zip 77410
Routing		

Other		
1st License Date 09/14/2012	Rank Date 04/28/2021	Certificate #
Method I-S-1017	Status Date 08/26/2020	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 29	Name VSR INDUSTRIES INC	Extended To
License # 1499535	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/15/2021
Entity # 1499535	Lic Status Current	

Address		
Street # 6190	Street MT VISTA STREET	
Line 2		
Line 3		
City HENDERSON	State NV	Zip 89014
Routing		

Other		
1st License Date 08/18/2009	Rank Date 06/15/2021	Certificate #
Method I-S-1020	Status Date 07/07/2015	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 69	Name JCM AMERICAN CORPORATION	Extended To
License # 7977121	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 07/28/2021
Entity # 7977121	Lic Status Current	

Address		
Street # 925	Street PILOT ROAD	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89119
Routing		

Other		
1st License Date 06/26/2019	Rank Date 07/28/2021	Certificate #
Method I-S-1017	Status Date 07/28/2021	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 172	Name NOVOMATIC AMERICAS SALES LLC	Extended To
License # 9917853	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/30/2021
Entity # 9917853	Lic Status Current	

Address		
Street # 900	Street CORPORATE GROVE DRIVE	
Line 2		
Line 3		
City BUFFALO GROVE	State IL	Zip 60089
Routing		

Other		
1st License Date 05/01/2015	Rank Date 06/30/2021	Certificate #
Method I-S-1017	Status Date 05/07/2015	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 57	Name AINSWORTH GAME TECHNOLOGY LTD	Extended To
License # 7929049	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 07/22/2021
Entity # 7929049	Lic Status Current	

Address		
Street # 10	Street HOLKER STREET	
Line 2		
Line 3		
City NENINGTON NSW	State 99	Zip 2127
Routing		

Other		
1st License Date 06/30/2018	Rank Date 07/22/2021	Certificate #
Method I-S-1017	Status Date 07/22/2021	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 13	Name ARISTOCRAT TECHNOLOGIES INC	Extended To
License # 7830421	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 05/07/2021
Entity # 7830421	Lic Status Current	

Address		
Street # 10220	Street ARISTOCRAT WAY	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89135
Routing		

Other		
1st License Date 07/01/2015	Rank Date 05/07/2021	Certificate #
Method I-S-1017	Status Date 07/13/2015	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2025
File # 227	Name BLUBERI GAMING USA INC	Extended To
License # 11105033	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/30/2022
Entity # 11105033	Lic Status Current	

Address		
Street # 7370	Street DEAN MARTIN DRIVE SUITE 407	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89139
Routing		

Other		
1st License Date 07/29/2016	Rank Date 06/30/2022	Certificate #
Method I-S-1020	Status Date 07/29/2016	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 14	Name REEL GAMES INC	Extended To
License # 7830710	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/15/2021
Entity # 7830710	Lic Status Current	

Address		
Street # 1501	Street NE 13TH AVENUE	
Line 2		
Line 3		
City FORT LAUDERDALE	State FL	Zip 33304
Routing		

Other		
1st License Date 06/27/2018	Rank Date 06/15/2021	Certificate #
Method I-S-1017	Status Date 07/09/2018	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 243	Name APOGEE GAMING USA INC	Extended To
License # 11936144	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 08/19/2021
Entity # 11936144	Lic Status Current	

Address		
Street # 19	Street OCEAN VIEW ROAD	
Line 2		
Line 3		
City BAS CAP PELE	State NB	Zip E4N 2X5
Routing		

Other		
1st License Date 01/03/2019	Rank Date 08/19/2021	Certificate #
Method I-S-1017	Status Date 08/19/2021	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2025
File # 254	Name SEGA SAMMY CREATION USA INC	Extended To
License # 12372742	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/21/2022
Entity # 12372742	Lic Status Current	

Address		
Street # 955	Street GRIER DRIVE SUITE C	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89119
Routing		

Other		
1st License Date 04/13/2020	Rank Date 06/21/2022	Certificate #
Method I-S-1020	Status Date 04/13/2020	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2026
File # 152	Name KGM GAMING, LLC	Extended To
License # 8915860	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 07/19/2023
Entity # 8915860	Lic Status Current	

Address		
Street # 2944	Street SAMUEL DRIVE	
Line 2		
Line 3		
City BENSALEM	State PA	Zip 19020
Routing		

Other		
1st License Date 09/11/2013	Rank Date 07/19/2023	Certificate #
Method I-S-1020	Status Date 07/19/2023	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2025
File # 79	Name SUZHAPP GAMING SOLUTIONS, LLC	Extended To
License # 8032829	Rank SBUS - Slot Machine Bus Occupational License	Renewed On
Entity # 8032829	Lic Status Current	

Address		
Street # 1385	Street PAMA LANE UNIT 100	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89119
Routing		

Other		
1st License Date 07/06/2022	Rank Date 07/06/2022	Certificate #
Method I-S-1020	Status Date 07/06/2022	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1010 - Cardroom Business Occupational	Expires On 06/30/2025
File # 103	Name TCS JOHN HUXLEY AMERICA INC	Extended To
License # 8552813	Rank CBUS - Cardroom Business Occupational	Renewed On
Entity # 8552813	Lic Status Current	

Address		
Street # 6171	Street MCLEOD DRIVE SUITE M	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89120
Routing		

Other		
1st License Date 03/17/2023	Rank Date 03/17/2023	Certificate #
Method I-S-1020	Status Date 03/17/2023	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 76	Name SPIN INC	Extended To
License # 8010467	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 05/25/2021
Entity # 8010467	Lic Status Current	

Address		
Street # 35	Street SW 12TH AVE SUITE 107	
Line 2		
Line 3		
City DANIA BEACH	State FL	Zip 33004
Routing		

Other		
1st License Date 07/20/2009	Rank Date 05/25/2021	Certificate #
Method I-S-1020	Status Date 07/18/2012	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 182	Name ZITRO USA INC.	Extended To
License # 10019307	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 07/15/2021
Entity # 10019307	Lic Status Current	

Address		
Street #	Street VIA AUSTI PARKWAY, SUITE 170	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89119
Routing		

Other		
1st License Date 06/29/2015	Rank Date 07/15/2021	Certificate #
Method I-S-1017	Status Date 07/15/2021	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License

Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 241	Name GAMING ARTS, LLC	Extended To
License # 11905567	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/30/2021
Entity # 11905567	Lic Status Current	

Address

Street # 323	Street ORVILLE WRIGHT COURT	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89119
	Routing	

Other

1st License Date 11/20/2018	Rank Date 06/30/2021	Certificate #
Method I-S-1017	Status Date 01/28/2019	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent



State of Florida
Department of Business and Professional Regulation
Chronology Report

Case #: 2023062956 **Incident date:** 11/06/2023 **Status:** 10 - Initial Review

Lic Type: 1003 **Disposition:**

Case Type: Complaint

Responsible: ljelks - JELKS, LA'KESHA

Complainant: PPI, INC.
 1800 SW THIRD STREET, POMPANO BEACH, FL 33069-3099

Respondent: FGCC, PARI-MUTUEL WAGERING
 4070 ESPLANADE WAY, TALLAHASSEE, FL 32399

Summary: STATE OF FLORIDA
 FLORIDA GAMING CONTROL COMMISSION
 DIVISION OF PARI-MUTUEL WAGERING

 PERMITHOLDER APPLICATION FOR ANNUAL SLOT MACHINE LICENSE

APPLICATION INFORMATION
 Permitholder Name: PPI, Inc. – 430 – 1003 - File#5
 Date Received: October 9, 2023
 Reviewer Name: La'Kesha Jelks

Chronology:	Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
	11/06/2023	R		ljelks	JELKS, LA'KESHA	ljelks	
	11/06/2023	S	1003	10	Initial Review	ljelks	

3. Discussion of consent orders

MEMORANDUM

To: The Florida Gaming Control Commission
From: The Office of General Counsel
Through: Elina Valentine, Deputy General Counsel
Re: Inna Alekseenko-Repass v. Florida Gaming Control Commission, Division of
Pari-Mutuel Wagering, case no. 2023-025872
Date: December 1, 2023

Executive Summary

Inna Alekseenko-Repass (“Petitioner”) seeks to resolve Alekseenko-Repass v. FGCC, case no. 2023-025872, via settlement in lieu of further litigation of the Commission’s intent to deny Petitioner’s application for licensure based on a disqualifying offense. Pursuant to the terms of the proposed settlement, Petitioner would be issued a pari-mutuel general individual occupational license subject to (i) immediate revocation of the license with no right of appeal during a five-year probationary period in the event Petitioner is found in violation of any state or federal law or rule, and (ii) a notification requirement of any arrest or charge for a criminal or civil violation of state or federal law or rule.

Background and Procedural History

On or about May 2, 2023, Petitioner submitted a completed application for a general individual occupational license (the “Application”). On September 11, 2023, the Commission notified Petitioner of its intent to deny the Application based on Petitioner’s 2010 felony conviction¹, which is a disqualifying offense under section 550.105(5), Florida Statutes² (the “Letter of License Denial”). On or about October 6, 2023, Petitioner filed with the Commission a request for formal administrative hearing at the Division of Administrative Hearings, alleging disputed issues of material fact. Petitioner subsequently requested settlement of this matter pursuant to the terms of a consent order (the “Stipulation and Consent Order”). At this time, at Petitioner’s request, the matter has not been referred to the Division of Administrative Hearings to be set for trial.

Analysis

Under section 120.57(4), Florida Statutes, the Commission may resolve matters such as this case informally through a negotiated settlement. As part of settlement negotiations, Petitioner is requesting the Commission to consider and agree to the proposed terms set forth in the Stipulation and Consent Order. In furtherance of settlement, Petitioner submitted documents and information intended to demonstrate her good moral character and rehabilitation. The documents and information are attached hereto as “Exhibit 1.”

¹ On or about October 21, 2010, Petitioner was convicted of felony Frauds and Swindles (Mail Fraud) in the United States District Court, District of New Jersey.

² Section 550.105(5) provides, in pertinent part, that “...the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of...a felony...”

Among other things, the Stipulation and Consent Order provides that, in lieu of further litigation, the Commission agrees to withdraw the Letter of License Denial and grant Petitioner's application for a pari-mutuel wagering general individual occupational license subject to the certain conditions, including the following:

1. Notification of Arrest or Charge. At all times Petitioner holds any license with the Commission, Petitioner is required to notify the Commission of any arrest, indictment, or charge of any violation of state or federal law, or rules promulgated thereunder. Such notification must occur within five days of the arrest or receipt of the charging document. The Commission may revoke the license for a failure to comply with this notification requirement.
2. Probationary Period. During a five-year period which begins on the date the Consent Order is filed with the Agency Clerk, the Commission may summarily revoke Petitioner's license if Petitioner is found in violation of state or federal law, or rules promulgated thereunder. Petitioner waives any and all appeals and proceedings to which Petitioner may otherwise be entitled related to such revocation.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

INNA ALEKSEENKO-REPASS,

Petitioner,

v.

Case No.: 2023-025872

FLORIDA GAMING CONTROL
COMMISSION, DIVISION OF PARI-
MUTUEL WAGERING,

Respondent.

_____ /

STIPULATION AND CONSENT ORDER

WHEREAS, on September 11, 2023, the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (the “Commission”) notified Inna Alekseenko-Repas (“Petitioner”) of its intent to deny Petitioner’s application for a pari-mutuel general individual occupational license;

WHEREAS, in support of the issuance of the Letter of License Denial, the Commission cited to Petitioner’s 2010 Frauds and Swindles conviction, which is a disqualifying offense under section 550.105(5), Florida Statutes;

WHEREAS, Petitioner provided to the Commission evidence of rehabilitation and good moral character; and

WHEREAS, in lieu of further litigation, the Commission and Petitioner (individually, “Party” and collectively, the “Parties”) wish to enter into a stipulation and consent order in resolution of Inna Alekseenko-Repas v. Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, case number 2023-025872 (the “Stipulation and Consent Order”).

STIPULATION

NOW THEREFORE, in consideration of the mutual promises and recitals herein, the Parties hereby agree and stipulate to the following:

1. The Commission has jurisdiction over this matter and Petitioner.
2. The Commission is authorized by section 120.57, Florida Statutes, to resolve administrative proceedings by consent orders.
3. Each of the Parties has entered into the terms of this Stipulation and Consent Order voluntarily. Petitioner is aware that she is entitled to the advice of counsel and has either sought the advice of counsel or, by execution of this Stipulation and Consent Order, is knowingly waiving the right to have the advice of counsel. Petitioner acknowledges that the Commission has not made any promise, nor has it in any other way encouraged Petitioner to enter into this Stipulation and Consent Order without the advice of counsel.
4. This Stipulation and Consent Order is enforceable under section 120.69 and chapter 550, Florida Statutes, as final agency action.
5. Each Party must bear its own costs and legal fees related to this matter, and no financial claims can be made against the Commission in this action.
6. The Parties acknowledge and agree that this Stipulation and Consent Order constitutes the final order in this case; additionally, the parties agree to waive any further review whether such review be judicial or administrative.
7. The Parties understand that this Stipulation and Consent Order will in no way preclude future proceedings by the Commission against Petitioner for any future act(s) or omission(s) constituting either a violation of Florida law or the Florida Administrative Code.

8. **LICENSURE:** The Division agrees (a) to withdraw the Letter of License Denial and (b) subject to the conditions herein specified, to grant Petitioner's application for a pari-mutuel wagering general individual occupational license.

9. The Letter of Denial filed in this case shall be deemed withdrawn as of the date this Stipulation and Consent Order is filed with the Agency Clerk. Likewise, Petitioner's license, subject to conditions stated herein, shall be deemed granted as of the date this Stipulation and Consent Order is filed with the Agency Clerk.

10. **NOTIFICATION OF ARREST OR CHARGE:** Petitioner agrees that, at all times Petitioner holds any license with the Commission, Petitioner shall provide written notification to the Commission when Petitioner has been arrested, indicted, or charged in any other way with a criminal offense or with any violation of state law or federal law, including rules promulgated thereunder, within five days of the arrest, or if not arrested, within five days of the receipt of a charging document. Petitioner further agrees that if Petitioner fails to timely notify the Commission as set forth herein, the Commission may initiate proceedings to revoke Petitioner's license.

11. **PROBATIONARY PERIOD:** Petitioner is hereby placed on a period of probation that will terminate five (5) years after this Stipulation and Consent Order is filed with the Agency Clerk. If Petitioner is found in violation of state law, federal law, or rules promulgated thereunder, at any time during the probationary period, the Commission may summarily revoke Petitioner's license. Petitioner agrees to waive any and all appeals and proceedings related to such revocation to which she may be entitled, including, but not limited to, an informal proceeding under section 120.57(2), Florida Statutes, a formal proceeding under section 120.57(1), Florida Statutes, appeals

under section 120.68, Florida Statutes; and declaratory and all writs of relief in any court or quasi-court of competent jurisdiction.

12. Petitioner must mail the executed Stipulation and Consent Order to: **The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, 4070 Esplanade Way, Tallahassee, Florida 32399-1035, Attention: Elina Valentine.**

13. The Parties acknowledge and agree that this Stipulation and Consent Order is subject to the approval of the Commission. The Stipulation and Consent Order will have no force or effect unless and until the Commission files a Final Order adopting this Stipulation and Consent Order. Should this Stipulation and Consent Order be rejected, no statement made in furtherance thereof by Petitioner may be used as direct evidence against Petitioner in any proceeding.

14. Upon the Commission's adoption of this Stipulation and Consent Order, Petitioner expressly waives all further procedural steps, including all rights to seek judicial review of this Stipulation and Consent Order, and waives the right to seek attorneys' fees or costs from the Commission in connection with these proceedings.

15. This Stipulation and Consent Order is executed by the Parties for the purpose of avoiding further administrative action with respect to the matters addressed herein. In this regard, Petitioner authorizes the Commission to review and examine all materials concerning Petitioner, prior to or in conjunction with consideration of this Stipulation and Consent Order. Should the Commission not accept this Stipulation and Consent Order, it is agreed that presentation to and consideration of this Stipulation and Consent Order and other documents and matters by the Commission shall not unfairly or illegally prejudice the Commission from further participation, consideration, or resolution of these proceedings.

16. This Stipulation and Consent Order may be signed in counterparts, and copies shall be treated as original.

17. The Parties agree that this serves as notice that the signed Stipulation and Consent Order will be placed on the next available Commission meeting. If Petitioner would like to attend, Petitioner is responsible for checking the Florida Gaming Control Commission website at <https://flgaming.gov/> for the meeting materials, agenda, and contact information.

18. Petitioner authorizes the Commission to correct any typographical errors or make any non-material changes to this Stipulation and Consent Order after it is signed.

[Signature pages to follow]

Petitioner, INNA ALEKSEENKO-REPASS, requests that the Commission enter a Final Order approving and incorporating this Stipulation and Consent Order in resolution of this matter.

Signed this ____ day of _____, 2023.

DocuSigned by:
Inna Alekseenko-Repass 11/28/2023
FE3F80D2B0A1421...

INNA ALEKSEENKO-REPASS
Petitioner

STATE OF _____

COUNTY OF _____

The foregoing instrument was acknowledged before me, by means of physical presence or online notarization, this ____ day of _____, 2023, by Inna Alekseenko-Repass, who is personally known to me or who produced the following as identification:

Notary Public

My commission expires:

This Stipulation and Consent Order for the Florida Gaming Control Commission Case Number 2023-025872 is APPROVED for legal sufficiency this ____ day of _____, 2023.

Elina Valentine
Deputy General Counsel
The Florida Gaming Control Commission

From: [Elina Valentine](#)
To: [Emilv Alvarado](#)
Subject: FW: Public Records Act Request :: W138877-112923
Date: Friday, December 1, 2023 2:11:49 PM

Elina Valentine
Deputy General Counsel
Office of the General Counsel
Office 850.794.8076

The information contained in this transmission is intended solely for the use of the person(s) named herein. If you are not the intended recipient, you are hereby notified that any review, dissemination, distribution, or duplication of this communication is strictly prohibited. If you are not the intended recipient, please contact me by reply e-mail and destroy all copies of the original message.

The State of Florida has a very broad public records law pursuant to Chapter 119, Florida Statutes. Most written communications to and from state officials regarding state business are public records, available to the public and media upon request. Therefore, your e-mail communications may be subject to public disclosure. LARGER VIEW

From: Inna <innar505@gmail.com>
Sent: Thursday, November 30, 2023 12:51 PM
To: Elina Valentine <Elina.Valentine@flgaming.gov>
Subject: Fwd: Public Records Act Request :: W138877-112923

Elina,

Here is the completed request from DBPR. Let me know if I need to do anything else before the December hearing.

Thank you

----- Forwarded message -----

From: **DBPR Public Records Center** <FLDBPR@mycusthelp.net>
Date: Thu, Nov 30, 2023 at 11:11 AM
Subject: Public Records Act Request :: W138877-112923
To: innar505@gmail.com <innar505@gmail.com>

--- Please respond above this line ---

Good morning,

Thank you for contacting the Department of Business and Professional Regulation Division of Real Estate. In response to your request, the records of the Division of Real Estate reflect no disciplinary actions or public complaints against Inna Alekseenko.

Please be advised that DBPR **does not monitor** requests that have been closed. If a requester desires to seek additional information, we recommend the creation of a new request. To submit a new request, please visit the Public Records Center by clicking [here](#).

Sincerely,

Johanne Knudson
Government Analyst
Division of Real Estate



UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF GEORGIA
PROBATION OFFICE
900 U.S. COURTHOUSE
75 SPRING STREET, S.W.
ATLANTA, GEORGIA 30303-3309

THOMAS W. BISHOP
CHIEF PROBATION OFFICER

(404) 215-1950
FAX(404) 331-0160

May 26, 2014

Ms. Inna Alekseenko
3080 Market Place, Apartment 12202
Alpharetta, GA 30005

Dear Ms. Alekseenko:

This is to certify that you have completed your period of supervision. The fact that you have successfully completed this period of supervision automatically restores your right to vote.

Other civil rights, such as your right to serve on a jury, your right to hold public office, and your right to bear arms are lost by reason of a felony conviction. However, application forms for restoration of rights may be obtained by writing to the Georgia State Board of Pardons and Paroles, Fifth Floor, East Tower, 2 Martin Luther King Jr. Drive, S.E., Atlanta, Georgia, 30334. To restore your right to bear a firearm, you must write the Office of the Pardon Attorney, 1425 New York Avenue, N.W., Suite 11000, Washington, D.C. 20530, or contact by phone at (202) 616-6070 to request the forms.

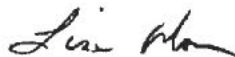
Our records indicate you have a remaining balance on your fine and/or restitution. You should continue forwarding payments to the Clerk, U.S. District Court.

18 U.S.C. §3612(f)(1) requires that convicted persons pay interest on any fine or restitution of more than \$2,500, unless the fine is paid in full before the fifteenth day after the date of the judgement. Thus, you may owe interest on your unpaid restitution and/or fine balance.

The United States Attorney's Office, Financial Litigation Unit, will contact you via the mail or notify you of this outstanding liability or you may contact the U.S. Attorney's Office.

I would like to take this opportunity to thank you for your cooperation while under supervision, and to congratulate you on your completion of sentence.

Sincerely,



Lisa Moore
U. S. Probation Officer

cc: United States Attorney's Office, Financial Litigation Unit

EXHIBIT # 3
PAGE # 19

CERTIFICATE OF ACHIEVEMENT

This certifies that

Inna Alekseenko

has satisfactory completed

Voter Restoration

Consisting of 4 Hours of Training

This certificate is hereby issued 26th of January, 2011

Upon completion of this class, this student will be able to demonstrate the following:
summarize the different state approaches to restoring the franchise to felons explaining, in particular, the requirements in the state in which the student plans to reside.



M. Waldron
ACE Coordinator

Education Department
FCI, Marianna
Marianna, Florida

CERTIFICATE OF ACHIEVEMENT

This certifies that

Inna Alekseenko

has satisfactory completed

Small Business

Consisting of 10 Hours of Training

This certificate is hereby issued this 8th day of February, 2011

Upon the completion of this course, the student will have familiarity with the following: business structure, management skills, Small Business Administration, business plans, business credit, business capital, basic business accounting, marketing, strategic planning and business organization.



M. Waldron
ACE Coordinator

Education Department
FCI, Marianna
Marianna, Florida

CERTIFICATE OF ACHIEVEMENT

This certifies that

Inna Alekseenko

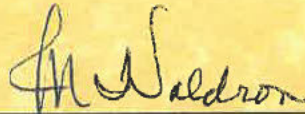
has satisfactory completed

Career Counseling

Consisting of 2 Hours of Training

This certificate is hereby issued this 6th day of December, 2010

Upon completion of this class, this student will be able to demonstrate the following: identify reasons for early planning and create a list of educational goals suitable for individual time constraints.



M. Waldron
ACE Coordinator

Education Department

FCI, Marianna
Marianna, Florida

CERTIFICATE OF ACHIEVEMENT

This certifies that

Inna Alekseenko

has satisfactory completed

Health Education


Consisting of 10 Hours of Training

This certificate is hereby issued this 10th day of March, 2011

Upon completion of this class, this student will be able to demonstrate the following: label and list the major muscle groups in the human body, identify the major supporting bones, define body type, find and calculate resting, maximum and training heart rates, explain the Frequency, Intensity, and Time Principle, label the USDA Food pyramid and list ways to help cope with stress and relax.



M. Waldron
ACE Coordinator



Education Department
FCI, Marianna
Marianna, Florida

Certificate of Achievement

FOR

INTRO TO ART

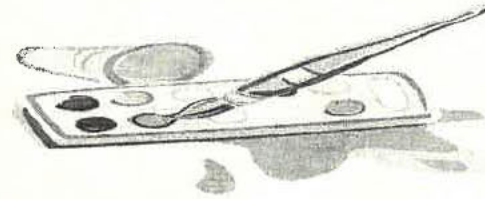
Sponsored by FPC Marianna's Recreation Department

This Certificate is Awarded to:

Inna Alekseenko

(Art Student)

This Twenty First Day of April., Two Thousand eleven



R. Bantua

Program Coordinator



Ron DeSantis, Governor

Melanie S. Griffin, Secretary



**STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION**

DIVISION OF REAL ESTATE

THE SALES ASSOCIATE HEREIN IS LICENSED UNDER THE
PROVISIONS OF CHAPTER 475, FLORIDA STATUTES

ALEKSEENKO, INNA

5525 N MILITARY TR APT 1315
BOCA RATON FL 33496

LICENSE NUMBER: SL3509197

EXPIRATION DATE: MARCH 31, 2025

Always verify licenses online at MyFloridaLicense.com



Do not alter this document in any form.

This is your license. It is unlawful for anyone other than the licensee to use this document.

PHILIP R. SELLINGER
UNITED STATES ATTORNEY
JORDAN M. ANGER
ASSISTANT U.S. ATTORNEY
970 BROAD STREET, ROOM 700
NEWARK, NJ 07102
Tel. 973-645-2829
Fax. 973-645-3210
email: JORDAN.ANGER@usdoj.gov

February 27, 2023

**UNITED STATES DISTRICT COURT
DISTRICT OF NEW JERSEY**

UNITED STATES OF AMERICA,

Plaintiff,

v.

INNA ALEKSEENKO,

Defendant,

Hon. WILLIAM J. MARTINI

Criminal No. 09-822

SATISFACTION OF JUDGMENT

The Judgment in the above-captioned case having been paid in full, the Clerk of the United States Court for the District of New Jersey is hereby authorized and requested to satisfy and cancel said judgment of record for any special assessment, fine and/or restitution only, as to defendant, Inna Alekseenko. This satisfaction of judgment does not satisfy any forfeiture judgment imposed in this case.

PHILIP R. SELLINGER
UNITED STATES ATTORNEY

By: s/JORDAN M. ANGER
JORDAN M. ANGER
ASSISTANT U.S. ATTORNEY

EXHIBIT # 3
PAGE # 280 17

**ROUTING SLIP
REQUEST FOR WAIVER**

RE: ALEKSEENKO-REPASS, INNA – LIC # 13467058
(APPLICANT'S NAME – LICENSE #)

Case No: 2023 02 5872

1022 / Thoroughbred Animal Owner
Occupation Code and Job Title

Gulfstream Park
Facility (d/b/a name)

90-DAY RESPONSE DEADLINE:

AUGUST 2, 2023
(DATE)

Investigations Section: Reviewed by Bradford D. Jones *BDJ* 7/2/2023
(Initial & Date)

The attached "Request for Waiver" file has been reviewed for completeness and accuracy, and has been forwarded to the Licensing Section.

Licensing Section: Reviewed by: DD 07/06/2023
(Initial & Date)

DD 7/10/23
(Initial & Date)

Is the applicant currently under suspension, has unpaid fines, or has been refused a license by any gaming or racing jurisdiction?

Yes or No If yes, in what jurisdiction? _____

Executive Director: *LT* 7/17/23
(Initial & Date)

Prepare Waiver or Prepare File for Commission Review

Comments: _____

Investigative Findings:

November 5, 2009

United States Marshall's Service, Newark, New Jersey – Mail Fraud – Felony Adjudicated Guilty upon a plea of Guilty and sentenced on November 1, 2010 to 6 months in Federal prison, 36 months' Supervised Release, \$132,930.00 in Restitution and a \$100.00 Special Assessment. - All terms and payments have been completed.

*Please attach Routing Slip to front of case file

[VR Home](#) | [Inbox](#) | [Entity](#) | [Application](#) | [License](#) | [Cash](#) | [Exam](#) | [Inspection](#) | [Enforcement](#) | [Report](#)

[Complaint Search](#) | [Change Recording License Type](#) | [Delete Complaint](#) | [Mass Activity Update](#) | [Mass Discipline Update](#)
[Mass Status Update](#) | [Public Case Info](#)

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **cstubbs1**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type	1022 - Pari-Mutuel General Individual Occupational	Status	90 Closed	Status Date	07/02/2023
Complaint #	2023025872	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#	Respondent ALEKSEENKO-REPASS, INNA	Responsible	bjones - JONES, BRADFORD	Private Case	

[Complaint](#) | [Respondent](#) | [Complainant](#) | [Add'l Info](#)

Source	LIC - Licensee	Security Level	1	<input type="checkbox"/>	Parties	<input checked="" type="checkbox"/>	Activities
Form	WALK - Walk-in	Priority		<input checked="" type="checkbox"/>	Allegations	<input type="checkbox"/>	Discipline
Class'n	IIIB - Waivers	Complexity	R - Regular	<input type="checkbox"/>	Violations	<input type="checkbox"/>	Compliance
Security	STND - Standard	Incident	03/01/2023	<input type="checkbox"/>	Related	<input checked="" type="checkbox"/>	Disposition
Region	SR - Southern Region	Received	05/03/2023	<input type="checkbox"/>	Inspection		
Reference				<input type="checkbox"/>	Costs		
Entered	05/04/2023	Entered By	cstubbs1	<input type="checkbox"/>	Time Tracking	<input type="checkbox"/>	Auto Assign
Summary	321-Gulfstream Park			<input type="checkbox"/>	Attachments	<input type="checkbox"/>	History
Updated	07/05/2023 11:03:46	By	cstubbs1	<input type="checkbox"/>	Work Notes	<input type="checkbox"/>	Print Report

[Change](#) | [Save](#) | [OK](#) | [Cancel](#) | [Back](#)

[Get Adobe Reader.](#)

ROUTING SLIP REQUEST FOR WAIVER

RE: ALEKSEENKO-REPASS, INNA – LIC # 13467058
(APPLICANT'S NAME – LICENSE #)

Case No: 2023 02 5872

1022 / Thoroughbred Animal Owner
Occupation Code and Job Title

Gulfstream Park
Facility (d/b/a name)

90-DAY RESPONSE DEADLINE:

AUGUST 2, 2023
(DATE)

Investigations Section: Reviewed by Bradford D. Jones  7/2/2023
(Initial & Date)

The attached "Request for Waiver" file has been reviewed for completeness and accuracy, and has been forwarded to the Licensing Section.

Licensing Section: Reviewed by: _____
(Initial & Date) (Initial & Date)

Is the applicant currently under suspension, has unpaid fines, or has been refused a license by any gaming or racing jurisdiction?

Yes or No If yes, in what jurisdiction? _____

Executive Director: _____
(Initial & Date)

Prepare Waiver or Prepare File for Commission Review

Comments: _____

Investigative Findings:

November 5, 2009



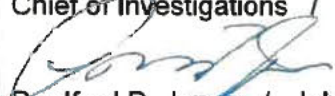
United States Marshall's Service, Newark, New Jersey – Mail Fraud – Felony Adjudicated Guilty upon a plea of Guilty and sentenced on November 1, 2010 to 6 months in Federal prison, 36 months' Supervised Release, \$132,930.00 in Restitution and a \$100.00 Special Assessment. - All terms and payments have been completed.

*Please attach Routing Slip to front of case file

Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS
WAIVER INVESTIGATIVE REPORT

Office: PMW	Region: Southern	Date of Complaint: May 4, 2023	Case Number: 2023 02 5872
Respondent: ALEKSEENKO-REPASS, INNA 5030 CHAMPION BLVD. SUITE G11-437 BOCA RATON, FLORIDA 33496		Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 W. COMMERCIAL BLVD. SUITE 165 FORT LAUDERDALE, FLORIDA 33309	
License # / Type: 13467058 - 1022	Profession: Individual Horse Owner	Report Date: June 5, 2023	
Period of Investigation: May 4, 2023-June 5, 2023		Type of Report: Final	
<p>Basis of Investigation: This investigation is predicated upon receipt of Inna ALEKSEENKO-REPASS's application for a State of Florida Pari-Mutuel Waging Individual Occupational License dated January 26, 2023 and her Waiver Request form dated March 1, 2023 (Exhibit #1).</p> <p>On January 26, 2023, ALEKSEENKO-REPASS electronically submitted a PMW Occupational License application to be licensed as an Individual Horse Owner at Gulfstream Park. On her application ALEKSEENKO-REPASS answered "No" to the question "Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you?"</p> <p>On January 30, 2023, February 20, 2023 and March 28, 2023, the Office of Operations sent ALEKSEENKO-REPASS Deficiency Letters informing her that her application was incomplete and that she needed to amend or correct her application (Exhibit #4).</p> <p>ALEKSEENKO-REPASS appears to have amended her application on two separate occasions. On each occasion she answered "Yes" to the question concerning prior convictions. On her first amended application she wrote "Erie County, PA See attached documents" in lieu of providing specific details. This form was date/time-stamped as being received on March 27, 2023. The second amended document was received on May 2, 2023. On this amendment ALEKSEENKO-REPASS made specific disclosure of the following arrests: a 02/28/2000 arrest from Erie, Pennsylvania for Receiving Stolen Property, 11/19/2002 and a 03/13/2009 arrests from Hampton Massachusetts for Disorderly Conduct, a 10/21/2010 arrest from New Jersey for Wire Fraud, along with an 11/22/2010, Reckless Driving charge and a 10/01/2012 arrest for Driving Under the Influence both of which occurred in the state of Georgia (Exhibit #1).</p>			
Related Case:			
Investigations Specialist II / Date Lisa Vila / June 5, 2023 		Investigator Supervisor / Date Julio Minaya / June 7, 2023 	
Chief of Investigations / Date  Bradford D. Jones / July 2, 2023			

CONTINUATION

CRIMINAL HISTORY

Arrest 1					
Date of Arrest: 11/05/2009		Arresting Agency United States Marshall's Service, Newark, New Jersey Office			
OFFENSE					
CHARGES	CLASSIFICATION	PLEA	DISPOSITION	CONVICTION DATE	
1	Fraud and Swindles (Mail Fraud)	Felony	Guilty	Guilty	11/01/2010
2					
3					
4					
5					
6					

SENTENCE
6 Months imprisonment, 36 months supervised release, \$100.00 Special assessment, \$132,930.00 Restitution which applicant has paid in full. (EXHIBIT #2, Page #7 & EXHIBIT #3, Pages 2 thru 19)

Additional Information:

Arrest 2					
Date of Arrest:		Arresting Agency:			
OFFENSE					
CHARGES	CLASSIFICATION	PLEA	DISPOSITION	CONVICTION DATE	
1					
2					
3					
4					

SENTENCE

Additional Information:

CONTINUATION

Arrest 3				
Date of Arrest:		Arresting Agency:		
OFFENSE				
CHARGES	CLASSIFICATION	PLEA	DISPOSITION	CONVICTION DATE
1				
2				
3				
4				

SENTENCE

Additional Information:

Arrest 4				
Date of Arrest:		Arresting Agency:		
OFFENSE				
CHARGES	CLASSIFICATION	PLEA	DISPOSITION	CONVICTION DATE
1				
2				
3				
4				

SENTENCE

Additional Information:

CONTINUATION

ADDITIONAL LICENSES

	YES	NO
Has the Applicant ever possessed a Florida Pari-Mutuel Occupational License?		X
Does the Applicant possess an Occupational License from other jurisdictions?		X

1. License Type:				
Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:	
			YES	NO
Has License ever been suspended or revoked?				
Was any derogatory information received?				
Additional Comments:				

2. License Type:				
Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:	
			YES	NO
Has License ever been suspended or revoked?				
Was any derogatory information received?				
Additional Comments:				

3. License Type:				
Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:	
			YES	NO
Has License ever been suspended or revoked?				
Was any derogatory information received?				
Additional Comments:				

4. License Type:				
Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:	
			YES	NO
Has License ever been suspended or revoked?				
Was any derogatory information received?				
Additional Comments:				

CONTINUATION

WAIVER INTERVIEW

	YES	NO
Was a Waiver Interview Conducted?	X	
Date of Interview: May 19, 2023	Location of Interview: Telephonically	
	YES	NO
Was the applicant cooperative?	X	
Additional Comments:		

SUMMARY OF INTERVIEW:

Because **ALEKSEENKO-REPASS** did not provide a phone number with her application, the undersigned investigator sent **ALEKSEENKO-REPASS** numerous e-mails instructing her to contact this writer so that a waiver interview could be conducted. After a few e-mail attempts that went unanswered, on May 19, 2023, **ALEKSEENKO-REPASS** called this Investigator and the Waiver interview was immediately conducted via telephone. The following was noted:

During the interview, **ALEKSEENKO-REPASS** was asked about her criminal history. She stated that she was 17 years of age when she was arrested in 1999 for Criminal Conspiracy. She explained that she was in the company of bad friends and at a shopping mall. Some members of the group knocked over a table and ran out of the store with a display tool item. The entire group was apprehended by mall security, and because the retailer pressed charges, they were all charged with the crime (**EXHIBIT #2, Page #5**).

ALEKSEENKO-REPASS was then asked about her 2009 arrest for Mail Fraud in Newark, New Jersey. She explained that at the time she was employed as an Administrative Assistant and her boss at the time was giving her financial kickbacks when she prepared his expense reports. Instead of reporting her boss, she knowingly mailed out the fraudulent reports via U.S. mail. Once she mailed out the falsified reports, she willingly and knowingly conspired to defraud. After a Federal investigation, she and others were charged with Mail Fraud. **ALEKSEENKO-REPASS**, consented to a plea agreement, and was sentenced to six months in federal prison. **ALEKSEENKO-REPASS** was also sentenced to 36 months supervised release and ordered to pay \$132,930.00 in restitution along with a \$100.00 special assessment. All conditions of her plea agreement have been satisfied (**EXHIBIT #2, Page #7 & EXHIBIT #3, Pages 2 thru 19**).

CONTINUATION

CONCLUSION:

A check of the Association of Racing Commissioners International (ARCI) database showed No rulings against **ALEKSEENKO-REPASS**.

Upon review of **ALEKSEENKO-REPASS's**, court documents the Misdemeanor arrests listed in her amended application(s) were noted for various offenses. These arrests included the above described Criminal Conspiracy and Receiving Stolen Property convictions, as well as Disorderly Conduct, Trespass and Driving Under the Influence arrests (**Exhibit #2, Pages 5 & 6 – Exhibit #3, Pages 1, and Pages 20 - 23**).

Status: Case closed by Investigations and forwarded to Licensing for review.

CONTINUATION

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STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

RECEIVED
2023 MAY -2 PM 1:16
FLORIDA GAMING
CONTROL COMMISSION

TO: Anna O Luksenko
Full Legal Name of Applicant

[Redacted]
Date of Birth

13467068
Individual/Org. Number

If you are a new applicant to Florida and have been convicted of any felony, regardless of whether adjudication was withheld, or if you are renewing your pari-mutuel occupational license in Florida and have been convicted of any of the crimes listed below, you must first request and receive a waiver from the Division Director in order to receive a Florida Pari-Mutuel Wagering Occupational License or Cardroom License. Please check the appropriate box(es) below that best describes your situation:

For Pari-Mutuel and Cardroom Applicants:

- A conviction in this state, in any other state, or under the laws of the United States of a capital felony, a felony or an offense in any other state which would be a felony under the laws of Florida involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character. A felony or misdemeanor in this state, in any other state, or under the laws of the United States, if such felony or misdemeanor is related to gambling or bookmaking, as contemplated in Section 849.25, Florida Statutes, or involves cruelty to animals.
- Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction. Specify discipline and jurisdiction:
- New applicant to Florida who has been convicted of any felony, regardless whether adjudication was withheld.

For Cardroom Applicants Only:

- A misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, in this state or any other state, or under the laws of the United States.

If you choose to request a waiver, please sign this form below and return it with your completed application, license, and fingerprint fees. After an investigation is conducted, the Division Director will either grant or deny the request for waiver. You will be notified of the decision by mail at the address provided on your application.

UNTIL YOU ARE LICENSED:

- 1) You are not permitted to engage in any activity which requires a pari-mutuel occupational license or a cardroom license at any pari-mutuel facility in Florida. If you are found to be working without a license, you will be subject to arrest for trespassing and your waiver request may be denied.
- 2) You are forbidden from accessing any of the restricted areas of any pari-mutuel facility in Florida.

I hereby request a waiver for the situation(s) or conviction(s) noted above, and acknowledge that license and fingerprint fees are non-refundable in the event the waiver request is denied. I hereby acknowledge that my failure to participate in a waiver interview or to disclose any pertinent information regarding convictions, rulings, revocations, or denials from other jurisdictions will result in a denial of the request for waiver. I hereby waive the Section 120.80, Florida Statutes, deadline requirement regarding the processing of this application.

[Signature]
Signature of Applicant

3/1/2023
Date

TO BE CONSIDERED FOR A WAIVER, APPLICANTS MUST COMPLETE FORM DBPR PMW-3185 - REQUEST FOR RELEASE OF INFORMATION AND AUTHORIZATION TO RELEASE INFORMATION, AND SCHEDULE A WAIVER INTERVIEW WITH THE OFFICE OF INVESTIGATIONS.

DBPR On-Line Services

If you need to mail additional information to DBPR please include this coversheet.

License Type: **General Individual Occupational**
Application Type: **General Individual Occupational License**

File Number: **102467**
Application Number: **191834**

License Number:
Application Date: **01/26/2023 (mm/dd/yyyy)**

Last Name: **Alekseenko-Repas**
First Name: **Inna**
Middle Name:

Mail To:
DBPR - Division of Pari-Mutuel Wagering
Licensing Section
2601 Blair Stone Road
Tallahassee, FL 32399-1037

If you have any questions please call our Customer Contact Center at 850-487-1395.



EXHIBIT # 1
PAGE # 3

State of Florida
Department of Business and Professional Regulation
2601 Blair Stone Road
Tallahassee, FL 32399

Application Summary

Thank you for submitting an online application. We will evaluate the application to determine if you meet the eligibility requirements for the license. Initial review of your application can take up to 14 days and up to 30 days during peak licensing times. You will be notified in writing if we need any additional information or documentation. Your application will be considered complete only upon the department's receipt of all requested information, including validation of payment from your financial institution.

Profession

License Type: **General Individual Occupational**
 Application Number: **191834**
 Application Type: **General Individual Occupational License**
 Application Date: **01/26/2023 (mm/dd/yyyy)**
 License Number:
 File Number: **102467**

Personal Detail

First Name: **Inna**
 Last Name: **Alekseenko-Repass**
 Birthdate: **(mm/dd/yyyy)**
 Gender: **Female**
 Race: **White**

Addresses

Current Mailing Address: **5030 Champion Blvd**
Ste G11-437
Boca Raton, FL
33496
US

Phone Number:

Extension:

E-mail Address:

License Attributes Selected

Occupational Codes **Mutuel**
 PMW Temporary **Yes**

Livescan Information

Enter Transaction Control Number (TCN): **70cs1402230000016546**

Facility

Pari-Mutuel Facility Where Employed and/or Doing Business With: **Gulfstream Park**

Prior Name Questions

EXHIBIT #1
PAGE # 4

Have you used, been known as, or called **Yes** by another name (example - maiden name, pseudonym, nickname) or alias other than the one you provided for this application?

Prior Name Info

Last Name: **Alekseenko-Repass**

First Name: **Inna**

Cardroom Access

Does your position require access to the **No** Cardroom?

Prior License

Is this your first time applying for a **Yes** racing/gaming license in Florida?

Criminal History Background Questions

Have you ever been convicted of, or had **No** adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

Have you ever been convicted of or had **No** adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you?

Racing/Gaming License Background Question

Have you ever had a racing or gaming **No** license revoked or denied in this or any other state or country?

Is any racing or gaming license you hold **No** currently suspended or subject to other discipline, such as an unpaid fine?

Attachments**Fees**

Fingerprint Fee	\$37.25
3 year License	\$15.00
Total Amount Due:	\$52.25

EXHIBIT #1
PAGE # 5

By submission of this application you affirmed the following: I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this written declaration has the same legal effect as an oath or affirmation. Under penalties of perjury, I declare that I have read the foregoing application and the facts stated in it are true. I understand that falsification of any material information on this application may result in criminal penalty or administrative action, including a fine, suspension or revocation of the license. I understand that an electronic affirmation shall have the same force and effect as a written signature.

EXHIBIT # /
PAGE # 6

Entity# 13467058

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes
 No Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

Yes
 No Have you ever been convicted of or had adjudication withheld for any crime; or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
	ERIC COUNTY	PA		N	
see attached documents					

Yes
 No Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

Yes
 No Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2668, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to ensure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

[Signature]
Signature of Applicant

2/1/2023
Date

DBPR PMW-3120, Effective September 2020, Rule 61D-5.001, F.A.C.

CONTROL ORGIDIA GAMING
 2023 MAR 27 PM 4:31
 EXHIBIT # 1
 PAGE # 7

Entity# 13467058

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes No Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

Yes No Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
02-28-00	Essex	PA.	Receiving stolen property	N	
11-10-02	Hampden	MA	Disorderly	Y	
03-13-09	Hampden	MA	Disorderly	Y	
10-21-10	New Jersey	NJ	wire fraud	Y	

Yes No Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

Yes No Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:
Conti. on next page

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for license identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

[Signature]
Signature of Applicant
Date *3/1/2023*

Entity# 13467058

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes No Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

Yes No Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
11-22-10	Gwinnett	GA	Backless Driving	Y	N
10-1-12	Gwinnett	GA	DUI	Y	N

Yes No Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

Yes No Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

RECEIVED
2023 MAY -2 PM 1:56
LORIC A GATHI
HOL COMINGS

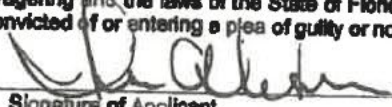
PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

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Signature of Applicant: 

Date: 3/1/2023

DBPR PMW-3185 - Request for Release of Information and Authorization to Release Information



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

RECEIVED
2023 MAR 27 PM 4:31
FLORIDA GAMING
CONTROL COMMISSION

PERSONAL INFORMATION					
Social Security Number/Federal Employer ID Number [REDACTED]					
INDIVIDUAL APPLICANT PLEASE COMPLETE THE FOLLOWING SECTION					
Last Name	First	Middle	Title	Suffix	
Alekseenko	Inna				
Birth Date (MM/DD/YYYY) [REDACTED]					
BUSINESS APPLICANT PLEASE COMPLETE THE FOLLOWING SECTION					
Representative's Name Last First Middle Title Suffix					
Permitholder Name					
Official Capacity					
ATTEST STATEMENT					
I, <u>Inna Alekseenko</u> do hereby instruct all law enforcement (name of applicant/representative) or criminal justice agencies, present and former employers or institutions with whom I or my businesses have a present or past business relationship, as well as all present or past social associates to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Department of Business and Professional Regulation.					
I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Additionally, I do release such individuals or entities from any and all liability due to the release of information requested.					
<u>Inna Alekseenko - Re-pass</u> (if individual applicant - legal name and any nickname or alias in parentheses)					
Applicant/Representative Signature: <u>[Signature]</u>				Date: <u>3/1/2023</u>	
NOTARIZATION					
The foregoing application was sworn to and subscribed before me this <u>1st</u> Day of <u>March</u> , 20 <u>23</u>					
by <u>Inna Alekseenko</u> Type or print name of applicant			<u>[Signature]</u> Signature of applicant		
who is personally known to me or who has produced the following as identification.					
<u>Fl. Driver License</u> Type of Identification					
Signature of person taking acknowledgment Notary Seal (Rubber Stamp and Expiration)					

EXHIBIT # 1
PAGE # 10

MEB/USAO #2009R00452

UNITED STATES DISTRICT COURT
DISTRICT OF NEW JERSEY

UNITED STATES OF AMERICA : Hon.
v. : Criminal No. 09-822
INNA ALEKSEENKO : 18 U.S.C. § 1349

INFORMATION

The defendant having waived in open court prosecution by indictment, the United States Attorney for the District of New Jersey charges:

From in or about December 2005 to in or about December 2007, in Bergen County, in the District of New Jersey, and elsewhere, defendant

INNA ALEKSEENKO

did knowingly and intentionally conspire and agree with T.O. and others to devise a scheme and artifice to defraud, and to obtain monies, funds and other property from [REDACTED] by means of materially false and fraudulent pretenses, representations, and promises, and, for the purpose of executing such a scheme and artifice, did cause to be delivered by mail according to the directions thereon matters and things to be sent and delivered by the Postal Service, namely a \$7,510 check on or about December 12, 2007, contrary to Title 18, United States Code, Section 1341.

EXHIBIT # 3
PAGE # 2

In violation of Title 18, United States Code, Section
1349.

Paul J. Fishman

PAUL J. FISHMAN
United States Attorney

CASE NUMBER:

United States District Court
District of New Jersey

UNITED STATES OF AMERICA

v.

INNA ALEKSEENKO

INFORMATION FOR

18 U.S.C. § 1349

RALPH J. MARRA, JR.
ACTING U. S. ATTORNEY
NEWARK, NEW JERSEY

MATTHEW E. BECK
ASSISTANT U. S. ATTORNEY
(973) 353-6053

USA-48AD 8
(Ed. 1/97)

EXHIBIT # 34
PAGE #



*United States Attorney
District of New Jersey*

*Matthew E. Beck
Assistant United States Attorney*

*970 Broad Street, Suite 700
Newark, NJ 07102*

*(973) 353-6053
FAX (973) 645-3197*

MB/FL AGR
2009R00452

September 1, 2009

Meg Strickler, Esq.
Conway, Strickler, and Margolis, PC
2901 Piedmont Road, NE
Suite C
Atlanta, Georgia 30305

Re: Plea Agreement with Inna Alekseenko

Dear Ms. Strickler:

This letter sets forth the plea agreement between your client, Inna Alekseenko and the United States Attorney for the District of New Jersey ("this Office").

Charge

Conditioned on the understandings specified below, this Office will accept a guilty plea from Inna Alekseenko to a one-count information that charges conspiracy to commit mail fraud, contrary to 18 U.S.C. § 1341, and in violation of 18 U.S.C. § 1349. If Inna Alekseenko enters a guilty plea and is sentenced on this charge, and otherwise fully complies with all of the terms of this agreement, this Office will not initiate any further criminal charges against Inna Alekseenko for her involvement in a fraudulent billing scheme involving Express Unlimited that victimized [REDACTED] during the period between in or about December 2005 and in or about December 2007. However, in the event that a guilty plea in this matter is not entered for any reason or the judgment of conviction entered as a result of this guilty plea does not remain in full force and effect, defendant agrees that any dismissed charges and any other charges that are not time-barred by the applicable statute of limitations on the date this agreement is signed by Inna Alekseenko may be commenced against her, notwithstanding the expiration of the limitations period after Inna Alekseenko signs the agreement.

EXHIBIT # 3
PAGE # 5

Sentencing

The violation of 18 U.S.C. § 1349 to which Inna Alekseenko agrees to plead guilty carries a statutory maximum prison sentence of 20 years, and a statutory maximum fine equal to the greatest of: (1) \$250,000; (2) twice the gross amount of any pecuniary gain that any persons derived from the offense; or (3) twice the gross amount of any pecuniary loss sustained by any victims of the offense. Fines imposed by the sentencing judge may be subject to the payment of interest.

The sentence to be imposed upon Inna Alekseenko is within the sole discretion of the sentencing judge, subject to the provisions of the Sentencing Reform Act, 18 U.S.C. § 3551-3742, and the sentencing judge's consideration of the United States Sentencing Guidelines. The United States Sentencing Guidelines are advisory, not mandatory. The sentencing judge may impose any reasonable sentence up to and including the statutory maximum term of imprisonment and the maximum statutory fine. This Office cannot and does not make any representation or promise as to what guideline range may be found by the sentencing judge, or as to what sentence Inna Alekseenko ultimately will receive.

Further, in addition to imposing any other penalty on Inna Alekseenko, the sentencing judge: (1) will order Inna Alekseenko to pay an assessment of \$100 pursuant to 18 U.S.C. § 3013, which assessment must be paid by the date of sentencing; (2) must order Inna Alekseenko to pay restitution pursuant to 18 U.S.C. §§ 3663 et seq.; (3) may order Inna Alekseenko, pursuant to 18 U.S.C. § 3555, to give notice to any victims of her offense; and (4) pursuant to 18 U.S.C. § 3583, may require Inna Alekseenko to serve a term of supervised release of up to three years, which will begin at the expiration of any term of imprisonment imposed. Should Inna Alekseenko be placed on a term of supervised release and subsequently violate any of the conditions of supervised release before the expiration of its term, Inna Alekseenko may be sentenced to not more than two years' imprisonment in addition to any prison term previously imposed, regardless of the statutory maximum term of imprisonment set forth above and without credit for time previously served on post-release supervision, and may be sentenced to an additional term of supervised release.

In addition, Inna Alekseenko agrees to make full restitution for all losses resulting from the offense of conviction or from the scheme, conspiracy, or pattern of criminal

activity underlying that offense, to [REDACTED] in the amount of \$132,930.

Rights of this Office Regarding Sentencing

Except as otherwise provided in this agreement, this Office reserves its right to take any position with respect to the appropriate sentence to be imposed on Inna Alekseenko by the sentencing judge, to correct any misstatements relating to the sentencing proceedings, and to provide the sentencing judge and the United States Probation Office all law and information relevant to sentencing, favorable or otherwise. In addition, this Office may inform the sentencing judge and the United States Probation Office of: (1) this agreement; and (2) the full nature and extent of Inna Alekseenko's activities and relevant conduct with respect to this case.

Stipulations

This Office and Inna Alekseenko agree to stipulate at sentencing to the statements set forth in the attached Schedule A, which hereby is made a part of this plea agreement. This agreement to stipulate, however, cannot and does not bind the sentencing judge, who may make independent factual findings and may reject any or all of the stipulations entered into by the parties. To the extent that the parties do not stipulate to a particular fact or legal conclusion, each reserves the right to argue the existence of and the effect of any such fact or conclusion upon the sentence. Moreover, this agreement to stipulate on the part of this Office is based on the information and evidence that this Office possesses as of the date of this agreement. Thus, if this Office obtains or receives additional evidence or information prior to sentencing that it determines to be credible and to be materially in conflict with any stipulation in the attached Schedule A, this Office shall not be bound by any such stipulation. A determination that any stipulation is not binding shall not release either this Office or Inna Alekseenko from any other portion of this agreement, including any other stipulation. If the sentencing court rejects a stipulation, both parties reserve the right to argue on appeal or at post-sentencing proceedings that the sentencing court was within its discretion and authority to do so. These stipulations do not restrict the Government's right to respond to questions from the Court and to correct misinformation that has been provided to the Court.

Waiver of Appeal and Post-Sentencing Rights

As set forth in Schedule A, this Office and Inna Alekseenko waive certain rights to file an appeal, collateral attack, writ or motion after sentencing, including but not limited to an appeal under 18 U.S.C. § 3742 or a motion under 28 U.S.C. § 2255.

Other Provisions

This agreement is limited to the United States Attorney's Office for the District of New Jersey and cannot bind other federal, state, or local authorities. However, this Office will bring this agreement to the attention of other prosecuting offices, if requested to do so.

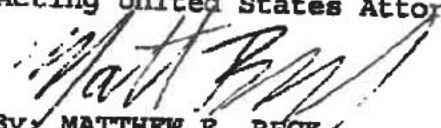
This agreement was reached without regard to any civil or administrative matters that may be pending or commenced in the future against Inna Alekseenko. This agreement does not prohibit the United States, any agency thereof (including the Internal Revenue Service and Immigration and Customs Enforcement), or any third party from initiating or prosecuting any civil proceeding against Inna Alekseenko.

No Other Promises

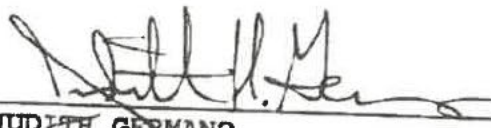
This agreement constitutes the plea agreement between Inna Alekseenko and this Office and supersedes any previous agreements between them. No additional promises, agreements, or conditions have been made or will be made unless set forth in writing and signed by the parties.

Very truly yours,

RALPH J. MARRA
Acting United States Attorney


By: MATTHEW E. BECK
Assistant U.S. Attorney

APPROVED:


JUDITH GERMANO
Chief, Commercial Crimes Unit

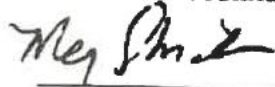
I have received this letter from my attorney, Meg Strickler, Esq., I have read it, and I understand it fully. I hereby accept the terms and conditions set forth in this letter and acknowledge that it constitutes the plea agreement between the parties. I understand that no additional promises, agreements, or conditions have been made or will be made unless set forth in writing and signed by the parties.

AGREED AND ACCEPTED:



Inna Alekseenko

Date:



Meg Strickler, Esq.

Date:

Plea Agreement With Inna Alekseenko

Schedule A

1. This Office and Inna Alekseenko recognize that the United States Sentencing Guidelines are not binding upon the Court. This Office and Inna Alekseenko nevertheless agree to the stipulations set forth herein, and agree that the Court should sentence Inna Alekseenko within the Guidelines range that results from the total Guidelines offense level set forth below. This Office and Inna Alekseenko further agree that neither party will argue for the imposition of a sentence outside the Guidelines range that results from the agreed total Guidelines offense level unless otherwise permitted in writing and agreed to by both parties.
2. The version of the United States Sentencing Guidelines effective November 1, 2008 applies in this case. The applicable guideline is U.S.S.G. § 2B1.1. This guideline carries a Base Offense Level of 7. See U.S.S.G. § 2B1.1(a)(1).
3. Specific Offense Characteristic U.S.S.G. § 2B1.1(b)(1)(F) applies because the offense involved more than \$120,000 but less than \$200,000 in loss. This Specific Offense Characteristic results in an increase of 10 levels.
4. Pursuant to U.S.S.G. § 2B1.1(b)(9)(c), the offense involved sophisticated means. This results in an increase of 2 levels.
5. As of the date of this letter, Inna Alekseenko has clearly demonstrated a recognition and affirmative acceptance of personal responsibility for the offense charged. Therefore, a downward adjustment of 2 levels for acceptance of responsibility is appropriate if Inna Alekseenko's acceptance of responsibility continues through the date of sentencing. See U.S.S.G. § 3E1.1(a).
6. As of the date of this letter, Inna Alekseenko has assisted authorities in the investigation or prosecution of her own misconduct by timely notifying authorities of her intention to enter a plea of guilty, thereby permitting the government to avoid preparing for trial and permitting the government and the court to allocate their resources efficiently. If Inna Alekseenko enters a plea pursuant to this agreement and qualifies for a 2-point reduction for acceptance of responsibility pursuant to U.S.S.G. § 3E1.1(a), and if in addition Inna Alekseenko's offense level under the Guidelines prior to the operation of § 3E1.1(a) is 16 or greater, Inna Alekseenko will be entitled to a

further 1-point reduction in her offense level pursuant to U.S.S.G. § 3E1.1(b).

7. In accordance with the above, the parties agree that the total Guidelines offense level applicable to Inna Alekseenko is 16 (the "agreed total Guidelines offense level").

8. The parties agree not to seek or argue for any upward or downward departure, adjustment or variance not set forth herein. The parties further agree that a sentence within the Guidelines range that results from the agreed total Guidelines offense level of 16 is reasonable.

9. Inna Alekseenko knows that she has and, except as noted below in this paragraph, voluntarily waives, the right to file any appeal, any collateral attack, or any other writ or motion, including but not limited to an appeal under 18 U.S.C. § 3742 or a motion under 28 U.S.C. § 2255, which challenges the sentence imposed by the sentencing court if that sentence falls within or below the Guidelines range that results from the agreed total Guidelines offense level of 16. This Office will not file any appeal, motion or writ which challenges the sentence imposed by the sentencing court if that sentence falls within or above the Guidelines range that results from the agreed total Guidelines offense level of 16. The parties reserve any right they may have under 18 U.S.C. § 3742 to appeal the sentencing court's determination of the criminal history category. The provisions of this paragraph are binding on the parties even if the Court employs a Guidelines analysis different from that stipulated to herein. Furthermore, if the sentencing court accepts a stipulation, both parties waive the right to file an appeal, collateral attack, writ, or motion claiming that the sentencing court erred in doing so.

10. Both parties reserve the right to oppose or move to dismiss any appeal, collateral attack, writ, or motion barred by the preceding paragraph and to file or to oppose any appeal, collateral attack, writ or motion not barred by the preceding paragraph.

UNITED STATES DISTRICT COURT
District of New Jersey

UNITED STATES OF AMERICA

v.

Case Number 2:09CR00822-01

INNA ALEKSEENKO

Defendant.

AMENDED

JUDGMENT IN A CRIMINAL CASE
(For Offenses Committed On or After November 1, 1987)

Date of Original Judgment: 10/21/10

Reason for Amendment: Specify Guideline Level

The defendant, INNA ALEKSEENKO, was represented by Dan Conaway & Jerry Froelich, Esqs.

The defendant pled guilty to count(s) 1 of the Information on 11/5/09. Accordingly, the court has adjudicated that the defendant is guilty of the following offense(s):

<u>Title & Section</u>	<u>Nature of Offense</u>	<u>Date of Offense</u>	<u>Count Number(s)</u>
18:1341	Frauds and Swindles (Mail Fraud)	12/05 - 12/07	1

As pronounced on 10/21/10, the defendant is sentenced as provided in pages 2 through 6 of this Judgment. The sentence is imposed pursuant to the Sentencing Reform Act of 1984.

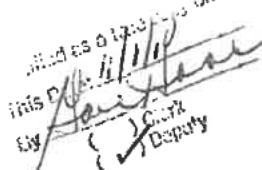
It is ordered that the defendant shall pay to the United States a special assessment of \$100, for count(s) 1, which shall be due immediately. Said special assessment shall be made payable to the Clerk, U.S. District Court.

It is further ordered that the defendant shall notify the United States Attorney for this district within 30 days of any change of name, residence, or mailing address until all fines, restitution, costs, and special assessments imposed by this Judgment are fully paid. If ordered to pay restitution, the defendant shall notify the court and United States Attorney of any material change in the defendant's economic circumstances.

Signed this the 1st day of November, 2010.



WILLIAM J. MARTINI
United States District Judge

filed as a transcript in
this case on 11/11/10
By 
Clerk
Deputy

30043

EXHIBIT # 3
PAGE # 12

Defendant: INNA ALEKSEENKO
Case Number: 2:09CR00822-01

IMPRISONMENT

The defendant is hereby committed to the custody of the United States Bureau of Prisons to be imprisoned for a term of 6 months.

The Court makes the following recommendations to the Bureau of Prisons: It is recommended that defendant be designated to a facility as close to her home as possible; further recommended that defendant participate in the Inmate Financial Responsibility Program.

The defendant shall surrender for service of sentence at the institution designated by the Bureau of Prisons as directed

RETURN

I have executed this Judgment as follows:

Defendant delivered on _____ To _____
At _____, with a certified copy of this Judgment.

United States Marshal

By _____
Deputy Marshal

EXHIBIT # 3
PAGE # 13

Defendant: INNA ALEKSEENKO
Case Number: 2:09CR00822-01

Judgment – Page 3 of 6

SUPERVISED RELEASE

Upon release from imprisonment, the defendant shall be placed on supervised release for a term of 3 years.

Within 72 hours of release from custody of the Bureau of Prisons, the defendant shall report in person to the Probation Office in the district to which the defendant is released.

While on supervised release, the defendant shall comply with the standard conditions that have been adopted by this court as set forth below.

The defendant shall submit to one drug test within 15 days of commencement of supervised release and at least two tests thereafter as determined by the probation officer.

If this judgment imposes a fine, special assessment, costs, or restitution obligation, it shall be a condition of supervised release that the defendant pay any such fine, assessments, costs, and restitution that remains unpaid at the commencement of the term of supervised release and shall comply with the following special conditions:

NEW DEBT RESTRICTIONS

You are prohibited from incurring any new credit charges, opening additional lines of credit, or incurring any new monetary loan, obligation, or debt, by whatever name known, without the approval of the U.S. Probation Office. You shall not encumber or liquidate interest in any assets unless it is in direct service of the fine and/or restitution obligation or otherwise has the expressed approval of the Court.

EXHIBIT # 3
PAGE # 14

Defendant: INNA ALEKSEENKO
Case Number: 2:09CR00822-01

STANDARD CONDITIONS OF SUPERVISED RELEASE

While the defendant is on supervised release pursuant to this Judgment:

- 1) The defendant shall not commit another federal, state, or local crime during the term of supervision.
- 2) The defendant shall not illegally possess a controlled substance.
- 3) If convicted of a felony offense, the defendant shall not possess a firearm or destructive device.
- 4) The defendant shall not leave the judicial district without the permission of the court or probation officer.
- 5) The defendant shall report to the probation officer as directed by the court or probation officer and shall submit a truthful and complete written report within the first five days of each month.
- 6) The defendant shall answer truthfully all inquiries by the probation officer and follow the instructions of the probation officer.
- 7) The defendant shall support his or her dependents and meet other family responsibilities.
- 8) The defendant shall work regularly at a lawful occupation unless excused by the probation officer for schooling, training, or other acceptable reasons.
- 9) The defendant shall notify the probation officer within seventy-two hours of any change in residence or employment.
- 10) The defendant shall refrain from excessive use of alcohol and shall not purchase, possess, use, distribute or administer any narcotic or other controlled substance, or any paraphernalia related to such substances.
- 11) The defendant shall not frequent places where controlled substances are illegally sold, used, distributed, or administered.
- 12) The defendant shall not associate with any persons engaged in criminal activity, and shall not associate with any person convicted of a felony unless granted permission to do so by the probation officer.
- 13) The defendant shall permit a probation officer to visit him or her at any time at home or elsewhere and shall permit confiscation of any contraband observed in plain view by the probation officer.
- 14) The defendant shall notify the probation officer within seventy-two hours of being arrested or questioned by a law enforcement officer.
- 15) The defendant shall not enter into any agreement to act as an informer or a special agent of a law enforcement agency without the permission of the court.
- 16) As directed by the probation officer, the defendant shall notify third parties of risks that may be occasioned by the defendant's criminal record or personal history or characteristics, and shall permit the probation officer to make such notifications and to confirm the defendant's compliance with such notification requirement.
- (17) You shall cooperate in the collection of DNA as directed by the Probation Officer.

(This standard condition would apply when the current offense or a prior federal offense is either a felony, any offense under Chapter 109A of Title 18 (i.e., §§ 2241-2248, any crime of violence [as defined in 18 U.S.C. § 16], any attempt or conspiracy to commit the above, an offense under the Uniform Code of Military Justice for which a sentence of confinement of more than one year may be imposed, or any other offense under the Uniform Code that is comparable to a qualifying federal offense);

- (18) Upon request, you shall provide the U.S. Probation Office with full disclosure of your financial records, including co-mingled income, expenses, assets and liabilities, to include yearly income tax returns. With the exception of the financial accounts reported and noted within the presentence report, you are prohibited from maintaining and/or opening any additional individual

EXHIBIT # 3
PAGE # 15

Defendant: INNA ALEKSEENKO
Case Number: 2:09CR00822-01

and/or joint checking, savings, or other financial accounts, for either personal or business purposes, without the knowledge and approval of the U.S. Probation Office. You shall cooperate with the Probation Officer in the investigation of your financial dealings and shall provide truthful monthly statements of your income. You shall cooperate in the signing of any necessary authorization to release information forms permitting the U.S. Probation Office access to your financial information and records;

- (19) As directed by the U.S. Probation Office, you shall participate in and complete any educational, vocational, cognitive or any other enrichment program offered by the U.S. Probation Office or any outside agency or establishment while under supervision;
- (20) You shall not operate any motor vehicle without a valid driver's license issued by the State of New Jersey, or in the state in which you are supervised. You shall comply with all motor vehicle laws and ordinances and must report all motor vehicle infractions (including any court appearances) within 72 hours to the U.S. Probation Office;

For Official Use Only - - - U.S. Probation Office

Upon a finding of a violation of probation or supervised release, I understand that the Court may (1) revoke supervision or (2) extend the term of supervision and/or modify the conditions of supervision.

These conditions have been read to me. I fully understand the conditions, and have been provided a copy of them.

You shall carry out all rules, in addition to the above, as prescribed by the Chief U.S. Probation Officer, or any of his associate Probation Officers.

(Signed) _____
Defendant Date

U.S. Probation Officer/Designated Witness Date

EXHIBIT # 3
PAGE # 10

Defendant: INNA ALEKSEENKO
Case Number: 2:09CR00822-01

Judgment – Page 6 of 6

RESTITUTION AND FORFEITURE

RESTITUTION

The defendant shall make restitution in the amount of \$132,930. Payments should be made payable to the U.S. Treasury and mailed to Clerk, U.S.D.C., 402 East State Street, Rm 2020, Trenton, New Jersey 08608, for distribution to [REDACTED] c/o Kevin Walsh, Esq. Gibbons, P.C. One Gateway Center, Newark, NJ 07102-5310. The amount ordered represents the total amount due to the victim for this loss. The defendant's restitution obligation shall not be affected by any restitution payments made by other defendants in this case, except that no further payments shall be required after the sum of the amounts actually paid by all defendants has fully satisfied this loss. The following defendant(s) in the following case(s) may be subject to restitution orders to the same victim for this same loss:

Thomas O'Rourke

Cr. No.: 2:10CR00235-01

The restitution is due immediately. It is recommended that the defendant participate in the Bureau of Prisons Inmate Financial Responsibility Program (IFRP). If the defendant participates in the IFRP, the restitution shall be paid from those funds at a rate equivalent to \$25 every 3 months. In the event the entire restitution is not paid prior to the commencement of supervision, the defendant shall satisfy the amount due in monthly installments of no less than \$100.00, to commence 30 days after release from confinement.

Unless the court has expressly ordered otherwise, if this judgment imposes imprisonment, payment of criminal monetary penalties is due during imprisonment. All criminal monetary penalties, except those payments made through the Federal Bureau of Prisons' Inmate Financial Responsibility Program, are made to the clerk of the court.

Payments shall be applied in the following order: (1) assessment, (2) restitution principal, (3) restitution interest, (4) fine principal, (5) community restitution, (6) fine interest, (7) penalties, and (8) costs, including cost of prosecution and court costs.

EXHIBIT # 3
PAGE # 17

PHILIP R. SELLINGER
UNITED STATES ATTORNEY
JORDAN M. ANGER
ASSISTANT U.S. ATTORNEY
970 BROAD STREET, ROOM 700
NEWARK, NJ 07102
Tel. 973-645-2829
Fax. 973-645-3210
email: JORDAN.ANGER@usdoj.gov

February 27, 2023

**UNITED STATES DISTRICT COURT
DISTRICT OF NEW JERSEY**

UNITED STATES OF AMERICA,

Plaintiff,

v.

INNA ALEKSEENKO,

Defendant,

Hon. WILLIAM J. MARTINI

Criminal No. 09-822

SATISFACTION OF JUDGMENT

The Judgment in the above-captioned case having been paid in full, the Clerk of the United States Court for the District of New Jersey is hereby authorized and requested to satisfy and cancel said judgment of record for any special assessment, fine and/or restitution only, as to defendant, Inna Alekseenko. This satisfaction of judgment does not satisfy any forfeiture judgment imposed in this case.

PHILIP R. SELLINGER
UNITED STATES ATTORNEY

By: s/JORDAN M. ANGER
JORDAN M. ANGER
ASSISTANT U.S. ATTORNEY

EXHIBIT # 3
PAGE # 18

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF GEORGIA
PROBATION OFFICE
900 U.S. COURTHOUSE
75 SPRING STREET, S.W.
ATLANTA, GEORGIA 30303-3309

THOMAS W. BISHOP
CHIEF PROBATION OFFICER

(404) 215-1950
FAX(404) 331-0160

May 26, 2014

Ms. Inna Alekseenko
3080 Market Place, Apartment 12202
Alpharetta, GA 30005

Dear Ms. Alekseenko:

This is to certify that you have completed your period of supervision. The fact that you have successfully completed this period of supervision automatically restores your right to vote.

Other civil rights, such as your right to serve on a jury, your right to hold public office, and your right to bear arms are lost by reason of a felony conviction. However, application forms for restoration of rights may be obtained by writing to the Georgia State Board of Pardons and Paroles, Fifth Floor, East Tower, 2 Martin Luther King Jr. Drive, S.E., Atlanta, Georgia, 30334. To restore your right to bear a firearm, you must write the Office of the Pardon Attorney, 1425 New York Avenue, N.W., Suite 11000, Washington, D.C. 20530, or contact by phone at (202) 616-6070 to request the forms.

Our records indicate you have a remaining balance on your fine and/or restitution. You should continue forwarding payments to the Clerk, U.S. District Court.

18 U.S.C. §3612(f)(1) requires that convicted persons pay interest on any fine or restitution of more than \$2,500, unless the fine is paid in full before the fifteenth day after the date of the judgement. Thus, you may owe interest on your unpaid restitution and/or fine balance.

The United States Attorney's Office, Financial Litigation Unit, will contact you via the mail or notify you of this outstanding liability or you may contact the U.S. Attorney's Office.

I would like to take this opportunity to thank you for your cooperation while under supervision, and to congratulate you on your completion of sentence.

Sincerely,



Lisa Moore
U. S. Probation Officer

cc: United States Attorney's Office, Financial Litigation Unit

EXHIBIT # 3
PAGE # 19

CRIMINAL DOCKET

DOCKET NO.
0123CR006981

ATTORNEY NAME
PLAN

COURT DIVISION
Springfield

INTERPRETER REQUIRED

DATE and JUDGE
11-5-02
Ross, J.

DOCKET ENTRY

NAME, ADDRESS AND ZIP CODE OF DEFENDANT

**ALEKSEENKO, INNA
9645 BAYMEADWO ROAD APT 822
JACKSONVILLE, FL**

Attorney appointed (SJC R. 3:10)
 Atty denied and Deft Advised per 211D §2A
 Waiver of counsel found after colloquy

Terms of release set:
 PR Bail:
 Held (278 §58A)
 See back for special conditions

Advised and advised:
 Potential of bail revocation (278 §58)
 Right to bail review (278 §58)
 Right to drug exam (111E §10)

Advised of right to jury trial:
 Does not waive
 Waiver of jury trial found after colloquy

Advised of trial rights as per §6 (Supp. R. 4)

Advised of right of appeal to Appeals Ct (R. 28)

DATE OF OFFENSE(S)
07/04/2001

PLACE OF OFFENSE(S)
W SPRINGFIELD

POLICE DEPARTMENT (if applicable)
WEST SPRINGFIELD PD.

11-5-02

Ross, J.

DATE OF COMPLAINT
07/05/2001

RETURN DATE AND TIME
07/06/2001 08:00:00

COUNT/OFFENSE
1 272/53/F DISORDERLY CONDUCT c272 §53

FINE SURFINE COSTS RESTITUTION VW ASSESSMENT
 WAIVED

DISPOSITION DATE and JUDGE
11-19-02 Ross, J

SENTENCE OR OTHER DISPOSITION

DISPOSITION METHOD
 Guilty Plea or Admission to Sufficient Facts accepted after colloquy and 278 §29D warning
 Bench Trial
 Jury Trial
 None of the Above

FINDING
 Not Guilty
 Guilty
 Not Responsible
 Responsible
 No Probable Cause
 Probable Cause

Sufficient facts found but continued without guilty finding until:
 Probation Pretrial Probation (278 §87) - until:
 To be dismissed upon payment of court costs/restitution
 Dismissed upon: Request of Comm. Request of Victim
 Request of Deft Failure to prosecute Other:
 Filed with Deft's consent Nolle Prosequi Decriminalized (277 §70C)

FINAL DISPOSITION
 Dismissed on recommendation of Probation Dept.
 Probation terminated: defendant discharged

Dismissed subject

COUNT/OFFENSE

DISPOSITION DATE and JUDGE

DISPOSITION METHOD
 Guilty Plea or Admission to Sufficient Facts accepted after colloquy and 278 §29D warning
 Bench Trial
 Jury Trial
 None of the Above

FINDING
 Not Guilty
 Guilty
 Not Responsible
 Responsible
 No Probable Cause
 Probable Cause

SENTENCE OR OTHER DISPOSITION
 Sufficient facts found but continued without guilty finding until:
 Probation Pretrial Probation (278 §87) - until:
 To be dismissed upon payment of court costs/restitution
 Dismissed upon: Request of Comm. Request of Victim
 Request of Deft Failure to prosecute Other:
 Filed with Deft's consent Nolle Prosequi Decriminalized (277 §70C)

FINAL DISPOSITION
 Dismissed on recommendation of Probation Dept.
 Probation terminated: defendant discharged

RECEIVED
20 MAY -2 PM 1: 6
FLORIDA GAMING CONTROL COMMISSION

COUNT/OFFENSE

DISPOSITION DATE and JUDGE

DISPOSITION METHOD
 Guilty Plea or Admission to Sufficient Facts accepted after colloquy and 278 §29D warning
 Bench Trial
 Jury Trial
 None of the Above

FINDING
 Not Guilty
 Guilty
 Not Responsible
 Responsible
 No Probable Cause
 Probable Cause

SENTENCE OR OTHER DISPOSITION
 Sufficient facts found but continued without guilty finding until:
 Probation Pretrial Probation (278 §87) - until:
 To be dismissed upon payment of court costs/restitution
 Dismissed upon: Request of Comm. Request of Victim
 Request of Deft Failure to prosecute Other:
 Filed with Deft's consent Nolle Prosequi Decriminalized (277 §70C)

FINAL DISPOSITION
 Dismissed on recommendation of Probation Dept.
 Probation terminated: defendant discharged

COUNT/OFFENSE

DISPOSITION DATE and JUDGE

DISPOSITION METHOD
 Guilty Plea or Admission to Sufficient Facts accepted after colloquy and 278 §29D warning
 Bench Trial
 Jury Trial
 None of the Above

FINDING
 Not Guilty
 Guilty
 Not Responsible
 Responsible
 No Probable Cause
 Probable Cause

SENTENCE OR OTHER DISPOSITION
 Sufficient facts found but continued without guilty finding until:
 Probation Pretrial Probation (278 §87) - until:
 To be dismissed upon payment of court costs/restitution
 Dismissed upon: Request of Comm. Request of Victim
 Request of Deft Failure to prosecute Other:
 Filed with Deft's consent Nolle Prosequi Decriminalized (277 §70C)

FINAL DISPOSITION
 Dismissed on recommendation of Probation Dept.
 Probation terminated: defendant discharged

A TRUE COPY ATTEST: **X**

CLERK-MAGISTRATE/ASST. CLERK

ON (DATE)

COURT ADDRESS
Springfield District Court
50 State Street
Springfield, MA 01103-2002

ADDITIONAL COUNTS ATTACHED

CourtView Justice Solutions

Springfield District Court

Criminal Case Summary

0923CR002383 Commonwealth vs. Alekseenko, Inna

Case Information

Site Springfield District Court
 File Date 03/13/2009
 Case Status Closed Case Status Date 05/08/2016 SCR Elapsed Days
 Case Disposition ***** Case Disposition Date 05/08/2016 Category
 Session
 Judge
 Track

RECEIVED
 2009 MAY -2 PM 1:16
 FLORIDA GAMING
 CONTROL COMMISSION

Party Information: 1

Party Name	Party Alias(es)	Party Type	XREF-ID	Attorney(s)	Attorney Phone
Alekseenko, Inna		Defendant	7039419		

Alert Information: 0

Case Schedule: 1 Displaying 1 Records

Date	Start Time	Event Type	Session	Notes	Result	Result Reason
03/13/2009	08:00 AM	1 of 1 Arraignment	Courtroom 1 0		Held	

Party Charges: 2

Alekseenko, Inna								
Count	Code	Description	Degree of Offense	Disposition	Disposition Date	Disposition Judge	Sentenced	
1	272/53/F-0	DISORDERLY CONDUCT c272 §53	Misdemeanor - more than 100 days incarceration	Dismissed	03/13/2009	Beattie, Hon. Philip A		
Count	Code	Description	Degree of Offense	Disposition	Disposition Date	Disposition Judge	Sentenced	
2	266/120-1	TRESPASS c266 §120	Misdemeanor - 100 days or less incarceration	Dismissed	03/13/2009	Beattie, Hon. Philip A		

Financial: 0

Due: .00 A/R Amount Due: .00 Non-A/R Amount
 Ticklers: 0 Holding Money Balance On-Hand: .00

DCM: 0

Linked Case(s) : 0

RECEIVED

2023 MAY -2 PM 1: 16

FLORIDA GAMING
CONTROL COMMISSION

RECORDER'S COURT OF GWINNETT COUNTY
STATE OF GEORGIA
115 STONE MOUNTAIN STREET
LAWRENCEVILLE, GA 30046

Jeffrey C. West
Clerk
(770) 822-8815

January 28, 2021

RE: ALEKSEENKO, INNA

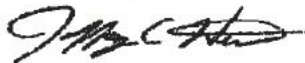
Citation #	Offense	Charge Date	Agency	Disposition
M008355	40-6-390 Reckless Driving	08/28/2010	Gwinnett County Police Department	Conviction: Nolo Plea on November 22nd, 2010
M008356	40-6-48(1) Unsafe Lane Change	08/28/2010	Gwinnett County Police Department	Conviction: Nolo Plea on November 22nd, 2010

To Whom It May Concern:

In accordance with the 50-18-90 "Georgia Records Act." (Ga. L. 1972, p. 1267, 1) issued by the Office of Secretary of State, the Gwinnett County Recorder's Court does not keep records of disposed citations once all court requirements have been met and the citation reaches the required retention period. This court has no records for the above identified matter because they were destroyed in compliance with the Georgia Records Act.

If I can be of any further assistance, please do not hesitate to contact me directly.

Sincerely,



Jeffrey C. West, Clerk
Recorder's Court of Gwinnett County

EXHIBIT # 3
PAGE # 22

RECEIVED

2023 MAY -2 PM 1:16

FLORIDA GAMING
CONTROL COMMISSION

RECORDER'S COURT OF GWINNETT COUNTY
STATE OF GEORGIA
115 STONE MOUNTAIN STREET
LAWRENCEVILLE, GA 30046

Jeffrey C. West
Clerk
(770) 822-8815

January 28, 2021

RE: ALEKSEENKO, INNA

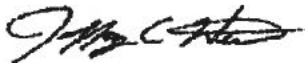
Citation #	Offense	Charge Date	Agency	Disposition
M233305	40-6-391(a)(1) Driving Under The Influence Of Alcohol - Less Safe	01/7/2012	Gwinnett County Police Department	Conviction: Guilty Plea on October 1st, 2012
M233306	40-6-48(1) Unsafe Lane Change	01/7/2012	Gwinnett County Police Department	Nolle Prossed on October 1st, 2012

To Whom It May Concern:

In accordance with the 50-18-90 "Georgia Records Act." (Ga. L. 1972, p. 1267, 1) issued by the Office of Secretary of State, the Gwinnett County Recorder's Court does not keep records of disposed citations once all court requirements have been met and the citation reaches the required retention period. This court has no records for the above identified matter because they were destroyed in compliance with the Georgia Records Act.

If I can be of any further assistance, please do not hesitate to contact me directly.

Sincerely,



Jeffrey C. West, Clerk
Recorder's Court of Gwinnett County

EXHIBIT # 3
PAGE # 23



Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number:
Date of Birth:
First Name:
Middle Name:
Last Name: ALEKSEENKO-REPASS
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State
-----------	-----------	------------	-------------	----------------	---------	------------	------	-------



Page 1 of 1. 0 total matches.

Search Parameters
[REDACTED]
Date of Birth:
First Name:
Middle Name:
Last Name: ALEKSEENKO-REPASS
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State
-----------	-----------	------------	-------------	----------------	---------	------------	------	-------

EXHIBIT # 4
PAGE # 2



Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number:
Date of Birth:
First Name: INNA
Middle Name:
Last Name: ALEKSEENKO-REPASS
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State
-----------	-----------	------------	-------------	----------------	---------	------------	------	-------

EXHIBIT # 4
PAGE # 3



Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number:
Date of Birth:
First Name: INNA
Middle Name:
Last Name: ALEKSEENKO
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State
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Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number:
Date of Birth:
First Name: INNA
Middle Name:
Last Name: REPASS
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State
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EXHIBIT # 4
PAGE # 5



JOHN MACIVER, CHAIRMAN
MICHAEL YAWORSKY, VICE CHAIR
JULIE I. BROWN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER

January 30, 2023

Ms. Inna Alekseenko-repass
5030 Champion Boulevard
Suite G11-437
Boca Raton, Florida 33496

RE: Application No. 191834, Entity 13467058
1022 - PMW General Individual Occupational

Dear Ms. Alekseenko-repass:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- The Transaction Control Number (TCN) provided on your application was not found in the Florida Department of Law Enforcement's (FDLE) database. Please verify the TCN provided is correct, otherwise, you should contact your fingerprint vendor to determine if they have submitted the fingerprints to the FDLE for processing.

Please Note: If you did not submit fingerprints through a Livescan vendor utilizing the Pari-Mutuel Wagering ORI FL925184Z within the last 150 days as required when applying online, we will not be able to process your application until we have received this information. If you are unable to submit your fingerprints through a Livescan vendor, please complete and mail the enclosed fingerprint card.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

If you fail to provide the Florida Gaming Control Commission with a complete application by 5/26/2023, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

OFFICE OF OPERATIONS
2601 BLAIR STONE ROAD
TALLAHASSEE, FLORIDA 32399

EXHIBIT # 4
PAGE # 6

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. Return the missing document and/or information to the address provided above, attention Office of Operations. If you have any questions please call 850.488.3211.

Thank you,

MA/
Operations Analyst II

EXHIBIT #4
PAGE # 7



FLORIDA
GAMING CONTROL
COMMISSION

JOHN MACIVER, CHAIRMAN
MICHAEL YAWORSKY, VICE CHAIR
JULIE I. BROWN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER

February 20, 2023

Ms. Inna Alekseenko-Repass
5030 Champion Boulevard
Suite G11-437
Boca Raton, Florida 33496

RE: Application No. 191834, Entity 13467058
1022 - PMW General Individual Occupational

Dear Ms. Alekseenko-repass:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- Application is incomplete: Please complete the enclosed page 3 of the paper application. It appears that you have made errors and/or omissions on the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records. **Please initial and date any changes made to your application.**

You must list the information on your application for the following charges:

- Erie County, Pennsylvania Arrest - 3/11/1999.
- Hampden County, Massachusetts Arrest - 7/4/2001, 3/13/2009.
- New Jersey Arrest - 11/5/2009.
- Gwinnett County, Georgia Arrest - 8/28/2010, 1/7/2012.

You must provide the court disposition records for the following charges:

- Hampden County, Massachusetts Arrest - 7/4/2001, 3/13/2009.
- New Jersey Arrest - 11/5/2009.

Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

OFFICE OF OPERATIONS
2601 BLAIR STONE ROAD
TALLAHASSEE, FLORIDA 32399

EXHIBIT #4
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- Any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. DBPR PMW 3180 - Request for Waiver and DBPR PMW-3195 - Request for Release of Information and Authorization to Release Information (forms enclosed). The Division will process a request for waiver upon receipt of a complete application.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

If you fail to provide the Florida Gaming Control Commission with a complete application by 5/26/2023, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. Return the missing document and/or information to the address provided above, attention Office of Operations. If you have any questions please call 850.488.3211.

Thank you,

MA/
Operations Analyst II

EXHIBIT # 4
PAGE # 9



FLORIDA
GAMING CONTROL
COMMISSION

JULIE I. BROWN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER

March 28, 2023

Ms. Inna Alekseenko-repass
5030 Champion Blvd
Ste G11-437
Boca Raton, Florida 33496

RE: Application No. 191834, Entity 13467058
1022 - PMW General Individual Occupational

Dear Ms. Alekseenko-repass:

We have received the additional information you submitted, however, we are still unable to complete the processing of your application. The item(s) checked below is either missing or requires correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 2 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records. Please initial and date any changes made to your application.

You must list the information on your application for the following charges:

- Erie County, Pennsylvania Arrest(s) – 03/11/1999
- Hampden County, Massachusetts Arrest(s) – 07/04/2001, 03/13/2009
- New Jersey Arrest – 11/5/2009
- Gwinnett County, Georgia Arrest(s) – 08/28/2010, 01/07/2012

Any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. DBPR PMW 3180 - Request for Waiver (form enclosed). The Division will process a request for waiver upon receipt of a complete application.

- 3180 form received was incomplete.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

OFFICE OF OPERATIONS
2601 BLAIR STONE ROAD
TALLAHASSEE, FLORIDA 32399

EXHIBIT # 4
PAGE # 10

If you fail to provide the Florida Gaming Control Commission with a complete application by 05/26/2023, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850.488.3211.

Thank you,

Randall Kitchens
Operations Analyst II

EXHIBIT # 4
PAGE # 11

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: jminaya

VR Home > License Search > License Home

License Fed Tax # [REDACTED] Lic Type 1022 - PMW General Individual Occupational Expires On File # 102467 Name Alekseenko-Repass, Inna Extended To License # Rank Renewed On Entity # 13467058 Lic Status Applicant			<input type="button" value="Licensee"/> <input type="button" value="History"/> <input type="button" value="Notes"/> <input type="button" value="Notes History"/> <input type="button" value="Back"/>							
Address Street # 5030 Street CHAMPION BLVD Line 2 STE G11-437 Line 3 City BOCA RATON State FL Zip 33496 Routing										
Other 1st License Date Rank Date Certificate # Method -- Status Date Certificate Date Fee Exempt No Birth Date [REDACTED] Renewal Sent										
Select Action: <input type="text"/>										
Modifiers <table border="1"> <thead> <tr> <th>Type</th> <th>Modifier</th> <th>Effective Date</th> <th>Additional Info</th> </tr> </thead> <tbody> <tr> <td colspan="4">No items found.</td> </tr> </tbody> </table>			Type	Modifier	Effective Date	Additional Info	No items found.			
Type	Modifier	Effective Date	Additional Info							
No items found.										
Alt Keys BEST LIC NBR										

Get Adobe Reader.

EXHIBIT # 4
PAGE # 12

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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License Search Entity Search Modify License Standing Maintain License CE Control

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: jminaya

VR Home > License Search > License Home > View License Event Log

Fed Tax # [REDACTED]	Lic Type 1022 - PMW General Individual Occupational	Expires On
File # 102467	Name Alekseenko-Repass, Inna	Extended To
License #	Rank	Renewed On
Entity # 13467058	Lic Status Applicant	

Updated	By	Description
01/26/2023 12:08:26	webuser	Initial - Online Submission # 191834 opened
01/26/2023 12:08:26	webuser	License record created

Filter Back

Get Adobe Reader.

EXHIBIT # 4
PAGE # 13



Inna <innar505@gmail.com>

Public Records Act Request :: W138877-112923

1 message

DBPR Public Records Center <FLDBPR@mycusthelp.net>
To: "innar505@gmail.com" <innar505@gmail.com>

Wed, Nov 29, 2023 at 12:25 PM

Dear Valued Customer:

We are in receipt of your public records request for **Complaint & discipline history**, dated 11/29/2023. We are providing you with the following reference number for tracking purposes - **W138877-112923**. Your request has been assigned to the **Division of Real Estate**.

You will be able to monitor the progress of your public records request at the link below. If you've selected to receive our response via the Records Center, you will receive a notification email once this process is complete.

Please do not send multiple requests. Your request will be forwarded to the appropriate division for processing.

Thank you for contacting The Department of Business and Professional Regulation.
DBPR Office of Open Government
[2601 Blair Stone Road](#)
[Tallahassee, Florida 32399-2203](#)

To track your request and respond, visit the [Public Records Center](#), then click on "View My Public Records Requests."



September 11, 2023

9/11/2023

Ms. Inna Alekseenko-Repass
5030 Champion Boulevard, Suite G11-437
Boca Raton, Florida 33496

Letter of License Denial

Dear Ms. Inna Alekseenko-Repass:

This Letter of License Denial ("Denial") serves to inform you that your application for a Pari-Mutuel General Individual Occupational License is denied in accordance with the provisions of chapter 550, Florida Statutes, and the rules promulgated thereto. This Denial is based upon your following criminal disposition:

1. 10/21/2010 – Frauds and Swindles – Adjudicated Guilty – U.S. District Court of New Jersey (Case No. 2009-CR-000822)

This conviction is a disqualifying offense under section 550.105(5), Florida Statutes. A withhold of adjudication of guilt is considered a conviction for licensing under sections 550.105(5) and 849.086(6)(f), Florida Statutes.

You are advised you may not work in any position requiring licensure or be within a restricted area at a pari-mutuel facility without an appropriate valid occupational license.

Pursuant to section 120.57, Florida Statutes, you may request a hearing to challenge the Division's decision within twenty-one (21) days of receipt of this Denial, as provided for in rule 28-106, Florida Administrative Code, and the attached Notice of Rights.

If you do not request a hearing within twenty-one (21) days of your receipt of this Denial, this Denial will become the Agency's Final Order. If this Denial becomes a Final Order, you will have 30 days to file for an appeal pursuant to section 120.68, Florida Statutes.

Sincerely,

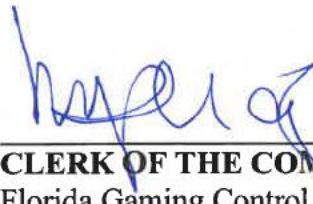
Melba Apellaniz
Clerk of the Commission

Attachment: Notice of Rights

CERTIFICATE OF SERVICE

I hereby certify this 11th day of SEPTEMBER, 2023, that a true copy of the foregoing has been furnished by certified U.S. mail to:

Inna Alekseenko-Repas
5030 Champion Boulevard, Suite G11-437
Boca Raton, Florida 33496



A handwritten signature in blue ink, appearing to read 'Inna Alekseenko-Repas', is written over a horizontal line.

CLERK OF THE COMMISSION
Florida Gaming Control Commission

Case No. 2023-025872

NOTICE OF RIGHTS

This is an action that may affect your substantial interests. Mediation of this administrative dispute is not available. However, pursuant to sections 120.569 and 120.57, Florida Statutes, you may request a hearing on this matter, provided a written request is filed with the agency. The request must comply with the requirements of rules 28-106.111, and 28-106.201 or 28-106.301, Florida Administrative Code. The written request must be received by the Division within 21 days of your receipt of this notice.

If the Division determines there are disputed issues of material fact, the case will be forwarded to the Division of Administrative Hearings for a formal hearing. If there are no disputed issues of material fact, the Division will schedule an informal hearing. You will be notified of the date, time and place of the hearing. In either case, you have the burden of establishing entitlement to the license.

In a formal hearing, you have the right to appear in person on your own behalf, to be represented by an attorney, to bring witnesses and present evidence, to cross-examine any witnesses produced against you by the State, and to have subpoenas issued on your behalf.

In an informal hearing, you have the right to appear in person on your own behalf, to be represented by an attorney, and to submit whatever information you desire to show entitlement to the license.

If a hearing pursuant to sections 120.569 and 120.57, Florida Statutes, is not requested within 21 days, the foregoing Letter of License Denial will become a Final Order. Any party adversely affected by this Final Order has the right to seek its judicial review under section 120.68, Florida Statutes, by the filing of an original Notice of Appeal pursuant to rules 9.110 and 9.190, Florida Rules of Appellate Procedure, with the Clerk of the Commission, 4070 Esplanade Way, Suite 250, Tallahassee, Florida 32399-2202 (Email: Clerk@flgaming.gov), and by filing a copy of the Notice of Appeal accompanied by the applicable filing fees with the appropriate Florida District Court of Appeal. The Notice of Appeal must be filed (received) by the Clerk of the Commission within thirty (30) days after the date this Order is filed with the Clerk.

In all the above proceedings, practice is governed by chapter 120, Florida Statutes, and rule 28-106, Florida Administrative Code.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

INNA ALEKSEENKO-REPASS,

Case No.: 2023-025872

Petitioner,

vs.

FLORIDA GAMING CONTROL
COMMISSION,

Respondent.

PETITION FOR FORMAL ADMINISTRATIVE HEARING

Petitioner INNA ALEKSEENKO-REPASS (“Petitioner” and/or “Mrs. Repass”), pursuant to sections 120.569, 120.57(1), 120.60, 550.105, Florida Statutes, and Rule 28-106.201, Florida Administrative Code (“F.A.C.”), hereby files this Petition for Formal Administrative Hearing contesting the preliminary denial of Mrs. Repass Request for Waiver and Application for Pari-Mutuel Wagering Individual Occupational license by Respondent Florida Gaming Control Commission. In support of this Petition, Mrs. Repass states:

Parties

1. Mrs. Repass is an individual who resides in Boca Raton, Florida. For purposes of this proceeding, the mailing address, email address, and telephone number for Petitioner is that of its undersigned counsel, Tana D. Storey (tana@rutledge-ecenia.com) and Gary R. Rutledge (gary@rutledge-ecenia.com), Rutledge Ecenia, P.A., 119 South Monroe Street, Suite 202, Tallahassee, Florida, 32301, telephone (850) 681-6788.

2. The affected agency is the Florida Gaming Control Commission (the “Commission”). The Commission is the state agency state with issuing pari-mutuel occupational

licenses in the state of Florida pursuant to chapter 550. The Commission's file number is 2023-025872.

Notice of Agency Decision/Substantial Interests

3. On January 26, 2023, Mrs. Repass submitted an Application for a PMW General Individual Occupational License ("License Application") as a thoroughbred animal owner as required by Chapter 550, Florida Statutes, for purposes racing thoroughbred horses at Gulfstream Park. As part of the License Application process, Mrs. Repass submitted a Request for Waiver of a disqualifying offense ("Waiver Request"). Subsequently, the Commission's Executive Director denied the Waiver Request and, on that basis, Commission staff recommended the License Application be denied.

4. At its September 7, 2023 meeting, the Commission approved the issuance of a Notice of Intent to Deny Mrs. Repass' License Application and Waiver Request. A Notice of Intent to Deny was issued on September 11, 2023. To date Mrs. Repass has not received the Notice of Intent to Deny. As such, this Petition is timely filed.

5. As a person seeking an occupational license from the Commission, Mrs. Repass is substantially affected by the Commission's preliminary denial of her License Application and related Waiver Request. Unless the preliminary determination is reversed, Mrs. Repass will be denied the opportunity to own and race thoroughbred horses in Florida.

Disputed Issues of Material Fact and Law

6. Disputed issues of material fact include, but are not limited, to:
- A. Whether Mrs. Repass qualifies for a waiver of a disqualifying offense pursuant to section 550.105(5)(b) and (c), Florida Statutes, and Rule 75-5.006, F.A.C.

- B. Whether Mrs. Repass is rehabilitated.
- C. Whether Mrs. Repass is of good moral character.
- D. Whether the Commission, through its Executive Director, abused its discretion in denying Mrs. Repass' Waiver Request.
- E. Whether Mrs. Repass is entitled to issuance of an occupational license, pursuant to section 550.105, Florida Statutes.

Ultimate Facts

7. Mrs. Repass resides in Boca Raton, Florida, and desires to own thoroughbred horses and race the horses at Gulfstream Park.

8. Pursuant to section 550.105(1), in order to own and race thoroughbred horses in Florida, the owner is required to obtain a pari-mutuel individual occupational license from the Commission.

9. On January 26, 2023, Mrs. Repass submitted her License Application. The Commission submitted letters to Mrs. Repass during the application review process requesting additional information regarding her criminal background. In response, Mrs. Repass submitted two amended License Applications providing the requested information. The Commission deemed her License Application complete on May 2, 2023.

10. As noted in her completed License Application, when Mrs. Repass was younger, she was convicted of mail fraud in 2010. At that time, she cooperated with the authorities and only served a 5-month sentence. Since that time, she has paid all restitution associated with that incident and her civil rights have been restored. She also received a real estate license from the Florida Real Estate Commission in 2021, to act as a Real Estate Sales Associate which includes assisting customers in buying and selling residential real estate.

11. While a felony may be a disqualifying offense for a PMW occupational license, section 550.105(5)(c) and Rule 75-5.006 allow convicted felons (unless they were convicted of capital crimes or crimes involving pari-mutuel wagering) to obtain a waiver from licensure disqualification upon demonstrating rehabilitation and good moral character.

12. On March 1, 2023, Mrs. Repass filed DBPR PMW-3180, Request for Waiver, seeking a waiver, pursuant to section 550.105(5)(c) and rule 61D-5.006, of disqualification from occupational licensure under section 550.105(5)(b) on the basis of a felony conviction. On March 9, 2023, Rule 61D-5.006 was transferred and renumbered as Rule 75-5.006 and amended to reflect the replacement of the Division of Pari-Mutuel Wagering with the Commission. The substance of the rule has not changed.

13. With respect to the Waiver Request, Mrs. Repass participated in the requisite waiver interview during which she disclosed and discussed her criminal history including the felony conviction. An investigative report was prepared by the Commission's investigator including a summary of the criminal history disclosed by Mrs. Repass. The investigation reports notes that Mrs. Repass was cooperative and does not note any concerns regarding Mrs. Repass good moral character or concerns that Mrs. Repass is not rehabilitated. The Waiver Request was reviewed for accuracy and completeness on July 6, 2023, and forwarded to the license section for review.

14. According to the available records, the Executive Director reviewed the Investigative Report and denied the Waiver Request on July 17, 2023. The basis of denial is unknown. The available records do not contain any written explanation as to the basis for denial of the Waiver Request. Mr. Repass did not receive any notice that her Waiver Request was denied.

15. Commission staff prepared a recommendation memorandum to the Commission dated August 24, 2023, informing the Commission that she had a disqualifying event, that the Executive Director denied her Waiver Request and stating, in pertinent part, that:

Because the Applicant's disqualifying criminal conviction was not waived, the Florida Gaming Control Commission may deny or declare Applicant ineligible for any license. Accordingly, the Division of Pari-Mutuel Wagering recommends the Florida Gaming Control Commission authorize the issuance of a Notice of Intent to Deny License in this matter.

16. Mrs. Repass' License Application was acted on by the Commission at its September 7, 2023, Commission meeting. Mrs. Repass did not receive advance notice that her License Application was on the September 7, 2023 Commission agenda until just before the meeting. Mrs. Repass called into the Commission meeting but was not afforded a full opportunity to speak on her behalf and instead, the Commission summarily accepted the staff recommendation of denial without discussion.

17. The Commission voted to issue a Notice of Intent to Deny Mrs. Repass' License Application. The Notice was issued on September 11, 2023, and notes the Commission's preliminary denial of her License Application is based on her 2010 conviction for mail fraud.

18. Contrary to the Commission's preliminary denial, Mrs. Repass qualifies for and is entitled to a waiver of the felony conviction pursuant to section 550.105(5), Florida Statutes, and Rule 75-5.00, F.A.C. Moreover, Mrs. Repass qualifies for and is entitled to a Pari-Mutuel Individual Occupational License. Mrs. Repass met all the requirements of her conviction including serving the requisite sentence and paying the required restitution. Over the last decade she has demonstrated good moral character and is rehabilitated from her 2010 felony conviction.

19. Mrs. Repass hereby requests a formal administrative hearing with respect to the Commission's preliminary denial of her License Application and the incorporated denial of her

Waiver Request to afford her the opportunity to demonstrate her qualifications for the requested license including that she is rehabilitated and is of good moral character.

Specific Statutes and Rules

20. The specific statutes and rules which require reversal of the Department's action include, but are not necessarily limited to: Sections 120.569, 120.57(1), 120.60, 550.105, Florida Statutes, and Rule 75-5.006, F.A.C.

Reservation of Right to Amend

Petitioner reserves the right to amend this Petition to include any additional necessary facts or bases for this challenge that may be discovered during the course of discovery or through public records requests.

WHEREFORE, Petitioner respectfully requests that:

- A. The Commission forward this Petition for Formal Administrative Hearing to the Division of Administrative Hearings for the assignment of an Administrative Law Judge and the conduct of a formal administrative hearing;
- B. The Administrative Law Judge enter a recommended order determining that: Mrs. Repass is of good moral character and is rehabilitated and otherwise qualifies for waiver of her 2010 felony conviction and recommending that the Commission grant the Waiver Request and grant the License Application; and
- C. The Commission enter a final order granting the Waiver Request and License Application;
- D. Granting any other relief as may be deemed just and appropriate.

Respectfully submitted this 3rd day of October 2023.

/s/ Tana D. Storey
Gary R. Rutledge
gary@rutledge-ecenia.com
Tana D. Storey
tana@rutledge-ecenia.com
Rutledge Ecenia, P.A.
119 S. Monroe Street, Suite 202
Tallahassee, Florida 32301
Telephone: (850) 681-6788
Counsel for Inna Alekseenko-Repass

CERTIFICATE OF SERVICE

I hereby certify that a true copy of the foregoing Petition for Formal Administrative Hearing was filed/served as designated below on this 3rd day of October 2023:

Melba Apellaniz
Clerk of the Commission
Florida Gaming Commission
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399
Via Hand Delivery

Ross Marshman, General Counsel
Steven Woods
Florida Gaming Control Commission
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399
ross.marshman@flgaming.gov
Steven.Woods@flgaming.gov

Louis Trombetta
Executive Director
Florida Gaming Control Commission
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399
Louis.Trombetta@flgaming.gov

/s/ Tana D. Storey
Attorney



JULIE I. BROWN, VICE-CHAIR
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: 9/11/2023
File Number: _____
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

September 11, 2023

Ms. Inna Alekseenko-Repass
5030 Champion Boulevard, Suite G11-437
Boca Raton, Florida 33496

Letter of License Denial

Dear Ms. Inna Alekseenko-Repass:

This Letter of License Denial ("Denial") serves to inform you that your application for a Pari-Mutuel General Individual Occupational License is denied in accordance with the provisions of chapter 550, Florida Statutes, and the rules promulgated thereto. This Denial is based upon your following criminal disposition:

1. 10/21/2010 – Frauds and Swindles – Adjudicated Guilty – U.S. District Court of New Jersey (Case No. 2009-CR-000822)

This conviction is a disqualifying offense under section 550.105(5), Florida Statutes. A withhold of adjudication of guilt is considered a conviction for licensing under sections 550.105(5) and 849.086(6)(f), Florida Statutes.

You are advised you may not work in any position requiring licensure or be within a restricted area at a pari-mutuel facility without an appropriate valid occupational license.

Pursuant to section 120.57, Florida Statutes, you may request a hearing to challenge the Division's decision within twenty-one (21) days of receipt of this Denial, as provided for in rule 28-106, Florida Administrative Code, and the attached Notice of Rights.

If you do not request a hearing within twenty-one (21) days of your receipt of this Denial, this Denial will become the Agency's Final Order. If this Denial becomes a Final Order, you will have 30 days to file for an appeal pursuant to section 120.68, Florida Statutes.

Sincerely,


Melba Apellaniz

Clerk of the Commission

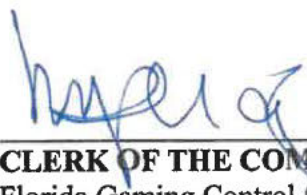
Attachment: Notice of Rights

OFFICE OF THE GENERAL COUNSEL
4070 ESPLANADE WAY, SUITE 250
TALLAHASSEE, FLORIDA 32399

CERTIFICATE OF SERVICE

I hereby certify this 11th day of SEPTEMBER, 2023, that a true copy of the foregoing has been furnished by certified U.S. mail to:

Inna Alekseenko-Repass
5030 Champion Boulevard, Suite G11-437
Boca Raton, Florida 33496



CLERK OF THE COMMISSION
Florida Gaming Control Commission

Case No. 2023-025872

NOTICE OF RIGHTS

This is an action that may affect your substantial interests. Mediation of this administrative dispute is not available. However, pursuant to sections 120.569 and 120.57, Florida Statutes, you may request a hearing on this matter, provided a written request is filed with the agency. The request must comply with the requirements of rules 28-106.111, and 28-106.201 or 28-106.301, Florida Administrative Code. The written request must be received by the Division within 21 days of your receipt of this notice.

If the Division determines there are disputed issues of material fact, the case will be forwarded to the Division of Administrative Hearings for a formal hearing. If there are no disputed issues of material fact, the Division will schedule an informal hearing. You will be notified of the date, time and place of the hearing. In either case, you have the burden of establishing entitlement to the license.

In a formal hearing, you have the right to appear in person on your own behalf, to be represented by an attorney, to bring witnesses and present evidence, to cross-examine any witnesses produced against you by the State, and to have subpoenas issued on your behalf.

In an informal hearing, you have the right to appear in person on your own behalf, to be represented by an attorney, and to submit whatever information you desire to show entitlement to the license.

If a hearing pursuant to sections 120.569 and 120.57, Florida Statutes, is not requested within 21 days, the foregoing Letter of License Denial will become a Final Order. Any party adversely affected by this Final Order has the right to seek its judicial review under section 120.68, Florida Statutes, by the filing of an original Notice of Appeal pursuant to rules 9.110 and 9.190, Florida Rules of Appellate Procedure, with the Clerk of the Commission, 4070 Esplanade Way, Suite 250, Tallahassee, Florida 32399-2202 (Email: Clerk@flgaming.gov), and by filing a copy of the Notice of Appeal accompanied by the applicable filing fees with the appropriate Florida District Court of Appeal. The Notice of Appeal must be filed (received) by the Clerk of the Commission within thirty (30) days after the date this Order is filed with the Clerk.

In all the above proceedings, practice is governed by chapter 120, Florida Statutes, and rule 28-106, Florida Administrative Code.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

INNA ALEKSEENKO-REPASS,

Case No.: 2023-025872

Petitioner,

vs.

FLORIDA GAMING CONTROL
COMMISSION,

Respondent.
_____ /

NOTICE OF WITHDRAWAL AS COUNSEL

NOTICE IS HEREBY GIVEN that the undersigned counsel has withdrawn as counsel for Petitioner in this matter. Please direct all future correspondence to the attention of Petitioner INNA ALEKSEENKO-REPASS.

Respectfully submitted this 17th day of October 2023.

/s/ Tana D. Storey
Gary R. Rutledge
gary@rutledge-ecenia.com
Tana D. Storey
tana@rutledge-ecenia.com
Rutledge Ecenia, P.A.
119 S. Monroe Street, Suite 202
Tallahassee, Florida 32301
Telephone: (850) 681-6788

CERTIFICATE OF SERVICE

I hereby certify that a true copy of the foregoing Petition for Formal Administrative

Hearing was filed/served as designated below on this 17th day of October 2023:

Melba Apellaniz
Clerk of the Commission
Florida Gaming Commission
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399
Clerk@flgaming.gov

Steven Woods, Esquire
Florida Gaming Control Commission
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399
Steven.Woods@flgaming.gov

Inna Alekseenko-Repass
Via email

/s/ Tana D. Storey
Attorney

ROUTING SLIP REQUEST FOR WAIVER

RE: ALEKSEENKO-REPASS, INNA – LIC # 13467058
(APPLICANT'S NAME – LICENSE #)

Case No: 2023 02 5872

1022 / Thoroughbred Animal Owner
Occupation Code and Job Title

Gulfstream Park
Facility (d/b/a name)

90-DAY RESPONSE DEADLINE:

AUGUST 2, 2023
(DATE)

Investigations Section: Reviewed by Bradford D. Jones *BDJ* 7/2/2023
(Initial & Date)

The attached "Request for Waiver" file has been reviewed for completeness and accuracy, and has been forwarded to the Licensing Section.

Licensing Section: Reviewed by: DD 07/06/2023
(Initial & Date)

gls 7/10/23
(Initial & Date)

Is the applicant currently under suspension, has unpaid fines, or has been refused a license by any gaming or racing jurisdiction?

Yes or No If yes, in what jurisdiction? _____

Executive Director: *LT* 7/17/23
(Initial & Date)

Prepare Waiver or Prepare File for Commission Review

Comments: _____

Investigative Findings:

November 5, 2009

United States Marshall's Service, Newark, New Jersey – Mail Fraud – Felony Adjudicated Guilty upon a plea of Guilty and sentenced on November 1, 2010 to 6 months in Federal prison, 36 months' Supervised Release, \$132,930.00 in Restitution and a \$100.00 Special Assessment. - All terms and payments have been completed.

*Please attach Routing Slip to front of case file

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
---------	-------	--------	-------------	---------	------	------	------------	-------------	--------

Complaint Search	Change Recording License Type	Delete Complaint	Mass Activity Update	Mass Discipline Update
Mass Status Update	Public Case Info			

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **cstubbs1**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type	1022 - Pari-Mutuel General Individual Occupational	Status	90 Closed	Status Date	07/02/2023
Complaint #	2023025872	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#	Respondent ALEKSEENKO-REPASS, INNA	Responsible	bjones - JONES, BRADFORD	Private Case	

Complaint	Respondent	Complainant	Add'l Info
-----------	------------	-------------	------------

Source	LIC - Licensee	Security Level	1	<input type="checkbox"/> Parties	<input checked="" type="checkbox"/> Activities
Form	WALK - Walk-in	Priority		<input checked="" type="checkbox"/> Allegations	<input type="checkbox"/> Discipline
Class'n	IIIB - Waivers	Complexity	R - Regular	<input type="checkbox"/> Violations	<input type="checkbox"/> Compliance
Security	STND - Standard	Incident	03/01/2023	<input type="checkbox"/> Related	<input checked="" type="checkbox"/> Disposition
Region	SR - Southern Region	Received	05/03/2023	<input type="checkbox"/> Inspection	
Reference				<input type="checkbox"/> Costs	
Entered	05/04/2023	Entered By	cstubbs1	<input type="checkbox"/> Time Tracking	<input type="button" value="Auto Assign"/>
Summary	321-Gulfstream Park			<input type="checkbox"/> Attachments	<input type="button" value="History"/>
Updated	07/05/2023 11:03:46	By	cstubbs1	<input type="checkbox"/> Work Notes	<input type="button" value="Print Report"/>

<input type="button" value="Change"/>	<input type="button" value="Save"/>	<input type="button" value="OK"/>	<input type="button" value="Cancel"/>	<input type="button" value="Back"/>
---------------------------------------	-------------------------------------	-----------------------------------	---------------------------------------	-------------------------------------

Get Adobe Reader.

ROUTING SLIP REQUEST FOR WAIVER


RE: ALEKSEENKO-REPASS, INNA – LIC # 13467058
(APPLICANT'S NAME – LICENSE #)

Case No: 2023 02 5872

1022 / Thoroughbred Animal Owner
Occupation Code and Job Title

Gulfstream Park
Facility (d/b/a name)

90-DAY RESPONSE DEADLINE: AUGUST 2, 2023
(DATE)

Investigations Section: Reviewed by Bradford D. Jones  7/2/2023
(Initial & Date)

The attached "Request for Waiver" file has been reviewed for completeness and accuracy, and has been forwarded to the Licensing Section.

Licensing Section: Reviewed by: _____
(Initial & Date) (Initial & Date)

Is the applicant currently under suspension, has unpaid fines, or has been refused a license by any gaming or racing jurisdiction?

Yes or No If yes, in what jurisdiction? _____

Executive Director: _____
(Initial & Date)

Prepare Waiver or Prepare File for Commission Review

Comments: _____

Investigative Findings:


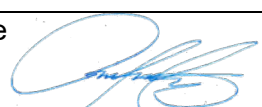
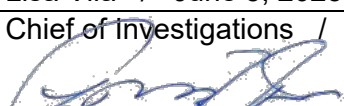
November 5, 2009

United States Marshall's Service, Newark, New Jersey – Mail Fraud – Felony Adjudicated Guilty upon a plea of Guilty and sentenced on November 1, 2010 to 6 months in Federal prison, 36 months' Supervised Release, \$132,930.00 in Restitution and a \$100.00 Special Assessment. - All terms and payments have been completed.

Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS
WAIVER INVESTIGATIVE REPORT

Office: PMW	Region: Southern	Date of Complaint: May 4, 2023	Case Number: 2023 02 5872
Respondent: ALEKSEENKO-REPASS, INNA 5030 CHAMPION BLVD. SUITE G11-437 BOCA RATON, FLORIDA 33496		Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 W. COMMERCIAL BLVD. SUITE 165 FORT LAUDERDALE, FLORIDA 33309	
License # / Type: 13467058 - 1022	Profession: Individual Horse Owner	Report Date: June 5, 2023	
Period of Investigation: May 4, 2023-June 5, 2023		Type of Report: Final	
<p>Basis of Investigation: This investigation is predicated upon receipt of Inna ALEKSEENKO-REPASS's application for a State of Florida Pari-Mutuel Waging Individual Occupational License dated January 26, 2023 and her Waiver Request form dated March 1, 2023 (Exhibit #1).</p> <p>On January 26, 2023, ALEKSEENKO-REPASS electronically submitted a PMW Occupational License application to be licensed as an Individual Horse Owner at Gulfstream Park. On her application ALEKSEENKO-REPASS answered "No" to the question "Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contender to any criminal charges against you?"</p> <p>On January 30, 2023, February 20, 2023 and March 28, 2023, the Office of Operations sent ALEKSEENKO-REPASS Deficiency Letters informing her that her application was incomplete and that she needed to amend or correct her application (Exhibit #4).</p> <p>ALEKSEENKO-REPASS appears to have amended her application on two separate occasions. On each occasion she answered "Yes" to the question concerning prior convictions. On her first amended application she wrote "Erie County, PA See attached documents" in lieu of providing specific details. This form was date/time-stamped as being received on March 27, 2023. The second amended document was received on May 2, 2023. On this amendment ALEKSEENKO-REPASS made specific disclosure of the following arrests: a 02/28/2000 arrest from Erie, Pennsylvania for Receiving Stolen Property, 11/19/2002 and a 03/13/2009 arrests from Hampton Massachusetts for Disorderly Conduct, a 10/21/2010 arrest from New Jersey for Wire Fraud, along with an 11/22/2010, Reckless Driving charge and a 10/01/2012 arrest for Driving Under the Influence both of which occurred in the state of Georgia (Exhibit #1).</p>			
Related Case:			
Investigations Specialist II / Date Lisa Vila / June 5, 2023 		Investigator Supervisor / Date Julio Minaya / June 7, 2023 	
Chief of Investigations / Date  Bradford D. Jones / July 2, 2023			

CONTINUATION

CRIMINAL HISTORY

Arrest 1					
Date of Arrest: 11/05/2009		Arresting Agency United States Marshall's Service, Newark, New Jersey Office			
OFFENSE					
CHARGES	CLASSIFICATION	PLEA	DISPOSITION	CONVICTION DATE	
1	Fraud and Swindles (Mail Fraud)	Felony	Guilty	Guilty	11/01/2010
2					
3					
4					
5					
6					

SENTENCE
6 Months imprisonment, 36 months supervised release, \$100.00 Special assessment, \$132,930.00 Restitution which applicant has paid in full. (EXHIBIT #2, Page #7 & EXHIBIT #3, Pages 2 thru 19)

Additional Information:

Arrest 2					
Date of Arrest:		Arresting Agency:			
OFFENSE					
CHARGES	CLASSIFICATION	PLEA	DISPOSITION	CONVICTION DATE	
1					
2					
3					
4					

SENTENCE

Additional Information:

CONTINUATION

Arrest 3					
Date of Arrest:		Arresting Agency:			
OFFENSE					
	CHARGES	CLASSIFICATION	PLEA	DISPOSITION	CONVICTION DATE
1					
2					
3					
4					

SENTENCE

Additional Information:

Arrest 4					
Date of Arrest:		Arresting Agency:			
OFFENSE					
	CHARGES	CLASSIFICATION	PLEA	DISPOSITION	CONVICTION DATE
1					
2					
3					
4					

SENTENCE

Additional Information:

CONTINUATION

ADDITIONAL LICENSES

	YES	NO
Has the Applicant ever possessed a Florida Pari-Mutuel Occupational License?		X
Does the Applicant possess an Occupational License from other jurisdictions?		X

1. License Type:				
Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:	
			YES	NO
Has License ever been suspended or revoked?				
Was any derogatory information received?				
Additional Comments:				

2. License Type:				
Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:	
			YES	NO
Has License ever been suspended or revoked?				
Was any derogatory information received?				
Additional Comments:				

3. License Type:				
Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:	
			YES	NO
Has License ever been suspended or revoked?				
Was any derogatory information received?				
Additional Comments:				

4. License Type:				
Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:	
			YES	NO
Has License ever been suspended or revoked?				
Was any derogatory information received?				
Additional Comments:				

CONTINUATION

WAIVER INTERVIEW

		YES	NO
Was a Waiver Interview Conducted?		X	
Date of Interview: May 19, 2023	Location of Interview: Telephonically		
		YES	NO
Was the applicant cooperative?		X	
Additional Comments:			

SUMMARY OF INTERVIEW:

Because **ALEKSEENKO-REPASS** did not provide a phone number with her application, the undersigned investigator sent **ALEKSEENKO-REPASS** numerous e-mails instructing her to contact this writer so that a waiver interview could be conducted. After a few e-mail attempts that went unanswered, on May 19, 2023, **ALEKSEENKO-REPASS** called this Investigator and the Waiver interview was immediately conducted via telephone. The following was noted:

During the interview, **ALEKSEENKO-REPASS** was asked about her criminal history. She stated that she was 17 years of age when she was arrested in 1999 for Criminal Conspiracy. She explained that she was in the company of bad friends and at a shopping mall. Some members of the group knocked over a table and ran out of the store with a display tool item. The entire group was apprehended by mall security, and because the retailer pressed charges, they were all charged with the crime (**EXHIBIT #2, Page #5**).

ALEKSEENKO-REPASS was then asked about her 2009 arrest for Mail Fraud in Newark, New Jersey. She explained that at the time she was employed as an Administrative Assistant and her boss at the time was giving her financial kickbacks when she prepared his expense reports. Instead of reporting her boss, she knowingly mailed out the fraudulent reports via U.S. mail. Once she mailed out the falsified reports, she willingly and knowingly conspired to defraud. After a Federal investigation, she and others were charged with Mail Fraud. **ALEKSEENKO-REPASS**, consented to a plea agreement, and was sentenced to six months in federal prison. **ALEKSEENKO-REPASS** was also sentenced to 36 months supervised release and ordered to pay \$132,930.00 in restitution along with a \$100.00 special assessment. All conditions of her plea agreement have been satisfied (**EXHIBIT #2, Page #7 & EXHIBIT #3, Pages 2 thru 19**).

CONTINUATION

CONCLUSION:

A check of the Association of Racing Commissioners International (ARCI) database showed No rulings against **ALEKSEENKO-REPASS**.

Upon review of **ALEKSEENKO-REPASS's**, court documents the Misdemeanor arrests listed in her amended application(s) were noted for various offenses. These arrests included the above described Criminal Conspiracy and Receiving Stolen Property convictions, as well as Disorderly Conduct, Trespass and Driving Under the Influence arrests (**Exhibit #2, Pages 5 & 6 – Exhibit #3, Pages 1, and Pages 20 - 23**).

Status: Case closed by Investigations and forwarded to Licensing for review.

CONTINUATION

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STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

RECEIVED
2023 MAY -2 PM 1:16
FLORIDA GAMING
CONTROL COMMISSION

TO: Anna Aleksenko
Full Legal Name of Applicant

[Redacted]
Date of Birth

1346706
Individual/Org. Number

If you are a new applicant to Florida and have been convicted of any felony, regardless of whether adjudication was withheld, or if you are renewing your pari-mutuel occupational license in Florida and have been convicted of any of the crimes listed below, you must first request and receive a waiver from the Division Director in order to receive a Florida Pari-Mutuel Wagering Occupational License or Cardroom License. Please check the appropriate box(es) below that best describes your situation:

For Pari-Mutuel and Cardroom Applicants:

- A conviction in this state, in any other state, or under the laws of the United States of a capital felony, a felony or an offense in any other state which would be a felony under the laws of Florida involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character. A felony or misdemeanor in this state, in any other state, or under the laws of the United States, if such felony or misdemeanor is related to gambling or bookmaking, as contemplated in Section 849.25, Florida Statutes, or involves cruelty to animals.
- Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction. Specify discipline and jurisdiction.
- New applicant to Florida who has been convicted of any felony, regardless whether adjudication was withheld.

For Cardroom Applicants Only:

- A misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, in this state or any other state, or under the laws of the United States.

If you choose to request a waiver, please sign this form below and return it with your completed application, license, and fingerprint fees. After an investigation is conducted, the Division Director will either grant or deny the request for waiver. You will be notified of the decision by mail at the address provided on your application.

UNTIL YOU ARE LICENSED:

- 1) You are not permitted to engage in any activity which requires a pari-mutuel occupational license or a cardroom license at any pari-mutuel facility in Florida. If you are found to be working without a license, you will be subject to arrest for trespassing and your waiver request may be denied.
- 2) You are forbidden from accessing any of the restricted areas of any pari-mutuel facility in Florida.

I hereby request a waiver for the situation(s) or conviction(s) noted above, and acknowledge that license and fingerprint fees are non-refundable in the event the waiver request is denied. I hereby acknowledge that my failure to participate in a waiver interview or to disclose any pertinent information regarding convictions, rulings, revocations, or denials from other jurisdictions will result in a denial of the request for waiver. I hereby waive the Section 120.60, Florida Statutes, timeline requirement regarding the processing of this application.

[Signature]
Signature of Applicant

3/1/2023
Date

TO BE CONSIDERED FOR A WAIVER, APPLICANTS MUST COMPLETE FORM DBPR PMW-3185 - REQUEST FOR RELEASE OF INFORMATION AND AUTHORIZATION TO RELEASE INFORMATION, AND SCHEDULE A WAIVER INTERVIEW WITH THE OFFICE OF INVESTIGATIONS.

DBPR On-Line Services

If you need to mail additional information to DBPR please include this coversheet.

License Type: **General Individual Occupational**
Application Type: **General Individual Occupational License**

File Number: **102467**
Application Number: **191834**

License Number:

Application Date: **01/26/2023 (mm/dd/yyyy)**

Last Name: **Alekseenko-Repas**

First Name: **Inna**

Middle Name:

Mail To:
DBPR - Division of Pari-Mutuel Wagering
Licensing Section
2601 Blair Stone Road
Tallahassee, FL 32399-1037

If you have any questions please call our Customer Contact Center at 850-487-1395.



State of Florida
Department of Business and Professional Regulation
2601 Blair Stone Road
Tallahassee, FL 32399

Application Summary

Thank you for submitting an online application. We will evaluate the application to determine if you meet the eligibility requirements for the license. Initial review of your application can take up to 14 days and up to 30 days during peak licensing times. You will be notified in writing if we need any additional information or documentation. Your application will be considered complete only upon the department's receipt of all requested information, including validation of payment from your financial institution.

Profession

License Type:	General Individual Occupational
Application Number:	191834
Application Type:	General Individual Occupational License
Application Date:	01/26/2023 (mm/dd/yyyy)
License Number:	
File Number:	102467

Personal Detail

First Name:	Inna
Last Name:	Alekseenko-Repas
Birthdate:	[REDACTED] (mm/dd/yyyy)
Gender:	Female
Race:	White

Addresses

Current Mailing Address:	5030 Champion Blvd
	Ste G11-437
	Boca Raton, FL
	33496
	US

Phone Number:

Extension:

E-mail Address:

License Attributes Selected

Occupational Codes	Mutuel
PMW Temporary	Yes

Livescan Information

Enter Transaction Control Number (TCN): **70cs1402230000016546**

Facility

Pari-Mutuel Facility Where Employed and/or Doing Business With:	Gulfstream Park
---	------------------------

Prior Name Questions

EXHIBIT #1
PAGE # 4
378

Have you used, been known as, or called **Yes** by another name (example - maiden name, pseudonym, nickname) or alias other than the one you provided for this application?

Prior Name Info

Last Name: **Alekseenko-Repass**

First Name: **Inna**

Cardroom Access

Does your position require access to the Cardroom? **No**

Prior License

Is this your first time applying for a racing/gaming license in Florida? **Yes**

Criminal History Background Questions

Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States? **No**

Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? **No**

Racing/Gaming License Background Question

Have you ever had a racing or gaming license revoked or denied in this or any other state or country? **No**

Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? **No**

Attachments

Fees

Fingerprint Fee	\$37.25
3 year License	\$15.00
Total Amount Due:	\$52.25

By submission of this application you affirmed the following: I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this written declaration has the same legal effect as an oath or affirmation. Under penalties of perjury, I declare that I have read the foregoing application and the facts stated in it are true. I understand that falsification of any material information on this application may result in criminal penalty or administrative action, including a fine, suspension or revocation of the license. I understand that an electronic affirmation shall have the same force and effect as a written signature.

Entity# 13467058

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes
 No
Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

Yes
 No
Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
	ERIC COUNTY	PA		N	
<i>see attached documents</i>					

Yes
 No
Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

Yes
 No
Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

[Signature]
Signature of Applicant

3/1/2023
Date

DBPR PMW-3120, Effective September 2020, Rule 61D-6.001, F.A.C.

CONTROL GAMING
 DIVISION
 2023 MAR 27 PM 4:31
 EXHIBIT # 1
 RECEIVED
 PAGE # 7

Entity# 13467058

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes
 No Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

Yes
 No Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
02-28-00	Essex	PA.	Receiving stolen property	N	12 months
11-19-02	Hampden	MA	Disorderly	Y	30 days
03-13-09	Hampden	MA	Disorderly	Y	30 days
10-21-10	New Jersey	NJ	wire fraud	Y	1 year

Yes
 No Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

Yes
 No Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

Conti. on next page

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

[Signature]
Signature of Applicant

3/1/2023
Date

Entity# 13467058

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes
 No Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

Yes
 No Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
11-22-10	Gwinnett	GA	Backless Driving	Y	N
10-1-12	Gwinnett	GA	SUI	Y	N

Yes
 No Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

Yes
 No Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

RECEIVED
 2023 MAY -2 PM 1:56
 FLORIDA GAMING CONTROL COMMISSION

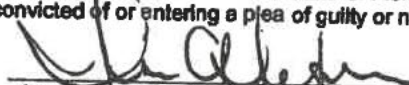
PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

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 Signature of Applicant

3/1/2023
 Date

DBPR PMW-3195 - Request for Release of Information and Authorization to Release Information



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

RECEIVED
2023 MAR 27 PM 4:31
FLORIDA GAMING
CONTROL COMMISSION

PERSONAL INFORMATION

Social Security Number/Federal Employer ID Number [REDACTED]

IF INDIVIDUAL APPLICANT PLEASE COMPLETE THE FOLLOWING SECTION

Last Name Alekseenko First Inna Middle _____ Title _____ Suffix _____

Birth Date (MM/DD/YYYY) [REDACTED]

IF BUSINESS APPLICANT PLEASE COMPLETE THE FOLLOWING SECTION

Representative's Name Last _____ First _____ Middle _____ Title _____ Suffix _____

Permitholder Name _____

Official Capacity _____

ATTEST STATEMENT

I, Inna Alekseenko do hereby instruct all law enforcement
(name of applicant/representative)
or criminal justice agencies, present and former employers or institutions with whom I or my businesses
have a present or past business relationship, as well as all present or past social associates to release all
requested information to the bearer of this release form, who is an authorized representative of the State
of Florida, Department of Business and Professional Regulation.

I further authorize any individual, agency, corporation, or other entity to release any and all information
requested by the bearer of this release form with respect to myself or my business. Additionally, I do
release such individuals or entities from any and all liability due to the release of information requested.

Inna Alekseenko - Re-pass
(if individual applicant - legal name and any nickname or alias in parentheses)

Applicant/Representative Signature: [Signature] Date: 3/1/2023

NOTARIZATION

The foregoing application was sworn to and subscribed before me this 1st Day of March, 2023
by Inna Alekseenko _____
Type or print name of applicant Signature of applicant

who is personally known to me or who has produced the following as identification.
fl. Drivers License
Type of Identification

[Signature]
Signature of person taking acknowledgment
Notary Seal
(Rubber Stamp and Expiration)

Notary Public State of Florida
Marta Cristina Gascón
My Commission
MH 245163
Exp. 3/27/2026

EXHIBIT # 1
PAGE # 10

MEB/USAO #2009R00452

UNITED STATES DISTRICT COURT
DISTRICT OF NEW JERSEY

UNITED STATES OF AMERICA : Hon.
v. : Criminal No. 09-822
INNA ALEKSEENKO : 18 U.S.C. § 1349

INFORMATION

The defendant having waived in open court prosecution by indictment, the United States Attorney for the District of New Jersey charges:

From in or about December 2005 to in or about December 2007, in Bergen County, in the District of New Jersey, and elsewhere, defendant

INNA ALEKSEENKO

did knowingly and intentionally conspire and agree with T.O. and others to devise a scheme and artifice to defraud, and to obtain monies, funds and other property from [REDACTED] by means of materially false and fraudulent pretenses, representations, and promises, and, for the purpose of executing such a scheme and artifice, did cause to be delivered by mail according to the directions thereon matters and things to be sent and delivered by the Postal Service, namely a \$7,510 check on or about December 12, 2007, contrary to Title 18, United States Code, Section 1341.

EXHIBIT # 3
PAGE # 2

In violation of Title 18, United States Code, Section
1349.

Paul J. Fishman

PAUL J. FISHMAN
United States Attorney

CASE NUMBER:

United States District Court
District of New Jersey

UNITED STATES OF AMERICA

v.

INNA ALEKSEENKO

INFORMATION FOR

18 U.S.C. § 1349

RALPH J. MARRA, JR.

ACTING U.S. ATTORNEY
NEWARK, NEW JERSEY

MATTHEW E. BECK
ASSISTANT U.S. ATTORNEY
(973) 353-6053

USA-48AD 8
(Ed. 1/97)

EXHIBIT # 3
PAGE # 4
400



*United States Attorney
District of New Jersey*

*Matthew E. Beck
Assistant United States Attorney*

*970 Broad Street, Suite 700
Newark, NJ 07102*

*(973) 353-6053
FAX (973) 645-3497*

MB/PL AGR
2009R00452

September 1, 2009

Meg Strickler, Esq.
Conway, Strickler, and Margolis, PC
2901 Piedmont Road, NE
Suite C
Atlanta, Georgia 30305

Re: Plea Agreement with Inna Alekseenko

Dear Ms. Strickler:

This letter sets forth the plea agreement between your client, Inna Alekseenko and the United States Attorney for the District of New Jersey ("this Office").

Charge

Conditioned on the understandings specified below, this Office will accept a guilty plea from Inna Alekseenko to a one-count information that charges conspiracy to commit mail fraud, contrary to 18 U.S.C. § 1341, and in violation of 18 U.S.C. § 1349. If Inna Alekseenko enters a guilty plea and is sentenced on this charge, and otherwise fully complies with all of the terms of this agreement, this Office will not initiate any further criminal charges against Inna Alekseenko for her involvement in a fraudulent billing scheme involving Express Unlimited that victimized her [REDACTED] during the period between in or about December 2005 and in or about December 2007. However, in the event that a guilty plea in this matter is not entered for any reason or the judgment of conviction entered as a result of this guilty plea does not remain in full force and effect, defendant agrees that any dismissed charges and any other charges that are not time-barred by the applicable statute of limitations on the date this agreement is signed by Inna Alekseenko may be commenced against her, notwithstanding the expiration of the limitations period after Inna Alekseenko signs the agreement.

EXHIBIT # 3
PAGE # 5
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Sentencing

The violation of 18 U.S.C. § 1349 to which Inna Alekseenko agrees to plead guilty carries a statutory maximum prison sentence of 20 years, and a statutory maximum fine equal to the greatest of: (1) \$250,000; (2) twice the gross amount of any pecuniary gain that any persons derived from the offense; or (3) twice the gross amount of any pecuniary loss sustained by any victims of the offense. Fines imposed by the sentencing judge may be subject to the payment of interest.

The sentence to be imposed upon Inna Alekseenko is within the sole discretion of the sentencing judge, subject to the provisions of the Sentencing Reform Act, 18 U.S.C. § 3551-3742, and the sentencing judge's consideration of the United States Sentencing Guidelines. The United States Sentencing Guidelines are advisory, not mandatory. The sentencing judge may impose any reasonable sentence up to and including the statutory maximum term of imprisonment and the maximum statutory fine. This Office cannot and does not make any representation or promise as to what guideline range may be found by the sentencing judge, or as to what sentence Inna Alekseenko ultimately will receive.

Further, in addition to imposing any other penalty on Inna Alekseenko, the sentencing judge: (1) will order Inna Alekseenko to pay an assessment of \$100 pursuant to 18 U.S.C. § 3013, which assessment must be paid by the date of sentencing; (2) must order Inna Alekseenko to pay restitution pursuant to 18 U.S.C. §§ 3663 et seq.; (3) may order Inna Alekseenko, pursuant to 18 U.S.C. § 3555, to give notice to any victims of her offense; and (4) pursuant to 18 U.S.C. § 3583, may require Inna Alekseenko to serve a term of supervised release of up to three years, which will begin at the expiration of any term of imprisonment imposed. Should Inna Alekseenko be placed on a term of supervised release and subsequently violate any of the conditions of supervised release before the expiration of its term, Inna Alekseenko may be sentenced to not more than two years' imprisonment in addition to any prison term previously imposed, regardless of the statutory maximum term of imprisonment set forth above and without credit for time previously served on post-release supervision, and may be sentenced to an additional term of supervised release.

In addition, Inna Alekseenko agrees to make full restitution for all losses resulting from the offense of conviction or from the scheme, conspiracy, or pattern of criminal

activity underlying that offense, to Stryker Orthopaedics in the amount of \$132,930.

Rights of this Office Regarding Sentencing

Except as otherwise provided in this agreement, this Office reserves its right to take any position with respect to the appropriate sentence to be imposed on Inna Alekseenko by the sentencing judge, to correct any misstatements relating to the sentencing proceedings, and to provide the sentencing judge and the United States Probation Office all law and information relevant to sentencing, favorable or otherwise. In addition, this Office may inform the sentencing judge and the United States Probation Office of: (1) this agreement; and (2) the full nature and extent of Inna Alekseenko's activities and relevant conduct with respect to this case.

Stipulations

This Office and Inna Alekseenko agree to stipulate at sentencing to the statements set forth in the attached Schedule A, which hereby is made a part of this plea agreement. This agreement to stipulate, however, cannot and does not bind the sentencing judge, who may make independent factual findings and may reject any or all of the stipulations entered into by the parties. To the extent that the parties do not stipulate to a particular fact or legal conclusion, each reserves the right to argue the existence of and the effect of any such fact or conclusion upon the sentence. Moreover, this agreement to stipulate on the part of this Office is based on the information and evidence that this Office possesses as of the date of this agreement. Thus, if this Office obtains or receives additional evidence or information prior to sentencing that it determines to be credible and to be materially in conflict with any stipulation in the attached Schedule A, this Office shall not be bound by any such stipulation. A determination that any stipulation is not binding shall not release either this Office or Inna Alekseenko from any other portion of this agreement, including any other stipulation. If the sentencing court rejects a stipulation, both parties reserve the right to argue on appeal or at post-sentencing proceedings that the sentencing court was within its discretion and authority to do so. These stipulations do not restrict the Government's right to respond to questions from the Court and to correct misinformation that has been provided to the Court.

Waiver of Appeal and Post-Sentencing Rights

As set forth in Schedule A, this Office and Inna Alekseenko waive certain rights to file an appeal, collateral attack, writ or motion after sentencing, including but not limited to an appeal under 18 U.S.C. § 3742 or a motion under 28 U.S.C. § 2255.

Other Provisions

This agreement is limited to the United States Attorney's Office for the District of New Jersey and cannot bind other federal, state, or local authorities. However, this Office will bring this agreement to the attention of other prosecuting offices, if requested to do so.

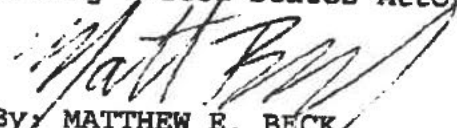
This agreement was reached without regard to any civil or administrative matters that may be pending or commenced in the future against Inna Alekseenko. This agreement does not prohibit the United States, any agency thereof (including the Internal Revenue Service and Immigration and Customs Enforcement), or any third party from initiating or prosecuting any civil proceeding against Inna Alekseenko.

No Other Promises

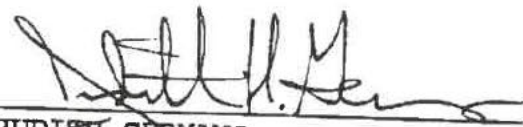
This agreement constitutes the plea agreement between Inna Alekseenko and this Office and supersedes any previous agreements between them. No additional promises, agreements, or conditions have been made or will be made unless set forth in writing and signed by the parties.

Very truly yours,

RALPH J. MARRA
Acting United States Attorney


By: MATTHEW E. BECK
Assistant U.S. Attorney

APPROVED:



JUDITH GERMANO
Chief, Commercial Crimes Unit

I have received this letter from my attorney, Meg Strickler, Esq., I have read it, and I understand it fully. I hereby accept the terms and conditions set forth in this letter and acknowledge that it constitutes the plea agreement between the parties. I understand that no additional promises, agreements, or conditions have been made or will be made unless set forth in writing and signed by the parties.

AGREED AND ACCEPTED:


Inna Alekseenko

Date:


Meg Strickler, Esq.

Date:

Plea Agreement With Inna Alekseenko

Schedule A

1. This Office and Inna Alekseenko recognize that the United States Sentencing Guidelines are not binding upon the Court. This Office and Inna Alekseenko nevertheless agree to the stipulations set forth herein, and agree that the Court should sentence Inna Alekseenko within the Guidelines range that results from the total Guidelines offense level set forth below. This Office and Inna Alekseenko further agree that neither party will argue for the imposition of a sentence outside the Guidelines range that results from the agreed total Guidelines offense level unless otherwise permitted in writing and agreed to by both parties.
2. The version of the United States Sentencing Guidelines effective November 1, 2008 applies in this case. The applicable guideline is U.S.S.G. § 2B1.1. This guideline carries a Base Offense Level of 7. See U.S.S.G. § 2B1.1(a)(1).
3. Specific Offense Characteristic U.S.S.G. § 2B1.1(b)(1)(F) applies because the offense involved more than \$120,000 but less than \$200,000 in loss. This Specific Offense Characteristic results in an increase of 10 levels.
4. Pursuant to U.S.S.G. § 2B1.1(b)(9)(c), the offense involved sophisticated means. This results in an increase of 2 levels.
5. As of the date of this letter, Inna Alekseenko has clearly demonstrated a recognition and affirmative acceptance of personal responsibility for the offense charged. Therefore, a downward adjustment of 2 levels for acceptance of responsibility is appropriate if Inna Alekseenko's acceptance of responsibility continues through the date of sentencing. See U.S.S.G. § 3E1.1(a).
6. As of the date of this letter, Inna Alekseenko has assisted authorities in the investigation or prosecution of her own misconduct by timely notifying authorities of her intention to enter a plea of guilty, thereby permitting the government to avoid preparing for trial and permitting the government and the court to allocate their resources efficiently. If Inna Alekseenko enters a plea pursuant to this agreement and qualifies for a 2-point reduction for acceptance of responsibility pursuant to U.S.S.G. § 3E1.1(a), and if in addition Inna Alekseenko's offense level under the Guidelines prior to the operation of § 3E1.1(a) is 16 or greater, Inna Alekseenko will be entitled to a

further 1-point reduction in her offense level pursuant to U.S.S.G. § 3E1.1(b).

7. In accordance with the above, the parties agree that the total Guidelines offense level applicable to Inna Alekseenko is 16 (the "agreed total Guidelines offense level").

8. The parties agree not to seek or argue for any upward or downward departure, adjustment or variance not set forth herein. The parties further agree that a sentence within the Guidelines range that results from the agreed total Guidelines offense level of 16 is reasonable.

9. Inna Alekseenko knows that she has and, except as noted below in this paragraph, voluntarily waives, the right to file any appeal, any collateral attack, or any other writ or motion, including but not limited to an appeal under 18 U.S.C. § 3742 or a motion under 28 U.S.C. § 2255, which challenges the sentence imposed by the sentencing court if that sentence falls within or below the Guidelines range that results from the agreed total Guidelines offense level of 16. This Office will not file any appeal, motion or writ which challenges the sentence imposed by the sentencing court if that sentence falls within or above the Guidelines range that results from the agreed total Guidelines offense level of 16. The parties reserve any right they may have under 18 U.S.C. § 3742 to appeal the sentencing court's determination of the criminal history category. The provisions of this paragraph are binding on the parties even if the Court employs a Guidelines analysis different from that stipulated to herein. Furthermore, if the sentencing court accepts a stipulation, both parties waive the right to file an appeal, collateral attack, writ, or motion claiming that the sentencing court erred in doing so.

10. Both parties reserve the right to oppose or move to dismiss any appeal, collateral attack, writ, or motion barred by the preceding paragraph and to file or to oppose any appeal, collateral attack, writ or motion not barred by the preceding paragraph.

UNITED STATES DISTRICT COURT
District of New Jersey

UNITED STATES OF AMERICA

v.

Case Number 2:09CR00822-01

INNA ALEKSEENKO

Defendant.

AMENDED

JUDGMENT IN A CRIMINAL CASE
(For Offenses Committed On or After November 1, 1987)

Date of Original Judgment: 10/21/10

Reason for Amendment: Specify Guideline Level

The defendant, INNA ALEKSEENKO, was represented by Dan Conaway & Jerry Froelich, Esqs.

The defendant pled guilty to count(s) 1 of the Information on 11/5/09. Accordingly, the court has adjudicated that the defendant is guilty of the following offense(s):

<u>Title & Section</u>	<u>Nature of Offense</u>	<u>Date of Offense</u>	<u>Count Number(s)</u>
18:1341	Frauds and Swindles (Mail Fraud)	12/05 - 12/07	1

As pronounced on 10/21/10, the defendant is sentenced as provided in pages 2 through 6 of this Judgment. The sentence is imposed pursuant to the Sentencing Reform Act of 1984.

It is ordered that the defendant shall pay to the United States a special assessment of \$100, for count(s) 1, which shall be due immediately. Said special assessment shall be made payable to the Clerk, U.S. District Court.

It is further ordered that the defendant shall notify the United States Attorney for this district within 30 days of any change of name, residence, or mailing address until all fines, restitution, costs, and special assessments imposed by this Judgment are fully paid. If ordered to pay restitution, the defendant shall notify the court and United States Attorney of any material change in the defendant's economic circumstances.

Signed this the 1st day of November, 2010.



WILLIAM J. MARTINI
United States District Judge

30043

FILED AS A CRIMINAL CASE
 This Date: 11/1/10
 By: [Signature] Clerk
 Deputy

EXHIBIT # 3
PAGE # 12

Defendant: INNA ALEKSEENKO
Case Number: 2:09CR00822-01

IMPRISONMENT

The defendant is hereby committed to the custody of the United States Bureau of Prisons to be imprisoned for a term of 6 months.

The Court makes the following recommendations to the Bureau of Prisons: It is recommended that defendant be designated to a facility as close to her home as possible; further recommended that defendant participate in the Inmate Financial Responsibility Program.

The defendant shall surrender for service of sentence at the institution designated by the Bureau of Prisons as directed

RETURN

I have executed this Judgment as follows:

Defendant delivered on _____ To _____
At _____, with a certified copy of this Judgment.

United States Marshal
By _____
Deputy Marshal

EXHIBIT # 3
PAGE # 409 *B*

Defendant: INNA ALEKSEENKO
Case Number: 2:09CR00822-01

SUPERVISED RELEASE

Upon release from imprisonment, the defendant shall be placed on supervised release for a term of 3 years.

Within 72 hours of release from custody of the Bureau of Prisons, the defendant shall report in person to the Probation Office in the district to which the defendant is released.

While on supervised release, the defendant shall comply with the standard conditions that have been adopted by this court as set forth below.

The defendant shall submit to one drug test within 15 days of commencement of supervised release and at least two tests thereafter as determined by the probation officer.

If this judgment imposes a fine, special assessment, costs, or restitution obligation, it shall be a condition of supervised release that the defendant pay any such fine, assessments, costs, and restitution that remains unpaid at the commencement of the term of supervised release and shall comply with the following special conditions:

NEW DEBT RESTRICTIONS

You are prohibited from incurring any new credit charges, opening additional lines of credit, or incurring any new monetary loan, obligation, or debt, by whatever name known, without the approval of the U.S. Probation Office. You shall not encumber or liquidate interest in any assets unless it is in direct service of the fine and/or restitution obligation or otherwise has the expressed approval of the Court.

EXHIBIT # 3
PAGE # 14
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Defendant: INNA ALEKSEENKO
Case Number: 2:09CR00822-01

STANDARD CONDITIONS OF SUPERVISED RELEASE

While the defendant is on supervised release pursuant to this Judgment:

- 1) The defendant shall not commit another federal, state, or local crime during the term of supervision.
- 2) The defendant shall not illegally possess a controlled substance.
- 3) If convicted of a felony offense, the defendant shall not possess a firearm or destructive device.
- 4) The defendant shall not leave the judicial district without the permission of the court or probation officer.
- 5) The defendant shall report to the probation officer as directed by the court or probation officer and shall submit a truthful and complete written report within the first five days of each month.
- 6) The defendant shall answer truthfully all inquiries by the probation officer and follow the instructions of the probation officer.
- 7) The defendant shall support his or her dependents and meet other family responsibilities.
- 8) The defendant shall work regularly at a lawful occupation unless excused by the probation officer for schooling, training, or other acceptable reasons.
- 9) The defendant shall notify the probation officer within seventy-two hours of any change in residence or employment.
- 10) The defendant shall refrain from excessive use of alcohol and shall not purchase, possess, use, distribute or administer any narcotic or other controlled substance, or any paraphernalia related to such substances.
- 11) The defendant shall not frequent places where controlled substances are illegally sold, used, distributed, or administered.
- 12) The defendant shall not associate with any persons engaged in criminal activity, and shall not associate with any person convicted of a felony unless granted permission to do so by the probation officer.
- 13) The defendant shall permit a probation officer to visit him or her at any time at home or elsewhere and shall permit confiscation of any contraband observed in plain view by the probation officer.
- 14) The defendant shall notify the probation officer within seventy-two hours of being arrested or questioned by a law enforcement officer.
- 15) The defendant shall not enter into any agreement to act as an informer or a special agent of a law enforcement agency without the permission of the court.
- 16) As directed by the probation officer, the defendant shall notify third parties of risks that may be occasioned by the defendant's criminal record or personal history or characteristics, and shall permit the probation officer to make such notifications and to confirm the defendant's compliance with such notification requirement.
- (17) You shall cooperate in the collection of DNA as directed by the Probation Officer.

(This standard condition would apply when the current offense or a prior federal offense is either a felony, any offense under Chapter 109A of Title 18 (i.e., §§ 2241-2248, any crime of violence [as defined in 18 U.S.C. § 16], any attempt or conspiracy to commit the above, an offense under the Uniform Code of Military Justice for which a sentence of confinement of more than one year may be imposed, or any other offense under the Uniform Code that is comparable to a qualifying federal offense);

- (18) Upon request, you shall provide the U.S. Probation Office with full disclosure of your financial records, including co-mingled income, expenses, assets and liabilities, to include yearly income tax returns. With the exception of the financial accounts reported and noted within the presentence report, you are prohibited from maintaining and/or opening any additional individual

EXHIBIT # 3
PAGE # 15

Defendant: INNA ALEKSEENKO
Case Number: 2:09CR00822-01

and/or joint checking, savings, or other financial accounts, for either personal or business purposes, without the knowledge and approval of the U.S. Probation Office. You shall cooperate with the Probation Officer in the investigation of your financial dealings and shall provide truthful monthly statements of your income. You shall cooperate in the signing of any necessary authorization to release information forms permitting the U.S. Probation Office access to your financial information and records;

- (19) As directed by the U.S. Probation Office, you shall participate in and complete any educational, vocational, cognitive or any other enrichment program offered by the U.S. Probation Office or any outside agency or establishment while under supervision;
- (20) You shall not operate any motor vehicle without a valid driver's license issued by the State of New Jersey, or in the state in which you are supervised. You shall comply with all motor vehicle laws and ordinances and must report all motor vehicle infractions (including any court appearances) within 72 hours to the U.S. Probation Office;

For Official Use Only - - - U.S. Probation Office

Upon a finding of a violation of probation or supervised release, I understand that the Court may (1) revoke supervision or (2) extend the term of supervision and/or modify the conditions of supervision.

These conditions have been read to me. I fully understand the conditions, and have been provided a copy of them.

You shall carry out all rules, in addition to the above, as prescribed by the Chief U.S. Probation Officer, or any of his associate Probation Officers.

(Signed) _____
Defendant Date

U.S. Probation Officer/Designated Witness Date

EXHIBIT # 3
PAGE # 10

Defendant: INNA ALEKSEENKO
Case Number: 2:09CR00822-01

RESTITUTION AND FORFEITURE

RESTITUTION

The defendant shall make restitution in the amount of \$132,930. Payments should be made payable to the U.S. Treasury and mailed to Clerk, U.S.D.C., 402 East State Street, Rm 2020, Trenton, New Jersey 08608, for distribution to Stryker Orthopaedics, c/o Kevin Walsh, Esq. Gibbons, P.C. One Gateway Center, Newark, NJ 07102-5310. The amount ordered represents the total amount due to the victim for this loss. The defendant's restitution obligation shall not be affected by any restitution payments made by other defendants in this case, except that no further payments shall be required after the sum of the amounts actually paid by all defendants has fully satisfied this loss. The following defendant(s) in the following case(s) may be subject to restitution orders to the same victim for this same loss:

Thomas O'Rourke

Cr. No.: 2:10CR00235-01

The restitution is due immediately. It is recommended that the defendant participate in the Bureau of Prisons Inmate Financial Responsibility Program (IFRP). If the defendant participates in the IFRP, the restitution shall be paid from those funds at a rate equivalent to \$25 every 3 months. In the event the entire restitution is not paid prior to the commencement of supervision, the defendant shall satisfy the amount due in monthly installments of no less than \$100.00, to commence 30 days after release from confinement.

Unless the court has expressly ordered otherwise, if this judgment imposes imprisonment, payment of criminal monetary penalties is due during imprisonment. All criminal monetary penalties, except those payments made through the Federal Bureau of Prisons' Inmate Financial Responsibility Program, are made to the clerk of the court.

Payments shall be applied in the following order: (1) assessment, (2) restitution principal, (3) restitution interest, (4) fine principal, (5) community restitution, (6) fine interest, (7) penalties, and (8) costs, including cost of prosecution and court costs.

EXHIBIT # 3
PAGE # 17

PHILIP R. SELLINGER
UNITED STATES ATTORNEY
JORDAN M. ANGER
ASSISTANT U.S. ATTORNEY
970 BROAD STREET, ROOM 700
NEWARK, NJ 07102
Tel. 973-645-2829
Fax. 973-645-3210
email: JORDAN.ANGER@usdoj.gov

February 27, 2023

**UNITED STATES DISTRICT COURT
DISTRICT OF NEW JERSEY**

UNITED STATES OF AMERICA,

Plaintiff,

v.

INNA ALEKSEENKO,

Defendant,

Hon. WILLIAM J. MARTINI

Criminal No. 09-822

SATISFACTION OF JUDGMENT

The Judgment in the above-captioned case having been paid in full, the Clerk of the United States Court for the District of New Jersey is hereby authorized and requested to satisfy and cancel said judgment of record for any special assessment, fine and/or restitution only, as to defendant, Inna Alekseenko. This satisfaction of judgment does not satisfy any forfeiture judgment imposed in this case.

PHILIP R. SELLINGER
UNITED STATES ATTORNEY

By: s/JORDAN M. ANGER
JORDAN M. ANGER
ASSISTANT U.S. ATTORNEY

EXHIBIT # 3
PAGE # 18

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF GEORGIA
PROBATION OFFICE
900 U.S. COURTHOUSE
75 SPRING STREET, S.W.
ATLANTA, GEORGIA 30303-3309

THOMAS W. BISHOP
CHIEF PROBATION OFFICER

(404) 215-1950
FAX(404) 331-0160

May 26, 2014

Ms. Inna Alekseenko
3080 Market Place, Apartment 12202
Alpharetta, GA 30005

Dear Ms. Alekseenko:

This is to certify that you have completed your period of supervision. The fact that you have successfully completed this period of supervision automatically restores your right to vote.

Other civil rights, such as your right to serve on a jury, your right to hold public office, and your right to bear arms are lost by reason of a felony conviction. However, application forms for restoration of rights may be obtained by writing to the Georgia State Board of Pardons and Paroles, Fifth Floor, East Tower, 2 Martin Luther King Jr. Drive, S.E., Atlanta, Georgia, 30334. To restore your right to bear a firearm, you must write the Office of the Pardon Attorney, 1425 New York Avenue, N.W., Suite 11000, Washington, D.C. 20530, or contact by phone at (202) 616-6070 to request the forms.

Our records indicate you have a remaining balance on your fine and/or restitution. You should continue forwarding payments to the Clerk, U.S. District Court.

18 U.S.C. §3612(f)(1) requires that convicted persons pay interest on any fine or restitution of more than \$2,500, unless the fine is paid in full before the fifteenth day after the date of the judgement. Thus, you may owe interest on your unpaid restitution and/or fine balance.

The United States Attorney's Office, Financial Litigation Unit, will contact you via the mail or notify you of this outstanding liability or you may contact the U.S. Attorney's Office.

I would like to take this opportunity to thank you for your cooperation while under supervision, and to congratulate you on your completion of sentence.

Sincerely,



Lisa Moore
U. S. Probation Officer

cc: United States Attorney's Office, Financial Litigation Unit

EXHIBIT # 3
PAGE # 19

CRIMINAL DOCKET

DOCKET NO. 0123CR006981

COURT DIVISION Springfield

INTERPRETER REQUIRED

ATTORNEY NAME

DATE and JUDGE

DOCKET ENTRY

NAME, ADDRESS AND ZIP CODE OF DEFENDANT

ALEKSEENKO, INNA
9645 BAYMEADWO ROAD APT 822
JACKSONVILLE, FL

JUL 06 2001
APPALE

Attorney appointed (SJC R. 3:10)
Atty denied and Deft Advised per 211D §2A
Waiver of counsel found after colloquy

Terms of release set:
 PR Bail
 Held (276 §58A)
 See back for special conditions

Arranged and advised:
 Potential of bail revocation (276 §58)
 Right to bail review (276 §58)
 Right to drug exam (111E §10)

Advised of right to jury trial:
 Does not waive
 Waiver of jury trial found after colloquy

Advised of trial rights as pro se (Supp. R. 4)
Advised of right of appeal to Appeals Ct (R. 28)

DEPT, DOB AND SEX

F

DATE OF OFFENSE(S)
07/04/2001

PLACE OF OFFENSE(S)
W SPRINGFIELD

11-5-02

Ross, J.

COMPLAINANT

POLICE DEPARTMENT (if applicable)
WEST SPRINGFIELD PD.

DATE OF COMPLAINT
07/05/2001

RETURN DATE AND TIME
07/06/2001 08:00:00

COURT/OFFENSE
1 272/53/F DISORDERLY CONDUCT c272 §53

FINE SURFINE COSTS RESTITUTION V/W ASSESSMENT

DISPOSITION DATE and JUDGE
11-19-02 Ross, J

DISPOSITION METHOD
 Guilty Plea or Admission to Sufficient Facts accepted after colloquy and 278 §29D warning
 Bench Trial
 Jury Trial
 None of the Above

FINDING
 Not Guilty
 Guilty
 Not Responsible
 Responsible
 No Probable Cause
 Probable Cause

SENTENCE OR OTHER DISPOSITION
 Sufficient facts found but continued without guilty finding until:
 Probation Pretrial Probation (276 §87) - until:
 To be dismissed upon payment of court costs/restitution
 Dismissed upon: Request of Comm. Request of Victim
 Request of Deft Failure to prosecute Other:
 Filed with Deft's consent Nolle Prosequi Decriminalized (277 §70C)

FINAL DISPOSITION
 Dismissed on recommendation of Probation Dept.
 Probation terminated: defendant discharged

JUDGE: FEATHER PROBLEMS

FINE SURFINE COSTS RESTITUTION V/W ASSESSMENT

DISPOSITION DATE and JUDGE

DISPOSITION METHOD
 Guilty Plea or Admission to Sufficient Facts accepted after colloquy and 278 §29D warning
 Bench Trial
 Jury Trial
 None of the Above

FINDING
 Not Guilty
 Guilty
 Not Responsible
 Responsible
 No Probable Cause
 Probable Cause

SENTENCE OR OTHER DISPOSITION
 Sufficient facts found but continued without guilty finding until:
 Probation Pretrial Probation (276 §87) - until:
 To be dismissed upon payment of court costs/restitution
 Dismissed upon: Request of Comm. Request of Victim
 Request of Deft Failure to prosecute Other:
 Filed with Deft's consent Nolle Prosequi Decriminalized (277 §70C)

FINAL DISPOSITION
 Dismissed on recommendation of Probation Dept.
 Probation terminated: defendant discharged

JUDGE DATE

20 MAY -2 PM 1:16
RECEIVED
FEDERAL BUREAU OF INVESTIGATION
MASSACHUSETTS

COUNT/OFFENSE

DISPOSITION DATE and JUDGE

DISPOSITION METHOD
 Guilty Plea or Admission to Sufficient Facts accepted after colloquy and 278 §29D warning
 Bench Trial
 Jury Trial
 None of the Above

FINDING
 Not Guilty
 Guilty
 Not Responsible
 Responsible
 No Probable Cause
 Probable Cause

SENTENCE OR OTHER DISPOSITION
 Sufficient facts found but continued without guilty finding until:
 Probation Pretrial Probation (276 §87) - until:
 To be dismissed upon payment of court costs/restitution
 Dismissed upon: Request of Comm. Request of Victim
 Request of Deft Failure to prosecute Other:
 Filed with Deft's consent Nolle Prosequi Decriminalized (277 §70C)

FINAL DISPOSITION
 Dismissed on recommendation of Probation Dept.
 Probation terminated: defendant discharged

JUDGE DATE

COUNT/OFFENSE

DISPOSITION DATE and JUDGE

DISPOSITION METHOD
 Guilty Plea or Admission to Sufficient Facts accepted after colloquy and 278 §29D warning
 Bench Trial
 Jury Trial
 None of the Above

FINDING
 Not Guilty
 Guilty
 Not Responsible
 Responsible
 No Probable Cause
 Probable Cause

SENTENCE OR OTHER DISPOSITION
 Sufficient facts found but continued without guilty finding until:
 Probation Pretrial Probation (276 §87) - until:
 To be dismissed upon payment of court costs/restitution
 Dismissed upon: Request of Comm. Request of Victim
 Request of Deft Failure to prosecute Other:
 Filed with Deft's consent Nolle Prosequi Decriminalized (277 §70C)

FINAL DISPOSITION
 Dismissed on recommendation of Probation Dept.
 Probation terminated: defendant discharged

JUDGE DATE

A TRUE COPY ATTEST: X CLERK-MAGISTRATE/ASST. CLERK

ON (DATE)

COURT ADDRESS
Springfield District Court
50 State Street
Springfield, MA 01103-2002

ADDITIONAL COUNTS ATTACHED

CourtView Justice Solutions

Springfield District Court

Criminal Case Summary

0923CR002383 Commonwealth vs. Alekseenko, Inna

Case Information

Site Springfield District Court
 File Date 03/13/2009
 Case Status Closed Case Status Date 05/06/2016 SCR Elapsed Days
 Case Disposition ***** Case Disposition Date 05/06/2016 Category
 Session
 Judge
 Track

RECEIVED
 2009 MAY -2 PM 1:16
 FLORIDA GAMING
 CONTROL COMMISSION

Party Information: 1

Party Name	Party Alias(es)	Party Type	XREF-ID	Attorney(s)	Attorney Phone
Alekseenko, Inna		Defendant	7039419		

Alert Information: 0

Case Schedule: 1 Displaying 1 Records

Date	Start Time	Event Type	Session	Notes	Result	Result Reason
03/13/2009	09:00 AM	1 of 1 Arraignment	Courtroom 1		Held	

Party Charges: 2

Alekseenko, Inna								
Count	Code	Description	Degree of Offense	Disposition	Disposition Date	Disposition Judge	Sentenced	
1	272/53/F-0	DISORDERLY CONDUCT c272 §53	Misdemeanor - more than 100 days incarceration	Dismissed	03/13/2009	Beattie, Hon. Philip A		
Count	Code	Description	Degree of Offense	Disposition	Disposition Date	Disposition Judge	Sentenced	
2	266/120-1	TRESPASS c266 §120	Misdemeanor - 100 days or less incarceration	Dismissed	03/13/2009	Beattie, Hon. Philip A		

Financial: 0

Due: .00 A/R Amount Due: .00 Non-A/R Amount
 Ticklers: 0 Holding Money Balance On-Hand: .00

DCM: 0

Linked Case(s) : 0

RECEIVED

2023 MAY -2 PM 1:16

FLORIDA GAMING CONTROL COMMISSION

RECORDER'S COURT OF GWINNETT COUNTY
STATE OF GEORGIA
115 STONE MOUNTAIN STREET
LAWRENCEVILLE, GA 30046

Jeffrey C. West
Clerk
(770) 822-8815

January 28, 2021

RE: ALEKSEENKO, INNA

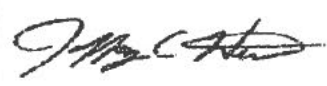
Citation #	Offense	Charge Date	Agency	Disposition
M008355	40-6-390 Reckless Driving	08/28/2010	Gwinnett County Police Department	Conviction: Nolo Plea on November 22nd, 2010
M008356	40-6-48(1) Unsafe Lane Change	08/28/2010	Gwinnett County Police Department	Conviction: Nolo Plea on November 22nd, 2010

To Whom It May Concern:

In accordance with the 50-18-90 "Georgia Records Act." (Ga. L. 1972, p. 1267, 1) issued by the Office of Secretary of State, the Gwinnett County Recorder's Court does not keep records of disposed citations once all court requirements have been met and the citation reaches the required retention period. This court has no records for the above identified matter because they were destroyed in compliance with the Georgia Records Act.

If I can be of any further assistance, please do not hesitate to contact me directly.

Sincerely,



Jeffrey C. West, Clerk
Recorder's Court of Gwinnett County

RECEIVED

2023 MAY -2 PM 1:16

FLORIDA GAMING
CONTROL COMMISSION

RECORDER'S COURT OF GWINNETT COUNTY
STATE OF GEORGIA
115 STONE MOUNTAIN STREET
LAWRENCEVILLE, GA 30046

Jeffrey C. West
Clerk
(770) 822-8815

January 28, 2021

RE: ALEKSEENKO, INNA

Citation #	Offense	Charge Date	Agency	Disposition
M233305	40-6-391(a)(1) Driving Under The Influence Of Alcohol - Less Safe	01/7/2012	Gwinnett County Police Department	Conviction: Guilty Plea on October 1st, 2012
M233306	40-6-48(1) Unsafe Lane Change	01/7/2012	Gwinnett County Police Department	Nolle Prossed on October 1st, 2012

To Whom It May Concern:

In accordance with the 50-18-90 "Georgia Records Act." (Ga. L. 1972, p. 1267, 1) issued by the Office of Secretary of State, the Gwinnett County Recorder's Court does not keep records of disposed citations once all court requirements have been met and the citation reaches the required retention period. This court has no records for the above identified matter because they were destroyed in compliance with the Georgia Records Act.

If I can be of any further assistance, please do not hesitate to contact me directly.

Sincerely,



Jeffrey C. West, Clerk
Recorder's Court of Gwinnett County



Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number:
Date of Birth:
First Name:
Middle Name:
Last Name: ALEKSEENKO-REPASS
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State
-----------	-----------	------------	-------------	----------------	---------	------------	------	-------

LICENSES



Licensee Search

Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number [REDACTED]
Date of Birth:
First Name:
Middle Name:
Last Name: ALEKSEENKO-REPASS
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State
-----------	-----------	------------	-------------	----------------	---------	------------	------	-------

[Licenses](#) [Rulings](#) [Horse Tracking](#) [Bulletin Board](#) [Preferences](#) [Log Off](#)

EXHIBIT # 4
PAGE # 2
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LICENVER



Licensee Search

Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number:
Date of Birth:
First Name: INNA
Middle Name:
Last Name: ALEKSEENKO-REPASS
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State
-----------	-----------	------------	-------------	----------------	---------	------------	------	-------

[Licensees](#) [Rulings](#) [Horse Tracking](#) [Bulletin Board](#) [Preferences](#) [Log Off](#)

EXHIBIT # 4
PAGE # 3
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Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number:
Date of Birth:
First Name: INNA
Middle Name:
Last Name: ALEKSEENKO
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State
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LICENSES



Licensee Search

Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number:
Date of Birth:
First Name: INNA
Middle Name:
Last Name: REPASS
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State
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[Licensees](#) [Rulings](#) [Horse Tracking](#) [Bulletin Board](#) [Preferences](#) [Log Off](#)

EXHIBIT # 4
PAGE # 5



FLORIDA
GAMING CONTROL
COMMISSION

JOHN MACIVER, CHAIRMAN
MICHAEL YAWORSKY, VICE CHAIR
JULIE I. BROWN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER

January 30, 2023

Ms. Inna Alekseenko-repass
5030 Champion Boulevard
Suite G11-437
Boca Raton, Florida 33496

RE: Application No. 191834, Entity 13467058
1022 - PMW General Individual Occupational

Dear Ms. Alekseenko-repass:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- The Transaction Control Number (TCN) provided on your application was not found in the Florida Department of Law Enforcement's (FDLE) database. Please verify the TCN provided is correct, otherwise, you should contact your fingerprint vendor to determine if they have submitted the fingerprints to the FDLE for processing.

Please Note: If you did not submit fingerprints through a Livescan vendor utilizing the Pari-Mutuel Wagering ORI FL925184Z within the last 150 days as required when applying online, we will not be able to process your application until we have received this information. If you are unable to submit your fingerprints through a Livescan vendor, please complete and mail the enclosed fingerprint card.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

If you fail to provide the Florida Gaming Control Commission with a complete application by 5/26/2023, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

OFFICE OF OPERATIONS
2601 BLAIR STONE ROAD
TALLAHASSEE, FLORIDA 32399

EXHIBIT # 4
PAGE # 6
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Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850.488.3211.

Thank you,

MA/
Operations Analyst II



FLORIDA
GAMING CONTROL
COMMISSION

JOHN MACIVER, CHAIRMAN
MICHAEL YAWORSKY, VICE CHAIR
JULIE I. BROWN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER

February 20, 2023

Ms. Inna Alekseenko-Repass
5030 Champion Boulevard
Suite G11-437
Boca Raton, Florida 33496

RE: Application No. 191834, Entity 13467058
1022 - PMW General Individual Occupational

Dear Ms. Alekseenko-repass:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- Application is incomplete: Please complete the enclosed page 3 of the paper application. It appears that you have made errors and/or omissions on the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records. **Please initial and date any changes made to your application.**

You must list the information on your application for the following charges:

- Erie County, Pennsylvania Arrest - 3/11/1999.
- Hampden County, Massachusetts Arrest - 7/4/2001, 3/13/2009.
- New Jersey Arrest - 11/5/2009.
- Gwinnett County, Georgia Arrest - 8/28/2010, 1/7/2012.

You must provide the court disposition records for the following charges:

- Hampden County, Massachusetts Arrest - 7/4/2001, 3/13/2009.
- New Jersey Arrest - 11/5/2009.

Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

OFFICE OF OPERATIONS
2601 BLAIR STONE ROAD
TALLAHASSEE, FLORIDA 32399

EXHIBIT #4
PAGE #8
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- Any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. DBPR PMW 3180 - Request for Waiver and DBPR PMW-3195 - Request for Release of Information and Authorization to Release Information (forms enclosed). The Division will process a request for waiver upon receipt of a complete application.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

If you fail to provide the Florida Gaming Control Commission with a complete application by 5/26/2023, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. Return the missing document and/or information to the address provided above, attention Office of Operations. If you have any questions please call 850.488.3211.

Thank you,

MA/
Operations Analyst II

EXHIBIT # 4
PAGE # 9



FLORIDA
GAMING CONTROL
COMMISSION

JULIE I. BROWN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER

March 28, 2023

Ms. Inna Alekseenko-repass
5030 Champion Blvd
Ste G11-437
Boca Raton, Florida 33496

RE: Application No. 191834, Entity 13467058
1022 - PMW General Individual Occupational

Dear Ms. Alekseenko-repass:

We have received the additional information you submitted, however, we are still unable to complete the processing of your application. The item(s) checked below is either missing or requires correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 2 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records. Please initial and date any changes made to your application.

You must list the information on your application for the following charges:

- Erie County, Pennsylvania Arrest(s) – 03/11/1999
- Hampden County, Massachusetts Arrest(s) – 07/04/2001, 03/13/2009
- New Jersey Arrest – 11/5/2009
- Gwinnett County, Georgia Arrest(s) – 08/28/2010, 01/07/2012

Any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. DBPR PMW 3180 - Request for Waiver (form enclosed). The Division will process a request for waiver upon receipt of a complete application.

- 3180 form received was incomplete.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

OFFICE OF OPERATIONS
2601 BLAIR STONE ROAD
TALLAHASSEE, FLORIDA 32399

EXHIBIT # 4
PAGE # 10
429

If you fail to provide the Florida Gaming Control Commission with a complete application by 05/26/2023, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850.488.3211.

Thank you,

Randall Kitchens
Operations Analyst II

EXHIBIT # 4
PAGE # 11

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: jminaya

VR Home > License Search > License Home

License

Fed Tax # [REDACTED] Lic Type 1022 - PMW General Individual Occupational Expires On
File # 102467 Name Alekseenko-Repass, Inna Extended To
License # Rank Renewed On
Entity # 13467058 Lic Status Applicant

- Licensee
- History
- Notes
- Notes History
- Back

Address

Street # 5030 Street CHAMPION BLVD
Line 2 STE G11-437
Line 3
City BOCA RATON State FL Zip 33496
Routing

Other

1st License Date Rank Date Certificate #
Method -- Status Date Certificate Date
Fee Exempt No Birth Date [REDACTED] Renewal Sent

Select

Action

[Action dropdown menu]

Modifiers

Type	Modifier	Effective Date	Additional Info
------	----------	----------------	-----------------

No items found.

Alt Keys

BEST LIC NBR

Get Adobe Reader.

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
---------	-------	--------	-------------	---------	------	------	------------	-------------	--------

[License Search](#) |
 [Entity Search](#) |
 [Modify License Standing](#) |
 [Maintain License CE Control](#)

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **jminaya**

VR Home > License Search > License Home > **View License Event Log**

Fed Tax # XXXXXXXXXX	Lic Type 1022 - PMW General Individual Occupational	Expires On
File # 102467	Name Alekseenko-Repass, Inna	Extended To
License #	Rank	Renewed On
Entity # 13467058	Lic Status Applicant	

Updated	By	Description
01/26/2023 12:08:26	webuser	Initial - Online Submission # 191834 opened
01/26/2023 12:08:26	webuser	License record created

[Get Adobe Reader.](#)

EXHIBIT # 4
PAGE # 13

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Deputy Chief Attorney
Re: FGCC v. Alvaro Hernan Vasquez Case No. 2023-034324: Consent Order
Date: November 17, 2023

Executive Summary

The Division of Pari-Mutuel Wagering seeks the adoption of the proposed settlement and consent order signed by Alvaro Hernan Vasquez (“Respondent”) to resolve Case No. 2023-034324. Pursuant to the terms of the proposed order, Respondent would pay an administrative fine of \$100 for violating rule 75-11.004(8)(a), Florida Administrative Code.

Background

On June 8, 2023, Respondent was a cardroom dealer at Calder Casino.¹ Respondent was seen, contrary to rule 75-11.004(8)(a), Florida Administrative Code, failing to clear his hands when cash, chips, or tokens was exchanged with or provided to a player. To the Division’s knowledge, Respondent has no prior violations of this rule in Florida.

This case was presented at the October Commission Meeting with a settlement and consent order containing a \$50 administrative fine. The Commission asked that we renegotiate this settlement. After the meeting, we have received information that the manager in charge of training Respondent has since been terminated and his license was revoked, and he was added to the statewide exclusion list. Further, Respondent has spoken to the Division and stated that he has not made this error since this violation.

The Division served Respondent with an administrative complaint and included a proposed settlement and consent order seeking a \$100 administrative fine. Respondent has agreed to the terms of the proposed order and has already mailed in a money order for \$100.

¹Calder Casino is operated by a pari-mutuel wagering permitholder that also possesses a pari-mutuel, slot machine, and cardroom license.

Analysis

The Commission may resolve matters informally through a negotiated settlement.² The Commission has the authority to impose an administrative fine of \$1,000.00 for each violation of section 849.086, Florida Statutes, or any rules adopted pursuant to that section.³

Rule 75-11.004(8)(a), Florida Administrative Code, provides, that the dealers shall clear their hands when cash, chips, or tokens are exchanged with or provided to a player. Because Respondent failed to clear his hands after gathering and pushing pots to players, Respondent is subject to an administrative fine not to exceed \$1000.00.

Staff Recommendation: The Florida Gaming Control Commission should enter a Final Order adopting and incorporating the proposed settlement and consent order in Case No. 2023-034324.

² See § 120.57(4), Fla. Stat. ("Unless precluded by law, informal disposition may be made of any proceeding by stipulation, agreed settlement, or consent order.")

³ § 849.086(14)(c), Fla. Stat.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2023-034324

ALVARO HERNAN VASQUEZ,

Respondent.

STIPULATION AND CONSENT ORDER

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Division”), and Alvaro Hernan Vasquez (“Respondent”), stipulate and agree to the following terms and issuance of this Stipulation and Consent Order (“Consent Order”):

1. At all times material hereto, Respondent held a Slot Machine/Cardroom/Pari-Mutuel Combination Occupational License, number 13402841-1055, issued by the Division.

2. This Consent Order is to be entered in resolution of the one-count Administrative Complaint filed in FGCC Case Number 2023-034324, alleging that Respondent was in violation of rule 75-11.004(8)(a), Florida Administrative Code, by failing to clear his hands when cash, chips, or tokens were exchanged with or provided to a player on or about June 8, 2023.

3. Mitigation: This Consent Order was entered into in consideration of Respondent’s previous disciplinary history, including no prior violations of rule 75-11.004(8)(a), Florida Administrative Code.

STIPULATION

WHEREAS the Division and Respondent (“Parties”), desire to resolve this matter, the following terms are stipulated:

4. The Division has jurisdiction over this matter and the Parties.
5. The Division is authorized by section 120.57, Florida Statutes, to resolve administrative proceedings by consent orders.
6. Each of the Parties has entered into the terms of this Consent Order voluntarily. Respondent is aware that he is entitled to the advice of counsel, and has either sought the advice of counsel or by execution of this Consent Order, is knowingly waiving the opportunity to seek advice of counsel. Respondent acknowledges that the Division has not made any promise, nor has it in any other way encouraged Respondent to enter into this Consent Order without the advice of counsel.
7. Each of the Parties must bear its own costs and legal fees related to this matter, and no financial claims can be made against the Division in this action.
8. The Parties acknowledge and agree to waive any further administrative and judicial review.
9. The Parties understand that this Consent Order will in no way preclude additional proceedings by the Division against Respondent for acts or omissions not specifically set forth herein.
10. Respondent neither admits, nor denies committing the violation set forth in this Consent Order, but this Consent Order may be considered in aggravation of any future proceedings involving Respondent.

11. **FINE:** Respondent agrees to, and must pay to the Division, the sum of **ONE HUNDRED DOLLARS (\$100.00)**, at the time Respondent submits an executed copy of this Consent Order. **The payment must be in the form of a certified check, cashier's check, or money order, made payable to the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (NO PERSONAL CHECKS).** Division's adoption of this Consent Order constitutes acknowledgment of receipt of payment of the above amount.

12. Respondent must mail this Consent Order and the payment to: **Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, 4070 Esplanade Way, Tallahassee, Florida 32399, Attention: Donna Fleming.** Please note FGCC Case Number 2023-034324 on the face of the payment.

13. The Parties acknowledge and agree that this Consent Order is subject to approval by the Florida Gaming Control Commission ("Commission"). The Consent Order will have no force and effect unless the Commission files a Final Order adopting this Consent Order. Should this Consent Order be rejected, no statement made in furtherance of it by Respondent may be used as direct evidence against Respondent in any proceedings.

14. Upon the Commission's adoption of this Consent Order, Respondent expressly waives all further procedural steps, including all rights to seek judicial review of this Consent Order, and waives the right to seek attorneys' fees or costs from the Division in connection with these proceedings.

15. This Consent Order is executed by the Parties for the purpose of avoiding further administrative action with respect to the matters addressed hereby. In this regard, Respondent authorizes the Commission to review and examine all materials concerning Respondent, prior to or in conjunction with consideration of this Consent Order. Should the Commission not accept this

Consent Order, it is agreed that presentation to and consideration of this Consent Order and other documents and matters by the Commission shall not unfairly or illegally prejudice the Commission from further participation, consideration, or resolution of these proceedings.

16. This Consent Order may be signed in counterparts, and copies shall be treated as original.

17. The Parties agree that this serves as notice that the signed Consent Order will be placed on the next available Commission meeting.

18. Respondent is not required to attend the Commission meeting. If Respondent would like to attend, he/she is responsible for checking the Florida Gaming Control Commission website at www.fgcc.fl.gov, for the meeting materials, agenda, and contact information.

19. Respondent authorizes the Division to correct any typographical errors or make any non-material changes to this Consent Order after it is signed.

[Signature pages to follow]

WHEREFORE, Respondent, **ALVARO HERNAN VASQUEZ**, requests that the Commission enter a Final Order approving and incorporating this Consent Order in resolution of this matter.


SIGNED this 3rd day of November, 2023.

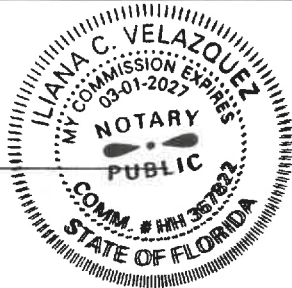


ALVARO HERNAN VASQUEZ
Respondent

STATE OF Florida
COUNTY OF Miami Dade

The foregoing instrument was acknowledged before me, by means of physical presence or online notarization, this 3rd day of November, 2023, by ALVARO HERNAN VASQUEZ, who is personally known to me or who produced the following as identification:


Notary Public
My commission expires:
03-01-2027



APPROVED this 14 day of November, 2023.

Emily Alvarado

EMILY ALVARADO
DEPUTY CHIEF ATTORNEY
Division of Pari-Mutuel Wagering
Florida Gaming Control Commission

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2023-034324

ALVARO HERNAN VASQUEZ,

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against Alvaro Hernan Vasquez (“Respondent”), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering and cardroom operations pursuant to chapters 550 and 849, Florida Statutes.
2. At all times material hereto, Respondent held a Slot Machine/Cardroom/Pari-Mutuel Combination Occupational License, number 13402841-1055, issued by Petitioner.
3. At all times material hereto, Respondent worked as a cardroom dealer at Calder Casino.
4. At all times material hereto, Calder Casino was a facility operated by a permit holder authorized to conduct pari-mutuel wagering and cardroom operations in the state of Florida under chapters 550 and 849, Florida Statutes.
5. On or about June 8, 2023, Respondent failed to clear his hands on one or more occasions when cash, chips, or tokens were exchanged with or provided to a player.

6. Rule 75-11.004(8)(a), Florida Administrative Code, states, in pertinent part, that dealers shall “[c]lear their hands when cash, chips, or tokens are exchanged with or provided to a player.”

7. Based on the foregoing, Respondent violated rule 75-11.004(8)(a), Florida Administrative Code, by failing to clear his hands when cash, chips, or tokens were exchanged with or provided to a player on or about June 8, 2023.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties specified in sections 550.105 and 849.086(14)(c), Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2023-034325 is signed this 28th day of July, 2023.

/s/Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 794-8066
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@flgaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

FAQ | Help | Sign Out

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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Complaint Search Update	Change Recording License Type Mass Status Update	Delete Complaint Public Case Info	Mass Activity Update	Mass Discipline
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Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **dfleming**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type	1000 - Permit to Conduct Pari-Mutuel Wagering	Status	112 In Legal	Status Date	08/23/2023
Complaint #	2023013135	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#	Respondent CAPESTRO, PAULA S	Responsible	eleiva - ALVARADO, EMILY	Private Case	

Complaint	Respondent	Complainant	Add'l Info
Source	INTN - Internal	Security Level	1
Form	INTR - Internal	Priority	
Class'n	ADMN - Administrative	Complexity	R - Regular
Security	STND - Standard	Incident	09/04/2022
Region	SR - Southern Region	Received	09/04/2022
Reference	Entered 03/08/2023	Entered By	elanier
Summary	<p>8/23/2023 Corrected Order to Show Cause Filed: Respondent is ORDERED to show cause in writing by September 2, 2023, explaining why the Division shall not require Respondent to return the purse amount of \$15,600. 8/23/2023 - Notice of Scrivener's Error Filed: Pertains to Order to Show Cause filed on 8/18/23 under incorrect case # 2022-046353. Case created in Legal, Assigned to E.ALVARADO</p>		
Updated	08/23/2023 16:08:20	By	dfleming

- Parties Activities
- Allegations Discipline
- Violations Compliance
- Related Disposition
- Inspection
- Costs
- Time Tracking Auto Assign
- Attachments History
- Work Notes Print Report

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STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2023-034324

ALVARO HERNAN VASQUEZ,

Respondent.

_____ /

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1. Petitioner is the state agency charged with regulating pari-mutuel wagering and cardroom operations pursuant to chapters 550 and 849, Florida Statutes.
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6. Rule 75-11.004(8)(a), Florida Administrative Code, states, in pertinent part, that dealers shall “[c]lear their hands when cash, chips, or tokens are exchanged with or provided to a player.”

7. Based on the foregoing, Respondent violated rule 75-11.004(8)(a), Florida Administrative Code, by failing to clear his hands when cash, chips, or tokens were exchanged with or provided to a player on or about June 8, 2023.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties specified in sections 550.105 and 849.086(14)(c), Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2023-034325 is signed this 28th day of July, 2023.

/s/Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 794-8066
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@flgaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

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Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

Closed: 07/26/23
40 Days

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VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
Complaint Search Update	Change Mass Status Update	Recording License Type Public Case Info	Delete Complaint	Mass Activity Update	Mass Discipline				

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: nmelvai

[VR Home](#) > [Complaint Search](#) > [Maintain Complaint](#)

Lic Type	1055 - Slot Machine/Cardroom/Pari-Mutuel Individual Combination	Status	90 Closed	Status Date	07/18/2023
Complaint #	2023034324	Case Type	CMP - Complaint	Disposition	
Docket#		Respondent	VASQUEZ, ALVARO HERNAN	Responsible	dstroud - STROUD, DARRYL
					Private Case

Complaint	Respondent	Complainant	Add'l Info
Source	INTN - Internal	Security Level	1
Form	INTR - Internal	Priority	
Class'n	V-C - Cardroom Violations	Complexity	R - Regular
Security	STND - Standard	Incident	06/08/2023
Region	SR - Southern Region	Received	06/16/2023
Reference	75-11.004		
Entered	06/16/2023	Entered By	nmelvai
Summary	<p>285--Calder Race Course, Inc.---75-11.004----- On June 8, 2023, at approximately 2:55 P.M., I made a direct on-the-floor cardroom observation at Calder casino. While in the Poker room of Calder Casino, the undersigned investigator observed Poker Dealer Alvaro Hernan Vasquez failed to clear his hands when handling cash and chips during a live poker game.</p>		
Updated	07/26/2023 09:06:02	By	nmelvai

Parties

Activities

Allegations

Discipline

Violations

Compliance

Related

Disposition

Inspection

Costs

Time Tracking

Attachments

Work Notes

40 Days	<input type="button" value="Change"/>	<input type="button" value="Save"/>	<input type="button" value="OK"/>	<input type="button" value="Cancel"/>	<input type="button" value="Back"/>
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Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office: PMW	Region: SOUTHERN	Date of Complaint: June 16, 2023	Case Number: 2023 03 4324
Respondent: VASQUEZ, ALVARO HERNAN 2100 NW 27th AVENUE MIAMI, FLORIDA, 33178		Complainant: DIVISION OF PARA-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 WEST COMMERCIAL BOULEVARD, SUITE 165 FT. LAUDERDALE, FLORIDA 33309 (954) 202-3900	
License # and Type: 13402841 - 1055	Profession: Poker Dealer	Report Date: June 20, 2023	
Period of Investigation: June 8, 2023 through June 20, 2023		Type of Report: Final	
Alleged Violation: 75-11.004 Dealers Responsibility (8)(a) Dealers shall: Clear their hands when cash, chips, or tokens are exchanged with or provided to the player.			
Synopsis: On June 8, 2023, at approximately 2:55 P.M., while visiting Calder Casino and while in the cardroom, the undersigned investigator made a direct observation of Poker Dealer Alvaro Hernan VASQUEZ at Designated Player (DP) Poker Table #3, failing to clear his hands when handling cash or chips.			
Related Cases:			
Investigator / Date  Darryl S. Stroud / June 20, 2023		Investigator Supervisor / Date  Julio Minaya / June 22, 2023	
Chief of Investigations / Date  Bradford D. Jones / July 18, 2023			

CONTINUATION

This case is predicated on my direct observation of Poker Dealer Alvaro Hernan **VASQUEZ** not clearing his hands while dealing a live Poker game at Designated Player (DP) Table #3 in Calder Casino on Thursday, June 8, 2023, at approximately 2:58 P.M.

Upon my observation of numerous and continued violations, I addressed my observations with Cardroom Supervisor Carlos Moreno. Moreno stated that he would discuss my concerns with **VASQUEZ**. A short time later, I observed the two talking to each other; presumably about my observations of how he was not clearing his hands when touching cash, chips, or tokens. After closing table #3 both men proceeded to table #5 where **VASQUEZ** began to deal.

Further investigation revealed that Alvaro Hernan **VASQUEZ** has a current Cardroom Employee Occupational license (1055) that was issued on November 22, 2022 which expires on June 30, 2025 (**Exhibit #1**).

On June 13, 2023, I visited Calder Casino and met with Lead Surveillance Operator Sierra Spencer and obtained a compact disk containing video footage of **VASQUEZ** dealing at (DP) table #3 and (DP) table #5 on June 8, 2023 (**Exhibit #2**).

On June 20, 2023, careful review of surveillance footage from June 8, 2023, of DP table #3, between the hours of 2:58 P.M. - 3:06 P.M., and DP table #5, between the hours of 3:28 P.M. - 3:34 P.M., revealed the following violations:

DP Poker Table #3

- 2:58:46 PM: Respondent moves chips to seat #2 and fails to clear his hands.
- 2:59:54 PM: Respondent receives a black chip from seat #2, places the chip in front of himself, gets additional chips from the imprest tray and provides green chips as change at 2:59:59. He then places the black chip into his tray without ever clearing his hands.
- 3:00:10 PM: Respondent removes chips from bet circle for seat #2 and seat #4 and fails to clear his hands.
- 3:00:20 PM: Respondent removes chips from bet circle for seat #2 spreads them out and counts them and fails to clear his hands.
- 3:00:36 PM: Respondent touches chips in front of seat #4 and seat #6 and fails to clear his hands.
- 3:01:26 PM: Respondent's supervisor approaches the table to close table.
- 3:06:39 PM: Respondent closes table #3 and prepares to go to Table# 5.
- 3:07:10 PM: Cardroom Supervisor Moreno is observed apparently demonstrating to **VASQUEZ** the proper manner of clearing his hands when touching chips. **VASQUEZ** appears to indicate understanding, and is observed repeating, mirroring Moreno's demonstration as though he comprehends what is expected of him.

TABLE OF CONTENTS

DP Poker Table #5

Video review of **VASQUEZ** dealing at DP table #5, confirmed, that despite being coached by his Supervisor, his chip-handling behavior had not changed. During surveillance footage review, **VASQUEZ** was observed between the hours of 3:28:22 P.M. and 3:40:30 P.M., committing numerous violations by not clearing his hands while touching cash, chips or tokens when exchanging or providing them to players.

A review of VERSA revealed that **VASQUEZ** has no prior violations (**Exhibit #1**).

Conclusion: Despite being informed of his violations and being coached by his Cardroom Supervisor, **VASQUEZ** continued his behavior thus violating Rule 75-11.004.

Status: Case closed by Investigations and forwarded to Legal for review.

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II. INVESTIGATIVE REPORT 2

III. EXHIBITS

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 2. Property Receipt..... 1-1

VR Home | **Inbox** | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **dstroud**

VR Home > License Search > **License Home**

License

Fed Tax # [REDACTED] Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo** Expires On **06/30/2025**
 File # **10937** Name **Vasquez, Alvaro Hernan** Extended To
SCPL -
 License # **13402841** Rank **Slot/Cardroom/Pari-Mutuel Indiv Combo** Renewed On
 Entity # **13402841** Lic Status **Current**

- Licensee
- History
- Notes
- Notes History
- Back

Address

Street # **20800** Street **NE 12TH COURT**
 Line 2
 Line 3
 City **MIAMI** State **FL** Zip **33179**
 Routing

Other

1st License Date **11/16/2022** Rank Date **11/22/2022** Certificate #
 Method **I-S-1017** Status Date **11/22/2022** Certificate Date
 Fee Exempt **No** Birth Date [REDACTED] Renewal Sent

Select

Action  

Modifiers

Type	Modifier	Effective Date	Additional Info
C	DEAL - Dealer	11/16/2022	
F	LIVE - Live Scan Fee Exemption	11/16/2022	
I	JLAI - Jai-Alai	11/16/2022	
L	285 - Calder	11/16/2022	
Y	3YR - 3 Year License	11/16/2022	

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License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: dstroud

VR Home > License Search > License Home > Basic Entity Data

Basic Entity Data | List of Addresses | List of Names

Lic Type	1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo		Entity #	13402841
Fed Tax #	[REDACTED]	Fed Tax Type	SSN #	Name Vasquez, Alvaro Hernan
H Last Name	Vasquez	First	Alvaro	Middle Hernan
Title		Suffix		Qualifier
Gender	M	Race	4 - Hispanic	
Driver License #		Birth Date	[REDACTED]	
Mailing Address	<input checked="" type="checkbox"/>	Private Address	<input type="checkbox"/>	Preferred Name
Street #	20800	Street	NE 12TH COURT	
Line 2				
Line 3				
City	MIAMI	County	Dade	
Zip	33179	State	Florida	Country United States
Routing				
Phone #	305-3033905	Ext		E-Mail
Insp Region				Receive Email <input type="checkbox"/>
Updated	11/16/2022 11:25:51	By	ppolanco	

- Notes
- Notes History
- Fix
- Change
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- Cancel
- Back

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VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: jminaya

VR Home > License Search > License Home > Display Notes History

List | Detail

Date/Time	Oper Id	Note	Detail
No items found.			

Back

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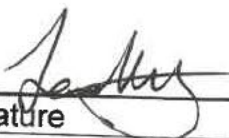
**Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
Office of Investigations**

PROPERTY RECEIPT

Complaint # 2023 03 4324 Date 6/13/23 Lab # _____


Item No.	Quantity	Description
1	1	DVD CONTAINING EVIDENCE OF DEALER - ALVARO VASQUEZ, NOT CLEARING HIS HANDS

I hereby acknowledge that the above list represents all property taken from my possession and that I have received a copy of this receipt.



Signature

I hereby acknowledge that the above list represents all property impounded by me in the official performance of duty as Investigator for the Division of Pari-Mutuel Wagering.



Signature - Impounding Investigator

RETURNED PROPERTY RECEIPT

I hereby acknowledge the return to me, by the Division of Pari-Mutuel Wagering, the above listed property.

.....

Signature _____ Date _____

.....

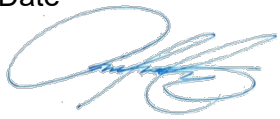

Received by: _____ Date: _____
 Received by: _____ Date: _____
 Received by: _____ Date: _____

Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office: PMW	Region: SOUTHERN	Date of Complaint: June 16, 2023	Case Number: 2023 03 4324
Respondent: VASQUEZ, ALVARO HERNAN 2100 NW 27th AVENUE MIAMI, FLORIDA, 33178		Complainant: DIVISION OF PARA-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 WEST COMMERCIAL BOULEVARD, SUITE 165 FT. LAUDERDALE, FLORIDA 33309 (954) 202-3900	
License # and Type: 13402841 - 1055	Profession: Poker Dealer	Report Date: October 12, 2023	
Period of Investigation: June 8, 2023, through October 12, 2023		Type of Report: Supplemental 2	
Alleged Violation: 75-11.004 Dealers Responsibility (8)(a) Dealers shall: Clear their hands when cash, chips, or tokens are exchanged with or provided to the player.			
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Related Cases:			
Investigator / Date /		Investigator Supervisor / Date  Julio Minaya / October 12, 2023	
Chief of Investigations / Date  Bradford D. Jones / October 19, 2023			

CONTINUATION

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Upon my observation of numerous and continued violations, I addressed my observations with Cardroom Supervisor Carlos Moreno. Moreno stated that he would discuss my concerns with **VASQUEZ**. A short time later, I observed the two talking to each other; presumably about my observations of how he was not clearing his hands when touching cash, chips, or tokens. After closing table #3 both men proceeded to table #5 where **VASQUEZ** began to deal.

Further investigation revealed that Alvaro Hernan **VASQUEZ** has a current Cardroom Employee Occupational license (1055) that was issued on November 22, 2022 which expires on June 30, 2025 (**Exhibit #1**).

On June 13, 2023, I visited Calder Casino and met with Lead Surveillance Operator Sierra Spencer and obtained a compact disk containing video footage of **VASQUEZ** dealing at (DP) table #3 and (DP) table #5 on June 8, 2023 (**Exhibit #2**).

On June 20, 2023, careful review of surveillance footage from June 8, 2023, of DP table #3, between the hours of 2:58 P.M. - 3:06 P.M., and DP table #5, between the hours of 3:28 P.M. - 3:34 P.M., revealed the following violations:

DP Poker Table #3

- 2:58:46 PM: Respondent moves chips to seat #2 and fails to clear his hands.
- 2:59:54 PM: Respondent receives a black chip from seat #2, places the chip in front of himself, gets additional chips from the imprest tray and provides green chips as change at 2:59:59. He then places the black chip into his tray without ever clearing his hands.
- 3:00:10 PM: Respondent removes chips from bet circle for seat #2 and seat #4 and fails to clear his hands.
- 3:00:20 PM: Respondent removes chips from bet circle for seat #2 spreads them out and counts them and fails to clear his hands.
- 3:00:36 PM: Respondent touches chips in front of seat #4 and seat #6 and fails to clear his hands.
- 3:01:26 PM: Respondent's supervisor approaches the table to close table.
- 3:06:39 PM: Respondent closes table #3 and prepares to go to Table# 5.
- 3:07:10 PM: Cardroom Supervisor Moreno is observed apparently demonstrating to **VASQUEZ** the proper manner of clearing his hands when touching chips. **VASQUEZ** appears to indicate understanding, and is observed repeating, mirroring Moreno's demonstration as though he comprehends what is expected of him.

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DP Poker Table #5

Video review of **VASQUEZ** dealing at DP table #5, confirmed, that despite being coached by his Supervisor, his chip-handling behavior had not changed. During surveillance footage review, **VASQUEZ** was observed between the hours of 3:28:22 P.M. and 3:40:30 P.M., committing numerous violations by not clearing his hands while touching cash, chips or tokens when exchanging or providing them to players.

A review of VERSA revealed that **VASQUEZ** has no prior violations (**Exhibit #1**).

Conclusion: Despite being informed of his violations and being coached by his Cardroom Supervisor; **VASQUEZ** continued his behavior thus violating Rule 75-11.004.

Status: Case closed by Investigations and forwarded to Legal for review.

SUPPLEMENTAL INFORMATION

On July 31, 2023, the Administrative Complaint was filed by FGCC Clerk of the Commission Melba L. Apellaniz. A copy of this document is attached as **Exhibit # 3**.

On October 5, 2023, during the monthly FGCC Commission meeting, the Commission requested additional information regarding whether Poker Supervisor Moreno had provided additional training to **VASQUEZ**, beyond what was captured on video surveillance. This information is not available as Supervisor Moreno was terminated from his position at Calder Casino shortly after this incident. Moreno was also permanently excluded from Calder Casino and placed on the FGCC Statewide Exclusion List. All of which was a result of an [unrelated] theft investigation (Case # 2023-03-0311) stemming from an incident that occurred when Moreno was a Dealer at the Dania Beach Casino (**EXHIBIT #5**).

The Commission also sought information concerning the extent of **VASQUEZ**' tenure as a PMW licensee. Review of VERSA license records show that **VASQUEZ** obtained [his only] PMW license on November 16, 2022¹. These records also show that the instant matter is his only enforcement case.

¹ 30 Day Temporary upgraded to 3-year Permanent on November 22, 2022 expiring on June 30, 2025.

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 2. Property Receipt..... 1-1

 3. Copy of Administrative Complaint..... 1-3

 4. Copy of Exclusion list for Supervisor **MORENO**..... 1-3

 5. Case #2023-03-0311..... 1-28

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2023-030311

CARLOS EDUARDO MORENO VILLEGAS,

Respondent.

FINAL ORDER

This matter appeared before the Florida Gaming Control Commission at a duly-noticed public meeting September 7, 2023, for final agency action pursuant to sections 120.569 and 120.57(2), Florida Statutes. After a complete review of the records in this matter, the Commission makes the following findings of fact and conclusions of law:

1. An Administrative Complaint was filed in this case on June 9, 2023, alleging that Respondent is in violation of rule 75-11.005(4), Florida Administrative Code, and alleging that Respondent is subject to exclusion from all licensed pari-mutuel facilities and all facilities of a slot machine licensee in the state of Florida under sections 550.0251(6) and 551.112, Florida Statutes. A copy of the Administrative Complaint is attached hereto as Exhibit "1" and incorporated by reference.

2. The Administrative Complaint, Election of Rights form, an Explanation of Rights, and a cover letter were served on Respondent via hand service on June 21, 2023. Proof of service is attached hereto as Exhibit "2" and incorporated by reference.

3. The Election of Rights form, Explanation of Rights, and cover letter informed Respondent that a written response to the Complaint, including the right to request a hearing, was

due within 21 days of actual service of the Complaints. *See* § 120.569(1), Fla. Stat., and Fla. Admin. Code R. 28-106.111.

4. Petitioner informed Respondent that the failure to file a timely response to the Complaint would be deemed a waiver of the right to a hearing.

5. Respondent has not filed a timely response to the Complaint. Respondent has not submitted any evidence or made any allegations that would support the application of the doctrine of equitable tolling.

Having considered the Complaint, the service of the Complaint with Election of Rights form, Explanation of Rights, and cover letter on Respondent along with Respondent's failure to respond, and being otherwise fully advised in the premises, it is hereby

ORDERED and ADJUDGED:

6. The allegations of fact and the conclusions of law in the Administrative Complaint are adopted in their entirety herein.

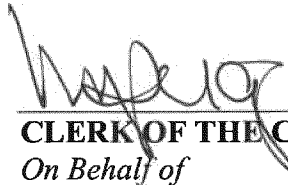
7. Respondent's Slot Machine/Cardroom/Pari-Mutuel Combination Occupational License, number 13163012-1055 is hereby **REVOKED**.

8. Respondent is hereby **PERMANENTLY EXCLUDED** from all pari-mutuel facilities and any facility of a slot machine licensee within the State of Florida.

This Final Order shall take effect upon being filed with the Clerk of the Commission.

DONE AND ORDERED this 8TH day of SEPTEMBER, 2023.

FLORIDA GAMING CONTROL COMMISSION



CLERK OF THE COMMISSION

On Behalf of

Julie Brown, Vice-Chair

John D'Aquila, Commissioner

Charles Drago, Commissioner

Tina Repp, Acting Commissioner

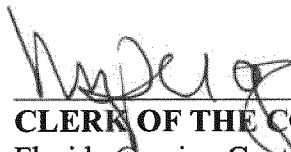
NOTICE OF RIGHT TO APPEAL

Any party to this proceeding has the right to seek its judicial review under section 120.68, Florida Statutes, by the filing of an original notice of appeal pursuant to rules 9.110 and 9.190, Florida Rules of Appellate Procedure, with the Clerk of the Commission, 2601 Blair Stone Road, Tallahassee, Florida 32399-2202 (Email: clerk@fgcc.fl.gov), and by filing a copy of the notice of appeal accompanied by the applicable filing fees with the appropriate Florida district court of appeal. The notice of appeal must be filed (received) by the Clerk of the Commission within thirty (30) days after the date this Order is filed with the Clerk of the Commission.

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that on this 8th day of SEPTEMBER, 2023, a true and correct copy of this Final Order has been sent via U.S. Mail to:

Carlos Eduardo Moreno Villegas
140 South Dixie Highway, Apt. 716
Hollywood, Florida 33020



CLERK OF THE COMMISSION
Florida Gaming Control Commission

CC: Ebonie Lanier

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2023-030311

CARLOS EDUARDO MORENO VILLEGAS,

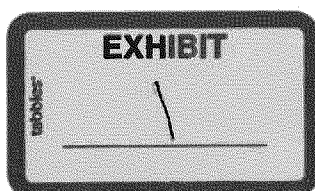
Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against Carlos Eduardo Moreno Villegas (“Respondent”), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering, slot machines, and cardroom operations pursuant to chapters 550, 551, and 849, Florida Statutes.
2. At all times material hereto, Respondent held a Slot Machine/Cardroom/Pari-mutuel Combination Occupational License, number 13163012-1055, issued by Petitioner.
3. At all times material hereto, the Casino at Dania Beach was a facility operated by a permitholder authorized to conduct pari-mutuel wagering, slot machines, and cardroom operations in the State of Florida.
4. At all times material hereto, Respondent was employed as a Poker Dealer at the Casino at Dania Beach.



5. On multiple occasions from about November 21, 2022 to November 26, 2022, it was discovered via surveillance footage that during the course of his employment, Respondent engaged in the theft of various chips.

6. Following the discovery of the various incidents, Respondent was terminated from the Casino at Dania Beach and issued a one year exclusion.

COUNT I

7. Petitioner realleges and incorporates the allegations contained within paragraphs one through six as though fully set forth herein.

8. Rule 61D-11.005(4), Florida Administrative Code, provides, in pertinent part, that “[n]o person shall, either directly or indirectly (a) [e]mploy or attempt to employ any device, scheme, or artifice to defraud any participant in a game or the cardroom operator.”

9. Section 849.086(14)(a), Florida Statutes, provides that:

The commission may deny a license or the renewal thereof, or may suspend or revoke any license, when the applicant has: violated or failed to comply with the provisions of this section or any rules adopted pursuant thereto; knowingly caused, aided, abetted, or conspired with another to cause any person to violate this section or any rules adopted pursuant thereto; or obtained a license or permit by fraud, misrepresentation, or concealment; or if the holder of such license or permit is no longer eligible under this section.

10. Based on the foregoing, Respondent violated rule 61D-11.005(4), Florida Administrative Code, by employing a device, scheme, or artifice to defraud the cardroom operator and is therefore subject to suspension or revocation of his occupational license.

COUNT II

11. Petitioner realleges and incorporates the allegations contained within paragraphs one through six as though fully set forth herein.

12. Respondent was excluded for the reasons alleged in Exhibit 1.

13. Section 550.0251(6), Florida Statutes, provides in relevant part:

In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the commission may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state.

(Emphasis supplied).

14. Section 551.112, Florida Statutes, provides:

In addition to the power to exclude certain persons from any facility of a slot machine licensee in this state, the commission may exclude any person from any facility of a slot machine licensee in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any facility of a slot machine licensee any person who has been ejected from a facility of a slot machine licensee in this state or who has been excluded from any facility of a slot machine licensee or gaming facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over the gaming in such other state. This section does not abrogate the common law right of a slot machine licensee to exclude a patron absolutely in this state.

(Emphasis supplied).

15. Based on the foregoing, Respondent is subject to exclusion from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the State of Florida under sections 550.0251(6) and 551.112, Florida Statutes.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order excluding Respondent from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the State of Florida, along with any other remedy provided by chapters 550, 551, and 849, Florida Statutes, and/or the rules promulgated thereunder.

This Amended Administrative Complaint for FGCC Case Number 2023-030311 is signed this 8th day of June, 2023.

/s/ Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 717-1783
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@fgcc.fl.gov
Secondary: Ebonie.Lanier@fgcc.fl.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.



Closed: 06/02/2023
7 Days

FAQ | Help | Sign Out

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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Complaint Search Mass Status Update	Change Recording License Type Public Case Info	Delete Complaint	Mass Activity Update	Mass Discipline Update
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Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: nmelvai

VR Home > Complaint Search > Maintain Complaint

Lic Type	1055 - Slot Machine/Cardroom/Pari-Mutuel Individual Combination	Status	90 Closed	Status Date	06/01/2023
Complaint #	2023030311	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#	Respondent	Responsible	MORENO VILLEGAS, CARLOS EDUARDO	bjones - JONES, BRADFORD	Private Case

Complaint	Respondent	Complainant	Add'l Info
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Source	INTN - Internal	Security Level	1	<input type="checkbox"/>	Parties	<input checked="" type="checkbox"/>	Activities
Form	INTR - Internal	Priority		<input checked="" type="checkbox"/>	Allegations	<input type="checkbox"/>	Discipline
Class'n	V-C - Cardroom Violations	Complexity	R - Regular	<input type="checkbox"/>	Violations	<input type="checkbox"/>	Compliance
Security	STND - Standard	Incident	12/05/2022	<input type="checkbox"/>	Related	<input checked="" type="checkbox"/>	Disposition
Region	SR - Southern Region	Received	05/26/2023	<input type="checkbox"/>	Inspection		
Reference	75-11.005(4)	Entered By	nmelvai	<input type="checkbox"/>	Costs		
Entered	05/26/2023	<p>274--- Dania Entertainment Center, LLC-- (75-11.005(4))----- On December 5, 2022 Surveillance at Casino Dania Beach (CDB) conducted a video audit of MORENO'S activity after the on duty Supervisor suspected MORENO removed two (2) Red \$5 Chips from his impress tray and placed them into his tip box. The move was validated; a subsequent extensive audit revealed numerous incidents of theft. During games where MORENO was the Dealer, he transferred monies from multiple pots into the impress tray which he then transferred to his tip box. MORENO engaged in a pattern of multiple acts of fraud and deceit upon CDB players by stealing money which should have been rightly awarded to patron/winners.</p>		<input type="checkbox"/>	Time Tracking	<input type="checkbox"/>	Auto Assign
Summary				<input type="checkbox"/>	Attachments	<input type="checkbox"/>	History
Updated	06/02/2023 09:00:19	By	nmelvai	<input type="checkbox"/>	Work Notes	<input type="checkbox"/>	Print Report

7 Days	Change	Save	OK	Cancel	Back
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Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office: PMW	Region: SOUTHERN	Date of Complaint: May 26, 2023	Case Number: 2023 03 0311
Respondent: MORENO VILLEGAS, CARLOS EDUARDO 140 S. DIXIE HWY. APT #716 HOLLYWOOD, FLORIDA 33020		Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 W. COMMERCIAL BLVD. SUITE 165 FT. LAUDERDALE, FLORIDA 33309 TEL. - 954-202-3900	
License # and Type: 13163012 / 1055		Profession: POKER DEALER	Report Date: May 26, 2023
Period of Investigation: March 30, 2023 through May 26, 2023		Type of Report: FINAL	
<p>Alleged Violation: 75-11.005(4) No person shall, either directly or indirectly:</p> <p>(a) Employ or attempt to employ any device, scheme, or artifice to defraud any participant in a game or the cardroom operator.</p> <p>(b) Engage in any act, practice, or course of operation that would constitute a fraud or deceit upon any participant in a game or the cardroom operator.</p> <p>(c) Engage in any act, practice, or course of operation with the intent of cheating any participant or the cardroom operator.</p>			
<p>Synopsis: : Surveillance at Casino Dania Beach (CDB) conducted a video audit of MORENO-VILLEGAS' activity after the on duty Supervisor suspected MORENO VILLEGAS removed two (2) Red \$5 Chips from his impress tray into his tip box. The move was validated; a subsequent audit revealed numerous incidents of theft. During games where MORENO VILLEGAS was the Dealer, he transferred monies from multiple pots into the impress tray which he then transferred to his tip box. MORENO VILLEGAS engaged in a pattern of multiple acts of fraud and deceit upon CDB players by stealing money which should have been rightly awarded to patron/winners.</p>			
Related Case(s): 2022 05 7757			
Investigator / Date  William Smith / May 26, 2023 Chief of Investigations / Date		Investigator Supervisor / Date  Julio Minaya / May 26, 2023	
 Bradford D. Jones / June 1, 2023			

CONTINUATION

During a video review **MORENO VILLEGAS**, a Poker Dealer (License # 13163012 – EXP. June 30, 2024) at the Casino Dania Beach, was observed by facility surveillance extracting chips from pots, “parking” said funds in his impress tray, and subsequently placing them in his tip box on multiple occasions. As a result of this act of theft, **MORENO VILLEGAS** was terminated from employment.

When conducting routine facility visits/inspections, this Investigator has heightened the awareness of poker room management and Surveillance Operators concerning specific methods that dealers may employ in acts of theft and potential indicators of such activity. Most often, dealer theft is accomplished by getting the ill-gotten gains into a tip box. Therefore, I encourage supervisory focus on the hand movement(s) of dealers as they interact with their tip boxes. I have advised facility staff that any concerning behavior should trigger a review of the dealer’s action.

In this instance the CDB Supervisor on duty Saturday November 26, 2022 suspected **MORENO VILLEGAS** took two red \$5 chips from his impress tray and combined them with two \$1 chips he received as a tip. That action was validated as a theft which brought about a deeper audit. Once the theft was validated **MORENO VILLEGAS** was placed on suspension pending review of his previous activity.

As per the CDB Surveillance Report, the audit confirmed thefts on four separate dates during November of 2022 with multiple acts of theft occurring on each of those dates. The Report notes the total daily losses as:

- November 21, 2022 \$178
- November 23, 2022 \$166
- November 25, 2022 - \$284
- November 26, 2022 - \$79

The total loss incurred for all thefts within the audit period were \$707.00 (EXHIBIT #2). This Investigator reviewed video footage affirming theft took place (EXHIBIT #3).

At the start of a work shift, an Impress Tray is initially set up and issued with a fixed amount of chips (totaling for example \$1,200.00). When a table is opened, the Impress Tray value will remain constant throughout the day from dealer to dealer. If chips are purchased, the total tray value will still consist of \$1,200.00, but now in combined cash and chips. Cash buys chips but the tray count remains constant. When **MORENO VILLEGAS** removed chips from the Impress Tray he lowered the balance in the tray; by taking chips from pots he made up the balance in the Tray.

Conclusion: As a result of his actions, **MORENO VILLEGAS**’ employment with CDB was terminated¹. During the separation process with CDB Human Resources **MORENO VILLEGAS** admitted committing the thefts and apologized. The policy of Casino Dania Beach regarding terminated former employees is to ask to those personnel not to come back to the property for one year. CDB did not permanently exclude **MORENO VILLEGAS**.

Status: Case closed by Investigations and forwarded to Legal for review.

¹ This Office has determined that **MORENO VILLEGAS** is currently employed at Calder Casino as a Cardroom Supervisor.

CONTINUATION

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VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: jminaya

VR Home > License Search > License Home

License Fed Tax # [REDACTED] Lic Type 1012 - Cardroom Employee Occupational Expires On 06/30/2024 File # 13893 Name MORENO VILLEGAS, CARLOS EDUARDO Extended To License # 13163012 Rank CEMP - Cardroom Employee Occupational Renewed On Entity # 13163012 Lic Status Closed Upgrade			Licensee History Notes Notes History Back																			
Address Street # 140 Street S DIXIE HWY APT 716 Line 2 Line 3 City HOLLYWOOD State FL Zip 33020 Routing																						
Other 1st License Date 04/22/2022 Rank Date 04/22/2022 Certificate # Method I-S-1024 Status Date 02/08/2023 Certificate Date Fee Exempt No Birth Date [REDACTED] Renewal Sent																						
Select Action <input type="text"/>																						
Modifiers <table border="1"> <thead> <tr> <th>Type ↕</th> <th>Modifier ↕</th> <th>Effective Date ↕</th> <th>Additional Info ↕</th> </tr> </thead> <tbody> <tr> <td>C</td> <td>DEAL - Dealer</td> <td>04/13/2022</td> <td></td> </tr> <tr> <td>I</td> <td>JLAI - Jai-Alai</td> <td>02/08/2023</td> <td></td> </tr> <tr> <td>L</td> <td>285 - Calder Jai Alai</td> <td>02/08/2023</td> <td></td> </tr> <tr> <td>Y</td> <td>3YR - 3 Year License</td> <td>04/13/2022</td> <td></td> </tr> </tbody> </table>			Type ↕	Modifier ↕	Effective Date ↕	Additional Info ↕	C	DEAL - Dealer	04/13/2022		I	JLAI - Jai-Alai	02/08/2023		L	285 - Calder Jai Alai	02/08/2023		Y	3YR - 3 Year License	04/13/2022	
Type ↕	Modifier ↕	Effective Date ↕	Additional Info ↕																			
C	DEAL - Dealer	04/13/2022																				
I	JLAI - Jai-Alai	02/08/2023																				
L	285 - Calder Jai Alai	02/08/2023																				
Y	3YR - 3 Year License	04/13/2022																				
Alt Keys BEST LIC NBR 13163012																						

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EXHIBIT # /
PAGE # /

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: jminaya

VR Home > License Search > License Home > Basic Entity Data

Basic Entity Data | List of Addresses | List of Names

Lic Type 1012 - Cardroom Employee Occupational		Entity # 13163012	
Fed Tax #	██████████	Fed Tax Type	SSN #
Name MORENO VILLEGAS, CARLOS EDUARDO			
M Last Name MORENO VILLEGAS	First CARLOS	Middle EDUARDO	Notes
Title	Suffix	Qualifier	Notes History
Gender M	Race 4 - Hispanic		Fix
Driver License #	██████████	Birth Date	██████████
Mailing Address	<input type="radio"/> Private Address	Preferred Name	Change
Street # 140	Street S DIXIE HWY APT 716		Save
Line 2			OK
Line 3			Cancel
City HOLLYWOOD	County Broward		Back
Zip 33020	State Florida	Country United States	
Routing			
Phone # 754.249.8590	Ext	E-Mail caedumo@hotmail.com	
Insp Region		Receive Email	
Updated 04/13/2022 12:13:42	By ebeguirist		

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EXHIBIT #/
PAGE # 2

SIN-000021042 - Audit - Poker Department - Reported 11/26/2022 17:11

Author: Vladimir Siriac

Report Number: SIN-000021042

Start Time: 11/26/2022 17:11

End Time:

Report Group: Surveillance Reports

Report Type: Audit - Poker Department

Note: Audit on Poker Dealer Carlos Moreno regarding Poker Dealer Carlos Moreno retrieving additional cheques

Location / Origin: Poker Room

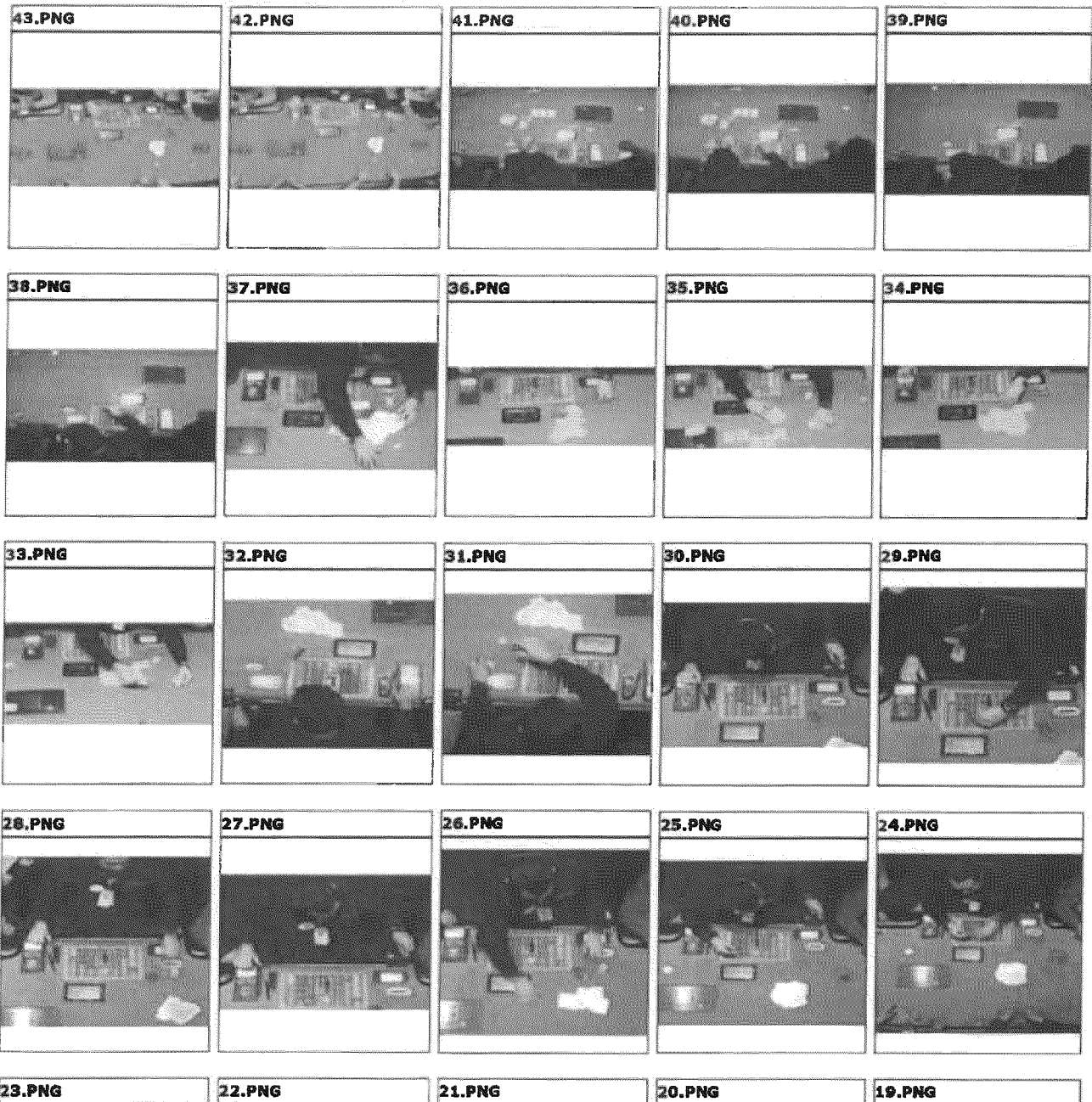
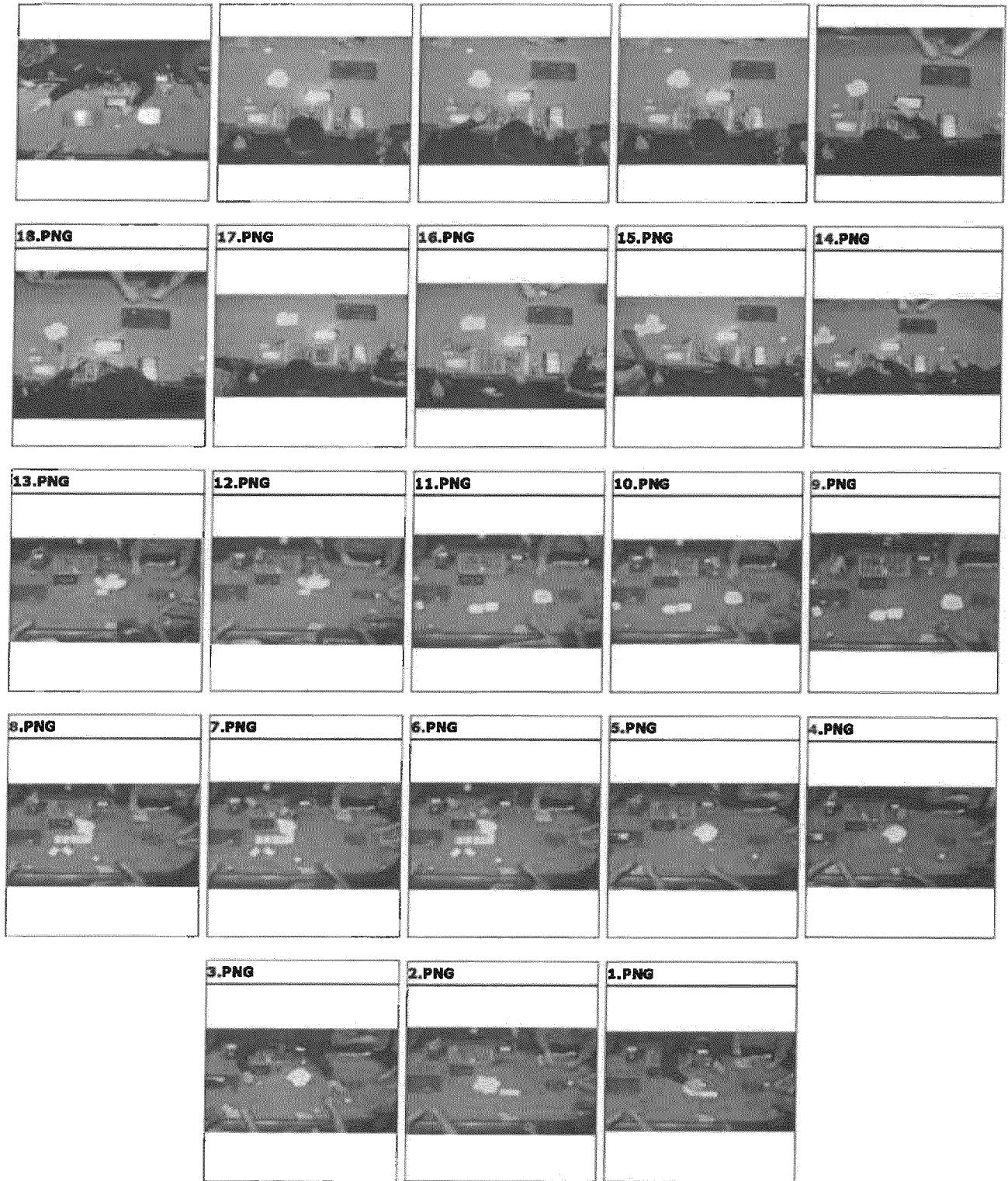


EXHIBIT # 2
PAGE # 1



Narrative

Author: Vladimir Siriac

Created: 11/26/2022 17:11

On November 26, 2022, Surveillance conducted an Audit on Poker Dealer Carlos Moreno regarding Poker Dealer Carlos Moreno retrieving additional cheques from Table Bank Rack and placing them inside Tip Box.

11/21 Monday 12:07 pm to 10:10 pm \$178

11/23 Wednesday 12:20 pm to 7:03 pm \$166

11/25 Friday 11:53am to 2:44am \$284

EXHIBIT # 2
PAGE # 2

11/26 Saturday 12:37 pm to 2:44 pm \$79

The following was observed:

11/21 Monday 12:07 pm to 10:10 pm \$178

Poker Table 8

- At 12:28:01 hrs, Moreno receives a \$1.00 Tip.
- At 12:29:34 hrs, Moreno receives a \$1.00 Tip.
- At 12:29:46 hrs, Moreno was observed retrieving a \$1.00 Tip and retrieving a \$2.00 Table Commission, and placing cheques inside his Tip Box.

Poker Table 17

- No Tips.

Poker Table 9

- At 13:09:20 hrs, Moreno receives a \$1.00 Tip.
- At 13:12:54 hrs, Moreno receives a \$2.00 Tip.
- At 13:12:56 hrs, Moreno was observed retrieving a \$2.00 Tip and retrieving a \$3.00 Table Commission, and placing cheques inside his Tip Box.
- At 13:12:59 hrs, Moreno was observed retrieving \$4.00 from Poker Table # 9 bank rack (2 purple cheques = \$4.00) and placing \$4.00 in cheques in Tip Box.
- At 13:14:41 hrs, Moreno was observed retrieving \$4.00 from Poker Table # 9 bank rack (2 purple cheques = \$4.00) and placing \$4.00 in cheques in Tip Box.
- At 13:15:19 hrs, Moreno receives a \$2.00 Tip.
- At 13:18:32 hrs, Moreno receives a \$1.00 Tip.
- At 13:23:25 hrs, Moreno receives a \$2.00 Tip.
- At 13:24:55 hrs, Moreno receives a \$1.00 Tip.
- At 13:26:20 hrs, Moreno receives a \$1.00 Tip.
- At 13:28:09 hrs, Moreno receives a \$1.00 Tip.
- At 13:29:10 hrs, Moreno receives a \$1.00 Tip.
- At 13:33:37 hrs, Moreno receives a \$1.00 Tip.

Poker Table 10

- At 14:03:27 hrs, Moreno receives a \$1.00 Tip.
- At 14:05:17 hrs, Moreno receives a \$1.00 Tip.
- At 14:05:18 hrs, Moreno was observed retrieving a \$1.00 Tip and retrieving a \$3.00 Table Commission, and placing cheques inside his Tip Box.
- At 14:07:32 hrs, Moreno receives a \$1.00 Tip.
- At 14:09:42 hrs, Moreno receives a \$1.00 Tip.
- At 14:09:45 hrs, Moreno receives a \$1.00 Tip.
- At 14:09:46 hrs, Moreno was observed retrieving a \$2.00 Tip and retrieving a \$3.00 Table Commission; Moreno was observed coloring up three white cheques and one purple cheque for 1 \$5 red cheque and placing the cheque inside his Tip Box.
- At 14:11:24 hrs, Moreno receives a \$1.00 Tip.
- At 14:13:00 hrs, Moreno receives a \$1.00 Tip.
- At 14:14:57 hrs, Moreno receives a \$1.00 Tip.
- At 14:16:35 hrs, Moreno receives a \$2.00 Tip.
- At 14:17:45 hrs, Moreno receives a \$1.00 Tip.
- At 14:19:25 hrs, Moreno receives a \$1.00 Tip.

Poker Table 5

- At 14:31:50 hrs, Moreno receives a \$1.00 Tip.
- At 14:32:58 hrs, Moreno was observed retrieving a \$1.00 Tip and retrieving \$5.00 from Poker Table #5 bank rack (1 purple cheque and 1 white cheque) when exchanging a red cheque as Table Commission then 1 purple cheque after retrieving tip, combining them and placing \$6.00 in cheques in Tip Box.
- At 14:36:06 hrs, Moreno receives a \$1.00 Tip.

EXHIBIT # 2
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- At 14:39:19 hrs, Moreno receives a \$2.00 Tip.
- At 14:40:23 hrs, Moreno was observed retrieving a \$1.00 Tip and retrieving a \$3.00 Table Commission, and placing cheques inside his Tip Box.
- At 14:44:11 hrs, Moreno receives a \$1.00 Tip.
- At 14:47:00 hrs, Moreno receives a \$1.00 Tip.
- At 14:51:32 hrs, Moreno was observed retrieving a \$1.00 Tip and retrieving \$5.00 from Poker Table #5 bank rack (1 purple cheque and 1 white cheque) when exchanging a red cheque as Table Commission then 1 purple cheque after retrieving tip, combining them and placing \$6.00 in cheques in Tip Box.
- At 14:53:53 hrs, Moreno receives a \$1.00 Tip.
- At 14:56:59 hrs, Moreno receives a \$2.00 Tip.
- At 14:59:23 hrs, Moreno receives a \$1.00 Tip.
- At 15:01:37 hrs, Moreno receives a \$1.00 Tip.

Poker Table 10

- At 15:09:26 hrs, Moreno receives a \$1.00 Tip.
- At 15:12:50 hrs, Moreno was observed retrieving a \$1.00 Tip and retrieving \$3.00 from Poker Table #10 bank rack (1 purple cheque and 1 white cheque) when exchanging a red cheque as Table Commission, combining them and placing \$4.00 in cheques in Tip Box.
- At 15:15:39 hrs, Moreno receives a \$1.00 Tip.
- At 15:18:24 hrs, Moreno receives a \$1.00 Tip.
- At 15:21:38 hrs, Moreno receives a \$1.00 Tip.
- At 15:30:30 hrs, Moreno receives a \$1.00 Tip.
- At 15:33:09 hrs, Moreno receives a \$1.00 Tip.
- At 15:37:07 hrs, Moreno receives a \$1.00 Tip.

Poker Table 16

- No Tips

Poker Table 15

- At 16:32:26 hrs, Moreno receives a \$1.00 Tip.
- At 16:33:35 hrs, Moreno was observed retrieving a \$1.00 Tip and retrieving a \$3.00 Table Commission, combining them and placing \$4.00 in cheques in Tip Box.
- At 16:35:48 hrs, Moreno receives a \$1.00 Tip.
- At 16:37:39 hrs, Moreno receives a \$1.00 Tip.
- At 16:39:01 hrs, Moreno was observed retrieving a \$1.00 Tip and retrieving a \$3.00 Table Commission, combining them and placing \$4.00 in cheques in Tip Box.

Poker Table 4

- At 17:09:20 hrs, Moreno receives a \$1.00 Tip.
- At 17:11:37 hrs, Moreno receives a \$1.00 Tip.
- At 17:13:16 hrs, Moreno receives a \$1.00 Tip.
- At 17:15:49 hrs, Moreno receives a \$2.00 Tip.
- At 17:17:17 hrs, Moreno receives a \$1.00 Tip.
- At 17:18:08 hrs, Moreno receives a \$1.00 Tip.
- At 17:21:53 hrs, Moreno receives a \$1.00 Tip.
- At 17:23:16 hrs, Moreno was observed retrieving a \$1.00 Tip and retrieving \$3.00 from Poker Table #4 bank rack (1 purple cheque and 1 white cheque) when exchanging a red cheque as Table Commission, combining them and placing \$4.00 in cheques in Tip Box.
- At 17:25:18 hrs, Moreno receives a \$1.00 Tip.
- At 17:29:18 hrs, Moreno receives a \$2.00 Tip.
- At 17:31:21 hrs, Moreno receives a \$1.00 Tip.

Poker Table 18

- No tips.

Poker Table 14

- Tournament.

Poker Table 6

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- At 19:02:49 hrs, Moreno receives a \$1.00 Tip.
- At 19:05:19 hrs, Moreno receives a \$1.00 Tip.
- At 19:06:07 hrs, Moreno receives a \$1.00 Tip.
- At 19:07:45 hrs, Moreno receives a \$1.00 Tip.
- At 19:09:30 hrs, Moreno receives a \$1.00 Tip.
- At 19:11:15 hrs, Moreno was observed retrieving a \$1.00 Tip and retrieving a \$2.00 Table Commission, and placing cheques inside his Tip Box.
- At 19:12:56 hrs, Moreno receives a \$2.00 Tip.
- At 19:15:53 hrs, Moreno receives a \$1.00 Tip.
- At 19:17:32 hrs, Moreno receives a \$1.00 Tip.
- At 19:18:47 hrs, Moreno was observed retrieving a \$1.00 Tip and retrieving a \$1.00 Table Commission, and placing cheques inside his Tip Box.
- At 19:20:13 hrs, Moreno receives a \$1.00 Tip.
- At 19:22:07 hrs, Moreno receives a \$1.00 Tip.
- At 19:23:29 hrs, Moreno receives a \$1.00 Tip.
- At 19:24:38 hrs, Moreno receives a \$1.00 Tip.
- At 19:26:10 hrs, Moreno receives a \$1.00 Tip.
- At 19:27:59 hrs, Moreno was observed retrieving a \$1.00 Tip and retrieving a \$3.00 Table Commission, and placing cheques inside his Tip Box.
- At 19:30:16 hrs, Moreno receives a \$1.00 Tip.

Poker Table 9

- At 19:33:54 hrs, Moreno was observed retrieving a \$1.00 Tip and retrieving a \$2.00 Table Commission, and placing cheques inside his Tip Box.
- At 19:35:36 hrs, Moreno receives a \$1.00 Tip.
- At 19:37:49 hrs, Moreno receives a \$2.00 Tip.
- At 19:37:50 hrs, Moreno was observed retrieving \$4.00 from Poker Table # 9 bank rack (2 purple cheques) and placing \$4.00 in cheques in Tip Box.
- At 19:40:29 hrs, Moreno receives a \$2.00 Tip.
- At 19:51:27 hrs, Moreno receives a \$1.00 Tip.
- At 19:53:56 hrs, Moreno was observed retrieving a \$1.00 Tip and retrieving a \$3.00 Table Commission, and placing cheques inside his Tip Box.
- At 19:56:30 hrs, Moreno receives a \$1.00 Tip.
- At 19:58:55 hrs, Moreno receives a \$1.00 Tip.
- At 20:00:28 hrs, Moreno receives a \$1.00 Tip.

Poker Table 19

- No tips.

Poker Table 7

- At 21:03:35 hrs, Moreno receives a \$5.00 Tip.
- At 21:15:39 hrs, Moreno receives a \$1.00 Tip.
- At 21:26:02 hrs, Moreno receives a \$1.00 Tip.
- At 21:27:19 hrs, Moreno receives a \$2.00 Tip.

Poker Table 11

- Tournament.

11/23 Wednesday 12:20 pm to 7:03 pm \$166

Poker Table 4

- At 13:23:21 hrs, Moreno receives a \$1 tip and combines it with a \$5 cheque from Poker Table #4 bank rack (1 red cheque) and placing \$6.00 in cheques in Tip Box.
- At 13:26:22 hrs, Moreno was observed retrieving a \$3.00 Table Commission and placing cheques inside his Tip Box.
- At 13:27:49 hrs, Moreno receives a \$1.00 Tip.
- At 13:29:07 hrs, Moreno receives a \$1.00 Tip.

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- At 13:32:24 hrs, Moreno was observed retrieving a \$1.00 Tip and retrieving a \$3.00 Table Commission, and placing cheques inside his Tip Box.

Poker Table 5

- At 13:37:58 hrs, Moreno receives a \$1.00 Tip.
- At 13:41:26 hrs, Moreno receives a \$1.00 Tip.
- At 13:47:00 hrs, Moreno receives a \$1.00 Tip.
- At 13:48:46 hrs, Moreno receives a \$1.00 Tip.
- At 13:50:48 hrs, Moreno receives a \$1.00 Tip.
- At 13:51:18 hrs, Moreno was observed retrieving \$2.00 from Poker Table #5 bank rack (1 purple cheque) and placing \$2.00 in cheques in Tip Box.
- At 13:54:17 hrs, Moreno was observed retrieving a \$1.00 Tip and retrieving a \$3.00 Table Commission, and placing cheques inside his Tip Box.
- At 14:00:47 hrs, Moreno receives a \$1.00 Tip.

Poker Table 11

- Tournament.

Poker Table 18

- No Tip

Poker Table 15

- At 16:02:07 hrs, Moreno receives a \$1.00 tip.
- At 16:03:39 hrs, Moreno receives a \$1.00 tip.
- At 16:06:14 hrs, Moreno was observed retrieving the \$2.00 Table Commission and placing it into the tip box.
- At 16:08:04 hrs, Moreno receives a \$1.00 tip.
- At 16:09:39 hrs, Moreno receives a \$2.00 tip.
- At 16:13:01 hrs, Moreno was observed retrieving a \$1.00 Tip and retrieving a \$2.00 Table Commission, combining them, and placing \$3.00 in cheques in Tip Box.
- At 16:15:27 hrs, Moreno receives a \$1.00 tip.
- At 16:16:54 hrs, Moreno was observed retrieving a \$1.00 Tip and retrieving a \$1.00 Table rack, combining them and placing \$2.00 in tip box.
- At 16:25:58 hrs, Moreno receives a \$1.00 tip.
- At 16:28:54 hrs, Moreno receives a \$1.00 tip.
- At 16:30:24 hrs, Moreno receives a \$1.00 tip.

Poker Table 10

- At 16:35:43 hrs, Moreno receives a \$1.00 tip.
- At 16:38:58 hrs, Moreno was observed retrieving a \$2.00 Tip and retrieving a \$3.00 Table Commission, combining them, and placing \$5.00 in cheques in Tip Box.
- At 16:39:58 hrs, Moreno receives a \$1.00 tip.
- At 16:41:45 hrs, Moreno receives a \$2.00 tip.
- At 16:43:36 hrs, Moreno receives a \$5.00 tip.
- At 16:43:58 hrs, Moreno was observed retrieving \$5.00 from poker table # 10 bank rack (1 red cheque) and placing the \$5.00 in his tip box.
- At 16:47:25 hrs, Moreno receives a \$4.00 tip.
- At 16:50:39 hrs, Moreno receives a \$5.00 tip.
- At 16:52:37 hrs, Moreno receives a \$1.00 tip.
- At 16:54:14 hrs, Moreno was observed retrieving \$2.00 from poker table # 10 bank rack (1 purple cheque) and placing the \$2.00 in his tip box.
- At 16:54:51 hrs, Moreno receives a \$1.00 tip.
- At 16:56:14 hrs, Moreno receives a \$2.00 tip.
- At 16:57:58 hrs, Moreno receives a \$1.00 tip.
- At 17:00:04 hrs, Moreno receives a \$1.00 tip.

Poker Table 14

- Tournament

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Poker Table 10

- At 17:30:01 hrs, Moreno receives a \$40.00 tip. Moreno was NOT dealing at Table 10. Moreno was observed walking to the Chip Runner Podium and putting the \$40.00 in his tip box.

Poker Table 20

- At 17:36:53 hrs, Moreno receives a \$2.00 tip.
- At 17:39:10 hrs, Moreno receives a \$1.00 tip.
- At 17:42:09 hrs, Moreno receives a \$1.00 tip.
- At 17:51:26 hrs, Moreno receives a \$1.00 tip.
- At 17:51:32 hrs, Moreno receives \$2.00 (1 purple cheque). Moreno returns \$1.00 to the patron from the table # 20 bank rack and puts the \$2.00 in his tip box.
- At 17:53:10 hrs, Moreno receives a \$1.00 tip.
- At 17:55:22 hrs, Moreno receives a \$1.00 tip.
- At 17:56:28 hrs, Moreno receives a \$1.00 tip.
- At 17:59:18 hrs, Moreno receives a \$1.00 tip.
- At 18:01:07 hrs, Moreno receives a \$2.00 tip.

11/25 Friday 11:53am to 2:44am \$284

Poker Table 8

- No Tip

Poker Table 9

- At 13:03:45 hrs, Moreno was observed retrieving a \$1.00 Tip and retrieving a \$2.00 cheque from the bank rack, placing \$3.00 in cheques inside his tip box.
 - At 13:03:25 hrs, Moreno placed \$2.00 in cheques inside the poker rack.
- At 13:06:12 hrs, Moreno was observed retrieving a \$1.00 tip and a \$3.00 Table Commission, and placing \$4.00 cheques inside his Tip Box.
- At 13:08:57 hrs, Moreno received a \$2.00 tip.
- At 13:11:02 hrs, Moreno was observed retrieving a \$1.00 tip and a \$2.00 Table Commission, and placing \$3.00 cheques inside his Tip Box.
- At 13:13:16 hrs, Moreno received a \$1.00 tip.
- At 13:19:53 hrs, Moreno received a \$1.00 tip.
- At 13:21:18 hrs, Moreno received a \$1.00 tip.
- At 13:23:0 hrs, Moreno was observed retrieving a \$1.00 tip and a \$3.00 Table Commission, and placing \$4.00 cheques inside his Tip Box.
 - At 13:25:25 hrs, Moreno placed \$2.00 in cheques from the pot inside the poker rack. Moreno did not place anything any cheques back into the pot.
- At 13:28:53 hrs, Moreno received a \$1.00 tip.
- At 13:29:21 hrs, was observed retrieving \$4.00 from poker table # 9 bank rack (2 purple cheques)
- At 13:30:58 hrs, a poker player in seat # 1 places a \$1.00 cheque inside Moreno's tip box.

Poker Table 15

- At 14:02:37 hrs, Monroe was observed retrieving a \$1.00 tip and a \$3.00 Table Commission and placing \$4.00 cheques inside his Tip.
- At 14:06:25 hrs, Monroe was observed retrieving \$10.00 from poker table # 15 bank rack (2 red cheques) and a \$1.00 tip totaling \$11.
 - At 14:07:33 hrs, Monroe places \$5.00 from the bank rack on the commission area. (1 purple, 1 white cheque.) The rake area received \$2.00 (1 purple cheque)
- At 14:08:36 hrs, Monroe was observed retrieving \$3.00 in cheques from the table commission and placing the cheques inside his tip box.

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- At 14:09:12 hrs, Monroe received a \$2.00 tip.
- At 14:09:43 hrs, Monroe was observed retrieving \$2.00 from poker table # 15 bank rack (1 purple cheque).
- At 14:10:56 hrs, Moreno was observed retrieving a \$1.00 Tip, and a \$3.00 Table Commission, and placing cheques inside his Tip Box totaling \$4.00.
- At 14:13:47 hrs, Moreno was observed retrieving \$10.00 from poker table # 15 bank rack (2 red cheques)
- 14:14:18 hrs, Moreno received a \$2.00 tip.
- At 14:16:06 hrs, Monroe receives a \$5.00 tip.

11/26 Saturday 12:37 pm to 2:44 pm \$79

Poker Table 14

Tournament

Poker Table 9

- At 13:31:36 hrs, Moreno receives a \$1.00 Tip.
- At 13:33:33 hrs, Moreno receives a \$1.00 Tip.
- At 13:33:35 hrs, Moreno receives a \$1.00 Tip.
- At 13:35:37 hrs, Moreno was observed retrieving a \$3.00 Table Commission and placing cheques inside his Tip Box.
- At 13:37:47 hrs, Moreno receives a \$1.00 Tip.
- At 13:37:48 hrs, Moreno was observed retrieving \$1.00 Tipp and retrieving \$3.00 Table Commission and placing cheques inside his Tip Box.
- At 13:39:48 hrs, Moreno receives a \$1.00 Tip.
- At 13:42:29 hrs, Moreno receives a \$1.00 Tip.
- At 13:42:29 hrs, Moreno was observed retrieving the \$3.00 Table Commission and placing \$1.00 into Tote Box.
 - At 13:42:31 hrs, Moreno was observed retrieving \$7.00 from Poker Table # 9 bank rack (1 red cheque = \$5.00, 1 purple cheque = \$2.00) and combining them with \$2.00 Table Commission in left hand and placing \$9.00 in cheques in Tip Box.
- At 13:46:52 hrs, Moreno receives a \$1.00 Tip.
- At 13:49:49 hrs, Moreno receives a \$1.00 Tip.
 - 13:49:50 hrs, Moreno was observed retrieving a \$1.00 Tip and retrieving a \$3.00 Table Commission, and placing cheques inside his Tip Box.
- At 13:52:20 hrs, Moreno receives a \$1.00 Tip.
- At 13:55:02 hrs, Moreno receives a \$1.00 Tip.
 - At 13:55:05, Moreno was observed retrieving a \$1.00 Tip and retrieving a \$3.00 Table Commission, and placing cheques inside his Tip Box.
- At 13:58:49 hrs, Moreno receives a \$1.00 Tip.
- At 14:01:02 hrs, Moreno receives a \$1.00 Tip.

Poker Table 10

- At 14:06:14 hrs, Moreno receives a \$5.00 Tip.
 - At 14:06:15 hrs, Moreno was observed retrieving a \$5.00 Tip and retrieving \$10.00 from Poker Table # 10 bank rack (2 red cheques = \$10.00) and combining them with the \$5.00 Tip and placing \$15.00 in cheques in Tip Box.
- At 14:10:00 hrs, Moreno receives a \$1.00 Tip.
- At 14:11:40 hrs, Moreno receives a \$1.00 Tip.
- At 14:13:22 hrs, Moreno receives a \$1.00 Tip.
- At 14:16:24 hrs, Moreno receives a \$1.00 Tip.
 - At 14:16:24 hrs, Moreno was observed retrieving a \$1.00 Tip and retrieving a \$3.00 Table Commission, and placing cheques inside his Tip Box.
- At 14:18:15 hrs, Moreno receives a \$1.00 Tip.
- At 14:22:14 hrs, Moreno receives a \$2.00 Tip.
 - At 14:22:16 hrs, Moreno was observed retrieving a \$2.00 Tip and retrieving \$4.00 from Poker Table # 10 bank rack (2 purple cheques = \$4.00) and combining them with the \$2.00 Tip and placing \$6.00 in cheques in Tip Box.
- At 14:23:38 hrs, Moreno receives a \$1.00 Tip. At 14:26:11 hrs, Moreno receives a \$1.00 Tip. At 14:28:00 hrs, Moreno receives a \$1.00 Tip.
 - At 14:28:09 hrs, Moreno was observed retrieving \$5.00 from Poker Table # 10 bank rack (1 red cheque*

- = \$5.00) and placing \$5.00 in cheques in Tip Box.
- o At 14:31:41 hrs, Moreno receives a \$2.00 Tip.
- o At 14:34:23 hrs, Moreno receives a \$1.00 Tip.

Note: Poker Dealer Carlos Moreno was observed taking \$44.00 from Bank Rack and Table Commission and placing cheques In Tip Box while dealing Poker on November 26, 2022.

Video saved Pictures saved.

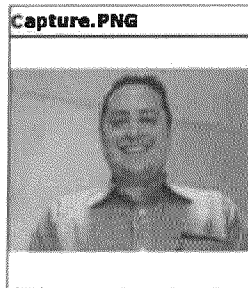
Person

Name: Carlos Moreno

Alias:

Race: White

Gender: Male



Signature A

Date

Signature B

Date

Evidence Receipt

Date : 10/20/21

Time: 12:30 PM

Received By:

William T Smith

Title: Investigator FGCC

Signature:

William T Smith

Description:

SR 20605- Poker players Switching cards

Supervisor:

W. Smith

Employee #: 1386

Evidence Receipt

Date : 12/05/2022

Time: 14:09

Received By:

William Smith

Title: ~~Investigator~~ FGCC

Signature:

William Smith

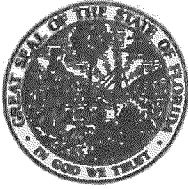
Description:

USB and paper work for SR-21042

Supervisor:

W. Smith

Employee #: 2121



**State of Florida
Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
Investigations Section**

PROPERTY RECEIPT


Complaint # 2022057757 Date 12/6/22 Lab # _____

Item No.	Quantity	Description
1	1	Carlos Moreno Thumbdrive
		Dealer The Ft. Davie
		Beach

I hereby acknowledge that the above list represents all property taken from my possession and that I have received a copy of this receipt.

Signature _____

I hereby acknowledge that the above list represents all property impounded by me in the official performance of duty as Investigator for the Division of Pari-Mutuel Wagering.


Signature – Impounding Investigator

RETURNED PROPERTY RECEIPT

I hereby acknowledge the return to me, by the Division of Pari-Mutuel Wagering, the above listed property.

Signature _____

Date _____

Received by: _____
Received by: _____
Received by: _____

Date: _____
Date: _____
Date: _____

Copies for: File, Transmittal, Laboratory, Property Receipt



CHARLES C. BUFTON, CHAIRMAN
JULIE I. BRODIN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TILLY REPP, COMMISSIONER

FLORIDA GAMING CONTROL COMMISSION,

Petitioner,

v.

FGCC Case No.: 2023-030311

CARLOS EDUARDO MORENO VILLEGAS,

Respondent.

AFFIDAVIT OF SERVICE OR DILIGENT SEARCH

COMES NOW, the Affiant, an employee of the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, State of Florida, who first being duly sworn, deposes and states:

On (date) _____, Affiant made a diligent effort to locate Respondent, to serve: Administrative Complaint and related papers; ___ Subpoena(s); ___ Final order; ESO and related papers; ___ (other) _____

(PLEASE CHECK APPLICABLE ANSWER)

Affiant made personal service on Respondent, on (date), JUNE 21, 2023, at (location) 21001 NW 27th Ave (CALDER CASINO)


___ Affiant was unable to make service after searching for Respondent at: (a) all addresses for Respondent shown in the F.G.C.C. investigation of the case; (b) all official addresses for Respondent shown in his/her licensing records of the Division of Pari-Mutuel Wagering; (c) any others: _____


Signature of Affiant

STATE OF Florida
COUNTY OF Broward

Before me, appeared Tyrell Smith; who is personally known to me or ___ whose identity I proved on the basis of _____; and who acknowledges that his/her signature appears above.

Sworn to or affirmed by Affiant before me this 22 day of June 2023

Notary Public 

Type or Print Name  MICHELLE M. FUTRELL
Commission # MH 136046
Expires June 14, 2025
Dedicated Through Budget Notary Services

OFFICE OF THE CLERK OF THE COURT



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MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Deputy Chief Attorney
Re: FGCC v. OBS Real Estate Holdings, LLC d/b/a Ocala Gainesville
Case No. 2023-043589; Consent Order
Date: November 17, 2023

Executive Summary

The Division of Pari-Mutuel Wagering seeks the adoption of the proposed settlement and consent order signed by OBS Real Estate Holdings, LLC (“Respondent”) to resolve Case No. 2023-043589. Pursuant to the terms of the proposed order, Respondent would be issued a \$2,000 administrative fine for violating rules 75-11.014(4)(b)2, 75-0.251(1)(b), and 75-11.025(3), Florida Administrative Code.

Background

On or about July 12 and 14, 2023, Respondent violated rule 75-14.014(4)(b)2, Florida Administrative Code, three times by failing to mark sealed evidence bags with the time a damaged card was discovered or with the table from which it was withdrawn from play. Respondent has two prior violations of this rule which resulted in a combined \$200 fine in case numbers 2016-056479 and 2017-016638.

On or about July 14, 2023, Respondent violated rule 75-11.025(3), Florida Administrative Code, by allowing an employee in a supervisory role for cardroom drops and revenue collection to be present for the surveillance of the count room and drop activities. Respondent has no prior violations of this rule.

On August 2, 2023, Respondent violated rule 75-11.0251(1)(b), Florida Administrative Code, by failing to ensure that the number of security guards required by the security plan were present for a shift. Respondent has no prior violations of this rule.

Analysis

The Commission has the authority to impose an administrative fine up to \$1,000.00 for each violation of section 849.086, Florida Statutes, or any rules adopted pursuant to that section.¹

Rule 75-11.014(4)(b)(1), Florida Administrative Code, provides that “damaged card or cards shall be placed in a sealed envelope or evidence bag.” Also, rule 75-11.014(4)(b)(2), Florida Administrative Code, provides in full, “[t]he sealed envelope or evidence bag shall be marked with the table number, the date, and the time the deck was withdrawn from play.”

Because Respondent failed to mark the time a card was removed from play and/or the table from which a card was withdrawn, it is subject to an administrative fine of up to \$1000 per count.

Rule 75-11.0251(1), Florida Administrative Code, provides that “[t]he cardroom operator shall maintain a security plan . . . that shall include: (b) [t]he minimum number of security officers or employees required for each shift[.]” Also, Respondent’s security plan states, in pertinent part, that “[t]here will be a minimum of two (2) security officers present for hours of operation. After hours there will be a minimum of one security officer to patrol grounds and monitor security cameras at that time.”

Because Respondent failed to mark the time a card was removed from play and/or the table from which a card was withdrawn, failed to ensure that the security guards required by the security plan were present for a shift, and allowed an employee in a supervisory role for cardroom drops and revenue collection to be present for the surveillance of the count room and drop activities, it is subject to an administrative fine of up to \$1000 per count.

Staff Recommendation: The Florida Gaming Control Commission should adopt the settlement and consent order in cased number 2023-043589.

¹ § 849.086(14)(c), Fla. Stat.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2023-043589

OBS REAL ESTATE HOLDINGS, LLC d/b/a
OCALA GAINESVILLE POKER,

Respondent.

STIPULATION AND CONSENT ORDER

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Division”), and OBS Real Estate Holdings, LLC, d/b/a Ocala Gainesville Poker (“Respondent”), stipulate and agree to the following terms and issuance of this Stipulation and Consent Order (“Consent Order”):

1. At all times material hereto, Respondent held a pari-mutuel wagering permit pursuant to Section 550.054, and license pursuant to Section 550.0115, both issued by the Commission.

2. At all times material hereto, Respondent held a valid cardroom license number 277 issued by the Commission under section 849.086, Florida Statutes, and operated a cardroom within the meaning of section 849.086(2)(c), Florida Statutes, at its Facility (the “Cardroom”).

3. The Cardroom is a Facility operated by the Respondent at all times material hereto, and is located at 4601 West Highway 318, Citra, Florida 32113.

4. This Consent Order is to be entered in resolution of the five-count Administrative Complaint filed in FGCC Case Number 2023-043589, alleging that:

- a. On July 12, 2023, Respondent was in violation of rule 75-11.014(4)(b)(2), Florida Administrative Code, by failing to note the time and table number on a sealed evidence bag which contained a damaged card that was removed from play.
- b. On July 12, 2023, Respondent was in violation of rule 75-11.014(4)(b)(2), Florida Administrative Code, by failing to note the table number on a sealed evidence bag which contained a damaged card that was removed from play.
- c. On July 14, 2023, Respondent was in violation of rule 75-11.014(4)(b)(2), Florida Administrative Code, by failing to note the time on a sealed evidence bag which contained a damaged card that was removed from play.
- d. On August 2, 2023, Respondent was in violation of rule 75-11.0251(1)(b), Florida Administrative Code, by failing to ensure that the number of security guards required by the security plan were present for a shift.
- e. On July 24, 2023, Respondent was in violation of rule 75-11.025(3), Florida Administrative Code, by allowing an employee in a position with oversight of cardroom drops and revenue to be present for the surveillance of the count room and drop activities.

5. Aggravation: This Consent Order was entered into in consideration of Respondent's previous disciplinary history, including two prior violations of rule 75-11.014, Florida Administrative Code, in FGCC Case Numbers 2016-056479 and 2017-016638.

STIPULATION

WHEREAS the Division and Respondent ("Parties"), desire to resolve this matter, the following terms are stipulated:

6. The Division has jurisdiction over this matter and the Parties.

7. The Division is authorized by section 120.57, Florida Statutes, to resolve administrative proceedings by consent orders.

8. Each of the Parties has entered into the terms of this Consent Order voluntarily. Respondent is aware that it is entitled to the advice of counsel and has either sought the advice of counsel or by execution of this Consent Order, is knowingly waiving the opportunity to seek advice of counsel. Respondent acknowledges that the Division has not made any promise, nor has it in any other way encouraged Respondent to enter into this Consent Order without the advice of counsel.

9. Each of the Parties must bear its own costs and legal fees related to this matter, and no financial claims can be made against the Division in this action.

10. The Parties acknowledge and agree to waive any further administrative and judicial review.

11. The Parties understand that this Consent Order will in no way preclude additional proceedings by the Division against Respondent for acts or omissions not specifically set forth herein.

12. Respondent neither admits, nor denies committing the violation set forth in this Consent Order, but this Consent Order may be considered in aggravation of any future proceedings involving Respondent.

13. FINE: Respondent agrees to, and must pay to the Division, the total sum of TWO THOUSAND DOLLARS (\$2,000.00), at the time Respondent submits an executed copy of this Consent Order. The fines are assessed as follows:

- a. **Count I: \$500.00**
- b. **Count II: \$500.00**

c. **Count III: \$500.00**

d. **Count IV: \$250.00**

e. **Count V: \$250.00**

14. The payment must be in the form of a certified check, cashier's check, or money order, made payable to the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (NO PERSONAL CHECKS). Division's adoption of this Consent Order constitutes acknowledgment of receipt of payment of the above amount.

15. Respondent must mail this Consent Order and the payment to: **Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, 4070 Esplanade Way, Tallahassee, Florida 32311, Attention: Donna Fleming.** Please note FGCC Case Number 2023-043589 on the face of the payment.

16. The Parties acknowledge and agree that this Consent Order is subject to approval by the Florida Gaming Control Commission ("Commission"). The Consent Order will have no force and effect unless the Commission files a Final Order adopting this Consent Order. Should this Consent Order be rejected, no statement made in furtherance of it by Respondent may be used as direct evidence against Respondent in any proceedings.

17. Upon the Commission's adoption of this Consent Order, Respondent expressly waives all further procedural steps, including all rights to seek judicial review of this Consent Order, and waives the right to seek attorneys' fees or costs from the Division in connection with these proceedings.

18. This Consent Order is executed by the Parties for the purpose of avoiding further administrative action with respect to the matters addressed hereby. In this regard, Respondent authorizes the Commission to review and examine all materials concerning Respondent, prior to

or in conjunction with consideration of this Consent Order. Should the Commission not accept this Consent Order, it is agreed that presentation to and consideration of this Consent Order and other documents and matters by the Commission shall not unfairly or illegally prejudice the Commission from further participation, consideration, or resolution of these proceedings.

19. This Consent Order may be signed in counterparts, and copies shall be treated as original.

20. The Parties agree that this serves as notice that the signed Consent Order will be placed on the next available Commission meeting.

21. Respondent is not required to attend the Commission meeting. If Respondent would like to attend, he/she is responsible for checking the Florida Gaming Control Commission website at www.flgaming.gov for the meeting materials, agenda, and contact information.

22. Respondent authorizes the Division to correct any typographical errors or make any non-material changes to this Consent Order after it is signed.

[Signature pages to follow]

WHEREFORE, Owner, **OBS REAL ESTATE HOLDINGS, LLC d/b/a OCALA GAINESVILLE POKER**, requests that the Commission enter a Final Order approving and incorporating this Consent Order in resolution of this matter.

SIGNED this 8 day of NOVEMBER, 2023.

Thomas Ventura

OBS REAL ESTATE HOLDINGS, LLC d/b/a OCALA GAINESVILLE POKER, Respondent

Signed on behalf of OBS Real Estate Holdings, LLC, d/b/a Ocala Gainesville Poker by:

THOMAS VENTURA

Printed Name

PRESIDENT

Title

STATE OF Florida

COUNTY OF Marion

The foregoing instrument was acknowledged before me, by means of physical presence or online notarization, this 8 day of November, 2022, by Thomas Ventura, who is personally known to me or who produced the following as identification: _____

Dorothy Williams
Notary Public

My commission expires: 8-4-2025



APPROVED this 14 day of November, 2023.

Emily Alvarado

EMILY ALVARADO
DEPUTY CHIEF ATTORNEY
Division of Pari-Mutuel Wagering
Florida Gaming Control Commission

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2023-043589

OBS REAL ESTATE HOLDINGS, LLC d/b/a
OCALA GAINESVILLE POKER,

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against OBS Real Estate Holdings, LLC, d/b/a Ocala Gainesville Poker (“Respondent”), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering and cardroom operations pursuant to chapters 550 and 849, Florida Statutes.
2. At all times material hereto, Respondent held a pari-mutuel wagering permit pursuant to section 550.054, and license pursuant to section 550.0115, both issued by the Commission.
3. At all times material hereto, Respondent held a valid cardroom license number 277 issued by the Commission under section 849.086, Florida Statutes, and operated a cardroom within the meaning of section 849.086(2)(c), Florida Statutes, at its Facility (the “Cardroom”).
4. The Cardroom is a Facility operated by the Respondent at all times material hereto, and is located at 4601 West Highway 318, Citra, Florida 32113.

COUNT I

5. Petitioner realleges and adopts paragraphs numbered one through four as if set forth fully herein.

6. On July 12, 2023, Respondent failed to include the time and table number on a sealed evidence bag which contained a damaged card that was removed from play.

7. Rule 75-11.014(4)(b)(1), Florida Administrative Code, provides that “damaged card or cards shall be placed in a sealed envelope or evidence bag.”

8. Rule 75-11.014(4)(b)(2), Florida Administrative Code, provides in full, “[t]he sealed envelope or evidence bag shall be marked with the table number, the date, and the time the deck was withdrawn from play.”

9. Based on the foregoing, Respondent violated rule 75-11.014(4)(b)(2), Florida Administrative Code, by failing to note the time and table number on a sealed evidence bag which contained a damaged card that was removed from play.

COUNT II

10. Petitioner realleges and adopts paragraphs numbered one through four as if set forth fully herein.

11. On July 12, 2023, Respondent failed to include the table number on a sealed evidence bag which contained a damaged card that was removed from play.

12. Rule 75-11.014(4)(b)(1), Florida Administrative Code, provides that “damaged card or cards shall be placed in a sealed envelope or evidence bag.”

13. Rule 75-11.014(4)(b)(2), Florida Administrative Code, provides in full, “[t]he sealed envelope or evidence bag shall be marked with the table number, the date, and the time the deck was withdrawn from play.”

14. Based on the foregoing, Respondent violated rule 75-11.014(4)(b)(2), Florida Administrative Code, by failing to note the table number on a sealed evidence bag which contained a damaged card that was removed from play.

COUNT III

15. Petitioner realleges and adopts paragraphs numbered one through four as if set forth fully herein.

16. On July 14, 2023, Respondent failed to include the time on a sealed evidence bag which contained a damaged card that was removed from play.

17. Rule 75-11.014(4)(b)(1), Florida Administrative Code, provides that “damaged card or cards shall be placed in a sealed envelope or evidence bag.”

18. Rule 75-11.014(4)(b)(2), Florida Administrative Code, provides in full, “[t]he sealed envelope or evidence bag shall be marked with the table number, the date, and the time the deck was withdrawn from play.”

19. Based on the foregoing, Respondent violated rule 75-11.014(4)(b)(2), Florida Administrative Code, by failing to note the time on a sealed evidence bag which contained a damaged card that was removed from play.

COUNT IV

20. Petitioner realleges and adopts paragraphs numbered one through four as if set forth fully herein.

21. On August 2, 2023, Respondent failed to have security officers present for a shift.

22. Rule 75-11.0251(1), Florida Administrative Code, provides that “[t]he cardroom operator shall maintain a security plan . . . that shall include: (b) [t]he minimum number of security officers or employees required for each shift[.]”

23. Respondent's security plan states, in pertinent part, that "[t]here will be a minimum of two (2) security officers present for hours of operation. After hours there will be a minimum of one security officer to patrol grounds and monitor security cameras at that time"

24. Based on the foregoing, Respondent violated rule 75-11.0251(1)(b), Florida Administrative Code, by failing to ensure that the number of security guards required by the security plan were present for a shift.

COUNT V

25. Petitioner realleges and adopts paragraphs numbered one through four as if set forth fully herein.

26. On July 24, 2023, Respondent's Director of Poker Operations, a position with oversight of cardroom drops and revenue, was present for the surveillance of the count.

27. Rule 75-11.025(3), Florida Administrative Code, provides in full, "[t]he person holding the position of cardroom manager or supervisor with oversight of cardroom drops and revenue shall not be in a position to conduct surveillance for the count room and drop activities."

28. Based on the foregoing, Respondent violated rule 75-11.025(3), Florida Administrative Code, by allowing an employee in a position with oversight of cardroom drops and revenue to be present for the surveillance of the count room and drop activities.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties specified in section 849.086(14)(c), Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2023-043589 is signed this 11th day of October 2023.

/s/Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399-2202
Telephone: (850) 794-8066
Facsimile: (850) 536-8709
Primary: Emily.Alvarado@flagaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

NOTICE OF RIGHTS

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

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VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
Complaint Search Update	Change Recording License Type	Mass Status Update	Public Case Info	Delete Complaint	Mass Activity Update	Mass Discipline			

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: cstubbs1

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Lic Type	1002 - Cardroom License	Status	90 Closed	Status Date	09/13/2023
Complaint #	2023043589	Case Type	CMP - Complaint	Disposition	
Docket#		Respondent	OBS REAL ESTATE HOLDINGS, LLC	Responsible	bjones - JONES, BRADFORD
					Private Case

Complaint	Respondent	Complainant	Add'l Info
---------------------------	----------------------------	-----------------------------	----------------------------

Source	INTN - Internal	Security Level	1	<input type="checkbox"/>	Parties	<input checked="" type="checkbox"/>	Activities
Form	INTR - Internal	Priority		<input checked="" type="checkbox"/>	Allegations	<input type="checkbox"/>	Discipline
Class'n	V-C - Cardroom Violations	Complexity	R - Regular	<input type="checkbox"/>	Violations	<input type="checkbox"/>	Compliance
Security	STND - Standard	Incident	08/02/2023	<input type="checkbox"/>	Related	<input checked="" type="checkbox"/>	Disposition
Region	CR - Central Region	Received	08/03/2023	<input type="checkbox"/>	Inspection		
Reference	75-11.014	Entered	08/03/2023	<input type="checkbox"/>	Costs		
Entered		Entered By	nmelvai	<input type="checkbox"/>	Time Tracking	Auto Assign	
Summary	277----Ocala Gainesville Poker & Jai Alai--- [{75-11.014 Cards}]----On August 2, 2023, during a quarterly cardroom inspection the following violation were found: 1.) Dates and table numbers of damaged cards removed from play were not documented on evidence bags. 2.) There was no security officers on site during working hours. 3.) Director of Operations Brian C. Matthews conducted surveillance of the count.			<input type="checkbox"/>	Attachments	History	
Updated	09/13/2023 15:14:37	By	cstubbs1	<input type="checkbox"/>	Work Notes	Print Report	

Change	Save	OK	Cancel	Back
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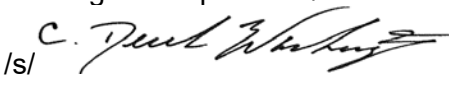

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Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office: PMW	Region: CENTRAL	Date of Complaint: AUGUST 3, 2023	Case Number: 2023 04 3589
Respondent: OBS REALESTATE HOLDINGS, LLC. P.O. BOX 548 ORANGE LAKE, FLORIDA 32681 (352) 237-2154		Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 W. COMMERCIAL BLVD. SUITE 165 FT. LAUDERDALE, FLORIDA 33309	
License # and Type: 277 / 1002	Profession: PERMIT HOLDER	Report Date: AUGUST 7, 2023	
Period of Investigation: AUGUST 2, 2023 – AUGUST 7, 2023		Type of Report: FINAL	
<p>Alleged Violation: 75-11.014 Cards. (4)(b) Any deck of cards in which it is determined damaged cards exist shall be withdrawn from play immediately upon identification of the damage as follows:</p> <ol style="list-style-type: none"> 1. The entire deck of cards containing the damaged card or cards shall be removed from play before card play may resume at the card table and the damaged card or cards shall be placed in a sealed envelope or evidence bag, 2. The sealed envelope or evidence bag shall be marked with the table number, the date, and time the deck was withdrawn from play, <p>75-11.025 Cardroom Electronic Surveillance:</p> <p>(3) The person holding the position of cardroom manager or supervisor with oversight of cardroom drops and revenue shall not be in a position to conduct surveillance for the count room and drop activities.</p> <p>75-11.0251 Security Plans.</p> <ol style="list-style-type: none"> (1) The cardroom operator shall maintain a security plan in its security office that shall include: (b) The minimum number of security officers or employees required for each shift; . 			
<p>Synopsis: On August 2, 2023, during a quarterly cardroom inspection at Ocala-Gainesville Poker & Jai Alai the following violations were found: 1.) Evidence bags containing damaged cards, did not indicated the date, time, and table number the cards from where they were removed. 2.) There were no security officers on site during working hours. 3.) Unauthorized employee conducted surveillance of the count.</p>			
<p>Related Case(s): 2016 05 6479 2017 01 6638 2021 04 5721</p>			
Investigator / Date /s/  Randa Samson / August 7, 2023		Investigator Supervisor / Date /s/  C. Derek Washington / August 15, 2023	
Chief of Investigations / Date /s/  Bradford D. Jones / September 13, 2023			

CONTINUATION

On August 2, 2023, at approximately 12:10 PM, I conducted a quarterly cardroom inspection at **OCALA GAINESVILLE POKER & JAI ALAI**. As part of my inspection, I examined how damaged cards were handled and stored. During that review, I found three damaged card evidence bags, which violated Florida Rules. Evidence bag #1019286 did not indicate the table # from which the damaged card was removed. Evidence bag #1019287 did not indicate the table # and did not indicate the time which the damaged card was removed, and lastly, evidence bag #1019288 failed to indicate the table # from which that damaged card was removed (**EXHIBIT #2**).

In addition, while conducting my inspection, I noticed that there were no Security Officers on the property to assist me with surveillance. **OCALA** Director of Poker Operations, Brian C. Matthews (PMW LIC. #8251823) arrived on the property at approximately 12:45 PM to assist me with my inspection. While reviewing recorded surveillance of July 24, 2023, I observed Matthews in the surveillance room conducting surveillance of the July 24, 2023 count (**EXHIBIT #2**).

A review of **OCALA** Security Plan revealed that it states, *“There will be a minimum of two (2) security officers present for hours of operation. After hours there will be a minimum of one security officer to patrol grounds and monitor security cameras at that time”* (**EXHIBIT #3**).

Upon completion of my quarterly cardroom inspection, I advised **OCALA** Poker Room Manager, Patrick H. Garrity (Lic. #7068152), of the above-noted violations. Garrity stated he would correct the violations immediately.

A check of the Versa Regulation Enforcement Database showed the following (**EXHIBITS #3, #4 & #5**):

- Two (2) prior violations of **F.A.C. Rule:75.11.014(2)**, Cases **2016 05 6479 & 2017 01 6638**, in which a Consent Order was issued with a **\$200.00** fine imposed.
- One (1) prior violation of **F.A.C. Rule: 75-0251(1)(b)**, **Case# 2021 04 5721**, in which a Consent Order was issued with a **\$250.00** fine imposed.
- No previous violation of **F.A.C. Rule: 75-11.025(3)**.

Status: Closed by Investigations and referred to Legal for review.

CONTINUATION

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III. EXHIBITS

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 4. Consent Order Case #2017 01 6638..... 1-6

 5. Consent Order Case #2021 04 5721..... 1-7



VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **nmelva**

[VR Home](#) > [Complaint Search](#) > [Maintain Complaint](#) > [License Home](#)

License Fed Tax # XXXXXXXXXX Lic Type 1002 - Cardroom License Expires On 06/30/2024 File # 51 Name OBS REAL ESTATE HOLDINGS, LLC Extended To License # 277 Rank CLIC - Cardroom Operating License Renewed On Entity # 277 Lic Status Current		<input type="button" value="Licensee"/> <input type="button" value="History"/> <input checked="" type="checkbox"/> <input type="button" value="Notes"/> <input type="button" value="Notes History"/> <input type="button" value="Back"/>								
Address Street # Street P O BOX 548 Line 2 Line 3 City ORANGE LAKE State FL Zip 32681 Routing										
Other 1st License Date 04/05/2023 Rank Date 04/05/2023 Certificate # Method I-S-1020 Status Date 06/04/2008 Certificate Date Fee Exempt No Birth Date Renewal Sent										
Select Action <input type="text"/>  										
Modifiers <table border="1"> <thead> <tr> <th>Type</th> <th>Modifier</th> <th>Effective Date</th> <th>Additional Info</th> </tr> </thead> <tbody> <tr> <td>L</td> <td>277 - Ocala Jai-Alai</td> <td>03/17/2009</td> <td></td> </tr> </tbody> </table>			Type	Modifier	Effective Date	Additional Info	L	277 - Ocala Jai-Alai	03/17/2009	
Type	Modifier	Effective Date	Additional Info							
L	277 - Ocala Jai-Alai	03/17/2009								
DBA Name DBA Ocala Gainesville Poker and Jai-Alai										
Alt Keys BEST LIC NBR 277										

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EXHIBIT #1
PAGE 1/1

SURVEILLANCE ROOM LOG

✓	Time In	Time Out	Guards Full Name	State License #	Shift Activities
23	1:35	2:00	BRIAN MATHEWS ✓	8251823	Count
23	1800	0400	Emmanuel Johnson	13490854	ON Duty / OFF Duty ✓
23	0400	1100	Michael Greer	1348038	ON Duty / OFF Duty
23	125	130	Marsha Kelso	9834131	ON Duty / OFF Duty
23	1800	0400	Braxton Neica	8448705	Count
23	0400	1100	Michael Greer	1348038	on duty / end shift
23	1127	1133	Marsha Kelso	9834131	ON Duty / OFF Duty
23	1800	0400	Braxton Neica	8448705	Count
23	0400	1100	Michael Greer	1348038	on duty / end shift
23	1118	1131	Marsha Kelso	9834131	ON Duty / OFF Duty
23	1800	0400	Emmanuel Johnson	13490854	Count
23	0400	1100	Michael Greer	1348038	ON Duty / OFF Duty
23	0931	0943	Michael Greer	1348038	ON Duty / OFF Duty
23	1800	0400	Emmanuel Johnson	13490854	Count
23	1300	2200	Christopher Nichols	13484323	ON Duty / OFF Duty
23	0400	1800	Natveth Ayala	13482936	ON Duty
23	0905	0945	Natveth Ayala	13482936	on Duty / off duty.
23	1800	0400	Braxton Neica	8448705	Count.
23	1124	1153	Natveth Ayala	13482936	on duty / end shift
23	0400	1800	Natveth Ayala	13482936	Count
23	0400	1100	Michael Greer	1348038	on duty / off Duty
23	124	133	Marsha Kelso	9834131	ON Duty / OFF Duty
23	1800	0400	Emmanuel Johnson	13490854	Count
23	0400	1100	Michael Greer	1348038	ON Duty / OFF Duty
23	124	130	Marsha Kelso	9834131	ON Duty / OFF Duty
23	1800	0400	Braxton Neica	8448705	Count
23	0400	1100	Michael Greer	1348038	on duty / end shift
23	1127	1133	Marsha Kelso	9834131	ON Duty / OFF Duty
23	1800	0400	Braxton Neica	8448705	Count

EXHIBIT #2
PHOTO 1/11

In addition security will notify the appropriate agencies in case of any emergency and maintain surveillance logs, daily shift logs, and incident reports as needed.

Description of security training procedures and minimum staffing requirements:

There will be a minimum of two security officers present for hours of operation . After hours there will be a minimum of one security officer to patrol grounds and monitor security cameras at that time.

All security officers will receive training in all security procedures and report writing and proper evacuation routes and procedures by Security Chief.

Procedures for handling incidents:

1. Fire alarm sounds

Call ADT 1-800 348-6029, Contact all managers, Direct patrons and employees safely from the building by way of prearranged evacuation routes All exit clearly marked with emergency lighting.

2. In Case of Fire

Call fire department 732-9111, evacuvate the building and secure the area.

3. Power Outage

Notify Clay electric 1-888-434-9844, lock all cash drawers in pari-mutuel area, secure front of teller windows from access, secure cardroom notify all managers.

Attempts to open will distort lines



1019287

DAMAGED CARD

DATE 7/14/23 PIT _____ TABLE NO. _____

SHIFT _____ D _____ S _____ G _____

CARDS CONTAINED Green Q of

Hearts

~~_____~~ 106535601

CASINO SUPERVISOR _____ LIC # _____

DEALER _____ LIC # _____

COMMENTS _____

BankSupplies
800-968-7868
www.banksupplies.com
Item # 630 20057

EXHIBIT #2
PHOTO 3/11

1012587



DAMAGED CARD

DATE 5/11/15 PIT 3115 TABLE NO. 1012587

SHIFT D S G

CARDS CONTAINED 6766 Q of

Hart 2

1012587



FORT KNOX
DEPOSIT BAGS™

LIC #

LIC #

BankSupplies
800-968-7868
www.banksupplies.com
Item # 630-20057





1019286

DAMAGED CARD

DATE 7/12/23 PIT _____ TABLE NO. _____

SHIFT 608PM D _____ S _____ G _____

CARDS CONTAINED NINE OF CLUBS

GOLD DECK

[Signature] CASINO SUPERVISOR LIC # 10804409

DEALER LIC # _____

COMMENTS BENT



DAMAGED CARD
PIT 1
S
Spades Black
1080443
LIC #
11114
LIC #



1012588



DAMAGED CARD

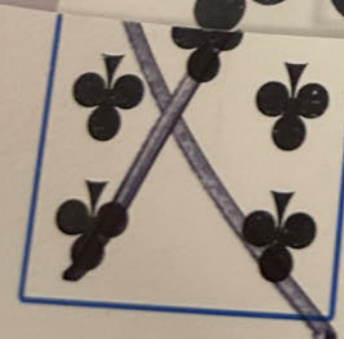
DATE 5/15/23 PIT TAB...
SHIFT 2 8PM
G

CARDS CONTAINED NINE OF CLUBS

GOLD DECK

10804040
LIC FORT KNOX CASINO SUPERVISOR

FORT KNOX DEPOSIT BAGS



BankSupplies
800-968-7868
www.banksupplies.com
Item # 630-20057

6

DEALER COMMENTS



EXHIBIT #2
PHOTO 6/11

Attempts to open will distort lines



1019288

DAMAGED CARD

DATE 7-14-23 PIT _____ TABLE NO. 11

SHIFT _____ D (S) _____ G _____

CARDS CONTAINED 3 spade

Black Jack

~~_____~~ 12535601

CASINO SUPERVISOR _____ LIC # _____

DEALER [Signature] _____ LIC # 11048346

COMMENTS _____



EXHIBIT #2
PHOTO 10/11

1012588



DAMAGED CARD

TABLE NO. 11

PIT 5-14-03

DATE 5-14-03

G

(2)

D

SHIFT

3 240's

CARDS CONTAINED

Black Jack



LIC # 1012588

FORT KNOX

DEALER

DEPOSIT BAGS

LIC #

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Item # 630-20057



EXHIBIT #2
PHOTO 11/11

FILED
Department of Business and Professional Regulation
Deputy Agency Clerk
CLERK Brandon Nichols
Date **7/27/2017**
File # **2017-05862**

**STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION**

**STATE OF FLORIDA, DEPARTMENT OF
BUSINESS & PROFESSIONAL REGULATION,
DIVISION OF PARI-MUTUEL WAGERING,**

Petitioner,

**DBPR CASE NOS. 2016-056479
2017-016638**

v.

OBS REAL ESTATE HOLDINGS, LLC,

Respondent.

STIPULATION AND CONSENT ORDER

The State of Florida, Department of Business & Professional Regulation, Division of Pari-Mutuel Wagering (“Division”) and OBS REAL ESTATE HOLDINGS, LLC (“Respondent”) hereby stipulate and agree to the following terms and issuance of this Consent Order:

1. The Division is charged with regulating pari-mutuel wagering in accordance with Chapter 550, Florida Statutes.
2. At all times material hereto, Respondent held a pari-mutuel wagering permit, number 277, and pari-mutuel license, number 277-1002, issued by Petitioner.
3. This Stipulation and Consent Order is to be entered in resolution of the following:
 - a. DBPR Case No. 2016-056479 alleges that due to the conduct of the previous operator, Second Chance, a violation of Rule 61D-11.014(5)(b)2. occurred by failing to mark the date on damaged card envelopes on December 8, 2016.
 - b. DBPR Case No. 2017-016638 alleges that Respondent violated Rule 61D-11.014(5)(b)2. by failing to mark the date on damaged card envelopes on March 24, 2017.

4. MITIGATION: This Stipulation and Consent Order was entered into in consideration of the fact that Respondent has confirmed that these violations have been corrected.

STIPULATION

WHEREAS the parties desire to resolve this matter, the following terms are stipulated:

5. The Division has jurisdiction over this matter and the parties.
6. The Division is authorized by Section 120.57, Florida Statutes, to resolve administrative proceedings by Consent Order.
7. Each party has entered into the terms of this Consent Order voluntarily. Respondent is aware that she is entitled to the advice of counsel. Respondent has sought the advice of counsel. Respondent acknowledges that the Division has not made any promise nor has it in any other way encouraged Respondent to enter into this Consent Order without the advice of counsel.
8. This Consent Order is enforceable under Section 120.69 and Chapter 550, Florida Statutes, as final agency action.
9. Each party shall bear its own costs and legal fees related to this matter, and no financial claim shall be made against the Division in this action.
10. Respondent and the Division acknowledge and agree that this Consent Order and contained stipulation constitute the Final Order of the Division respecting the matters set forth above and that further administrative and judicial review is hereby waived by both parties.
11. Respondent and the Division fully understand that this Consent Order will in no way preclude additional proceedings by the Division against Respondent for acts or omissions not specifically set forth herein.
12. Respondent neither admits nor denies committing the violations set forth in this Consent Order, however, this Consent Order may be considered in aggravation of any future disciplinary proceedings involving Respondent.

13. **DISMISSAL**: The Administrative Complaint for DBPR Case No. 2016-056479 (which is attached hereto as Exhibit "A") is voluntarily dismissed with prejudice.

14. **FINE**: With regard to DBPR Case No. 2017-016638, Respondent agrees to, and shall pay to the Division, the sum of **two hundred dollars (\$200.00)** to be paid at the time Respondent submits an executed copy of this Consent Order. **Said payment shall be in the form of a check or money order made payable to Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering and mailed to the attention of Gauge D. Campbell at 2601 Blair Stone Rd., Tallahassee, FL 32399-1035. Please reference the case number on the face of the check.** The Division's adoption of this Consent Order constitutes acknowledgement of receipt of payment of the above amount.

15. It is expressly understood that this Stipulation is subject to the approval of the Director of the Division of Pari-Mutuel Wagering ("Director"). In this regard, the Stipulation shall have no force and effect unless this Consent Order, incorporating the terms of this Stipulation, is signed by both parties. Should this Consent Order be rejected, no statement made in furtherance of it by Respondent may be used as evidence against Respondent in any proceeding.

16. Upon the Division's adoption of this Consent Order, Respondent expressly waives all further procedural steps, including all rights to seek judicial review of this Consent Order and the matters addressed hereby. Upon the Division's adoption of this Consent Order, Respondent waives the right to seek any attorney's fees or costs from the Department in connection with this proceeding.

17. This Stipulation and Consent Order is executed by both parties for the purpose of avoiding further administrative action with respect to the matters addressed hereby. In this regard, Respondent authorizes the Director to review and examine all materials concerning Respondent prior to or in conjunction with consideration of the Stipulation. Should this Stipula-

tion not be accepted by the Director, it is agreed that presentation to and consideration of this Consent Order and other documents and matters by the Director shall not unfairly or illegally prejudice the Director or the Respondent from further participation, consideration, or resolution of these proceedings.

Respondent, OBS REAL ESTATE HOLDINGS, LLC, hereby agrees and consents to the terms and conditions of this Stipulation and Consent Order this 3 day of July, 2017.

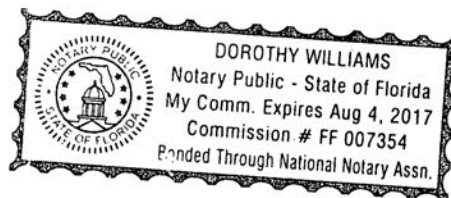
Thomas Ventura

By: **THOMAS VENTURA**
OBS Real Estate Holdings, LLC
Respondent


STATE OF Florida,
COUNTY OF Marion

Sworn to (or affirmed) and subscribed before me this 3 day of July, 2017, by Thomas Ventura who is personally known to me or who produced the following as identification: _____

Dorothy Williams
Notary Public
My Commission Expires:
Aug. 4, 2017



APPROVED for legal sufficiency this 24 day of July, 2017.



LOUIS TROMBETTA
Chief Attorney
Division of Pari-Mutuel Wagering
Department of Business & Professional
Regulation

IT IS HEREBY ORDERED THAT:

The foregoing Consent Order shall constitute the agreed resolution of this matter and the Final Order of the Division in DBPR Case. No. 2016-056479 and 2017-016638 once it is filed with the Agency Clerk.

DONE AND ORDERED this 24 day of July, 2017, in Tallahassee, Florida.



ANTHONY J. GLOVER, DIRECTOR
Division of Pari-Mutuel Wagering
Department of Business & Professional Regulation
2601 Blair Stone Road
Tallahassee, Florida 32399-1035

(CERTIFICATE OF SERVICE ON FOLLOWING PAGE)

CERTIFICATE OF SERVICE

I hereby certify this 27th day of July, 2017, that a true copy of the foregoing has been furnished by regular U.S. mail to:

OBS REAL ESTATE HOLDINGS, LLC
c/o Dock A. Blanchard, Esq.
Blanchard, Merriam, Adel & Kirkland, P.A.
P.O. Box 1869
Ocala, FL 34478



AGENCY CLERK'S OFFICE
Department of Business & Professional Regulation

Copies to:

Charles Dewrell, Assistant General Counsel
<Charles.Dewrell@myfloridalicense.com>

FILED
Department of Business and Professional Regulation
Deputy Agency Clerk
CLERK Brandon Nichols
Date **7/27/2017**
File # **2017-05862**

**STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION**

**STATE OF FLORIDA, DEPARTMENT OF
BUSINESS & PROFESSIONAL REGULATION,
DIVISION OF PARI-MUTUEL WAGERING,**

Petitioner,

**DBPR CASE NOS. 2016-056479
2017-016638**

v.

OBS REAL ESTATE HOLDINGS, LLC,

Respondent.

STIPULATION AND CONSENT ORDER

The State of Florida, Department of Business & Professional Regulation, Division of Pari-Mutuel Wagering (“Division”) and OBS REAL ESTATE HOLDINGS, LLC (“Respondent”) hereby stipulate and agree to the following terms and issuance of this Consent Order:

1. The Division is charged with regulating pari-mutuel wagering in accordance with Chapter 550, Florida Statutes.
2. At all times material hereto, Respondent held a pari-mutuel wagering permit, number 277, and pari-mutuel license, number 277-1002, issued by Petitioner.
3. This Stipulation and Consent Order is to be entered in resolution of the following:
 - a. DBPR Case No. 2016-056479 alleges that due to the conduct of the previous operator, Second Chance, a violation of Rule 61D-11.014(5)(b)2. occurred by failing to mark the date on damaged card envelopes on December 8, 2016.
 - b. DBPR Case No. 2017-016638 alleges that Respondent violated Rule 61D-11.014(5)(b)2. by failing to mark the date on damaged card envelopes on March 24, 2017.

**EXHIBIT #4
PAGE 1/6**

4. MITIGATION: This Stipulation and Consent Order was entered into in consideration of the fact that Respondent has confirmed that these violations have been corrected.

STIPULATION

WHEREAS the parties desire to resolve this matter, the following terms are stipulated:

5. The Division has jurisdiction over this matter and the parties.

6. The Division is authorized by Section 120.57, Florida Statutes, to resolve administrative proceedings by Consent Order.

7. Each party has entered into the terms of this Consent Order voluntarily. Respondent is aware that she is entitled to the advice of counsel. Respondent has sought the advice of counsel. Respondent acknowledges that the Division has not made any promise nor has it in any other way encouraged Respondent to enter into this Consent Order without the advice of counsel.

8. This Consent Order is enforceable under Section 120.69 and Chapter 550, Florida Statutes, as final agency action.

9. Each party shall bear its own costs and legal fees related to this matter, and no financial claim shall be made against the Division in this action.

10. Respondent and the Division acknowledge and agree that this Consent Order and contained stipulation constitute the Final Order of the Division respecting the matters set forth above and that further administrative and judicial review is hereby waived by both parties.

11. Respondent and the Division fully understand that this Consent Order will in no way preclude additional proceedings by the Division against Respondent for acts or omissions not specifically set forth herein.

12. Respondent neither admits nor denies committing the violations set forth in this Consent Order, however, this Consent Order may be considered in aggravation of any future disciplinary proceedings involving Respondent.

EXHIBIT #4
PAGE 2/6

13. **DISMISSAL**: The Administrative Complaint for DBPR Case No. 2016-056479 (which is attached hereto as Exhibit "A") is voluntarily dismissed with prejudice.

14. **FINE**: With regard to DBPR Case No. 2017-016638, Respondent agrees to, and shall pay to the Division, the sum of **two hundred dollars (\$200.00)** to be paid at the time Respondent submits an executed copy of this Consent Order. **Said payment shall be in the form of a check or money order made payable to Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering and mailed to the attention of Gauge D. Campbell at 2601 Blair Stone Rd., Tallahassee, FL 32399-1035. Please reference the case number on the face of the check.** The Division's adoption of this Consent Order constitutes acknowledgement of receipt of payment of the above amount.

15. It is expressly understood that this Stipulation is subject to the approval of the Director of the Division of Pari-Mutuel Wagering ("Director"). In this regard, the Stipulation shall have no force and effect unless this Consent Order, incorporating the terms of this Stipulation, is signed by both parties. Should this Consent Order be rejected, no statement made in furtherance of it by Respondent may be used as evidence against Respondent in any proceeding.

16. Upon the Division's adoption of this Consent Order, Respondent expressly waives all further procedural steps, including all rights to seek judicial review of this Consent Order and the matters addressed hereby. Upon the Division's adoption of this Consent Order, Respondent waives the right to seek any attorney's fees or costs from the Department in connection with this proceeding.

17. This Stipulation and Consent Order is executed by both parties for the purpose of avoiding further administrative action with respect to the matters addressed hereby. In this regard, Respondent authorizes the Director to review and examine all materials concerning Respondent prior to or in conjunction with consideration of the Stipulation. Should this Stipula-

tion not be accepted by the Director, it is agreed that presentation to and consideration of this Consent Order and other documents and matters by the Director shall not unfairly or illegally prejudice the Director or the Respondent from further participation, consideration, or resolution of these proceedings.

Respondent, OBS REAL ESTATE HOLDINGS, LLC, hereby agrees and consents to the terms and conditions of this Stipulation and Consent Order this 3 day of July, 2017.

Thomas Ventura

By: **THOMAS VENTURA**
OBS Real Estate Holdings, LLC
Respondent

STATE OF Florida,
COUNTY OF Marion

Sworn to (or affirmed) and subscribed before me this 3 day of July, 2017, by Thomas Ventura who is personally known to me or who produced the following as identification: _____

Dorothy Williams
Notary Public
My Commission Expires:
Aug. 4, 2017

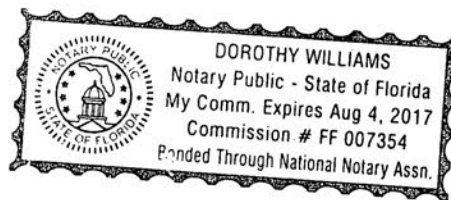



EXHIBIT #4
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APPROVED for legal sufficiency this 24 day of July, 2017.




LOUIS TROMBETTA
Chief Attorney
Division of Pari-Mutuel Wagering
Department of Business & Professional
Regulation

IT IS HEREBY ORDERED THAT:

The foregoing Consent Order shall constitute the agreed resolution of this matter and the Final Order of the Division in DBPR Case. No. 2016-056479 and 2017-016638 once it is filed with the Agency Clerk.

DONE AND ORDERED this 24 day of July, 2017, in Tallahassee, Florida.



ANTHONY J. GLOVER, DIRECTOR
Division of Pari-Mutuel Wagering
Department of Business & Professional Regulation
2601 Blair Stone Road
Tallahassee, Florida 32399-1035

(CERTIFICATE OF SERVICE ON FOLLOWING PAGE)

CERTIFICATE OF SERVICE

I hereby certify this 27th day of July, 2017, that a true copy of the foregoing has been furnished by regular U.S. mail to:

OBS REAL ESTATE HOLDINGS, LLC
c/o Dock A. Blanchard, Esq.
Blanchard, Merriam, Adel & Kirkland, P.A.
P.O. Box 1869
Ocala, FL 34478



AGENCY CLERK'S OFFICE
Department of Business & Professional Regulation

Copies to:

Charles Dewrell, Assistant General Counsel
<Charles.Dewrell@myfloridalicense.com>

**STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING**

**DEPARTMENT OF BUSINESS
AND PROFESSIONAL REGULATION,
DIVISION OF PARI-MUTUEL WAGERING,**

Petitioner,

v.

DBPR Case No.: 2021-045721

**OBS REAL ESTATE HOLDINGS, LLC,
d/b/a/ Ocala-Gainesville Poker and Jai Alai**

Respondent.

_____ /

STIPULATION AND CONSENT ORDER

The Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering (“Division”), and OBS Real Estate Holdings, LLC (“Respondent”), stipulate and agree to the following terms and issuance of this Stipulation and Consent Order (“Consent Order”):

1. At all times material hereto, Respondent held a Cardroom license, number 277-1002, issued by the Division.
2. This Consent Order is to be entered in resolution of DBPR Case Number 2021-045721, alleging that on September 29, 2021, Respondent was in violation of Rule 61D-11.019(2), Florida Administrative Code, by failing to have security functioning daily as required by the internal controls approved by the Division.

STIPULATION

WHEREAS the Division and Respondent (“Parties”), desire to resolve this matter, the following terms are stipulated:

3. The Division has jurisdiction over this matter and the Parties.

4. The Division is authorized by Section 120.57, Florida Statutes, to resolve administrative proceedings by consent orders.

5. Each of the Parties has entered into the terms of this Consent Order voluntarily. Respondent is aware that it is entitled to the advice of counsel, and has either sought the advice of counsel or by execution of this Consent Order, is knowingly waiving the opportunity to seek advice of counsel. Respondent acknowledges that the Division has not made any promise, nor has it in any other way encouraged Respondent to enter into this Consent Order without the advice of counsel.

6. This Consent Order is enforceable under Section 120.69, and Chapter 550, Florida Statutes, as a final agency action.

7. Each of the Parties must bear its own costs and legal fees related to this matter, and no financial claims can be made against the Division in this action.

8. The Parties acknowledge and agree that this Consent Order constitute the final order in this case, and they also acknowledge and agree to waive any further administrative and judicial review.

9. The Parties understand that this Consent Order will in no way preclude additional proceedings by the Division against Respondent for acts or omissions not specifically set forth herein.

10. Respondent neither admits, nor denies committing the violation set forth in this Consent Order, but this Consent Order may be considered in aggravation of any future proceedings involving Respondent.

11. **FINE:** Respondent agrees to, and must pay to the Division, the sum of **TWO HUNDRED FIFTY DOLLARS (\$250.00)**, at the time Respondent submits an executed copy of

EXHIBIT #5
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this Consent Order. **The payment must be in the form of a certified check, cashier's check, or money order, made payable to the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering (NO PERSONAL CHECKS).** Division's adoption of this Consent Order constitutes acknowledgment of receipt of payment of the above amount.

12. Respondent must mail this Consent Order and the payment to: **Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, 2601 Blair Stone Road, Tallahassee, Florida 32399-1035, Attention: Donna Fleming.** Please note DBPR Case Number 2021-045721 on the face of the check.

13. The Parties acknowledge and agree that this Consent Order is subject to the approval of the Director of the Division of Pari-Mutuel Wagering or other authorized agent of the Department of Business and Professional Regulation ("Director"). The Consent Order will have no force and effect unless signed by the Parties. Should this Consent Order be rejected, no statement made in furtherance of it by Respondent may be used as direct evidence against Respondent in any proceedings.

14. Upon the Division's adoption of this Consent Order, Respondent expressly waives all further procedural steps, including all rights to seek judicial review of this Consent Order, and waives the right to seek attorneys' fees or costs from the Division in connection with these proceedings.

15. This Consent Order is executed by the Parties for the purpose of avoiding further administrative action with respect to the matters addressed hereby. In this regard, Respondent authorizes the Director to review and examine all materials concerning Respondent, prior to or in conjunction with consideration of this Consent Order. Should the Director not accept this Consent Order, it is agreed that presentation to and consideration of this Consent Order and other

documents and matters by the Director shall not unfairly or illegally prejudice the Director from further participation, consideration, or resolution of these proceedings.

16. This Consent Order may be signed in counterparts, and copies shall be treated as original.

17. Respondent authorizes the Division to correct any typographical errors or make any non-material changes to this Consent Order after it is signed.

[Signature pages to follow]

Respondent, OBS REAL ESTATE HOLDINGS, LLC, agrees and consents to the terms and conditions of this Stipulation and Consent Order in DBPR Case Number 2021-045721, this 8th day of November, 2021.

[Signature]
OBS REAL ESTATE HOLDINGS, LLC,
Respondent

Signed on behalf of OBS Real Estate Holdings, LLC
by:

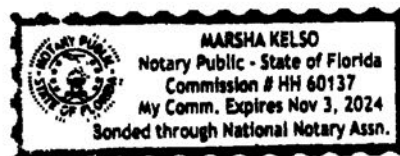
BRIAN MATTHEWS
Printed Name
General Manager
Title

STATE OF Florida
COUNTY OF Maricopa


The foregoing instrument was acknowledged before me, by means of physical presence or online notarization, this 8th day of November, 2021, by Brian Matthews, who is personally known to me or who produced the following as identification: _____

[Signature]
Notary Public

My commission expires: 11/3/24



This Stipulation and Consent Order in DBPR Case Number 2021-045721 is APPROVED for legal sufficiency this 16 day of November, 2021.



MARC TAUPIER, CHIEF ATTORNEY
Division of Pari-Mutuel Wagering
Department of Business and Professional Regulation

ORDER

IT IS HEREBY ORDERED THAT:

The foregoing Stipulation and Consent Order shall constitute the agreed resolution of this matter and the Final Order of the Division in DBPR Case Number 2021-045721, once it is filed with the Agency Clerk.

DONE AND ORDERED this 17 day of November, 2021, in Tallahassee, Florida.



LOUIS TROMBETTA, DIRECTOR
Division of Pari-Mutuel Wagering
Department of Business and Professional Regulation
2601 Blair Stone Road
Tallahassee, Florida 32399-1035

CERTIFICATE OF SERVICE

I hereby certify this 23rd day of November, 2021, that a true copy of the foregoing has been furnished by U.S. mail to:

OBS Real Estate Holdings, LLC
d/b/a/ Ocala-Gainesville Poker and Jai Alai
c/o Dock Blanchard, Esquire
4 South East Broadway Street
Ocala, Florida 34471



AGENCY CLERK'S OFFICE
Department of Business and Professional Regulation

CC: Ebonie Lanier

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Deputy Chief Attorney
Re: FGCC v. South Marion Real Estate Holdings, Inc. d/b/a Oxford
Downs Poker Room Case No. 2023-049873; Consent Order
Date: November 17, 2023

Executive Summary

The Division of Pari-Mutuel Wagering seeks the adoption of the proposed settlement and consent order signed by South Marion Real Estate Holdings, Inc. (“Respondent”) to resolve Case No. 2023-049873. Pursuant to the terms of the proposed order, Respondent would be issued a \$500 administrative fine for violating rule 75-11.012(9), Florida Administrative Code.

Background

On or about August 22, 2023, it was discovered that Respondent failed to issue a photo identification to a cardroom employee. Respondent has one prior violation of this rule which resulted in a \$250 fine in case number 2022-055140.

Analysis

The Commission has the authority to impose an administrative fine up to \$1,000.00 for each violation of section 849.086, Florida Statutes, or any rules adopted pursuant to that section.¹

Rule 75-11.012(8), Florida Administrative Code, provides that “[c]ardroom operators are required to issue a photo identification to all cardroom employees.”

Because Respondent failed to issue a photo identification to a cardroom employee, it is subject to an administrative fine of up to \$1000 per count.

Staff Recommendation: The Florida Gaming Control Commission should adopt the settlement and consent order in case number 2023-049873.

¹ § 849.086(14)(c), Fla. Stat.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2023-049873

SOUTH MARION REAL ESTATE HOLDINGS,
INC. d/b/a OXFORD DOWNS POKER ROOM,

Respondent.

STIPULATION AND CONSENT ORDER

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Division”), and South Marion Real Estate Holdings, Inc., d/b/a Oxford Downs Poker Room (“Respondent”), stipulate and agree to the following terms and issuance of this Stipulation and Consent Order (“Consent Order”):

1. At all times material hereto, Respondent held a pari-mutuel wagering permit pursuant to Section 550.054, and license pursuant to Section 550.0115, both issued by the Commission.
2. At all times material hereto, Respondent held a valid cardroom license number 545 issued by the Commission under section 849.086, Florida Statutes, and operated a cardroom within the meaning of section 849.086(2)(c), Florida Statutes, at its Facility (the “Cardroom”).
3. This Consent Order is to be entered in resolution of the one-count Administrative Complaint filed in FGCC Case Number 2023-049873, alleging that on August 22, 2023, Respondent was in violation of rule 75-11.012(9), Florida Administrative Code, by failing to issue a photo identification to a cardroom employee.

4. Aggravation: This Consent Order was entered into in consideration of Respondent's previous disciplinary history, including one prior violation of rule 75-11.012(9), Florida Administrative Code, in FGCC Case Number 2022-055140.

STIPULATION

WHEREAS the Division and Respondent ("Parties"), desire to resolve this matter, the following terms are stipulated:

5. The Division has jurisdiction over this matter and the Parties.

6. The Division is authorized by section 120.57, Florida Statutes, to resolve administrative proceedings by consent orders.

7. Each of the Parties has entered into the terms of this Consent Order voluntarily. Respondent is aware that it is entitled to the advice of counsel, and has either sought the advice of counsel or by execution of this Consent Order, is knowingly waiving the opportunity to seek advice of counsel. Respondent acknowledges that the Division has not made any promise, nor has it in any other way encouraged Respondent to enter into this Consent Order without the advice of counsel.

8. Each of the Parties must bear its own costs and legal fees related to this matter, and no financial claims can be made against the Division in this action.

9. The Parties acknowledge and agree to waive any further administrative and judicial review.

10. The Parties understand that this Consent Order will in no way preclude additional proceedings by the Division against Respondent for acts or omissions not specifically set forth herein.

11. Respondent neither admits, nor denies committing the violation set forth in this Consent Order, but this Consent Order may be considered in aggravation of any future proceedings involving Respondent.

12. **FINE:** Respondent agrees to, and must pay to the Division, the sum of **FIVE HUNDRED DOLLARS (\$500.00)**, at the time Respondent submits an executed copy of this Consent Order. **The payment must be in the form of a certified check, cashier's check, or money order, made payable to the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (NO PERSONAL CHECKS).** Division's adoption of this Consent Order constitutes acknowledgment of receipt of payment of the above amount.

13. Respondent must mail this Consent Order and the payment to: **Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, 4070 Esplanade Way, Tallahassee, Florida 32311, Attention: Donna Fleming.** Please note FGCC Case Number 2023-049873 on the face of the payment.

14. The Parties acknowledge and agree that this Consent Order is subject to approval by the Florida Gaming Control Commission ("Commission"). The Consent Order will have no force and effect unless the Commission files a Final Order adopting this Consent Order. Should this Consent Order be rejected, no statement made in furtherance of it by Respondent may be used as direct evidence against Respondent in any proceedings.

15. Upon the Commission's adoption of this Consent Order, Respondent expressly waives all further procedural steps, including all rights to seek judicial review of this Consent Order, and waives the right to seek attorneys' fees or costs from the Division in connection with these proceedings.

16. This Consent Order is executed by the Parties for the purpose of avoiding further administrative action with respect to the matters addressed hereby. In this regard, Respondent authorizes the Commission to review and examine all materials concerning Respondent, prior to or in conjunction with consideration of this Consent Order. Should the Commission not accept this Consent Order, it is agreed that presentation to and consideration of this Consent Order and other documents and matters by the Commission shall not unfairly or illegally prejudice the Commission from further participation, consideration, or resolution of these proceedings.

17. This Consent Order may be signed in counterparts, and copies shall be treated as original.

18. The Parties agree that this serves as notice that the signed Consent Order will be placed on the next available Commission meeting.

19. Respondent is not required to attend the Commission meeting. If Respondent would like to attend, he/she is responsible for checking the Florida Gaming Control Commission website at www.flgaming.gov, for the meeting materials, agenda, and contact information.

20. Respondent authorizes the Division to correct any typographical errors or make any non-material changes to this Consent Order after it is signed.

[Signature pages to follow]

WHEREFORE, SOUTH MARION REAL ESTATE HOLDINGS, INC. d/b/a OXFORD DOWNS POKER ROOM, requests that the Commission enter a Final Order approving and incorporating this Consent Order in resolution of this matter.

SIGNED this 7 day of November, 2023.

SOUTH MARION REAL ESTATE HOLDINGS, INC. d/b/a OXFORD DOWNS POKER ROOM, Respondent

Signed on behalf of South Marion Real Estate Holdings, Inc., d/b/a Oxford Downs Poker Room by:

Joshua Mendola

Printed Name

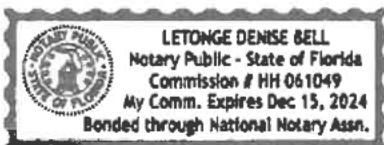
Joshua Mendola

Title

STATE OF Florida

COUNTY OF Marion

The foregoing instrument was acknowledged before me, by means of physical presence or online notarization, this 7th day of November, 2023, by _____, who is personally known to me or who produced the following as identification: Driver Licences



Letonge Bell

Notary Public

My commission expires:

APPROVED this 14 day of November, 2023.

Emily Alvarado

EMILY ALVARADO
DEPUTY CHIEF ATTORNEY
Division of Pari-Mutuel Wagering
Florida Gaming Control Commission

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FILED FLORIDA GAMING CONTROL COMMISSION Date: 10/09/2023 File Number: _____ BY: MELBA L. APELLANIZ CLERK OF THE COMMISSION

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2023-049873

SOUTH MARION REAL ESTATE HOLDINGS,
INC. d/b/a OXFORD DOWNS POKER ROOM,

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against South Marion Real Estate Holdings, Inc., d/b/a Oxford Downs Poker Room (“Respondent”), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering and cardroom operations pursuant to chapters 550 and 849, Florida Statutes.
2. At all times material hereto, Respondent held a pari-mutuel wagering permit pursuant to Section 550.054, and license pursuant to Section 550.0115, both issued by the Commission.
3. At all times material hereto, Respondent held a valid cardroom license number 545 issued by the Commission under section 849.086, Florida Statutes, and operated a cardroom within the meaning of section 849.086(2)(c), Florida Statutes, at its Facility (the “Cardroom”).
4. The Cardroom is a Facility operated by the Respondent, at all times material hereto, and is located at 17996 South US Highway 301 Summerfield, Florida 34491.

5. On August 22, 2023, it was discovered that Respondent failed to issue a photo identification to a new cardroom employee.

6. Rule 75-11.012(9), Florida Administrative Code, provides that “[c]ardroom operators are required to issue a photo identification to all cardroom employees.”

7. On or about August 22, 2023, Commission staff informed Darrell Donnelly, employed with Respondent as Cardroom Manager, that by failing to issue the new security employee a photo identification, Respondent was in violation of Rule 75-11.012(9).

8. Based on the foregoing, Respondent violated rule 75-11.012(9), Florida Administrative Code, by failing to issue a photo identification to a cardroom employee.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties specified in section 550.105, Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2023-049873 is signed this 9th day of October 2023.

/s/Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
FBN: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399-2202
Telephone: (850) 794-8066
Facsimile: (850) 536-8709
Primary: Emily.Alvarado@flagaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

NOTICE OF RIGHTS

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

[FAQ](#) | [Help](#) | [Sign Out](#)

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
Complaint Search Update	Change Recording License Type Mass Status Update	License Type Public Case Info	Delete Complaint	Mass Activity Update	Mass Discipline				

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: cstubbs1

[VR Home](#) > [Complaint Search](#) > [Maintain Complaint](#)

Lic Type	1002 - Cardroom License	Status	90 Closed	Status Date	09/25/2023
Complaint #	2023049873	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#	Respondent	SOUTH MARION REAL ESTATE HOLDINGS, LLC	Responsible	bjones - JONES, BRADFORD	Private Case

Complaint	Respondent	Complainant	Add'l Info
Source	INTN - Internal	Security Level	1
Form	INTR - Internal	Priority	
Class'n	V-C - Cardroom Violations	Complexity	R - Regular
Security	STND - Standard	Incident	08/22/2023
Region	NR - Northern Region	Received	09/05/2023
Reference	75-11.012 (9)		
Entered	09/05/2023	Entered By	cstubbs1
Summary	9/6/2023 - 9/7/2023 - Received in Legal, Case assigned to E.ALVARADO. 545- South Marion Real Estate Holdings, LLC: (9) Duties of Cardroom Operators: On August 23, 2023, a new Security Guard, did not have a photo identification issued by Oxford Downs.		
Updated	09/26/2023 09:26:59	By	cstubbs1

<input type="checkbox"/>	Parties	<input checked="" type="checkbox"/>	Activities
<input checked="" type="checkbox"/>	Allegations	<input type="checkbox"/>	Discipline
<input type="checkbox"/>	Violations	<input type="checkbox"/>	Compliance
<input type="checkbox"/>	Related	<input checked="" type="checkbox"/>	Disposition
<input type="checkbox"/>	Inspection		
<input type="checkbox"/>	Costs		
<input type="checkbox"/>	Time Tracking		Auto Assign
<input type="checkbox"/>	Attachments		History
<input type="checkbox"/>	Work Notes		Print Report

Change	Save	OK	Cancel	Back
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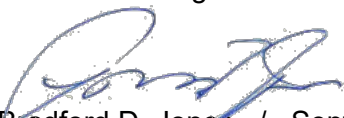
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Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office: PMW	Region: CENTRAL	Date of Complaint: SEPTEMBER 5, 2023	Case Number: 2023 04 9873
Respondent: SOUTH MARION REAL ESTATE HOLDINGS, LLC 106 EAST COLLEGE AVENUE SUITE 810 TALLAHASSEE, FLORIDA 32301 Phone: 352-347-2272		Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 WEST COMMERCIAL BOULEVARD, SUITE 165 FT. LAUDERDALE, FLORIDA 33309	
License # and Type: 545 / 1002		Profession: PERMIT HOLDER	Report Date: SEPTEMBER 14, 2023
Period of Investigation: AUGUST 22, 2023 – SEPTEMBER 14, 2023		Type of Report: FINAL	
Alleged Violation: 75-11.012 (9) Duties of Cardroom Operators: (9) Cardroom operators are required to issue a photo identification to all cardroom employees. The photo identification shall include, at a minimum, the name of the cardroom facility, cardroom employee occupational license number, and the employee's name.			
Synopsis: On August 22, 2023, I conducted a routine facility visit at the Oxford Downs Poker Room. During my inspection, I was introduced to a new employee, Security Guard Christopher SHEARON . I noticed he was not wearing any photo identification, and upon asking him for it, he told me he had not been provided one yet by the facility.			
Related Case: 2022 05 5140			
Investigator / Date <i>/s/ Lee Ann Rounds</i> Lee Ann Rounds / September 14, 2023		Investigator Supervisor / Date <i>C. Derek Washington</i> C. Derek Washington / September 25, 2023	
Chief of Investigations / Date  Bradford D. Jones / September 25, 2023			

CONTINUATION

Investigative Activity:

On August 22, 2023, at approximately 10:30 AM, while conducting a cardroom inspection, I discovered a newly hired Security Guard, Christopher **SHEARON** (PMW License #13704776), did not have a photo identification issued to him. **SHEARON** stated it was his second day of work and that he was still in training. He said he provided a photo for it the day prior to my inspection but had not yet received the cardroom identification badge. I learned that Chief Operating Officer Joshua **MENDOLA** (PMW License #10014669), who oversees providing employees with their photo identification badges, was not at work that day for **SHEARON** to obtain his photo ID.

On August 22, 2023, at approximately 11:50 AM, I interviewed Cardroom Manager Darrell **DONNELLY** (PMW License #11022678). He acknowledged there had been a system in place to avoid this issue when **MENDOLA** was not at work however, in this instance, the system did not appear to work. **DONNELLY** then contacted **MENDOLA** and had him come in to create the photo identification badge for **SHEARON** that day.

OXFORD DOWNS POKER ROOM violated the above-cited Rule by allowing an employee to work in the cardroom before receiving photo identification.

A check of the Versa Regulation Enforcement database shows one prior violation of the above-cited rule:

- Case #2022 05 5140, Consent Order issued, with a \$250 fine imposed.

Status: Investigations case closed and forwarded to Legal for review.

TABLE OF CONTENTS

EXHIBITS:



- 1. Oxford Downs Poker Room License.....1-1
- 2. Shearon License.....1-1
- 3. DONNELLY License.....1-1
- 4. Prior Case (Consent Order).....1-13

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
License Search		Entity Search		Modify License Standing		Maintain License CE Control			

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **dwashingto**

[VR Home](#) > [License Search](#) > [License Home](#)

<p>License</p> <p>Fed Tax # [REDACTED] Lic Type 1002 - Cardroom License Expires On 06/30/2024</p> <p>File # 60 Name SOUTH MARION REAL ESTATE HOLDINGS, LLC Extended To</p> <p>License # 545 Rank CLIC - Cardroom Operating License Renewed On</p> <p>Entity # 545 Lic Status Current</p>		<input type="checkbox"/> <p>Licensee</p> <p>History</p> <p>Notes</p> <p>Notes History</p> <p>Back</p>								
<p>Address</p> <p>Street # 106 Street EAST COLLEGE AVENUE</p> <p>Line 2 SUITE 810</p> <p>Line 3</p> <p>City TALLAHASSEE State FL Zip 32301</p> <p>Routing Monica Harper</p>										
<p>Other</p> <p>1st License Date 04/05/2023 Rank Date 04/05/2023 Certificate #</p> <p>Method I-S-1020 Status Date 02/25/2016 Certificate Date</p> <p>Fee Exempt No Birth Date Renewal Sent</p>										
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<p>Modifiers</p> <table border="1"> <thead> <tr> <th>Type</th> <th>Modifier</th> <th>Effective Date</th> <th>Additional Info</th> </tr> </thead> <tbody> <tr> <td colspan="4">No items found.</td> </tr> </tbody> </table>			Type	Modifier	Effective Date	Additional Info	No items found.			
Type	Modifier	Effective Date	Additional Info							
No items found.										
<p>Alt Keys</p> <p>BEST LIC NBR 545</p>										

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EX #1


VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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[License Search](#) | [Entity Search](#) | [Modify License Standing](#) | [Maintain License CE Control](#)

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **dwashingto**

[VR Home](#) > [License Search](#) > [License Home](#)

<p>License</p> <p>Fed Tax # [REDACTED] Lic Type 1022 - PMW General Individual Occupational Expires On 06/30/2026</p> <p>File # 103209 Name Shearon, Christopher Nathaniel Extended To</p> <p>License # 13704776 Rank GIND - General Individual Occupational Renewed On</p> <p>Entity # 13704776 Lic Status Current</p>		<input type="checkbox"/> <p>Licensee</p> <p>History</p> <p>Notes</p> <p>Notes History</p> <p>Back</p>																				
<p>Address</p> <p>Street # 5460 Street NORTHEAST 11TH AVENUE</p> <p>Line 2</p> <p>Line 3</p> <p>City OCALA State FL Zip 34479</p> <p>Routing</p>																						
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Y	3YR - 3 Year License																					
<p>Alt Keys</p> <p>BEST LIC NBR 13704776</p>																						

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EX #2



557

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
License Search		Entity Search		Modify License Standing		Maintain License CE Control			

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **dwashingto**

[VR Home](#) > [License Search](#) > [License Home](#)

<p>License</p> <p>Fed Tax # XXXXXXXXXX Lic Type 1021 - PMW Professional Individual Occupational Expires On 06/30/2024</p> <p>File # 70732 Name DONNELLY, DAROLD RAY Extended To</p> <p>License # 11022678 Rank PIND - Professional Individual Occupational Renewed On</p> <p>Entity # 11022678 Lic Status Current</p>		<input type="checkbox"/> <table border="1"> <tr><td>Licensee</td></tr> <tr><td>History</td></tr> <tr><td>Notes</td></tr> <tr><td>Notes History</td></tr> <tr><td>Back</td></tr> </table>	Licensee	History	Notes	Notes History	Back										
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<p>Alt Keys</p> <p>BEST LIC NBR 11022678</p>																	

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STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,
Petitioner,

v.

FGCC Case No.: 2022-051624
2022-055140

SOUTH MARION REAL ESTATE HOLDINGS,
INC.

Respondent.

FINAL ORDER APPROVING STIPULATION AND CONSENT ORDER

This matter appeared before the Florida Gaming Control Commission at a duly-noticed public meeting, pursuant to section 120.57(4), Florida Statutes, on February 8, 2023, for consideration of a Stipulation and Consent Order (attached hereto as Exhibit A) entered into between the parties in the above-styled cause. After a complete review of the record in this matter, the Commission approves and adopts the Stipulation and Consent Order.

Therefore it is Ordered and **Adjudged**:

1. The parties shall abide by the agreement attached hereto as Exhibit A.

This Final Order shall take effect upon being filed with the Clerk of the Commission.

DONE AND ORDERED this 9th day of FEBRUARY, 2023.

FLORIDA GAMING CONTROL COMMISSION



CLERK OF THE COMMISSION

On Behalf of

Michael Yaworsky, Vice-Chairman
Julie Brown, Commissioner
John D'Aquila, Commissioner
Charles Drago, Commissioner

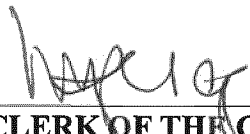
NOTICE OF RIGHT TO APPEAL

Any party to this proceeding has the right to seek its judicial review under section 120.68, Florida Statutes, by the filing of an original notice of appeal pursuant to rules 9.110 and 9.190, Florida Rules of Appellate Procedure, with the Clerk of the Commission, 2601 Blair Stone Road, Tallahassee, Florida 32399-2202 (Email: clerk@fgcc.fl.gov), and by filing a copy of the notice of appeal accompanied by the applicable filing fees with the appropriate Florida district court of appeal. The notice of appeal must be filed (received) by the Clerk of the Commission within thirty (30) days after the date this Order is filed with the Clerk of the Commission.

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that on this 9th day of FEBRUARY, 2023, a true and correct copy of this Final Order has been sent via U.S. Mail to:

South Marion Real Estate Holdings, Inc.
c/o T.J. Morton
The Lockwood Law Firm
106 E. College Avenue, Suite 810
Tallahassee, Florida 32301



CLERK OF THE COMMISSION
Florida Gaming Control Commission

CC: Ebonie Lanier

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case Nos.: 2022-051624
2022-055140

SOUTH MARION REAL ESTATE HOLDINGS,
LLC,

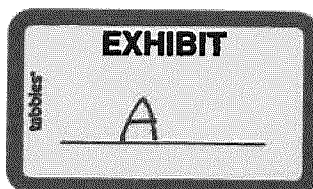
Respondent.

_____ /

STIPULATION AND CONSENT ORDER

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Division”), and South Marion Real Estate Holdings, LLC (“Respondent”), stipulate and agree to the following terms and issuance of this Stipulation and Consent Order (“Consent Order”):

1. At all times material hereto, Respondent held a Cardroom permit and license, number 545-1002, issued by the Division.
2. This Consent Order is to be entered in resolution of the three-count Administrative Complaint filed in FGCC Case Numbers 2022-051624 and 2022-055140, alleging that:
 - a. On October 24, 2022, Respondent was in violation of Rule 61D-11.014(4)(b)2, Florida Administrative Code, by failing to indicate the time a damaged card was withdrawn from play on the damaged card envelope
 - b. On October 24, 2022, Respondent was in violation of Rule 61D-11.014(4)(c), Florida Administrative Code, by failing to permanently alter a damaged card that was withdrawn from play.



- c. On or about October 24, 2022, November 1, 2022, and November 14, 2022 Respondent was in violation of Rule 61D-11.012(9), Florida Administrative Code, by failing to provide identification cards to multiple employees that contained a photo.

STIPULATION

WHEREAS the Division and Respondent (“Parties”), desire to resolve this matter, the following terms are stipulated:

3. The Division has jurisdiction over this matter and the Parties.
4. The Division is authorized by Section 120.57, Florida Statutes, to resolve administrative proceedings by consent orders.
5. Each of the Parties has entered into the terms of this Consent Order voluntarily. Respondent is aware that it is entitled to the advice of counsel, and has either sought the advice of counsel or by execution of this Consent Order, is knowingly waiving the opportunity to seek advice of counsel. Respondent acknowledges that the Division has not made any promise, nor has it in any other way encouraged Respondent to enter into this Consent Order without the advice of counsel.
6. Each of the Parties must bear its own costs and legal fees related to this matter, and no financial claims can be made against the Division in this action.
7. The Parties acknowledge and agree to waive any further administrative and judicial review.
8. The Parties understand that this Consent Order will in no way preclude additional proceedings by the Division against Respondent for acts or omissions not specifically set forth herein.

9. Respondent neither admits, nor denies committing the violation set forth in this Consent Order, but this Consent Order may be considered in aggravation of any future proceedings involving Respondent.

10. **FINE:** Respondent agrees to, and must pay to the Division, the total sum of **SEVEN HUNDRED FIFTY DOLLARS (\$750.00)**, at the time Respondent submits an executed copy of this Consent Order. The fines are assessed as follows:

a. **2022-051624: \$500.00**

b. **2022-055140: \$250.00**

11. **The payment must be in the form of a certified check, cashier's check, or money order, made payable to the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (NO PERSONAL CHECKS).** Division's adoption of this Consent Order constitutes acknowledgment of receipt of payment of the above amount.

12. Respondent must mail this Consent Order and the payment to: **Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, 2601 Blair Stone Road, Tallahassee, Florida 32399-1035, Attention: Donna Fleming.** Please note FGCC Case Numbers 2022-051624 and 2022-055140 on the face of the payment.

13. The Parties acknowledge and agree that this Consent Order is subject to approval by the Florida Gaming Control Commission ("Commission"). The Consent Order will have no force and effect unless the Commission files a Final Order adopting this Consent Order. Should this Consent Order be rejected, no statement made in furtherance of it by Respondent may be used as direct evidence against Respondent in any proceedings.

14. Upon the Commission's adoption of this Consent Order, Respondent expressly waives all further procedural steps, including all rights to seek judicial review of this Consent

Order, and waives the right to seek attorneys' fees or costs from the Division in connection with these proceedings.

15. This Consent Order is executed by the Parties for the purpose of avoiding further administrative action with respect to the matters addressed hereby. In this regard, Respondent authorizes the Commission to review and examine all materials concerning Respondent, prior to or in conjunction with consideration of this Consent Order. Should the Commission not accept this Consent Order, it is agreed that presentation to and consideration of this Consent Order and other documents and matters by the Commission shall not unfairly or illegally prejudice the Commission from further participation, consideration, or resolution of these proceedings.

16. This Consent Order may be signed in counterparts, and copies shall be treated as original.

17. The Parties agree that this serves as notice that the signed Consent Order will be placed on the next available Commission meeting.

18. Respondent is not required to attend the Commission meeting. If Respondent would like to attend, he/she is responsible for checking the Florida Gaming Control Commission website at www.fgcc.fl.gov, for the meeting materials, agenda, and contact information.

19. Respondent authorizes the Division to correct any typographical errors or make any non-material changes to this Consent Order after it is signed.

[Signature pages to follow]

WHEREFORE, SOUTH MARION REAL ESTATE HOLDINGS, LLC, requests that the Commission enter a Final Order approving and incorporating this Consent Order in resolution of this matter.

SIGNED this 17 day of January, 2023.


SOUTH MARION REAL ESTATE HOLDINGS,
LLC, Respondent

Signed on behalf of South Marion Real Estate Holdings, LLC by:

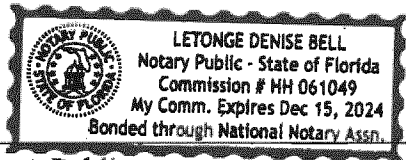
Joshua Mendola
Printed Name

Chief Operating Officer
Title

STATE OF Florida

COUNTY OF Marion

The foregoing instrument was acknowledged before me, by means of physical presence or online notarization, this 17 day of January, 2023, by Joshua Mendola, who is personally known to me or who produced the following as identification: Driver Licences





Notary Public

My commission expires:

APPROVED this 25 day of January, 2023.

Emily Alvarado

EMILY ALVARADO
DEPUTY CHIEF ATTORNEY
Division of Pari-Mutuel Wagering
Florida Gaming Control Commission

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

V.

SOUTH MARION REAL ESTATE HOLDINGS,
LLC,

FGCC Case Nos.: 2022-051624
2022-055140

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against South Marion Real Estate Holdings, LLC (“Respondent”), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering and cardroom operations pursuant to Chapters 550 and 849, Florida Statutes.
2. At all times material hereto, Respondent held a Cardroom permit and license, number 545-1002, issued by Petitioner.

COUNT 1

3. Petitioner re-alleges and adopts paragraphs numbered one and two as if set forth fully herein.
4. On or about October 24, 2022, Respondent failed to indicate the time a damaged card was withdrawn from play on the damaged card envelope.

5. Rule 61D-11.014(4)(b)2, Florida Administrative Code, provides “[t]he sealed envelope or evidence bag shall be marked with the table number, the date, and time the deck was withdrawn from play.

6. Based on the foregoing, Respondent violated Rule 61D-11.014(4)(b)2, Florida Administrative Code, by failing to indicate the time a damaged card was withdrawn from play on the damaged card envelope.

COUNT II

7. Petitioner re-alleges and adopts paragraphs numbered one and two as if set forth fully herein.

8. On or about October 24, 2022, Respondent failed to permanently alter a damaged card that was withdrawn from play.

9. Rule 61D-11.014(4)(c), Florida Administrative Code, provides that “[c]ards which have been removed from play shall be permanently altered so that the cards cannot be put back into play.

10. Based on the foregoing, Respondent violated Rule 61D-11.014(4)(c), Florida Administrative Code, by failing to permanently alter a damaged card that was withdrawn from play.

COUNT III

11. Petitioner re-alleges and adopts paragraphs numbered one and two as if set forth fully herein.

12. On or about October 24, 2022, November 1, 2022, and November 14, 2022, it was discovered that Respondent failed to provide identification cards to multiple employees that contained a photo.

13. Rule 61D-11.012(9), Florida Administrative Code, provides, in pertinent part, that “[c]ardroom operators are required to issue a photo identification to all cardroom employees.”

14. Based on the foregoing, Respondent violated Rule 61D-11.012(9), Florida Administrative Code, by failing to provide identification cards to multiple employees that contained a photo.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties specified in Sections 550.105 and 849.086(14)(c), Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Numbers 2022-051624 and 2022-055140 is signed this 20th day of October, 2022.

/s/ Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 717-1783
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@fgcc.fl.gov
Secondary: Ebonie.Lanier@fgcc.fl.gov

NOTICE OF RIGHTS

Pursuant to Sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to Rule 28-106.2015, Florida Administrative Code. Pursuant to Rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under Section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

4. Discussion of default final orders

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Deputy Chief Attorney
Re: FGCC v. Kevin Jermain Ramos Case No. 2022-045861, Default Final Order
Date: November 17, 2023

Executive Summary

The Division of Pari-Mutuel Wagering seeks the permanent exclusion of Kevin Jermain Ramos (“Respondent”) from all pari-mutuel and slot facilities in the state of Florida and the revocation of Respondent’s Slot Machine/Cardroom/Pari-Mutuel Combination Occupational License. By failing to respond to the publication notice, Respondent waived his right to request a hearing contesting the Division’s decision. Therefore, the Florida Gaming Control Commission should enter a final order excluding Respondent from all pari-mutuel and slot facilities in the state of Florida and revoking Respondent’s Slot Machine/Cardroom/Pari-Mutuel Combination Occupational License.

Background

While working at Casino Miami¹, Respondent was seen via surveillance footage removing money from Ticket Redemption Units cassettes on or about August 14, 2022. Respondent was also seen documenting the incorrect amounts on the ticket redemption unit cassette control log. Respondent was permanently excluded from Casino Miami² on September 2, 2022. Respondent was also arrested for Grand Theft following this incident and failed to provide written notification to the Commission. On September 3, 2022, Respondent was convicted of Grand Theft in Miami Dade County and did not notify the Commission of this conviction within 48 hours.

The Division filed a five-count complaint and attempted serve Respondent via USPS certified mail on three occasions but failed to achieve service. The Division attempted to serve Respondent via handservice but failed to achieve service. The Division requested that a notice be placed with the Broward County Daily Business

¹ Casino Miami is operated by a pari-mutuel wagering permitholder that also possesses a slot machine and cardroom license.

² Pari-mutuel permitholders and slot machine licensees have the right to exclude patrons. §§ 550.0251(6); 551.112, Fla. Stat.

Review.³ It was published on September 15, 2023, September 22, 2023, September 29, 2023, and October 6, 2023. Respondent was given until October 13, 2023, to respond to this notice. He has never responded.

Analysis

Respondent can be excluded from all pari-mutuel and slot machine facilities in this state. Section 550.0251(6), Florida Statutes, provides, in relevant part, that “[t]he Commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state.” Likewise, section 551.112, Florida Statutes, provides, in relevant part “[t]he Commission may exclude from any facility of a slot machine licensee any person who has been ejected from a facility of a slot machine licensee in this state.” Casino Miami is both a pari-mutuel facility and slot machine licensee in this state. And Respondent was ejected from it. Accordingly, Respondent can be excluded from all pari-mutuel and slot machine facilities in this state.

Furthermore, section 550.105(5)(b), Florida Statutes, provides, in pertinent part that “the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state...”

Section 550.105(10)(d), Florida Statutes, provides that each licensee shall inform the Division, within 48 hours, “if he or she is convicted of or has entered a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.” Accordingly, Respondent license can be revoked or suspended based on the felony convictions and Respondent’s failure to inform the Division of this conviction within 48 hours.

Rule 75-11.011, Florida Administrative Code, provides:

Any cardroom occupational licensee shall provide written notification to the division when the licensee has been arrested, indicted, or charged in any other way with a criminal offense within five days of the arrest, or if not

³ Section 120.60(5), Florida Statutes, provides, “when personal service cannot be made and the certified mail notice is returned undelivered, the agency shall cause a short, plain notice to the licensee to be published once each week for 4 consecutive weeks in a newspaper published in the county of the licensee’s last known address as it appears on the records of the agency. If no newspaper is published in that county, the notice may be published in a newspaper of general circulation in that county.”

arrested, within five days of the receipt of a charging document.

Because Respondent failed to file a timely response to the publication notice, he waived his right to request a hearing. Therefore, the Florida Gaming Control Commission may enter a final order excluding Respondent from all pari-mutuel and slot machine facilities in this state and revoking or suspending Respondent's Slot Machine/Cardroom/Pari-Mutuel Combination Occupational License.

Staff Recommendation: The Florida Gaming Control Commission should enter a final order permanently excluding Kevin Jermain Ramos from all pari-mutuel and slot machine facilities in this state and revoking Respondent's Slot Machine/Cardroom/Pari-Mutuel Combination Occupational License.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2022-045861

KEVIN JERMAIN RAMOS,

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against Kevin Jermain Ramos (“Respondent”), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering, slot machines, and cardroom operations pursuant to chapters 550, 551, and 849, Florida Statutes.
2. At all times material hereto, Respondent held a Slot Machine/Cardroom/Pari-Mutuel Combination Occupational License, number 11916424-1055, issued by Petitioner.
3. At all times material hereto, Casino Miami, LLC was a facility operated by a permitholder authorized to conduct pari-mutuel wagering, slot machines, and cardroom operations in the State of Florida.

COUNT I

4. Petitioner realleges and adopts paragraphs numbered one, two, and three as if set forth fully herein.

5. On or about September 2, 2022, Respondent was permanently excluded from Casino Miami, LLC.

6. Respondent was permanently excluded for the reasons alleged in Exhibit 1.

7. Section 550.0251(6), Florida Statutes, provides in relevant part:

In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the commission may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state.

(Emphasis supplied).

8. Section 551.112, Florida Statutes, provides:

In addition to the power to exclude certain persons from any facility of a slot machine licensee in this state, the commission may exclude any person from any facility of a slot machine licensee in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any facility of a slot machine licensee any person who has been ejected from a facility of a slot machine licensee in this state or who has been excluded from any facility of a slot machine licensee or gaming facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over the gaming in such other state. This section does not abrogate the common law right of a slot machine licensee to exclude a patron absolutely in this state.

(Emphasis supplied).

9. Based on the foregoing, Respondent is subject to exclusion from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the state of Florida under

sections 550.0251(6) and 551.112, Florida Statutes based on his ejection from Casino Miami, LLC, on or about September 2, 2022.

COUNT II

10. Petitioner realleges and adopts paragraphs numbered one, two, and three as if set forth fully herein.

11. On or about August 14, 2022, August 18, 2022, August 26, 2022, August 28, 2022, and September 2, 2022, Respondent was seen via surveillance footage removing money from Ticket Redemption Units cassettes. Respondent was also seen documenting the incorrect amounts on the ticket redemption unit cassette control log.

12. Rule 61D-11.005(4), Florida Administrative Code, provides, in pertinent part, that “[n]o person shall, either directly or indirectly (a) [e]mploy or attempt to employ any device, scheme, or artifice to defraud any participant in a game or the cardroom operator.”

13. Based on the foregoing, Respondent violated rule 61D-11.005(4), Florida Administrative Code, by employing a device, scheme, or artifice to defraud the cardroom operator.

COUNT III

14. Petitioner realleges and adopts paragraphs numbered one, two, and three as if set forth fully herein.

15. On or about September 2, 2022, Respondent was arrested for Grand Theft.

16. Respondent failed to provide written notification to Petitioner within five days of his September 2, 2022 arrest.

17. Rule 61D-11.011, Florida Administrative Code, states:

Any cardroom occupational licensee shall provide written notification to the division when the licensee has been arrested, indicted, or charged in any other way with a criminal offense within five days of the arrest, or if not arrested, within five days of the

receipt of a charging document. Licensees are not required to report non-criminal traffic offenses.

18. Based on the foregoing, Respondent violated rule 61D-11.011, Florida Administrative Code, by failing to provide written notification to Petitioner within five days of his September 2, 2022 arrest.

COUNT IV

19. Petitioner realleges and adopts paragraphs numbered one, two, and three as if set forth fully herein.

20. On or about September 3, 2022, Respondent was convicted of Grand Theft, a felony, in Miami-Dade County, Florida, in case number 13-2022-MM-017604-0001-XX.

21. Pursuant to section 550.105(5)(b), Florida Statutes:

The division may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for or holder thereof has violated the provisions of this chapter or the rules of the division governing the conduct of persons connected with racetracks and frontons. In addition, the division may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

22. Pursuant to section 551.107(6)(a), Florida Statutes:

The commission may deny, suspend, revoke, or refuse to renew any slot machine occupational license if the applicant for such license or the licensee has violated the provisions of this chapter or the rules of the commission governing the conduct of persons connected with slot machine gaming. In addition, the commission may deny, suspend, revoke, or refuse to renew any slot machine occupational license if the applicant for such license or the licensee has been

convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state that would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; racketeering; or a crime involving a lack of good moral character, or has had a gaming license revoked by this state or any other jurisdiction for any gaming-related offense.

23. Pursuant to section 849.086(6)(g), Florida Statutes:

The commission may deny, declare ineligible, or revoke any cardroom occupational license if the applicant or holder thereof has been found guilty or had adjudication withheld in this state or any other state, or under the laws of the United States of a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority.

24. Section 550.105(5)(d), Florida Statutes, provides the term “convicted” means “having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere.”

25. Based on the foregoing, Respondent is subject to revocation pursuant to sections 550.105(5)(b), 551.107(6)(a), and 849.086(6)(g), Florida Statutes.

COUNT V

26. Petitioner realleges and adopts paragraphs numbered one through three as if set forth fully herein.

27. Respondent failed to notify Petitioner within 48 hours of his September 3, 2022 conviction for Grand Theft in Miami-Dade County, Florida.

28. Pursuant to section 550.105(10)(d), Florida Statutes, each licensee shall inform the Commission, within 48 hours, “if he or she is convicted of or has entered a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.”

29. Based on the foregoing, Respondent violated section 550.105(10)(d), Florida Statutes, by failing to inform the Commission of his September 3, 2022 conviction for a disqualifying offense within 48 hours.

WHEREFORE, Petitioner respectfully requests the Commission enter an Order excluding Respondent from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the State of Florida, revoking Respondent's Slot Machine/Cardroom/Pari-Mutuel Combination Occupational License, along with any other remedy provided by chapters 550, 551, and 849, Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2022-045861 is signed this 25th day of January, 2023.

/s/ Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 717-1783
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@fgcc.fl.gov
Secondary: Ebonie.Lanier@fgcc.fl.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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- | | | | | |
|------------------------------------|---|----------------------------------|--------------------------------------|--|
| Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update |
| Mass Status Update | Public Case Info | | | |

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **Imuniz**

[VR Home](#) > [Complaint Search](#) > **Maintain Complaint**

Lic Type	1055 - Slot Machine/Cardroom/Pari-Mutuel Individual Combination	Status	90 Closed	Status Date	11/28/2022
Complaint #	2022045861	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#	Respondent	RAMOS, KEVIN JERMAIN	Responsible	jminaya - MINAYA, JULIO	Private Case

Complaint	Respondent	Complainant	Add'l Info
-----------	------------	-------------	------------

Source	INTN - Internal	Security Level	1	<input type="checkbox"/>	Parties	<input checked="" type="checkbox"/>	Activities
Form	INSP - Inspection	Priority		<input type="checkbox"/>	Allegations	<input type="checkbox"/>	Discipline
Class'n	303 - Rules	Complexity	R - Regular	<input checked="" type="checkbox"/>	Violations	<input type="checkbox"/>	Compliance
Security	STND - Standard	Incident	09/02/2022	<input type="checkbox"/>	Related	<input checked="" type="checkbox"/>	Disposition
Region	SR - Southern Region	Received	09/19/2022	<input type="checkbox"/>	Inspection		
Reference	551.109	Entered	09/20/2022	<input type="checkbox"/>	Costs		
		Entered By	tsantos	<input type="checkbox"/>	Time Tracking		Auto Assign
Summary	273-Casino Miami, LLC ----- / ----- Prohibited Acts; penalties: An employee of Casino Miami, LLC was arrested for the theft of the facility's slot machine proceeds. ----- / ----- . 09/26/2022 - Assigned to Investigations. ----- / ----- . 9/20/2022-An employee of Casino Miami, LLC was arrested for the theft of the facility's slot machine proceeds.			<input type="checkbox"/>	Attachments		History
Updated	11/28/2022 14:11:11	By	Imuniz	<input type="checkbox"/>	Work Notes		Print Report

56	Change	Save	OK	Cancel	Back
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Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office: PMW	Region: SOUTHERN	Date of Complaint: September 2, 2022	Case Number: 2022 04 5861
Respondent: RAMOS, KEVIN JERMAIN 1458 NW 81 TERRACE PLANTATION, FL 33322		Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 W. COMMERCIAL BLVD. SUITE 165 FT. LAUDERDALE, FLORIDA 33309 TEL. (954) 202-3900	
License # and Type: 11916424 - 1055		Profession: Casino Employee / Main Cage Banker	Report Date: November 15, 2022
Period of Investigation: September 9, 2022 thru November 15, 2022		Type of Report: Final	
<p>Alleged Violation: 61D-14.090 Prohibited Acts. (2) No person shall conspire with, solicit, aid, abet, counsel, hire, or procure any other person or persons to engage in a violation of Chapter 551, F.S., or Chapter 61D-14, F.A.C., nor shall he or she commit any such act on his or her own.</p> <p>F.S.S. 551.107(7) Slot Machine occupational license; Findings; application; fee.- (e) ..." each person who is licensed or who is fingerprinted as required by this section must agree to inform the commission within 48 hours if he or she is convicted of or has entered a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication."</p> <p>F.S.S. 551.109 Prohibited acts; penalties.— (3) Any person who knowingly excludes, or takes any action in an attempt to exclude, anything of value from the deposit, counting, collection, or computation of revenues from slot machine activity, or any person who by trick, sleight-of-hand performance, a fraud or fraudulent scheme, or device wins or attempts to win, for himself or herself or for another, money or property or a combination thereof or reduces or attempts to reduce a losing wager in connection with slot machine gaming commits a felony of the third degree, punishable as provided in s. 775.082, s. 775.083, or s. 775.084.</p>			
<p>Synopsis: On September 2, 2022, between the hours of 2:32 A.M. and 6:19 A.M., Casino Miami (CM) Cage Cashier Kevin Jermain RAMOS was observed by surveillance personnel removing the plastic numbered security seals fastened on the Ticket Redemption Units (TRU) cassettes that had been refilled with money and removing monies from them. He was also captured on video footage taking monies from other TRU cassettes that were refilled and sealed but had extra bills hanging from the back. RAMOS would then conceal the money in a designated area inside of the Main Bank Drawer. Prior to taking a break, RAMOS was observed taking the money from the drawer with his left hand, and clenching it in his fist while walking out of the Main Bank and Casino Cage. He then exited the facility, entered a vehicle and drove away. He returned to the facility at 7:36 A.M., and was later detained, interviewed and placed under arrest by Miami Police Department and charged with Felony Grand Theft. (Exhibit's 2 & 5)</p>			
Related Case(s):			
Investigator / Date <i>Raul Suau</i> Raul Suau / November 15, 2022		Investigator Supervisor / Date <i>Julio Minaya</i> Julio Minaya / November 28, 2022	
Chief of Investigations / Date <i>Steven E. Kogan</i> Steven E. Kogan / November 28, 2022			

CONTINUATION

On September 9, 2022, while conducting a routine facility inspection of Casino Miami, I was informed by FGCC/PMW Slot Specialists Frank Gomez and Jackelin Rodriguez that Casino Miami Main Banker/Cashier Kevin Jermain **RAMOS** was arrested on September 2, 2022, for stealing monies from the Main Bank's cash drawer. Because the facility was unaware of the time frame in which **RAMOS** had been stealing an Internal Investigation including an audit was conducted by the facility going back 14 days of surveillance and document review. Once the facility completed their inquiry, FGCC/PMW Slots Department received all relative documents related to this incident.

On September 19, 2022, I e-mailed Casino Miami Director of Human Resources and State Compliance Beatriz Perez, and requested copies of all Security, Surveillance Reports and video footage related to this incident. On September 23, 2022, I received Security Report CMJ-000005095, Surveillance Reports CMJ-000011160, 000011163, 000011175 and video footage of the incident. **(Exhibit's 1, 2, 3, 10)**

On September 26, 2022, FGCC/PMW Slot Operation Manager Dennis Chen forwarded a copy of their completed Investigation and all related paperwork which was carried under PMW Slot Incident Report 2022-04-5861. Subsequently on October 3, 2022, this case was assigned to this Investigator. **(Exhibit # 5, 6, 7)**

A PMW licensing check on Kevin Jermain **RAMOS** revealed that he is licensed as a Cage Cashier. He applied for and was issued a three (3) year license on April 6, 2022, which expires on June 30, 2025. An Enforcement Alert was placed on **RAMOS's** Versa license requesting that he provide court documents related to his arrest in this matter. **(Exhibit #9)**

A Comprehensive Case Information System (CCIS) inquiry was conducted. Results show that on September 2, 2022, **RAMOS** was arrested and charged with Felony Grand Theft. On September 3, 2022, the charge was reduced to a Misdemeanor and the case was dispositioned, Adjudication Withheld. He was assessed \$368.00 in court fees. As required by State Statute **RAMOS** failed to notify the Commission within 48 hours of being convicted and having his case adjudicated. **(Exhibit #8)**

On October 7, 2022, I interviewed CM Cage Manager Daniela Sandoval in reference to this matter. Sandoval stated that while reviewing the Cage Accountability Report for August 29, 2022, she observed a variance of \$100.00. She ordered all of the TRU¹ Cassette's opened and conducted an audit to verify their balances. TRU Cassette #605 was found to be short \$100.00. This TRU Cassette contained \$100.00 bills and was supposed to contain \$50,000.00. Instead, it only contained \$49,900.00. The cassette had been prepared by **RAMOS** on August 27, 2022, and had not been used as of the date of the audit. Sandoval verified with the Ticket Redemption Unit Cassette Control Log, which showed that cassette #605 was prepared on August 27, 2022, by **RAMOS**. As a result of this shortage, **RAMOS's** name was added to the Main Bank Settlement Sheet Schedule D form which shows any differences in the Main Bank. **(Exhibit #13)**

Sandoval also stated that the Cage Accountability Report dated August 29, 2022, which showed the variance would normally wash out² after 24-48 hours. In this case, on September 1, 2022, when she returned from her days off, the discrepancy had not cleared. Sandoval then ordered all of the TRU Cassette's in the Main Cage to be opened and verified. During this second audit TRU Cassette's #401 & 411 prepared by **RAMOS** on September 1, 2022, were also found to be short \$40.00 each. Each of these cassettes contained \$20.00 bills and were supposed to be filled with a total of \$40,000.00 each. Instead, the cassettes each contained \$39,960.00. **(Exhibit #13, Pages 2, 4, 6 & 31)**

It was at this point that Sandoval sent an e-mail to CM Director of Human Resources and State Compliance Beatriz Perez requesting surveillance to review **RAMOS's** shift from 2:00 A.M., to 10:00 A.M., on August 28, 2022, after **RAMOS** completed the drop at 4:30 A.M. She specifically requested that they review the filling of Cassette #605 because it had a shortage of \$100.00. Video review confirmed that **RAMOS** had taken \$100.00 from the cassette as documented in the Cage Accountability Report form dated August 29, 2022, and the Main Bank Settlement Sheet dated August 29, 2022. **(Exhibit #13, Pages 1, 3 & Exhibit #14)**

¹ TRU are known as Ticket Redemption Unit Machines that dispense cash and are used to cash vouchers under \$1,200.00 that have been printed by Slot Machines.

² The term (wash out) means that if there is a variance (overage or shortage) it normally corrects itself within forty-eight hours as it usually does if there are no problems with the balancing. The shortage was documented by the facility via the Main Bank Settlement Sheet, Schedule D form. **(Exhibit #13, Page 1)**

CONTINUATION

Sandoval further stated that **RAMOS** intentionally documented the incorrect amount on the Ticket Redemption Unit Cassette Control Logs form for each cartridge that he had taken money from with the intent of deceiving management in believing that the cassettes had been correctly balanced and replenished with the correct amount.

The facility's investigation concluded that **RAMOS** had taken money from cassettes that were being filled, were already filled and or were being recovered from the TRU's after a drop was conducted of the TRU's Cassette's.

Per Surveillance Reports CMJ000011160, CMJ-000011163, CMJ-000011175 and video footage provided by the Surveillance Department, **RAMOS** was observed during the course of his work shift taking money from numerous TRU Cassettes on the following dates;

- August 14, 2022 - \$520.00
- August 18, 2022 - \$430.00
- August 19, 2022 - \$425.00
- August 26, 2022 - \$421.00
- August 28, 2022 - \$1,167.00
- September 2, 2022 - \$600.00 (**Exhibits 1, 2 & 10**)
- **Totaling** **\$3,563.00**

Although the above incidents were captured on video footage on the above dates, the casinos Standard Operating Procedures dictates that they record the theft as occurring on the previous work day.³ (**Exhibit #13**)

Review of all documents and a review of video footage by this Investigator corroborates what was documented in the facility's Surveillance Reports and what was observed on video by this Investigator. It also confirms that **RAMOS's** actions to intentionally document the incorrect amounts regarding the TRU Cassette's allowed him to go undetected for all of the above dates except for the August 28, 2022 when the variance was noticed. (**Exhibit # 13, Pages 2 & 3**)

As a result of **RAMOS's** arrest, Casino Miami permanently excluded him from their facility. (**Exhibit # 3**)

RAMOS violated F.S.S. 551.107(e) when he failed to notify the Commission within 48 hours of being convicted of or has entered a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication. **RAMOS** also violated F.S.S. 551.109(3).

Case closed by Investigations and forwarded to Legal for review and possible revocation of **RAMOS's** PMW license.

³ The casino work day is called a "Casino Day" to identify the date an incident occurred. The casino day starts at 9:00 A.M., on the day in question and ends the next day at 8:59 A.M., the following day. On the date that cassette #605 was filled, it was listed as casino date August 27, 2022, but physically it was on August 28, 2022.

CONTINUATION

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Surveillance Department

Casino Miami Jai-Alai - 3500 NW 37th Ave. Miami, FL 33142
(305) 633-6400 - casinomiamijai.com

CMJ-000011160 - MGMT Request Casino Review or Coverage Report - Reported 09/01/2022 19:29

Author: Angelo Pardo 13169581

Report Number: CMJ-000011160

Start Time: 09/01/2022 19:00

End Time: 09/02/2022 21:24

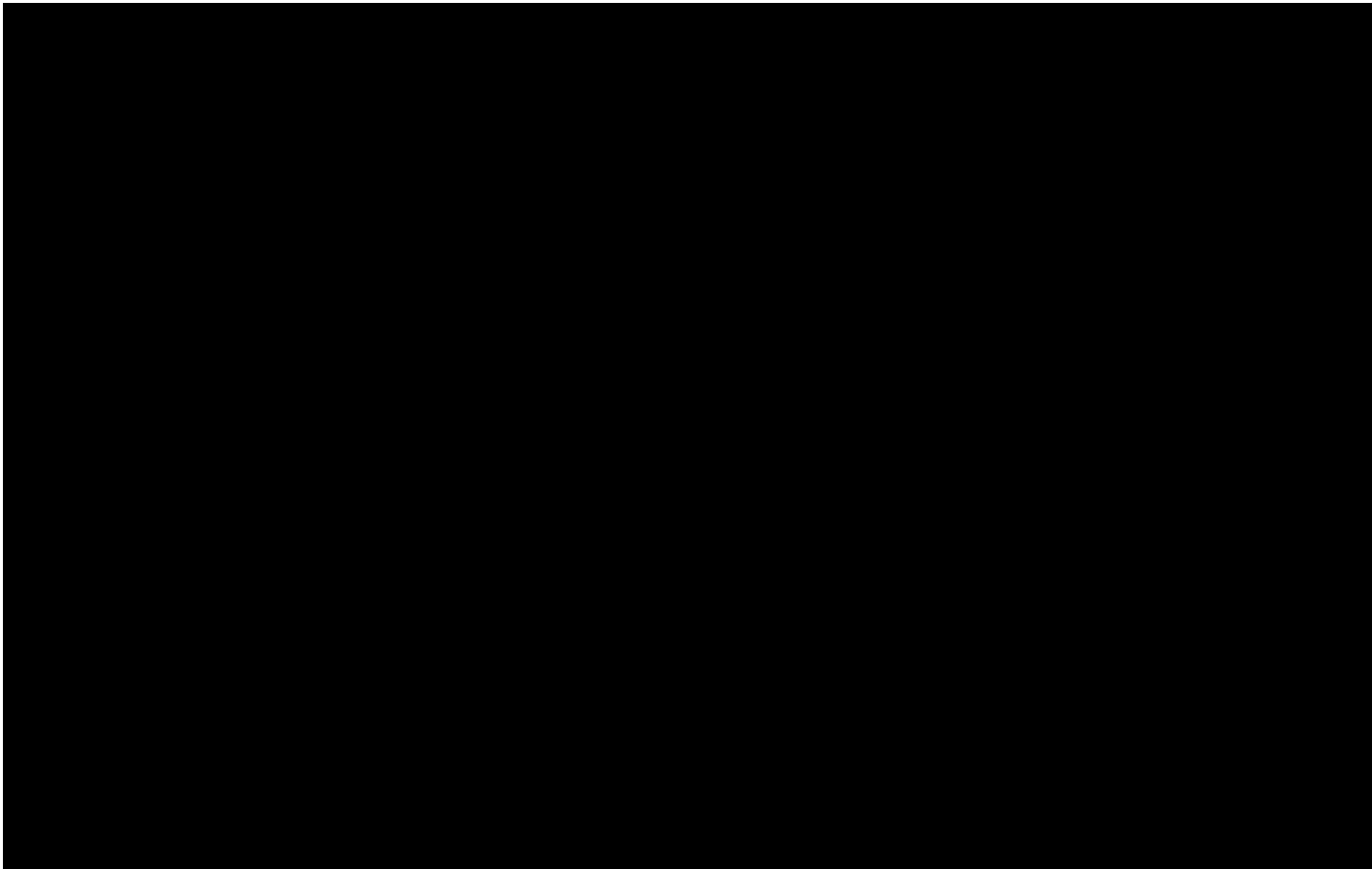
Report Group: Surveillance Reports

Report Type: MGMT Request Casino Review or Coverage Report

Note: Cage Manager, Daniella Sandoval request video review in reference to Main Bank Cage Cashier Kevin Ramos-short \$100.00 TRU # 605 Cassette on 08-28-2022.

Location / Origin: Incident-Cashier Shortage

Camera: 325,324,



Narrative

On 09/01/2022 at 16:00 hours, Surveillance received a email from VP of HR and State Compliance Betty Perez approving a video review requested by Cage Manager, Daneilla Sandavol. Ms.Sandavol email reads as follows:

Good Afternoon Mrs. Perez,

Cage Management would like to request a review for Cage Cashier Kevin Ramos for August 27, 2022. What needs to be review is Cassette #605 that has a shortage of \$100 after he filled the Cassette. Mr. Ramos was assigned to the Main Bank from 2am to 10am. The approximate time of the drop of this particular cassette was after 4:30am. Please

note although I indicated August 27, 2022, the actual review should be August 28th, after 4:30 AM. Please let me know if you have any questions or need additional information.

This Report was written by Surveillance operator Angelo Pardo #13169581

A video review revealed the following Main Bank Activities on 08-28-2022:

01. At 03:59 Hours on camera # 324, Cage Cashier, Kevin Ramos took (1) \$100.00 Bill out of TRU # 606 cassette -refill was already made before he got there, he broke the tab - opened it and then resealed it with another tab. Mr. Ramos placed the \$100.00 Bill into a designated area in the Main Bank Drawer.
02. At 04:04 Hours on camera # 324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 613 cassette - refill was already made before he got there, he broke the tab - opened it and then resealed it with another tab. Mr. Ramos placed the \$100.00 Bill into a designated area in the Main Bank Drawer.
03. At 04:09 Hours on camera # 324, Mr. Ramos took (2) \$20.00 Bills out of TRU # 416 cassette --during a refill and placed it back into a designated area in the Main Bank Drawer.
04. At 04:13 Hours on camera # 324, Mr. Ramos took (2) \$20.00 Bills out of TRU # 407 cassette--during a refill and placed it back into a designated area in the Main Bank Drawer.
05. At 04:16 Hours on camera # 324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 603 cassette - refill was already made before he got there, he broke the tab - opened it and then resealed it with another tab
06. At 05:31 Hours on camera # 325, Mr. Ramos took (2) \$20.00 Bills from Main Bank Drawer and placed it back into a designated area in the Main bank Drawer.
07. At 06:07 Hours on camera #324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 605 cassette -verify amount after TRU Cassette return. Mr. Ramos placed the \$100.00 Bill into a designated area in the Main Bank Drawer.
08. At 06:12 Hours on camera #324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 616 cassette -verify amount after TRU Cassette return. Mr. Ramos placed the \$100.00 Bill into a designated area in the Main Bank Drawer.
09. At 06:14 Hours on camera # 325, Mr. Ramos took (1) \$20.00 Bill from Main Bank Drawer and placed it back into a designated area in the Main bank Drawer.
10. At 06:18 Hours on camera # 325, Mr. Ramos took (2) \$100.00 Bills out of TRU # Reject cassette --verify amount after TRU Cassette return. Mr. Ramos placed the \$100.00 Bills into a designated area in the Main Bank Drawer.
11. At 06:20 Hours on camera #325, Mr. Ramos took (3) \$20.00 Bills out of TRU # 415 cassette -verify amount after TRU Cassette return. Mr. Ramos placed the \$20.00 Bills into a designated area in the Main Bank Drawer.
12. At 06:23 Hours on camera # 325, Mr. Ramos took (1) \$20.00 Bill from Main Bank Drawer and placed it back into a designated area in the Main bank Drawer.
13. At 06:27 Hours on camera # 325, Mr. Ramos took (1) \$20.00 Bill from Main Bank Drawer and placed it back into a designated area in the Main bank Drawer.

14. At 06:33 Hours on camera # 325, Mr. Ramos took (1) \$5.00 Bill from Main Bank Drawer and placed it back into a designated area in the Main bank Drawer.

15. At 06:36 Hours on camera # 325, Mr. Ramos took out of the Main Bank Cash Drawer several bills. The bills that could be accounted for revealed the following count: approx. (7) \$100.00, (1) \$5.00, (12) \$20.00 and (2) \$1.00 Bill, totaling to approx. amount \$ 947.00. Mr. Ramos was further observed "crumbling the money in his left hand "and then walking out of the Main Bank with it.

16. From 06:42 to 07:52 hours on camera #503 Mr. Ramos was in his car.

17. At 08:11 Hours on camera # 324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 605 cassette --during a refill and placed it back into a designated area in the Main Bank Drawer.

18. At 08:20 Hours on camera # 324, Mr. Ramos took (2) \$20.00 Bills out of TRU # 410 cassette--during a refill and placed it back into a designated area in the Main Bank Drawer.

19. At 08:22 Hours on camera # 324, Mr. Ramos took (2) \$20.00 Bills out of TRU # 404 cassette--during a refill and placed it back into a designated area in the Main Bank Drawer.

20. At 08:25 Hours on camera # 324, Mr. Ramos took (2) \$20.00 Bills out of TRU # 408 cassette--during a refill and placed it back into a designated area in the Main Bank Drawer.

21. At 09:58 Hours on camera # 325, Mr. Ramos took out of the Main Bank Cash Drawer several bills. The bills that could be accounted for revealed the following count: (2) \$100.00, (1) \$20.00 Bills totaling to approx. amount \$ 220.00. Mr. Ramos was further observed "folded the money in his left hand "and then appears to put it into his vest pocket.

22. At 10:10:22 hours on camera #358 Mr. Ramos was observed using the time clock.

This report was written by Surveillance Supervisor Jeffry Bissainthe #10317256.

This report was approved by Surveillance Director, William Hutcheson # 7874265.

Signature A

Date

Signature B

Date



CMJ-000011163 - MGMT Request Casino Review or Coverage Report - Reported 09/02/2022 04:58

Author: William Hutcheson 7874265

Report Number: CMJ-000011163

Start Time: 09/02/2022 02:32

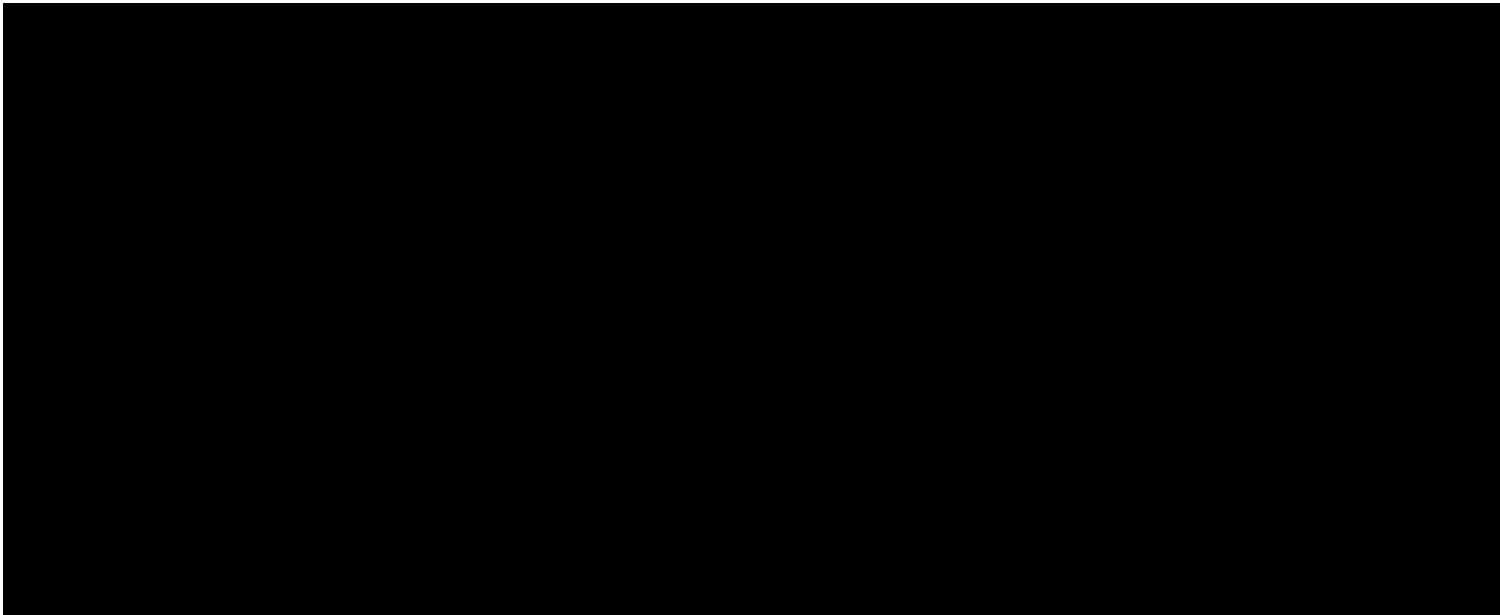
End Time: 09/02/2022 21:19

Report Group: Surveillance Reports

Report Type: MGMT Request Casino Review or Coverage Report

Note: Vice President and General Manager, Daniel Licciardi request coverage of Main Bank Cage Cashier, Kevin Ramos on 09-02-2022.

Location / Origin: Incident-Employee Activities



Narrative

On 09-01-2022 at 22:00 Hours, VP and General Manager, Daniel Licciardi request coverage of Main Bank Cage Cashier, Kevin Ramos on 09-02-2022.

An observation of Cage Cashier, Kevin Ramos in the Main Bank revealed the following Activities on 09-02-2022 :

01. At 02:32 Hours on camera # 324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 605 cassette -refill was already made before he got there, he noticed that there was a bill hanging out the back and took it (did not break the tab) and then placed the \$100 Bill into a designated area in the Main Bank Drawer.

02. At 02:36 Hours on camera # 324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 608 cassette - refill was already made before he got there, he broke the tab - opened it and then resealed it with another tab. Mr. Ramos placed the \$100.00 Bill into a designated area in the Main Bank Drawer.

03. At 02:47 Hours on camera # 324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 613 cassette - refill was already made before he got there, he broke the tab - opened it and then resealed it with another tab. Mr. Ramos placed the \$100.00 Bill into a designated area in the Main Bank Drawer.

04. At 04:25 Hours on camera # 324, Mr. Ramos took (1) \$20.00 Bill from Main Drawer and placed it back into a designated area in the Main bank Drawer.
05. At 04:29 Hours on camera # 324, Mr. Ramos took (1) \$20.00 Bill from Main Bank Drawer and placed it back into a designated area in the Main Bank Drawer.
06. At 04:32 Hours on camera # 324, Mr. Ramos took (1) \$100.00 Bill and (1) \$20.00 out of TRU # 908 reject cassette - verify amount after TRU Cassette return. Mr. Ramos placed the \$100.00 Bill into a designated area in the Main Bank Drawer.
07. At 04:37 Hours on camera # 324, Mr. Ramos took (1) \$10.00 out of TRU # 906 reject cassette - verify amount after TRU Cassette return. Mr. Ramos placed the \$10.00 Bill into a designated area in the Main Bank Drawer.
08. At 04:42 Hours on camera # 324, Mr. Ramos took (1) \$50.00 from Main Bank Drawer and placed it back into a designated area in the Main Bank Drawer.
09. At 05:19 Hours on camera # 324, Mr. Ramos took (2) \$20.00 out of TRU # 411 cassette -during a refill and placed it back into a designated area in the Main Bank Drawer.
10. At 05:21 Hours on camera # 324, Mr. Ramos took (2) \$20.00 out of TRU # 401 cassette -during a refill and placed it back into a designated area in the Main Bank Drawer.
11. At 06:19 hours on camera #325 and #324, Mr Ramos made change for \$100.00 Bill, by using (5) \$20.00 bills that he had set to the side and exchanging it for (1) \$100.00 Bill from Main Bank Drawer. still has a total of \$600.00 set to the side, which he folds in half and places under a yellow paper slip.
12. At 06:21 hours on camera #325 and #411, Mr. Ramos was observed taking the money with his left hand, and balling it up in his fist. Shortly thereafter he was observed leaving the Main Bank and Casino Cage with the money in his hand.
13. At 06:23 hours on camera #345 Surveillance observed Mr. Ramos exiting the casino via the employee entrance / exit doors. NOTE: Mr. Ramos was observed driving off property and returning on property shortly after.
14. At 07:36 hours on camera #345, Surveillance observed Mr. Ramos entering the casino via the employee entrance / exit doors.

This report was written and approved by Surveillance Director, William Hutcheson # 7874265.

Signature A

Date

Signature B

Date



CMJ-000005095 - Employee - Theft - Reported 09/05/2022 11:03

Author: Gene Tellez 396678

Report Number: CMJ-000005095

Start Time: 09/05/2022 11:03

End Time: 09/02/2022 11:44

Report Group: Security Reports

Report Type: Employee - Theft

Note: On 9-2-2022, Mr. Kevin Jermain Ramos, was arrested and permanently excluded for theft from Casino Main Bank.

Location / Origin: Casino Cage Main Bank

Person

Name: Kevin Jermain Ramos

Alias:

Race: African American

Gender: Male

Eye color: Brown

Hair color: Black

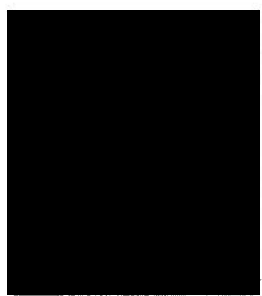
Height: 5'09" Inches

Weight: 160 Pounds

DOB: [REDACTED]

Keywords: Permanently Trespassed, Theft

Drivers Lic#: [REDACTED]



Narrative

On 9-2-2022, approximately 9:32 AM, this writer (S-1 G. Tellez) was directed to the main cage of Casino Miami to interview Mr. Kevin J. Ramos, with regards to a shortage in the Main Bank. Mr. Ramos was asked to accompany this writer and City of Miami Police Officer #1 (Chijioke Adyx Anyanwu), to the security office to discuss the shortage. I informed Mr. Ramos the reason for the interview was related to the shortages attributed to him that had occurred on 9-2-2022. Mr. Ramos, at first was reluctant to admit to any of the shortages, but once he was told that he was going to be arrested and that if he cooperated and reimbursed the casino, the casino was willing to ask the state attorney for leniency on his behave. Mr. Ramos does not have a criminal record and is presently seriously ill.

Mr. Ramos, then provided a written statement of what he could remember relating to the shortages in question and apologized for his unacceptable behavior as a cashier in the main cage of Miami Casino. He mentioned approximately \$1,767.00. during the period of August 2022 and September 2022 Mr. Ramos voluntarily disclosed where \$600 of the missing funds were located (front seat of his car). The money was retrieved with his consent by MPD officer #1. The Casino is currently conducting an audit of Mr. Ramos transactions during his employment as a cashier at the casino to determine if any additional losses were incurred. Mr. Ramos was later transported to Miami Dade T.G. K. Correctional Center for further processing by On duty Miami Police Officers.

Search consent and Constitutional rights form available in evidence folder in the locked file in the security office.

Signature A

Date

Signature B

Date

Minaya, Julio

From: Crafts, Bill
Sent: Thursday, October 6, 2022 9:18 AM
To: Minaya, Julio
Subject: FW: Cage Cashier Kevin Ramos

Bill Crafts
Chief of Slot Operations
Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
Office of Slot Operations
954.202.6783 Office 954.202.3370 Fax
954.575.2849 Cell

-----Original Message-----

From: Beatriz Perez [mailto:bperez@playcasinomiami.com]
Sent: Friday, September 2, 2022 11:04 AM
To: Crafts, Bill; Chen, Dennis
Cc: Daniel Licciardi
Subject: Cage Cashier Kevin Ramos

[NOTICE] This message comes from a system outside of DBPR. Please exercise caution when clicking on links and/or providing sensitive information. If you have concerns, please contact your Knowledge Champion or the DBPR Helpdesk.

Good Morning Mr. Crafts and Mr. Chen,

Please be advised based on information received from the Surveillance department in reference to Cage Cashier Kevin Ramos activities, Mr. Ramos was interrogated by the Director of Security Mr. Gene Téllez. Mr. Ramos has been terminated and is presently in handcuffs. We will update you once we have more information. Thank you.

Respectfully,

Sent from my iPhone
(Please excuse Typos or Brevity)
Beatriz Perez

Vice President of Human Resources & State Compliance

E: bperez@playcasinomiami.com<<mailto:bperez@playcasinomiami.com>>

P: (305) 633-6400 ext. 2012

F: (305) 638-8077

[<http://www.playcasinomiami.com/wp-content/uploads/2019/04/logo.png>]<<http://www.playcasinomiami.com>>

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JOHN MACIVER, CHAIRMAN
 MICHAEL YAWORSKY, VICE CHAIR
 JULIE I. BROWN, COMMISSIONER
 CHUCK DRAGO, COMMISSIONER
 JOHN D'AQUILA, COMMISSIONER

INCIDENT REPORT

Type of Report: Violation <input checked="" type="checkbox"/> Complaint <input type="checkbox"/>		Facility: Casino Miami, LLC	Date: 09/02/2022 Time: 0932	Case Number: 2022045861
Respondent / Accused: Name: Kevin Jermain Ramos Address: 3500 NW 37 th Avenue City/State/Zip: Miami, FL 33142 1 st Phone: [REDACTED] 2 nd Phone: Profession: Cage Cashier License Type: 1055 License # 11916424		Complainant / Victim: FGCC Name: FGCC Address: 1400 West Commercial Boulevard Suite 165 City/State/Zip: Fort Lauderdale, FL 33309 1 st Phone: 954-202-6845 2 nd Phone: Profession: License #:		
Witness #1 Name / Lic. #	Address:	Contact Information:	Board: 101	
Witness #2 Name / Lic. #	Address:	Contact Information:		
Specific Rule Violation: 551.109(5) Prohibited acts; penalties				
Summary: An employee of Casino Miami, LLC was arrested for the theft of the facility's slot machine proceeds.				
Interviews: On the above date and time I, Pari-Mutual Operations Specialist Jackelin Rodriguez, while present in the Security Operations Center (SOC) observed Casino Miami, LLC's Cage Cashier Kevin Jermain RAMOS, License #11916424, being escorted to the Director of Security's office inside of SOC by the Director of Security Eugene TELLEZ, License #396678, and City of Miami Police Officer Chijokee ANYANWU to discuss a shortage in the Main bank. I later returned to the State Office and observed from Indigo Vision video security system on camera #732 from 0932 hours to 1310 hours RAMOS being questioned by TELLEZ. At 1310 RAMOS was handcuffed and escorted out by City of Miami Police Officers via employee entrance and exit door.				
Slot Specialist / Date Jackelin Rodriguez	Slot Supervisor / Date Kereene Lewis	9-26-2022		
Slot Manager / Date Dennis Chen	Chief of Slots / Date Bill Crafts	9-26-2022		
Distribution: No Action <input type="checkbox"/> Investigation <input type="checkbox"/> Consent Order <input type="checkbox"/> Respondent <input checked="" type="checkbox"/>				

OFFICE OF SLOT OPERATIONS
 1400 WEST COMMERCIAL BOULEVARD, SUITE 165
 FT. LAUDERDALE, FLORIDA 33309

CONTINUATION

Interviews (Continued): Upon further review surveillance footage from camera #324 shows RAMOS in the Main Bank taking money from Ticket Redemption Units (TRU) cassettes that had already been refilled prior and placing it into a designated area in the Main Bank Drawer from 0232 hours to 0619 hours. RAMOS repeated this on other TRU cassettes. RAMOS removed seals from some TRUs and resealed them after taking bills. RAMOS had a total of \$600.00 set aside that was placed under a yellow slip in the designated area in the Main Bank Drawer. Cameras #325 and #411 at 0621 shows RAMOS taking the money with his left hand, and clenching it in his fist. Shortly after he was observed leaving the Main Bank and Casino Cage with the money in his hand. At 0623 Camera #345 shows RAMOS exiting the casino via employee entrance and exit door. RAMOS was then observed driving off property and returning approximately at 0736.

On 09/01/2022, Surveillance received an email (copied on Surveillance report CMJ-000011160) from VP of HR and State Compliance Beatriz PEREZ, License #634266 approving a video review requested by Cage Manager Daniella Carolina SANDOVAL, License #10157909. On this email SANDOVAL requested a review on RAMOS for 08/28/2022 due to a shortage of \$100.00 on TRU cassette #605. Further review from cameras #324 and #325 from 0359 hours to 0958 hours, reveals RAMOS taking money from several TRU cassettes totaling to approximately \$945.00. RAMOS is seen crumbling the money into his left hand and walking out the Main Bank. From 0642 hours to 0752 hours camera #503 shows RAMOS in his car. At 0755 hours from camera #324 RAMOS is seen entering the Main Bank. From 0811 hours to 0958 hours cameras #324 and #325 shows RAMOS once again taking money from TRU cassettes totaling to \$220.00 and putting it into his vest pocket. At 1010 hours RAMOS is seen using the time clock and leaving property.

RAMOS was detained at Miami Dade County jail and charged with Grand Theft. Casino Miami, LLC is currently investigating RAMOS for additional theft of their slot machine proceeds.

Disposition: This report has been forwarded to Supervisor Kereene Lewis and Manager Dennis Chen for review.

Number of previous violations of this type:(0)

Attachments: (4)

- 1- Complaint / Arrest Affidavit
- 2- CMJ-000005095- Security Report
- 3- CMJ-000011160- Surveillance Report
- 4- CMJ-000011163- Surveillance Report

Video saved in FGCC shared folder: CASE 1160 09-01-2022 and CASE 1163 09-02-2022



FLORIDA
GAMING CONTROL
COMMISSION

JOHN MACIVER, CHAIRMAN
MICHAEL YAWORSKY, VICE CHAIR
JULIE I. BROWN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER

September 26, 2022

SENT VIA ELECTRONIC MAIL

Beatriz Perez
Director of Human Resources & State Compliance
Casino Miami
3500 NW 37th Ave
Miami FL, 33142

Re: Possible Violation No. 2022045861

Dear Ms. Perez:

Attached please find a FGCC Slot Operations Incident Report. As stipulated in Fla. Admin. Code R. 61D-14.087, 2, (a), a written response from Casino Miami, LLC is required. Please note that a written reply shall be provided by the slot machine licensee or occupational licensee within "five (5) business days from the date of receipt of the date of a written request from the division."

If you have any questions, please do not hesitate to contact the Regional Office at the number listed below.

Respectfully,

Tatiana Santos
Slot Operations Analyst II
FGCC, Division of Pari-Mutuel Wagering
954-202-6782

STATE COMPLIANCE AGENCY
1400 WEST COMMERCIAL BOULEVARD, SUITE 165
FT. LAUDERDALE, FLORIDA 33309

EXHIBIT #6
PAGE 6003

Muniz, Luz

From: Suau, Raul
Sent: Monday, October 3, 2022 10:29 AM
To: Muniz, Luz
Subject: FW: Possible Violation - case# 2022045861
Attachments: Incident Report - Ramos, Kevin Jermain - case# 2022045861.pdf

Thank You,



Raul Suau
Investigations Specialist II
Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
Investigations
954-202-6787 Office 954-202-3930 FAX
954-649-6508 Cell

From: Chen, Dennis
Sent: Monday, September 26, 2022 11:22 AM
To: Suau, Raul; Minaya, Julio
Cc: Crafts, Bill
Subject: FW: Possible Violation - case# 2022045861

Good morning,
Per your request.



Dennis Chen
Slot Operations Manager
Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
Office of Slot Operations
954.202.6791 Office 305.978.4245 Cell

From: Santos, Tatiana
Sent: Monday, September 26, 2022 11:19 AM
To: Beatriz Perez

Cc: Crafts, Bill; Chen, Dennis; Lewis, Kereene
Subject: Possible Violation - case# 2022045861

Good Morning Beatriz,

Attached is a possible violation for your review and response within five (5) business days. Please advise how the process can be improved to prevent future occurrences of this nature.

Respectfully,

Tatiana Santos, Slot Operations Analyst II
Florida Gaming Control Commission, Office of Slot Operations
1400 W. Commercial Blvd. Suite 165
Fort Lauderdale, FL 33309-3787
Office Phone: 954-202-6782
Mobile: 954-459-0984
Fax: 954-202-3370
tatiana.santos@fgcc.fl.gov
www.fgcc.fl.gov

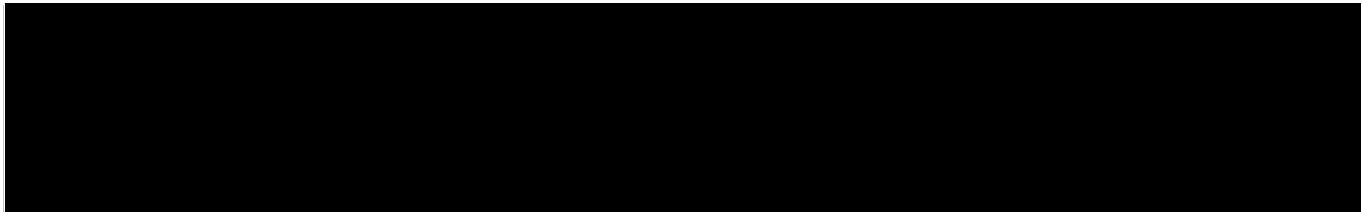




EXHIBIT #8
PAGE # / 603



#8
2



VR Home | **Inbox** | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | **Modify License Standing** | Maintain License CE Control

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **Imuniz**

VR Home > Complaint Search > Maintain Complaint > **License Home**

License

Fed Tax # [REDACTED] Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo** Expires On **06/30/2025**
 File # **6992** Name **RAMOS, KEVIN JERMAIN** Extended To
 License # **11916424** Rank **SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo** Renewed On **04/06/2022**
 Entity # **11916424** Lic Status **Current**

- Licensee
- History
- Notes
- Notes History
- Back

Address

Street # **1458** Street **NW 81ST TERRACE**
 Line 2
 Line 3
 City **PLANTATION** State **FL** Zip **33322**
 Routing

Other

1st License Date **12/04/2018** Rank Date **04/06/2022** Certificate #
 Method **I-S-1017** Status Date **04/09/2019** Certificate Date
 Fee Exempt **No** Birth Date [REDACTED] Renewal Sent

Select

Action  

Modifiers

Type	Modifier	Effective Date	Additional Info
A	ENFO - Enforcement Alert	09/13/2022	APPLICANT HIT
C	CGCA - Cage Cashier	08/06/2021	
F	LIVE - Live Scan Fee Exemption	12/04/2018	
I	JLAI - Jai-Alai	08/06/2021	
L	273 - Miami Jai-Alai	08/06/2021	
Y	3YR - 3 Year License	04/09/2019	


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EXHIBIT #9
PAGE # 1

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: Imuniz

VR Home > Complaint Search > Maintain Complaint > Display Alerts > **Maintain License Modifiers - BASE_CLONE**

Search Criteria | Results | Detail

Modifier Type **A - Alert**
Modifier **ENFO - Enforcement**
Effective **09/13/2022**
Expires -
Additional Info :
Prompt
Value **APPLICANT HIT**
Notes **Applicant must submit form PMW-3410 and provide court disposition records for the 09/02/2022 offense in Miami Dade County, Florida.**
Updated **09/13/2022 11:54:09**
By **jdye1**

Notes History
Change
Delete
Save
Cancel
< >

Add Back

Get Adobe Reader.

CMJ-000011175 - MGMT Request Casino Review or Coverage Report - Reported 09/05/2022 17:18

Author: Geoffry Geffrard 10084397

Report Number: CMJ-000011175

Start Time: 09/05/2022 17:18

End Time: 09/19/2022 09:30

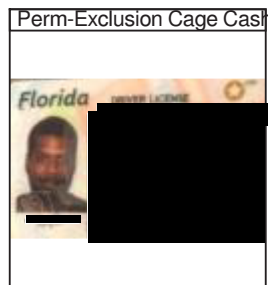
Report Group: Surveillance Reports

Report Type: MGMT Request Casino Review or Coverage Report

Note: Cage Manager, Daniella Sandoval request video review in reference to Main Bank Cage Cashier Kevin Ramos activities on 08-14-2022, 08-18-2022, 08-19-2022, 08-26-2022, and 08-28-2022.

Location / Origin: Incident-Employee Activities

Camera: 411,345,358,324,325,406



Narrative

On 09-05-2022, Cage Manager, Daniella Sandoval request video review via email in reference to Main Bank Cage Cashier Kevin Ramos activities on 08-14-2022, 08-18-2022, 08-19-2022, 08-26-2022, and 08-28-2022.

A video review revealed the following activity of Cage Cashier, Kevin Ramos:

- On 08-14-2022 Mr. Ramos was observed taking a total of \$520.00 from the main bank during his shift.
- On 08-18-2022 Mr. Ramos was observed taking a total of \$430.00 from the main bank during his shift.
- On 08-19-2022 Mr. Ramos was observed taking a total of \$425.00 from the main bank during shift.
- On 08-26-2022 Mr. Ramos was observed taking a total of \$421.00 and an unknown amount in quarters from the main bank during his shift.
- On 08-28-2022 Mr. Ramos was observed taking a total of \$1,167.00 from the main bank during his shift.

08/14/2022

At 05:30:30 hours, on camera #325, Cage Cashier Kevin Ramos was observed removing (1) \$100.00 bill from the stack of \$100s he is holding and placing it in a designated area in the main bank drawer.

At 08:40:00 hours, on camera #325, Cage Cashier Kevin Ramos was observed count taking a stack of \$100's from the main drawer. Mr. Ramos then takes (2) \$100 bills and places them in a designated area in the drawer.

At 08:52:46 hours, on camera #325, Cage Cashier Kevin Ramos was observed count taking a stack of \$20's from the main drawer. Mr. Ramos then takes (1) \$20 bill and places it in a designated area in the drawer.

At 09:18:12 hours, on camera #325, Cage Cashier Kevin Ramos was observed count taking a stack of \$20's from the main drawer. Mr. Ramos then takes (1) \$20 bill and places it in a designated area in the drawer.

At 09:20:17 hours, on camera #325, Cage Cashier Kevin Ramos was observed count taking a stack of \$20's from a TRU Cassette reject #910 . Mr. Ramos then takes (1) \$20 bill and places it in a designated area in the drawer.

At 09:46:30 hours, on camera #324, Cage Cashier Kevin Ramos was observed count taking a stack of \$20's that was going to load TRU Cassette 408. Mr. Ramos then takes (2) \$20 bill and places it in a designated area in the drawer.

At 09:48:28 hours, on camera #324, Cage Cashier Kevin Ramos was observed count taking a stack of \$20's that was going to load TRU Cassette 406. Mr. Ramos then takes (2) \$20 bill and places it in a designated area in the drawer.

At 09:50:22 hours, on camera #324, Cage Cashier Kevin Ramos was observed count taking a stack of \$20's that was going to load TRU Cassette 415. Mr. Ramos then takes (2) \$20 bill and places it in a designated area in the drawer.

At 09:54:01 hours, on camera #324, Cage Cashier Kevin Ramos was observed count taking a stack of \$20's that was going to load TRU Cassette 405. Mr. Ramos then takes (2) \$20 bill and places it in a designated area in the drawer.

At 10:52:05 hours on camera #325, Mr. Ramos was observed counting \$520.00 from the designated area.

At 10:55:12 hours on camera #324 and #325, Surveillance observed Mr. Ramos placing the bills under the yellow paper slip.

From 10:57:50 to 10:58:21 hours on camera #324 and #325, Surveillance observed Mr. Ramos placing his hand under the yellow paper slip, balling the money (\$520.00) into his left fist, then proceeded to exit the main bank with the money in his hand.

NOTE: On 08-14-2022 Mr. Ramos was observed taking a total of \$520.00 from the main bank during his shift.

08/18/2022

At 05:01:18 hours, on camera #325, Cage Cashier Kevin Ramos was observed removing (1) \$20.00 bill from the main bank drawer and placing it in a designated area in the main bank drawer.

From 05:03:17 until 05:04:31 hours, on camera #325, Cage Cashier Kevin Ramos was observed removing \$100 bills from Cassette #607 then counting it on the counting machine. Once done count the done strapping the \$100 bills Mr. Ramos was observed taking (1) \$100 and placing it in a designated area in the main bank drawer. All other \$100 bills were sorted and placed in main bank drawer.

At 05:21:34 hours, on camera #325, Cage Cashier Kevin Ramos was observed counting a stack of \$20's from the main bank drawer then takes (1)\$20 bill and places it in a designated area in the drawer.

At 05:23:19 hours, on camera #325, Cage Cashier Kevin Ramos was observed count and sorting bills over the main drawer. Mr. Ramos was observed counting the \$50 bills then taking (1) \$50 bill and places it in a designated area in the drawer.

At 05:26:29 hours, on camera #325, Cage Cashier Kevin Ramos was observed taking a \$20 bill from the counting machine and places it under a stack of \$20's. After he was observed taking (1) \$20 bill from the top of the stack and

puts in a designated area in the main bank drawer.

At 05:33:44 hours, on camera #324 and #325, Cage Cashier Kevin Ramos was observed taking (2) \$20 bills from the counter top and placed them in a designated area in the main bank drawer. These bills came from the money used to fill Cassette #401.

At 05:35:52 hours, on camera #324 and #325, Cage Cashier Kevin Ramos was observed taking (2) \$20 bills from the counter top and placed them in a designated area in the main bank drawer. These bills came from the money used to fill Cassette #405.

At 05:37:44 hours, on camera #324 and #325, Cage Cashier Kevin Ramos was observed taking (2) \$5 bills from the counter top and placed them in a designated area in the main bank drawer. These bills came from the money used to fill Cassette #216.

At 05:39:18 hours, on camera #324 and #325, Cage Cashier Kevin Ramos was observed taking (2) \$5 bills from the counter top and placed them in a designated area in the main bank drawer. These bills came from the money used to fill Cassette #401.

At 05:42:15 hours, on camera #324 and #325, Cage Cashier Kevin Ramos was observed taking (1) \$100 bill from the counter top and placed them in a designated area in the main bank drawer. The bill came from the money used to fill Cassette #604.

From 05:52:17 until 05:53:11 hours, on camera #324 and #325, Cage Cashier Kevin Ramos counting the bills from the designated area. Mr. Ramos exchanges \$20 in \$5 bills for (1) \$20 bill, then he exchanges \$100 in \$20 bills for (1) \$100 bill. Mr. Ramos was observed with \$410.00 that he puts back to the a designated area in the main bank drawer.

At 06:15:19 hours, on camera #406 and #324, Cage Cashier Kevin Ramos was observed moving the money from the designated area to a new area in the drawer next to the \$5 bills and \$1 bills.

At 06:29:21 hours, on camera #406 and 325, Cage Cashier Kevin Ramos was observed taking money with right hand, moving it under the yellow paper and taking it with his left hand which he then balls up.

At 06:29:39 hours, on camera 411 and #325, Cage Cashier Kevin Ramos was observed leaving the main bank with his left hand balled up. Shortly thereafter he was observed leaving Casino Cage with the money in his hand.

At 06:31:16 hours, on camera #345, Cage Cashier Kevin Ramos was walking out of the Casino through the employee entrance/ exit door. Mr. Ramos walks across the employee parking and out of camera view.

At 08:04:52 hours, on camera #345, Cage Cashier Kevin Ramos was observed re-entering the building through the employee entrance/ exit door. Then walks back into the main bank to continue working.

At 08:19:15 hours, on camera #324 and #325, Cage Cashier Kevin Ramos was observed taking (2) \$5 bills from the counter top and placed them in a a designated area in the main bank drawer. These bills came from the money used to fill Cassette #218.

At 08:21:10 hours, on camera #324 and #325, Cage Cashier Kevin Ramos was observed taking (2) \$5 bills from the counter top and placed them in a a designated area in the main bank drawer. These bills came from the money used to fill Cassette #208.

At 08:22:49 hours, on camera #324 and #325, Cage Cashier Kevin Ramos was observed counting the bills from the designated area. Mr. Ramos exchanges \$20 in \$5 bills for (1) \$20 bill and puts it at designated area in the main bank drawer.

From 08:58:47 until 08:59:09 on camera #325, Cage Cashier Kevin Ramos was observed using papers to conceal at \$20 bill from Cage Supervisor Sylvia Zamorano-Soto sight. Ms. Zamorano-Soto was observed grabbing the papers and taking them out of the drawer, while Mr. Ramos was observed moves bundles of money to cover the \$20 bill from her. After hiding the \$20 bill from Ms. Zamorano-Soto he was observed balling up the \$20 bill in his left hand and taking the bill out of the drawer.

At 08:59:33 hours, on camera #324,325, and 411, Cage Cashier Kevin Ramos was observed walking from away from Ms. Zamorano-Soto while still having his left hand balled up. Mr. Ramos was observed grabbing a blue money bag and placing it in a drawer. After Mr. Ramos was observed putting his balled up left hand into his vest pocket as he turning around. When Mr. Ramos takes his left hand out of the vest pocket it was no longer balled up.

At 09:12:00 hours, on camera #411, Cage Cashier Kevin Ramos was observed walking out the main bank. Then re-enters the main bank at 09:12:31 hours.

At 09:27:29 hours, on camera #411, Cage Cashier Kevin Ramos was observed walking out the main bank. Then re-enters the main bank at 09:30:31 hours.

At 09:31:33 hours, on camera #411, Cage Cashier Kevin Ramos was observed walking out the main bank. Then re-enters the main bank at 09:32:05 hours.

At 09:33:42 hours, on camera #411, Cage Cashier Kevin Ramos was observed walking out the main bank. Then briefly re-enters the main bank at 09:36:33 hours and walk out again.

At 09:37:44 hours, on camera #345, Cage Cashier Kevin Ramos was walking out of the Casino through the employee entrance/ exit door.

NOTE: On 08-18-2022 Mr. Ramos was observed taking a total of \$430.00 from the main bank during his shift.

08/19/2022

From 03:13:10 to 03:14:05 hours on camera #324 and #325, Surveillance observed Mr. Ramos refilling TRU #606 cassette, removing (1) \$100.00 bill and placing it in a designated area in the main bank drawer.

From 05:28:45 to 05:29:47 hours on camera #324 and #325, Surveillance observed Mr. Ramos opening TRU #907 reject cassette and removing the money from it. Shortly thereafter he was observed taking (1) \$20.00 bill and placing it in a designated area in the main bank drawer.

From 05:29:50 to 05:31:42 hours on camera #324 and #325, Surveillance observed Mr. Ramos opening TRU #815 cassette and removing the money from it. Shortly thereafter he was observed taking (1) \$20.00 bill and placing it in a designated area in the main bank drawer.

From 05:38:55 to 05:41:15 hours on camera #324 and #325, Surveillance observed Mr. Ramos opening TRU cassettes #219 and #911 reject cassette then removing the money from it. Shortly thereafter he was observed taking (1) \$20.00 bill and placing it in a designated area in the main bank drawer.

From 05:43:20 to 05:43:50 hours on camera #325, Mr. Ramos was observed looking upwards to all cameras inside the casino cage main bank.

From 05:45:30 to 05:45:55 hours on camera #324 and #325, Surveillance observed Mr. Ramos taking (1) \$20.00 bill from the main bank drawer and placing it in a designated area in the main bank drawer.

From 05:46:50 to 05:47:25 hours on camera #324 and #325, Surveillance observed Mr. Ramos opening TRU #910 reject cassette and removing the money from it. Shortly thereafter he was observed taking (1) \$20.00 bill and placing it in a designated area in the main bank drawer.

From 05:53:15 to 05:53:30 hours on camera #324 and #325, Surveillance observed Mr. Ramos taking (1) \$20.00 bill from the main bank drawer and placing it in a designated area in the main bank drawer.

From 06:01:30 to 6:03:35 hours on camera #324 and #325, Surveillance observed Mr. Ramos refilling TRU #405 cassette, then removing (2) \$20.00 bill from the refill money and placing it in a designated area in the main bank drawer.

From 06:04:35 to 06:06:00 hours on camera #324 and #325, Surveillance observed Mr. Ramos refilling TRU #406 cassette, then removing (2) \$20.00 bill from the refill money and placing it in a designated area in the main bank drawer.

From 06:12:45 to 06:14:00 hours on camera #324 and #325, Surveillance observed Mr. Ramos refilling TRU #206 cassette, then removing (1) \$5.00 bill from the refill money and placing it in a designated area in the main bank drawer.

From 06:45:50 to 06:46:30 hours on camera #324 and #325, Surveillance observed Mr. Ramos refilling TRU #605 cassette, then removing (1) \$100.00 bill from the refill money and placing it in a designated area in the main bank drawer.

From 06:50:35 to 06:51:11 hours on camera #324 and #325, Surveillance observed Mr. Ramos changing (10) \$20.00 bills that he had in the designated area in the main bank drawer, in exchange for (2) 100.00 bills. He then places the money he had in the designated area (4) 100.00 bills and (1) \$5.00 bill a total of \$405.00, under a yellow paper slip.

From 06:51:15 to 06:52:15 hours on camera #324 and #325, Surveillance observed Mr. Ramos placing his hand under the yellow paper slip, balling the money (\$405.00) into his left fist, then proceeded to exit the main bank with the money in his hand.

From 06:52:15 to 06:52:55 hours on camera #315, Surveillance observed Mr. Ramos placing keys back into the key watcher with the money concealed in his fist. he then proceeded to exit the main cage.

From 06:53:45 to 06:54:00 hours on camera #345, Surveillance observed Mr. Ramos exit the casino via the casino employee entrance / exit doors.

From 08:32:20 to 08:32:32 hours on camera #345, Surveillance observed Mr. Ramos entering the casino via the employee entrance / exit doors.

From 09:48:30 to 09:54:30 hours on cameras # 324, 325, 406, 411 and 323, Surveillance observed Mr. Ramos taking (1) \$20.00 bill from the main bank, placing it in a designated area in the drawer, then removing it and putting it into his vest pocket.

From 10:05:45 to 10:06:00 hours on camera 345, Surveillance observed Mr. Ramos exiting the casino via the casino employee entrance / exit doors.

NOTE: On 08-19-2022 Mr. Ramos was observed taking a total of \$425.00 from the main bank during shift.

08/26/2022

At 04:26:50 hours, on camera #325, Cage Cashier Kevin Ramos was observed removing (1) \$20.00 bill from the stack of \$20s he is holding and placing it in a designated area in the main bank drawer.

At 04:31:41 hours, on camera #325, Cage Cashier Kevin Ramos was observed removing (1) \$20.00 bill from the bank area and placing it in a designated area in the main bank drawer.

At 04:46:55 hours, on camera #325, Cage Cashier Kevin Ramos was observed removing (1) \$100.00 bill from the stack of money he is holding and placing it in a designated area in the main bank drawer.

At 05:31:17 hours, on camera #325, Cage Cashier Kevin Ramos was observed removing (2) \$5.00 bill from the TRU Cassette 213 of \$5's he is filling and placing it in a designated area in the main bank drawer.

At 05:33:01 hours, on camera #325, Cage Cashier Kevin Ramos was observed removing (2) \$5.00 bill from the TRU Cassette 218 \$5's he is filling and placing it in a designated area in the main bank drawer.

At 05:37:18 hours, on camera #325, Cage Cashier Kevin Ramos was observed removing (2) \$5.00 bill from the TRU Cassette 210 \$5's he is filling and placing it in a designated area in the main bank drawer.

At 05:39:59 hours, on camera #325, Cage Cashier Kevin Ramos was observed removing (2) \$5.00 bill from the TRU Cassette 201 \$5's he is filling and placing it in a designated area in the main bank drawer.

At 05:49:33 hours on camera #325 Cage Cashier, Kevin Ramos was observed exchanging the (\$5) bills for (\$20) bills.

At 05:51:38 hours, on camera #325, Cage Cashier Kevin Ramos was observed removing (2) \$20.00 bill from the TRU Cassette 404 \$20's he is filling and placing it in a designated area in the main bank drawer.

From 06:28:19 to 06:28:41 hours on camera #324 and #325, Surveillance observed Mr. Ramos placing his hand under the yellow paper slip, balling the money (\$220.00) into his left fist, then proceeded to exit the main bank with the money in his hand.

At 08:01:47 hours, on camera #325, Cage Cashier Kevin Ramos was observed removing (1) \$100.00 bill from the stack of money he is holding and placing it in a designated area in the main bank drawer.

At 08:05:49 hours, on camera #325, Cage Cashier Kevin Ramos was observed removing a big bill from the stack of money that was on the counter and placing it in a designated area in the main bank drawer.

At 08:08:43 hours, on camera #325, Cage Cashier Kevin Ramos was observed removing (1) \$100.00 bill from the stack of money he is holding and placing it in a designated area in the main bank drawer.

At 08:34:31 hours, on camera #325, Cage Cashier Kevin Ramos was observed removing (1) \$1.00 bill from the bank area and placing it in a designated area in the main bank drawer.

At 08:34:32 hours, on camera #325, Cage Cashier Kevin Ramos was observed taking an unknown amount in quarters from coin tray and walks out of the main bank with them in his hand.

From 08:41:12 to 08:41:42 hours on camera #324 and #325, Surveillance observed Mr. Ramos folding and placing the bills under the yellow paper slip.

At 08:41:47 hours on Camera #325 Surveillance observed Mr. Ramos grabbing the folding money and then proceed to exit the main bank with the money in his hand.

At 08:43:20 hours on camera #323 Surveillance observed Mr. Ramos placing the folded money in his Jacket pocket.

NOTE: On 08-26-2022 Mr. Ramos was observed taking a total of \$421.00 and an unknown amount in quarters from the main bank during his shift.

08/28/2022

At 03:59 Hours on camera # 324, Cage Cashier, Kevin Ramos took (1) \$100.00 Bill out of TRU # 606 cassette -refill was already made before he got there, he broke the tab - opened it and then resealed it with another tab. Mr. Ramos placed the \$100.00 Bill into a designated area in the Main Bank Drawer

At 04:04 Hours on camera # 324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 613 cassette - refill was already made before he got there, he broke the tab - opened it and then resealed it with another tab. Mr. Ramos placed the \$100.00 Bill into a designated area in the Main Bank Drawer.

At 04:09 Hours on camera # 324, Mr. Ramos took (2) \$20.00 Bills out of TRU # 416 cassette --during a refill and placed it back into a designated area in the Main Bank Drawer.

At 04:13 Hours on camera # 324, Mr. Ramos took (2) \$20.00 Bills out of TRU # 407 cassette--during a refill and placed it back into a designated area in the Main Bank Drawer.

At 04:16 Hours on camera # 324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 603 cassette - refill was already made before he got there, he broke the tab - opened it and then resealed it with another tab

At 05:31 Hours on camera # 325, Mr. Ramos took (2) \$20.00 Bills from Main Bank Drawer and placed it back into a designated area in the Main bank Drawer.

At 06:07 Hours on camera #324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 605 cassette -verify amount after TRU Cassette return. Mr. Ramos placed the \$100.00 Bill into a designated area in the Main Bank Drawer.

06:12 Hours on camera #324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 616 cassette -verify amount after TRU Cassette return. Mr. Ramos placed the \$100.00 Bill into a designated area in the Main Bank Drawer.

At 06:14 Hours on camera # 325, Mr. Ramos took (1) \$20.00 Bill from Main Bank Drawer and placed it back into a designated area in the Main bank Drawer.

At 06:18 Hours on camera # 325, Mr. Ramos took (2) \$100.00 Bills out of TRU # Reject cassette -verify amount after TRU Cassette return. Mr. Ramos placed the \$100.00 Bills into a designated area in the Main Bank Drawer.

At 06:20 Hours on camera #325, Mr. Ramos took (3) \$20.00 Bills out of TRU # 415 cassette -verify amount after TRU Cassette return. Mr. Ramos placed the \$20.00 Bills into a designated area in the Main Bank Drawer.

At 06:23 Hours on camera # 325, Mr. Ramos took (1) \$20.00 Bill from Main Bank Drawer and placed it back into a designated area in the Main bank Drawer.

At 06:27 Hours on camera # 325, Mr. Ramos took (1) \$20.00 Bill from Main Bank Drawer and placed it back into a designated area in the Main bank Drawer.

At 06:33 Hours on camera # 325, Mr. Ramos took (1) \$5.00 Bill from Main Bank Drawer and placed it back into a designated area in the Main bank Drawer.

At 06:36 Hours on camera # 325, Mr. Ramos took out of the Main Bank Cash Drawer several bills. The bills that could

be accounted for revealed the following count: approx. (7) \$100.00, (1) \$5.00, (12) \$20.00 and (2) \$1.00 Bill, totaling to approx. amount \$ 947.00. Mr. Ramos was further observed "crumbling the money in his left hand "and then walking out of the Main Bank with it.

From 06:42 to 07:52 hours on camera #503 Mr. Ramos was in his car for break.

At 08:11 Hours on camera # 324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 605 cassette --during a refill and placed it back into a designated area in the Main Bank Drawer.

At 08:20 Hours on camera # 324, Mr. Ramos took (2) \$20.00 Bills out of TRU # 410 cassette--during a refill and placed it back into a designated area in the Main Bank Drawer.

At 08:22 Hours on camera # 324, Mr. Ramos took (2) \$20.00 Bills out of TRU # 404 cassette--during a refill and placed it back into a designated area in the Main Bank Drawer.

At 08:25 Hours on camera # 324, Mr. Ramos took (2) \$20.00 Bills out of TRU # 408 cassette--during a refill and placed it back into a designated area in the Main Bank Drawer.

At 09:58 Hours on camera # 325, Mr. Ramos took out of the Main Bank Cash Drawer several bills. The bills that could be accounted for revealed the following count: (2) \$100.00, (1) \$20.00 Bills totaling to approx. amount \$ 220.00. Mr. Ramos was further observed "folded the money in his left hand "and then appears to put it into his vest pocket.

At 10:10:22 hours on camera #358, Mr. Ramos was observed using the time clock.

NOTE: On 08-28-2022 Mr. Ramos was observed taking a total of \$1,167.00 from the main bank during his shift.

Videos of the requested dates were saved for future reference.

This report was written by Surveillance Shift Managers Geoffry Geffrard #10084397, Adrian Gordon #11117304, and Assistant Manager Carlos Rodriguez Lic. #9792016.

This report was approved by Surveillance Director, William Hutcheson # 7874265.

Signature A

Date

Signature B

Date

**MIAMI JAI-ALAI CASINO
SYSTEM OF INTERNAL CONTROLS
ARTICLE D – SECTION 4**

In accordance with 61D-14.074 (3-10), TRU's, Ticket Redemption Units (automatic ticket redemption machines) shall have a maximum ticket value of \$1,199.99 that can be paid out, per individual ticket.

All cash transfers to and from a TRU requires Surveillance notification, a Miami PD or Security escort and funds to be transported in a locked cart.

Keys to the TRU's will be maintained in the electronic key box (Key Watcher). Surveillance will be notified any time the TRU's are accessed.

A. Filling the Cassettes:

1. Before accessing of any of the TRU's, Surveillance shall be notified.
2. Each cassette is to be filled with a predetermined number of notes. The standard fill will be communicated by the Director of Finance or Cash Operations Manager based on cash needs.
3. Prior to filling the cassette, the cash must be fitness sorted. The currency will be run through a Jet scan, and any bill which registers a "no call" will not be considered ATM fit. This step may be omitted if count room verified bagged currency is used.
4. The amount of cash loaded into a cassette will be verified by two Cash Operations personnel, at least one of which must be a Cage Supervisor, by running the notes through a currency counter.
5. Once the notes are verified, they will be placed in the cassette with both people present, and the pre-numbered cassette will be sealed with a security seal. Both people will verify that the denomination of the notes equals the denomination indicated on the TRU cassette. The TRU Cassette Control log will be filled out and both individuals will sign and include License # agreeing to the amount.
6. The filled/prepped cassettes will be listed on the respective Main Banker or above INVENTORY SHEET as a separate line item.
The filled/prepped cassettes will be stored in the Vault until needed.

B. Funding the TRU's (Interim Funding):

1. If the NRT Money Machine needs additional funding prior to the "end of day", additional funds will be added. These additional fills will be listed on the a TRU fill and the Main Bank TRU schedule. If an TRU cassette is changed as part of the fill, the removed cassette will be balanced in the Main Cage and the returned amount listed on the TRU fill and the Main Bank TRU schedule.
2. Two Cash Operations personnel, one of which will be the Main Banker or above will perform the balancing process for the removed cassette(s) and/or BV Cans (Redemption Stackers), and both individuals will sign the TRU credit. The cassette will be refilled as soon as possible utilizing the process in #1.
3. The BV Cans (Redemption Stacker) will be opened and all funds and tickets will be removed and separated via a 4-Pocket Cummins Sorter Machine.
4. The physical inventory must be compared to the TRU generated drop Receipt for accuracy.
5. The signatures of two people witnessing the fill and/or removal of funds from the BV Cans (Redemption Stacker) will be on the TRU Fills and Credits, one of which must be a Cage Supervisor.
6. The machine generated receipts documenting the fill and/or credit will be attached to the corresponding fill or credit for auditing purposes.
7. After all totals have been verified, the cage will forward the tickets, TRU generated fill and drop receipts and all supporting paperwork to the Revenue Audit Department.
8. Any discrepancies/variances will be investigated by Finance and Surveillance.
9. The steps needed to perform the funding at the machine are detailed below in the REPLENISHMENT section.

**MIAMI JAI-ALAI CASINO
SYSTEM OF INTERNAL CONTROLS
ARTICLE D – SECTION 4**

C. Checking Supply Levels:

Current inventory levels for each TRU are obtained by accessing the TRU computer program:

1. Log on to the TRU monitoring system using a unique login
2. Select the icon for each TRU to see the detail
3. Determine fill/credit needs based on the set impressions for each denomination.

Casino Miami
Main Bank Settlement Sheet
Schedule D

Date: 8/29/2022

Shift: Day

Name	Window Number	Over	Short	Comments
Ricandra Lloyd	MB	5.00		EOS
Kevin Ramos	MB		100.00	Casette #605 short
Total		5.00	\$ 100.00	

Casino MIAMI

Cashier Variance Slip

Date 8/29/12	Time 11am	Shift D S G	Location MB
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Cashier Name (Print) / License #
Kevin Ramos

Amount Short \$100.00	Amount Over
--------------------------	-------------

Comments
CASSETTE #605 SHORT \$100
Cassette was filled on 8/27
Grace Theft

Cashier Signature / License #
1210 1311 7287

Main Bank Signature / License #
[Signature]

Supervisor Signature / License #
[Signature]

WHITE-Cage YELLOW-Accounting PINK-Department
CMJ-011

Shortage From Cassettes Made by
Kevin Ramos 1/2/22

20's #401 <407
20's #411 <407

Casino Miami

Cashier Variance Slip

Date 1/2/22	Time 10:30am	Shift D S G	Location MB
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Cashier Name (Print) / License #

Kevin Ramos

Amount Short

< 80 >

Amount Over

Comments

he was shorting \$20.00
cassette \$80.00

Cashier Signature / License #

1221592855

Main Bank Signature / License #

[Signature] 1015909

Supervisor Signature / License #

WHITE-Cage

YELLOW-Accounting

PINK-Department

CMJ-011

Casino Miami
Ticket Redemption Unit Cassette Control Log

Date	Cassette #	Seal #	Denom.	Amount	TRU #	Prepared by	Verified by
						KR11916424	
8/27	605	130280	1000	50000			
							Flea 1278610 ↓ 12578610 Flea

Casino Miami

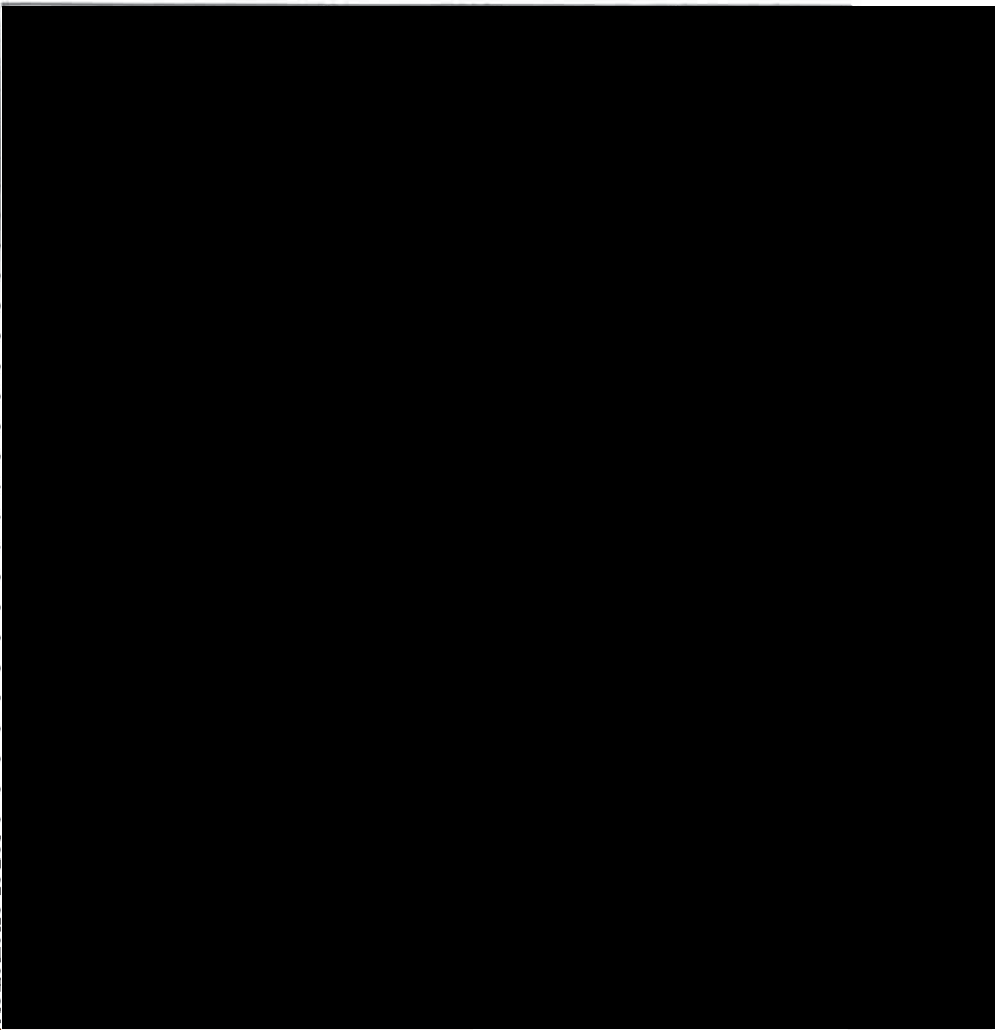
Ticket Redemption Unit Cassette Control Log

Date	Cassette #	Seal #	Denom.	Amount	TRU #	Prepared by	Verified by
9/11	401	129795	20	40000		KR11916CR24	Re-opened. 12564395
9/11	411	129796	20	40000			

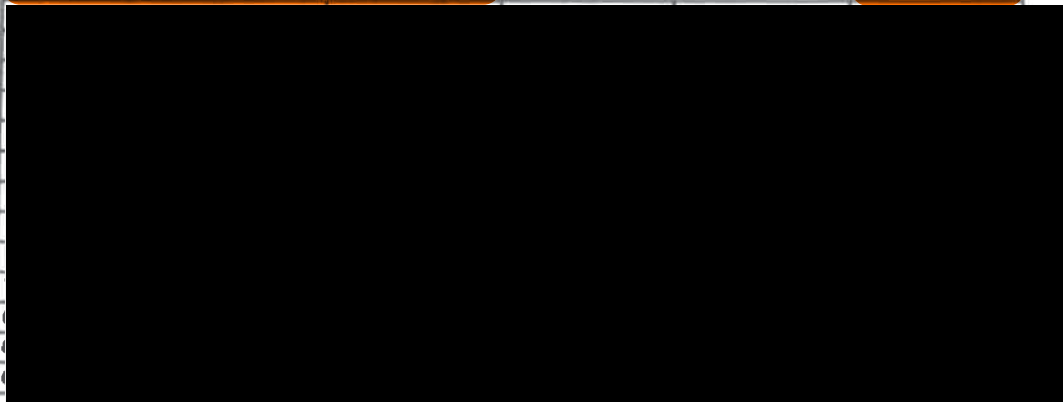
Casino Miami

Cage Accountability

Day	Swing	Graveyard
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Cage Shortages (Schedule D)	\$ <input checked="" type="checkbox"/> 100.00	\$ -	\$ -	\$ 100.00
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1110 13717087
Main Bank Cashier
(11888794)
Shift Supervisor

8/29/2022
Date

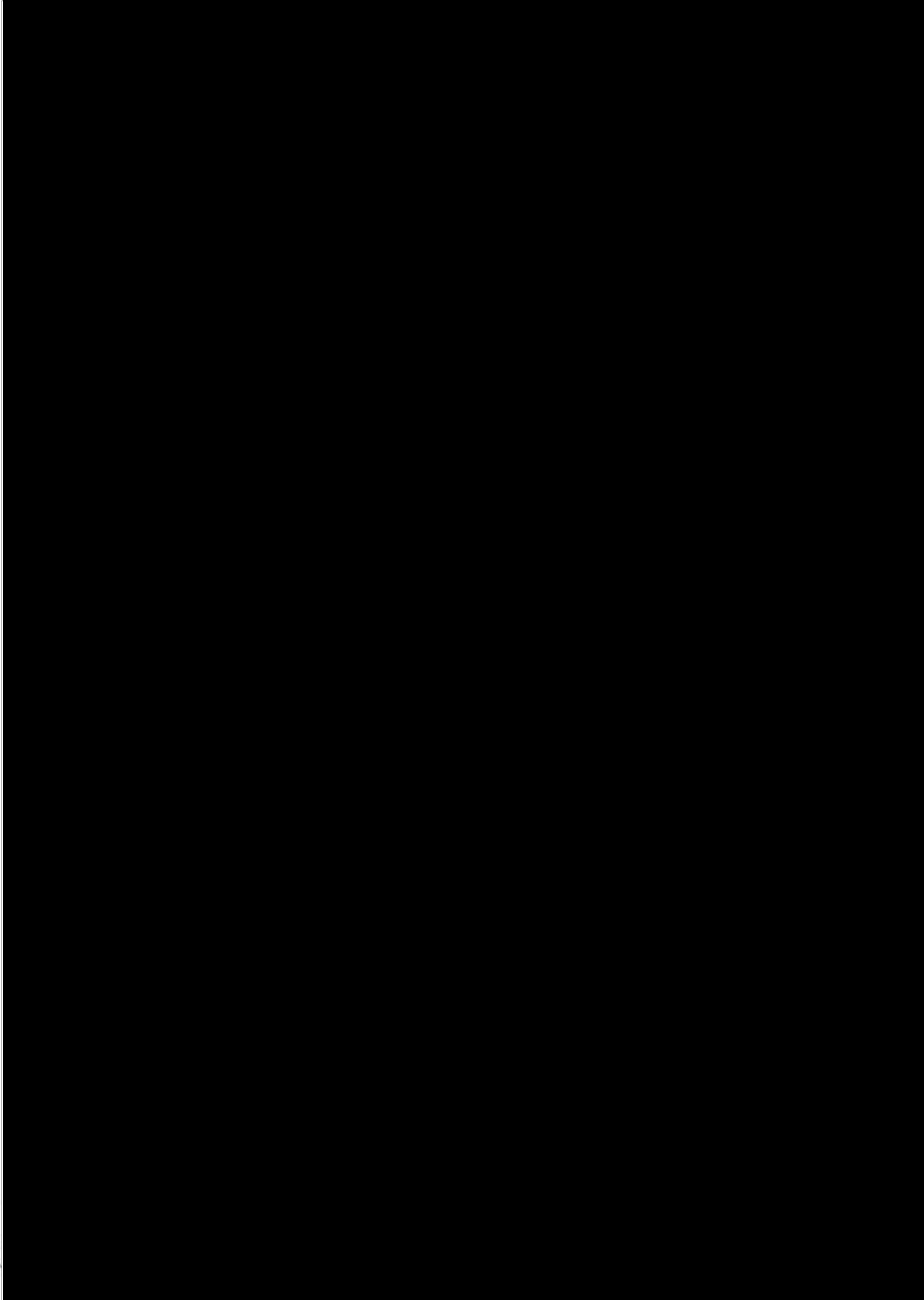
Day

Shift

Casino Miami

Cage Accountability

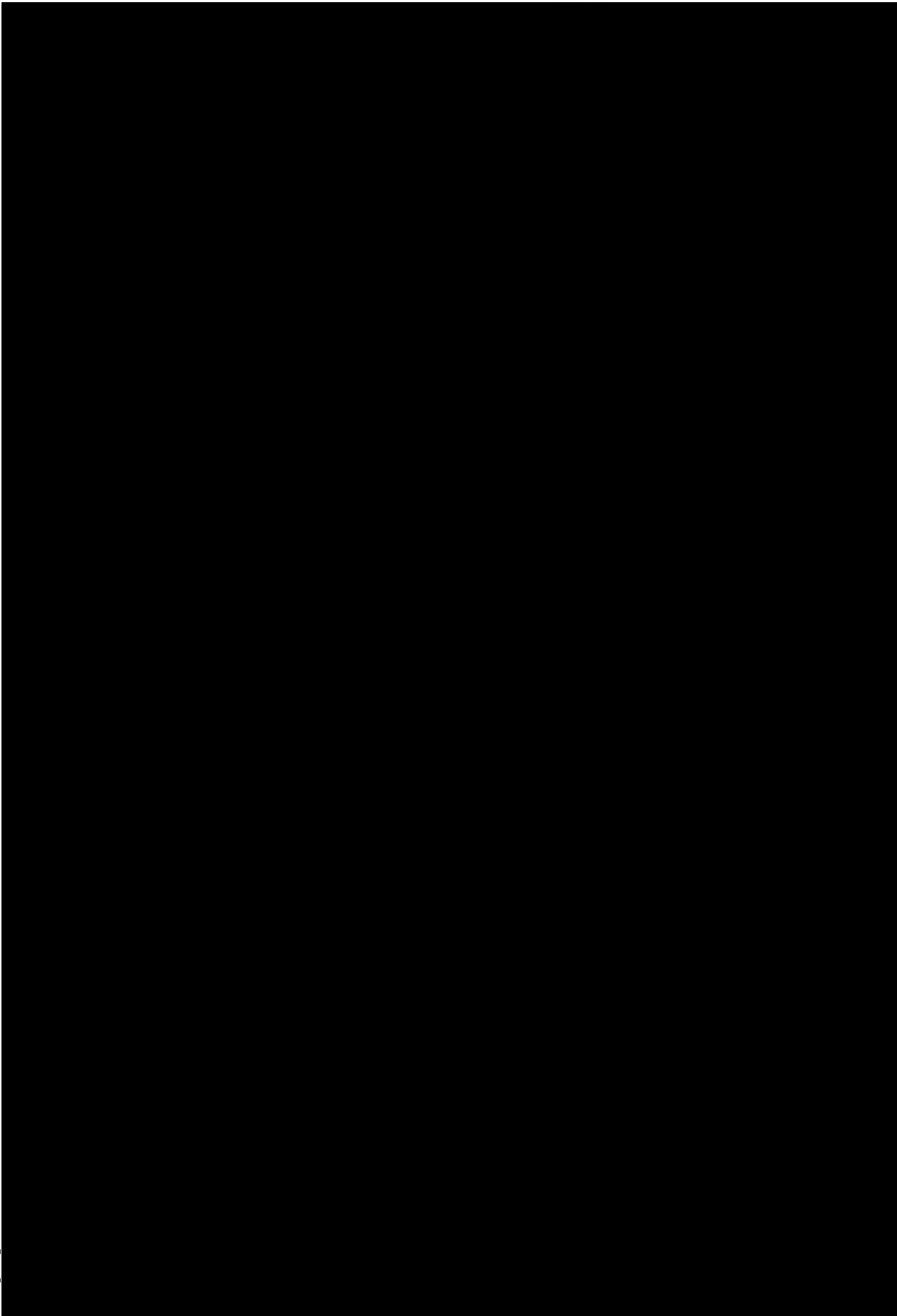
	Day	Swing	Graveyard	
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Casino Miami

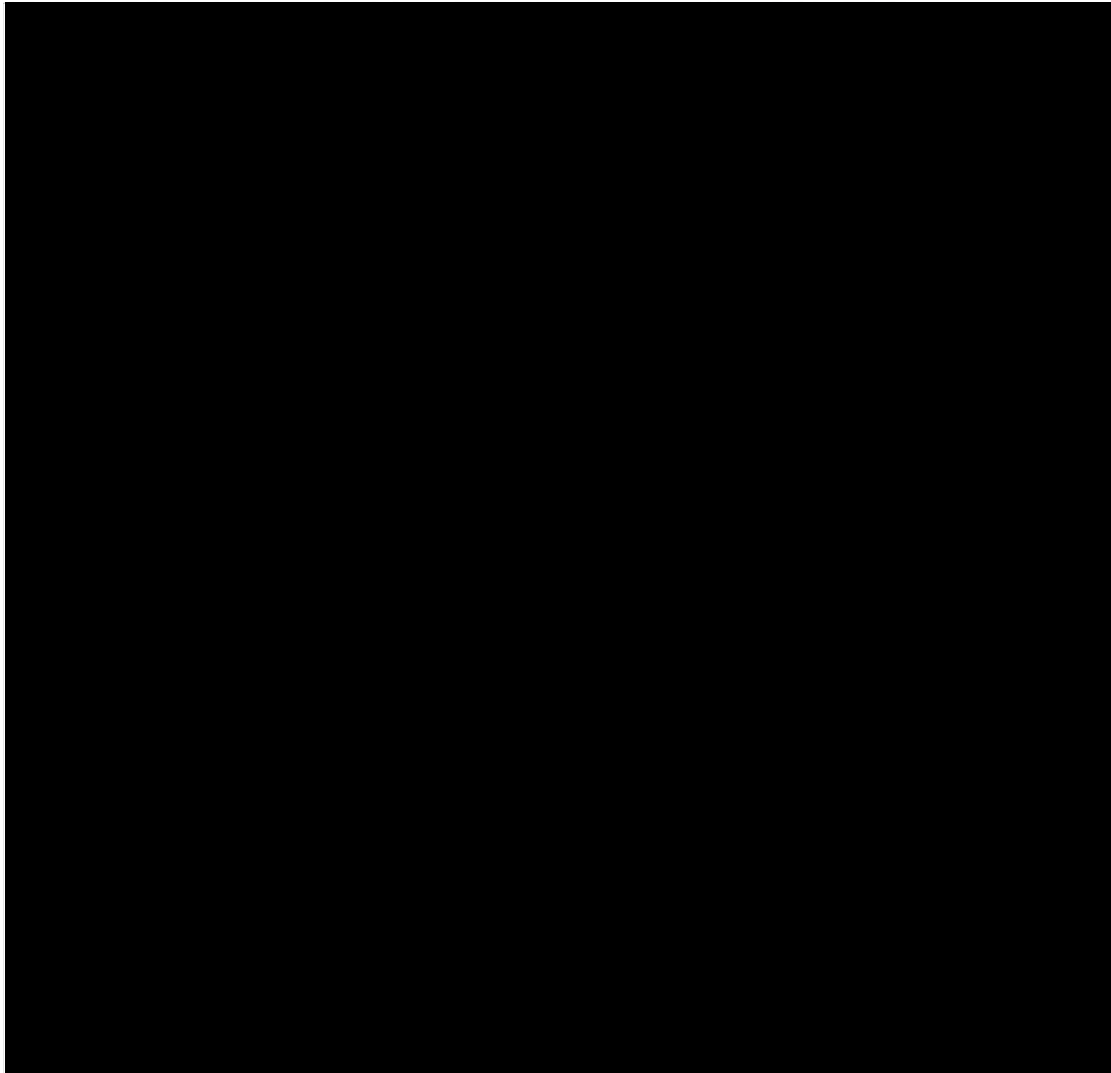
Cage Accountability

	Day	Swing	Graveyard	
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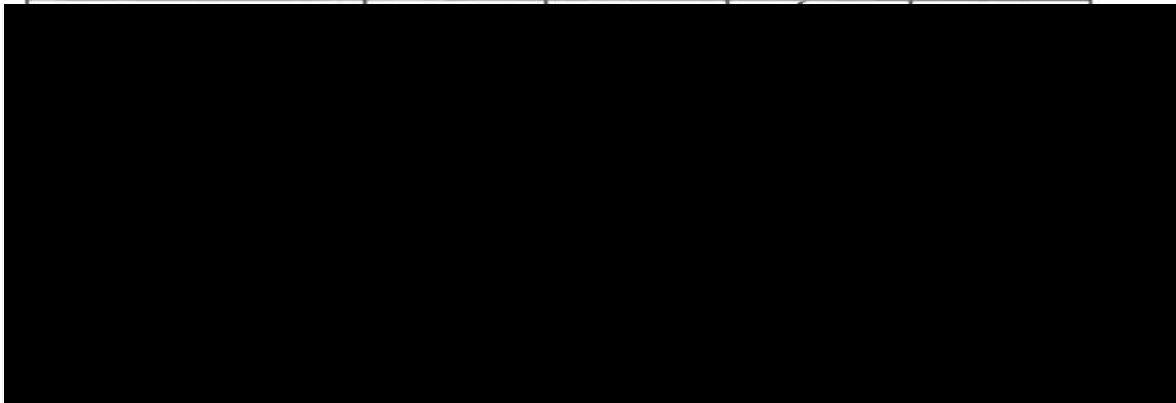


Casino Miami

Cage Accountability				
	Day	Swing	Graveyard	



Cage Shortages (Schedule D)	\$ -	\$ -	\$ 97.12	\$ 97.12
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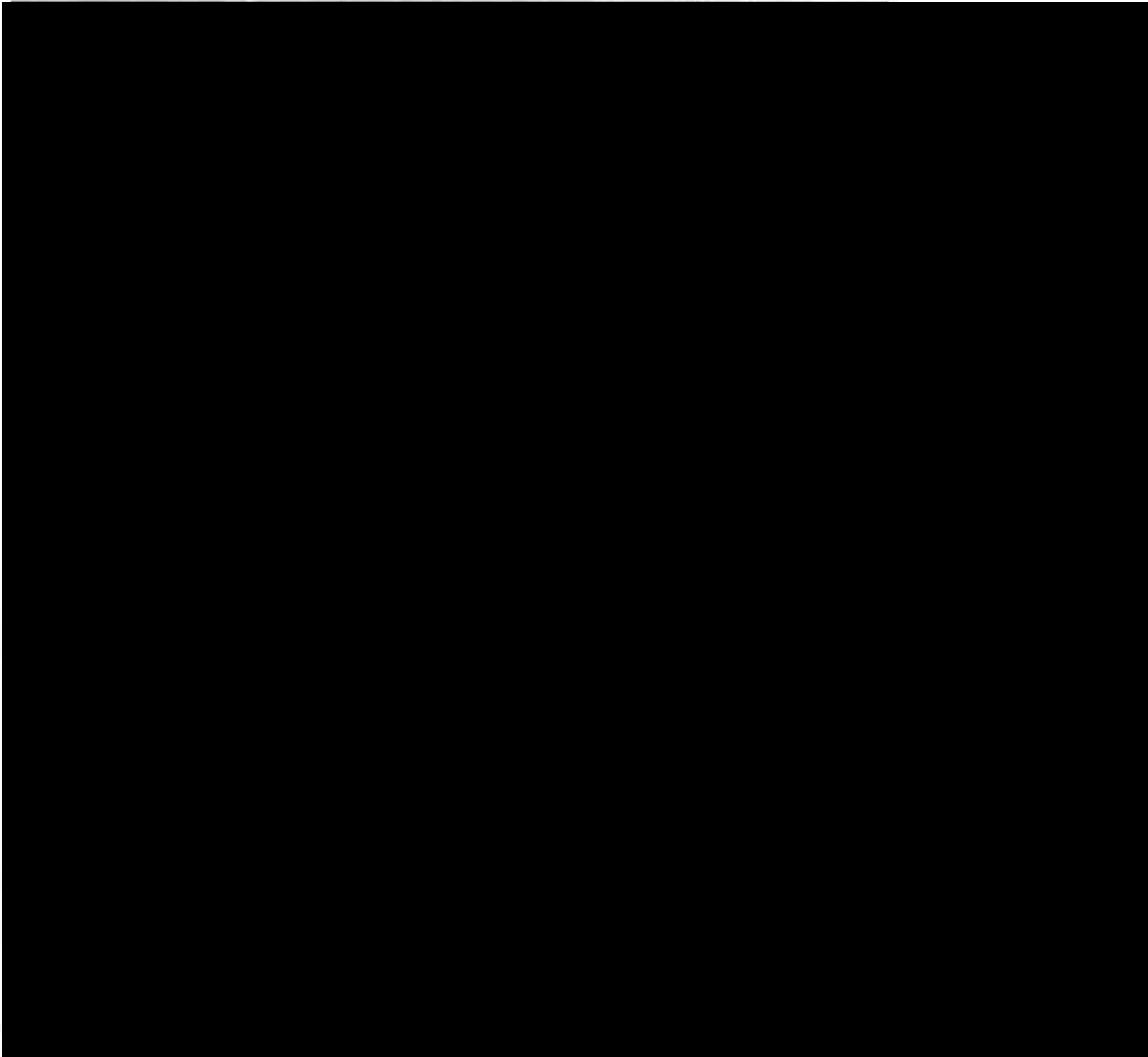


KR 11916424
Main Bank Cashier
10568355
Shift Supervisor

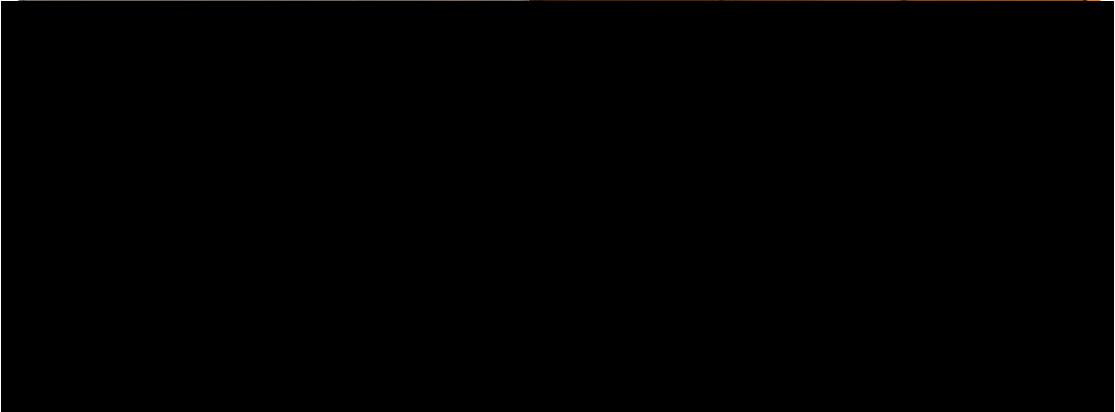
8/17/2022
Date
GRAVE
Shift

Casino Miami

Cage Accountability				
	Day	Swing	Graveyard	



Cage Shortages (Schedule D)	\$ 1.00	\$ 9.17	\$ 120.87	\$ 131.04
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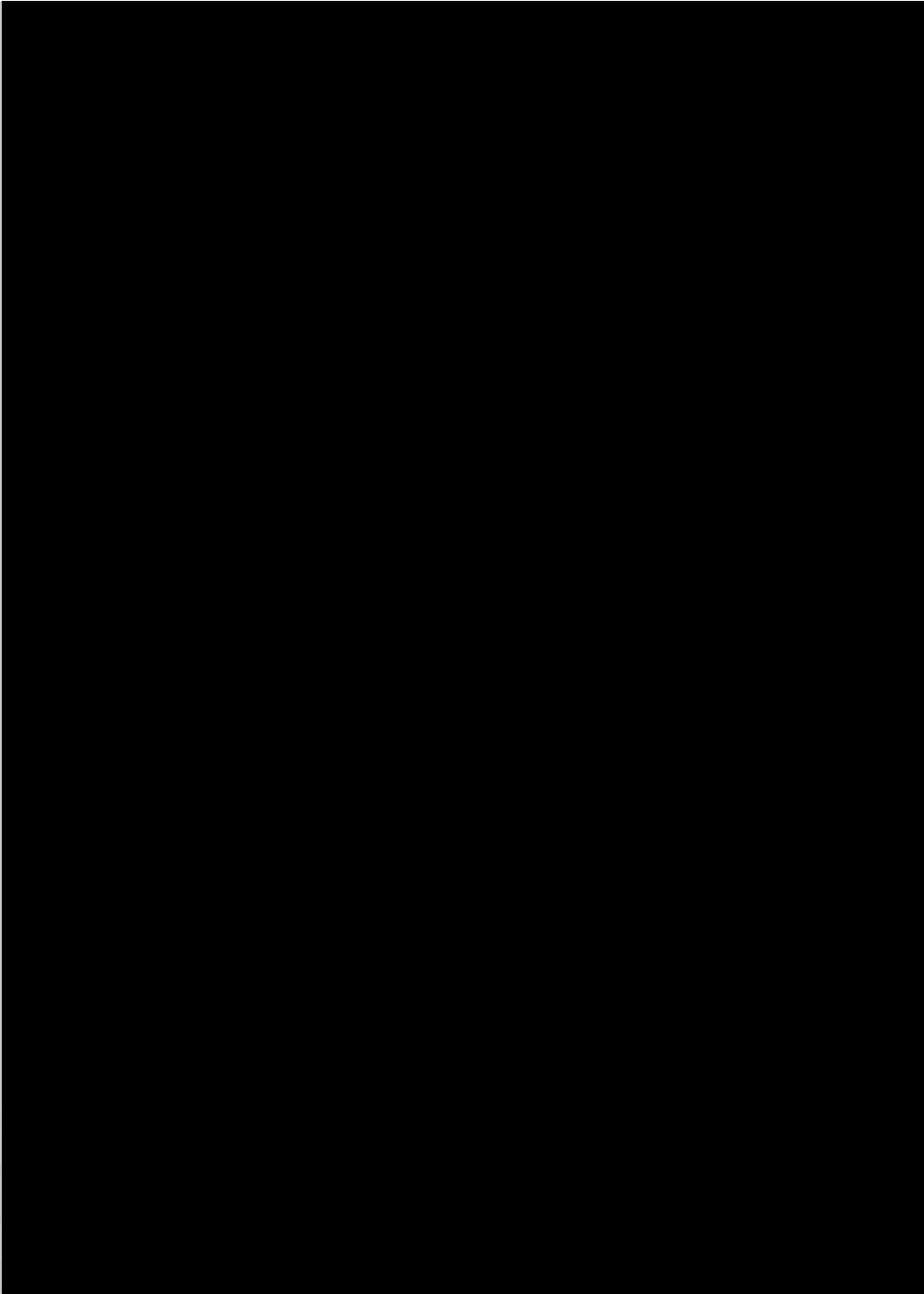
161616424
Main Bank Cashier
12569395
Shift Supervisor

8/18/2022
Date
GRAVE
Shift

Casino Miami

Cage Accountability

	Day	Swing	Graveyard	
--	-----	-------	-----------	--



JFK WILSON
Main Bank Cashier

JFK WILSON
Shift Supervisor

8/25/2022
Date

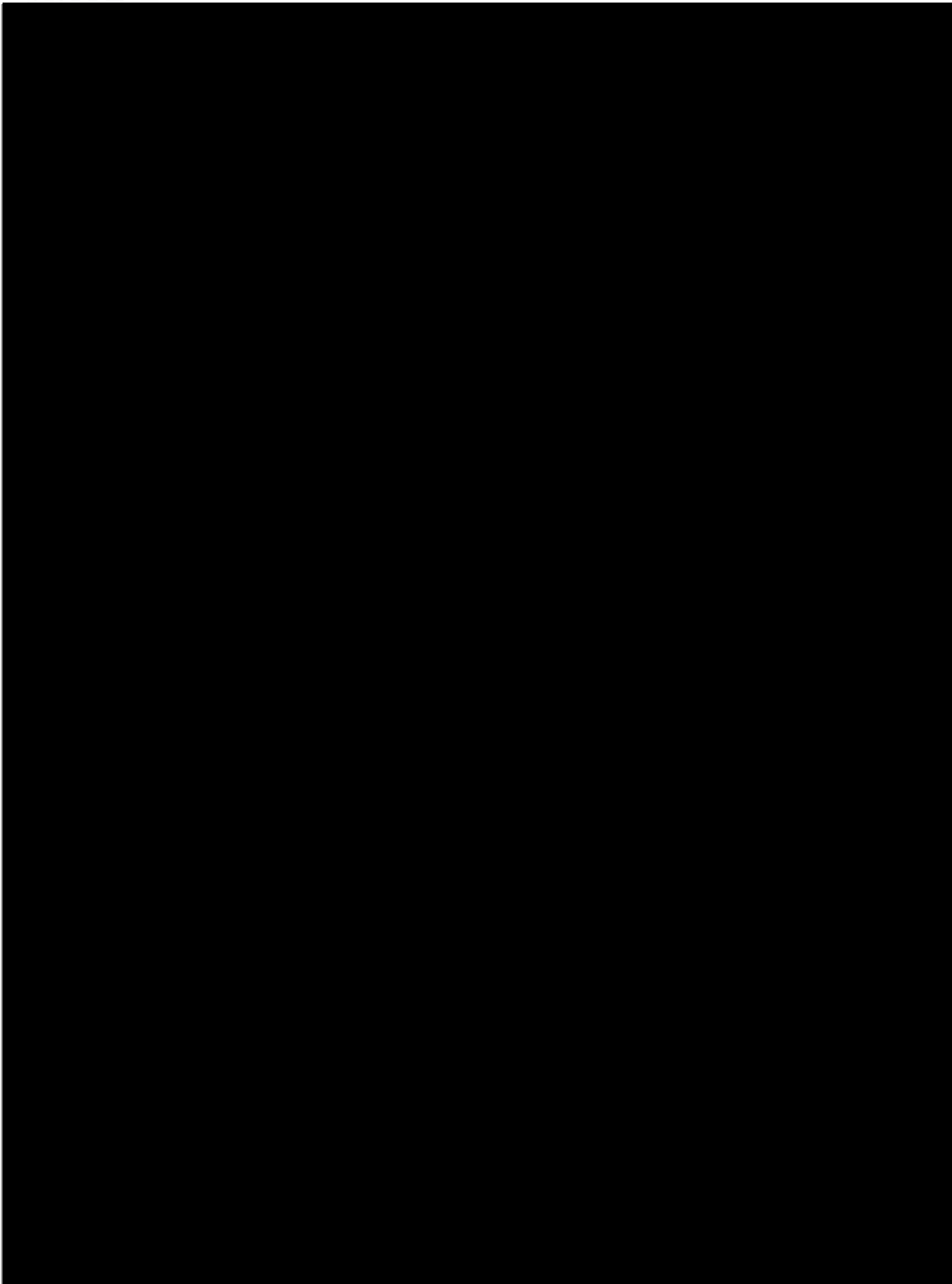
Day

Shift

Casino Miami

Cage Accountability

	Day	Swing	Graveyard	
--	-----	-------	-----------	--



Wp 13253390
Main Bank Cashier

Arthur 12142122
Shift Supervisor

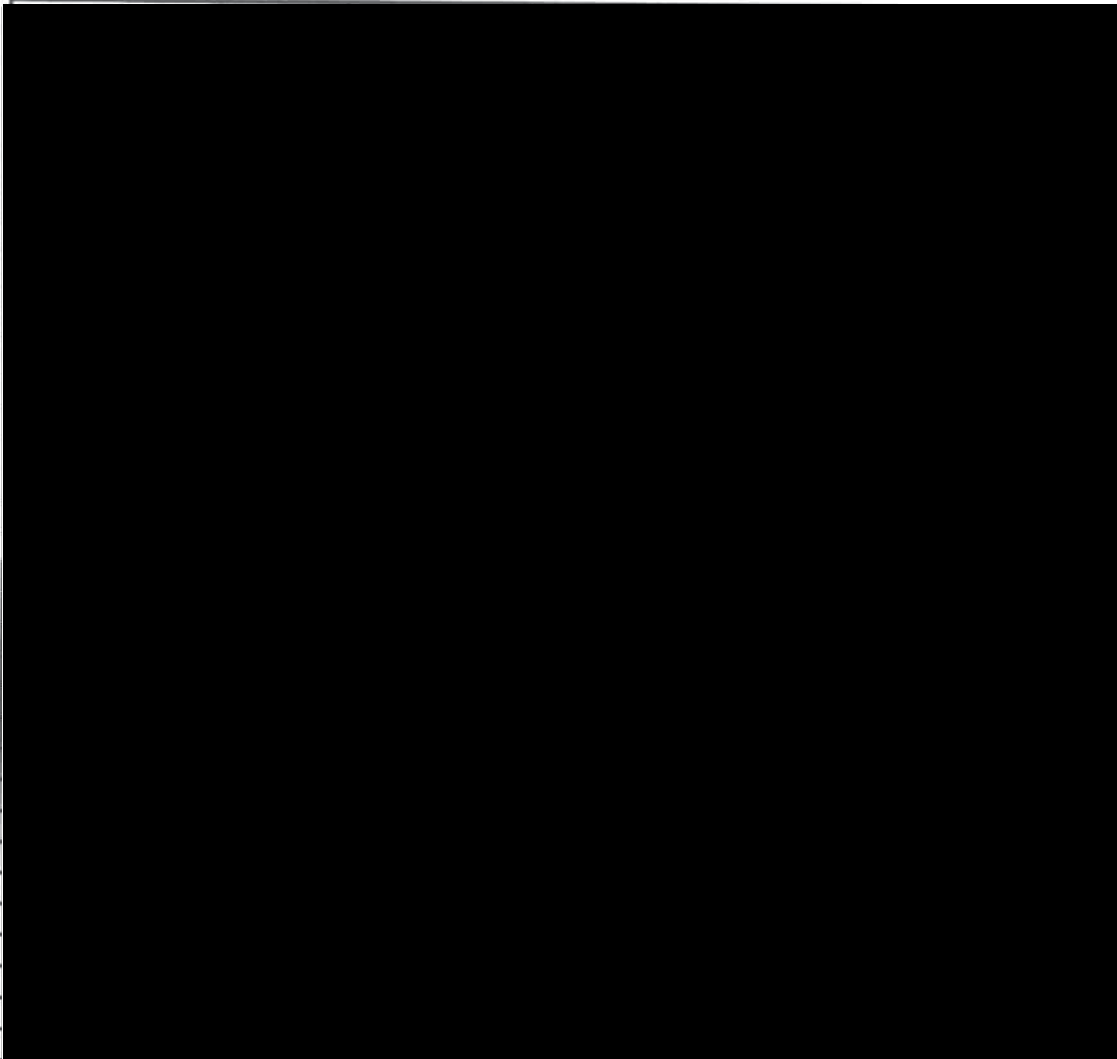
8/25/2022
Date

SWING
Shift

Casino Miami

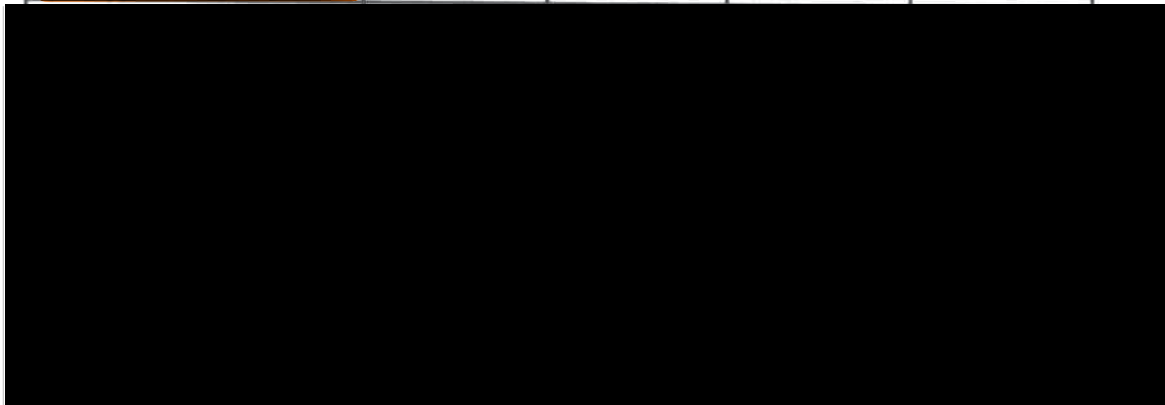
Cage Accountability

Day	Swing	Graveyard
-----	-------	-----------



NO slip

Cage Shortages (Schedule D)	\$ -	\$ -	\$ -	\$ -
-----------------------------	------	------	------	------



KR1191624

Main Bank Cashier

12564395

Shift Supervisor

8/25/2022

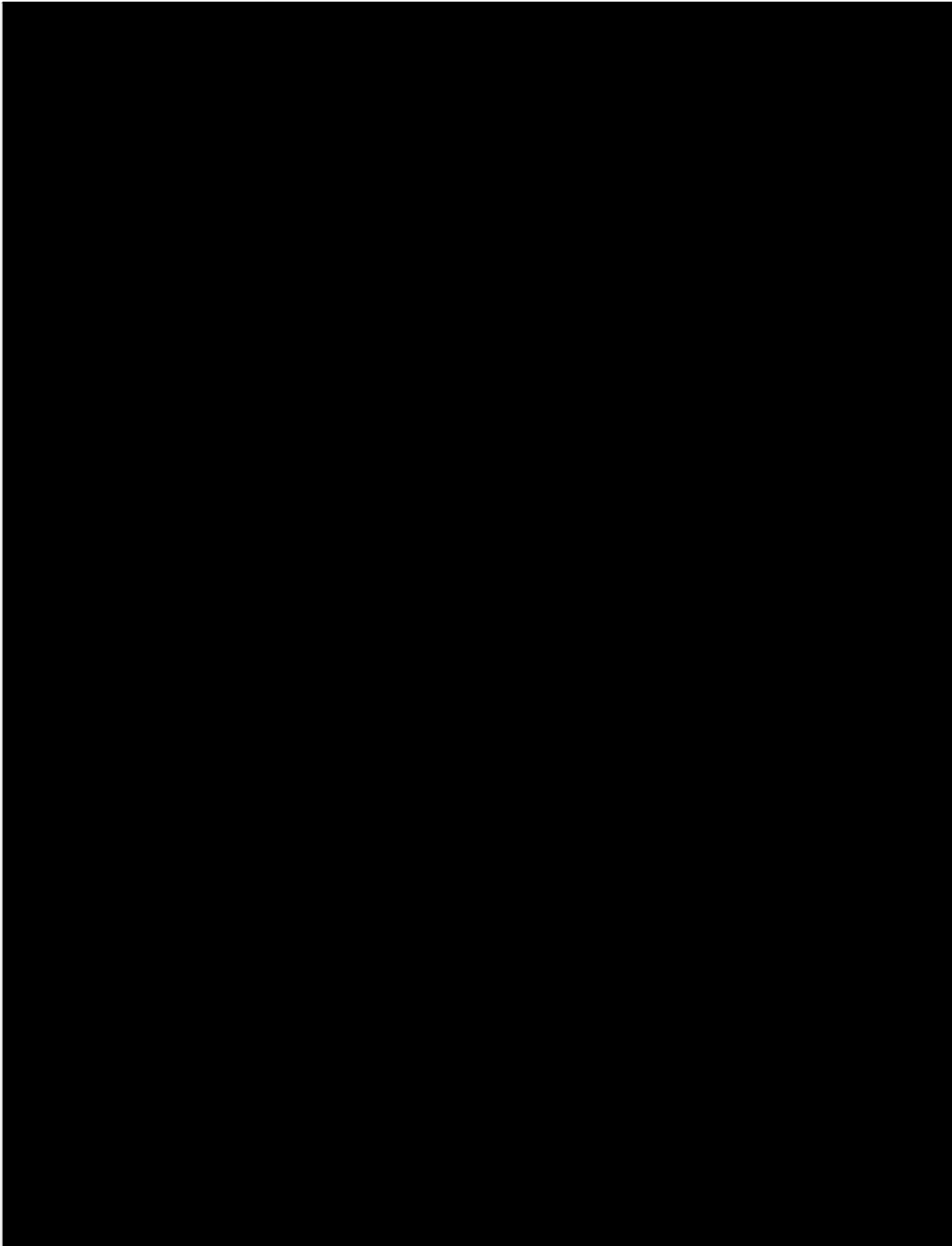
Date

GRAVE

Shift

Casino Miami

Cage Accountability				
	Day	Swing	Graveyard	



[Signature]
Main Bank Cashier

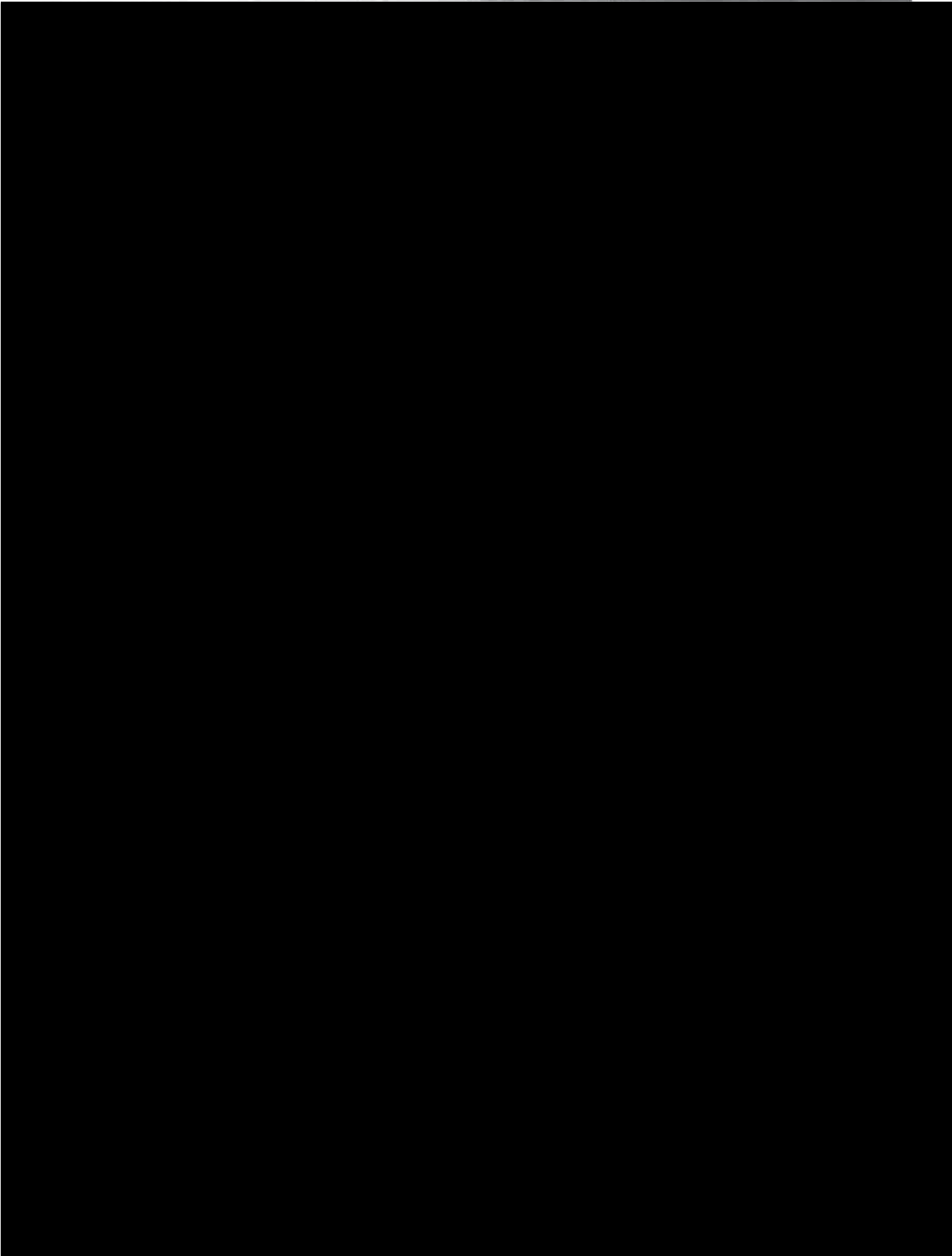
[Signature]
Shift supervisor

8/27/2022
Date

DAY
Shift

Casino Miami

Cage Accountability			
Day	Swing	Graveyard	



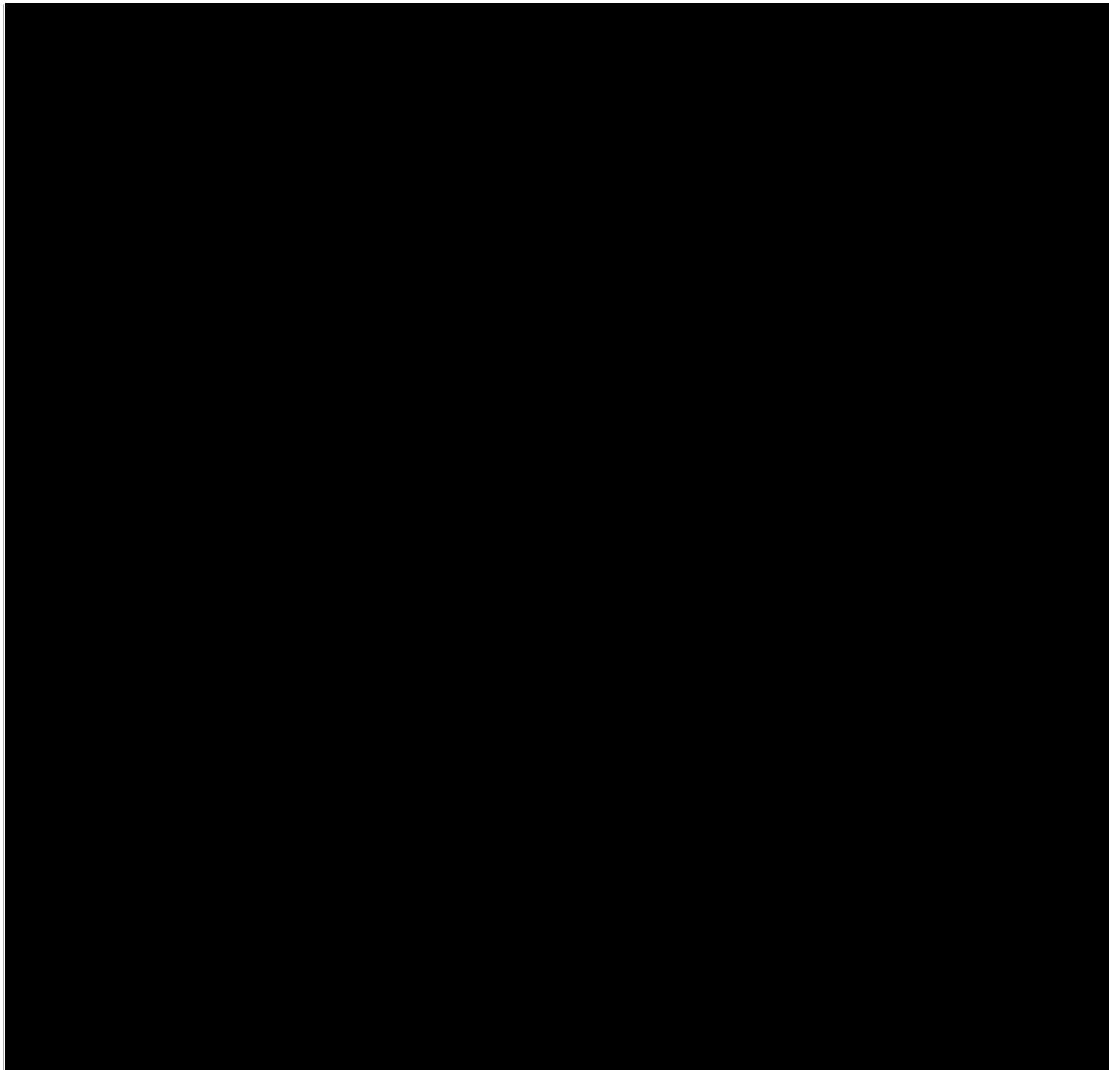
John / 5053340
Main Bank Cashier
FL (1) 12578600
Shift Supervisor

8/27/2022
Date
Swing
Shift

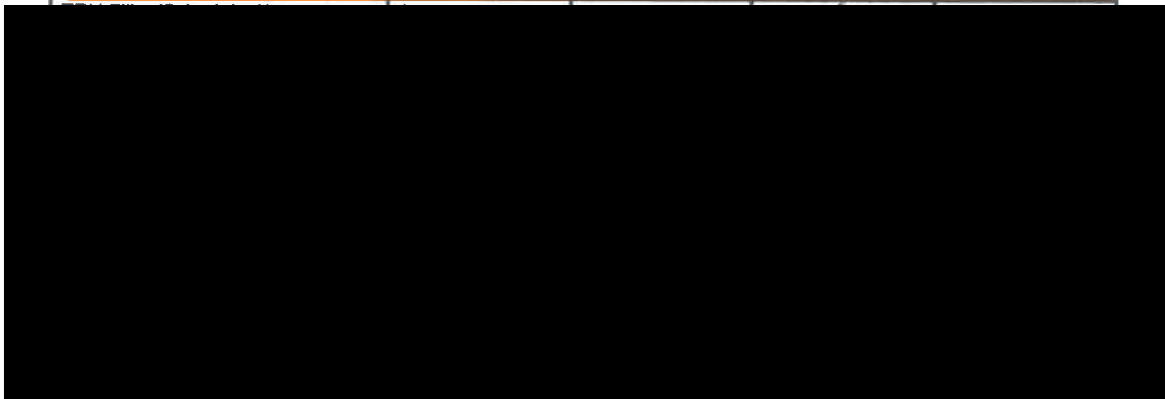
Casino Miami

Cage Accountability

	Day	Swing	Graveyard	
--	-----	-------	-----------	--



Cage Shortages (Schedule D)	\$ -	\$ 13.98	\$ 13.38	\$ 27.36
-----------------------------	------	----------	----------	----------



KR1151642

Main Bank Cashier

[Signature]
Shift Supervisor

8/27/2022

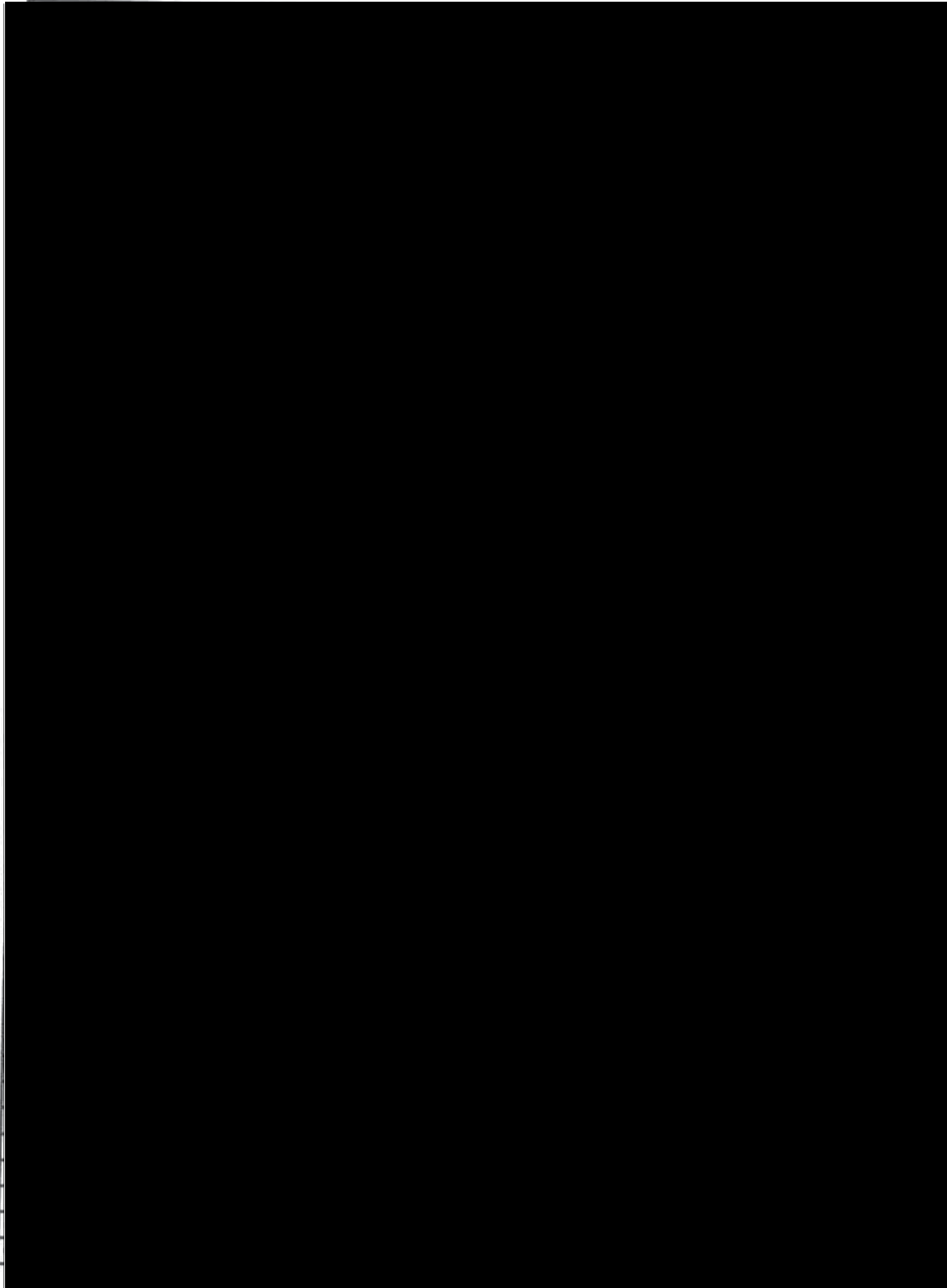
Date

GRAVE

Shift

Casino Miami

Cage Accountability			
Day	Swing	Graveyard	



Main Bank Cashier

Shift Supervisor

[Handwritten signature]
Revised 9/1/2022

9/1/2022

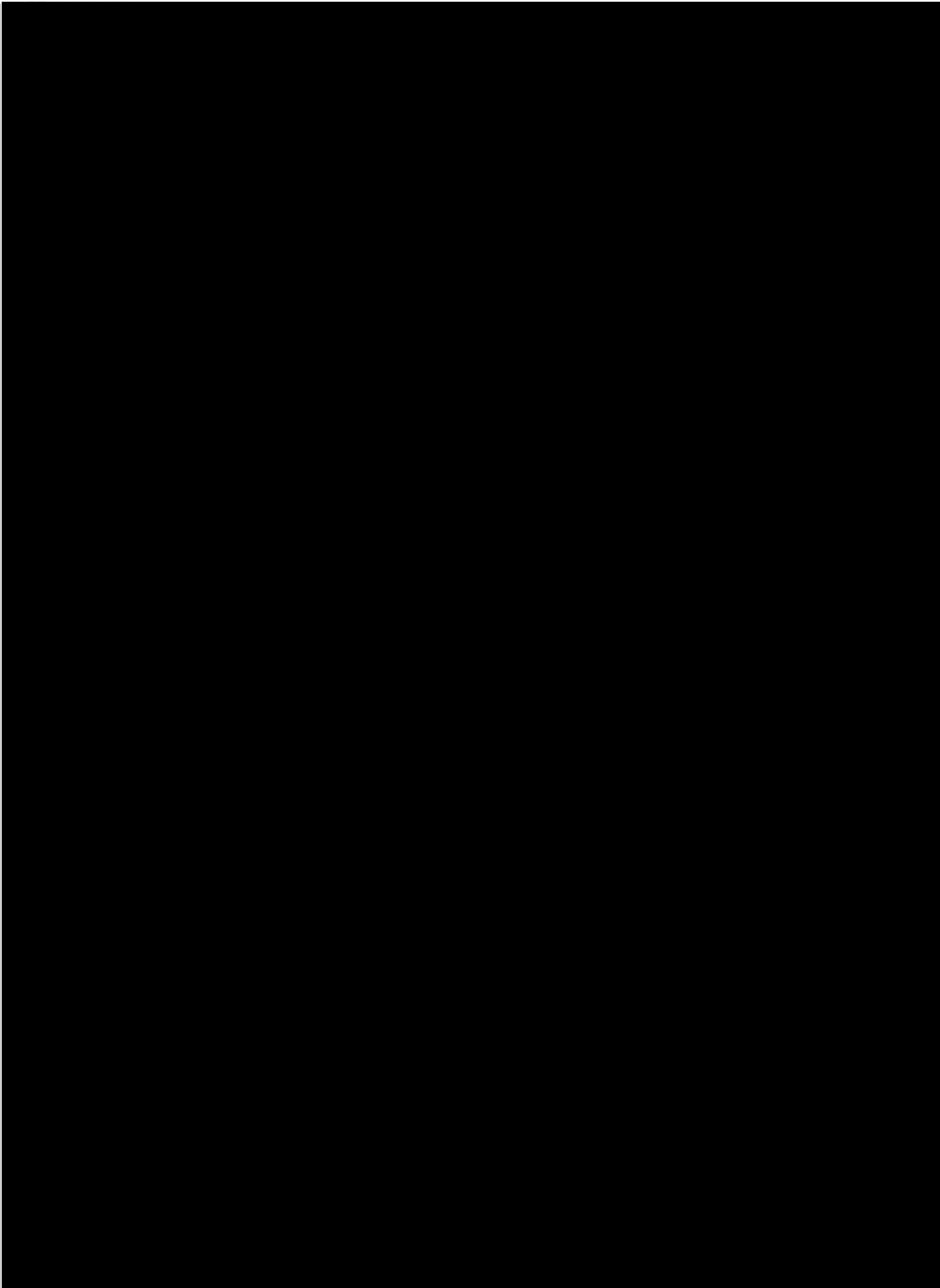
Date

Day

Shift

Casino Miami

Cage Accountability			
	Day	Swing	Graveyard



Monika Martin 2010406
Main Bank Cashier

Arthur [unclear]
Shift Supervisor

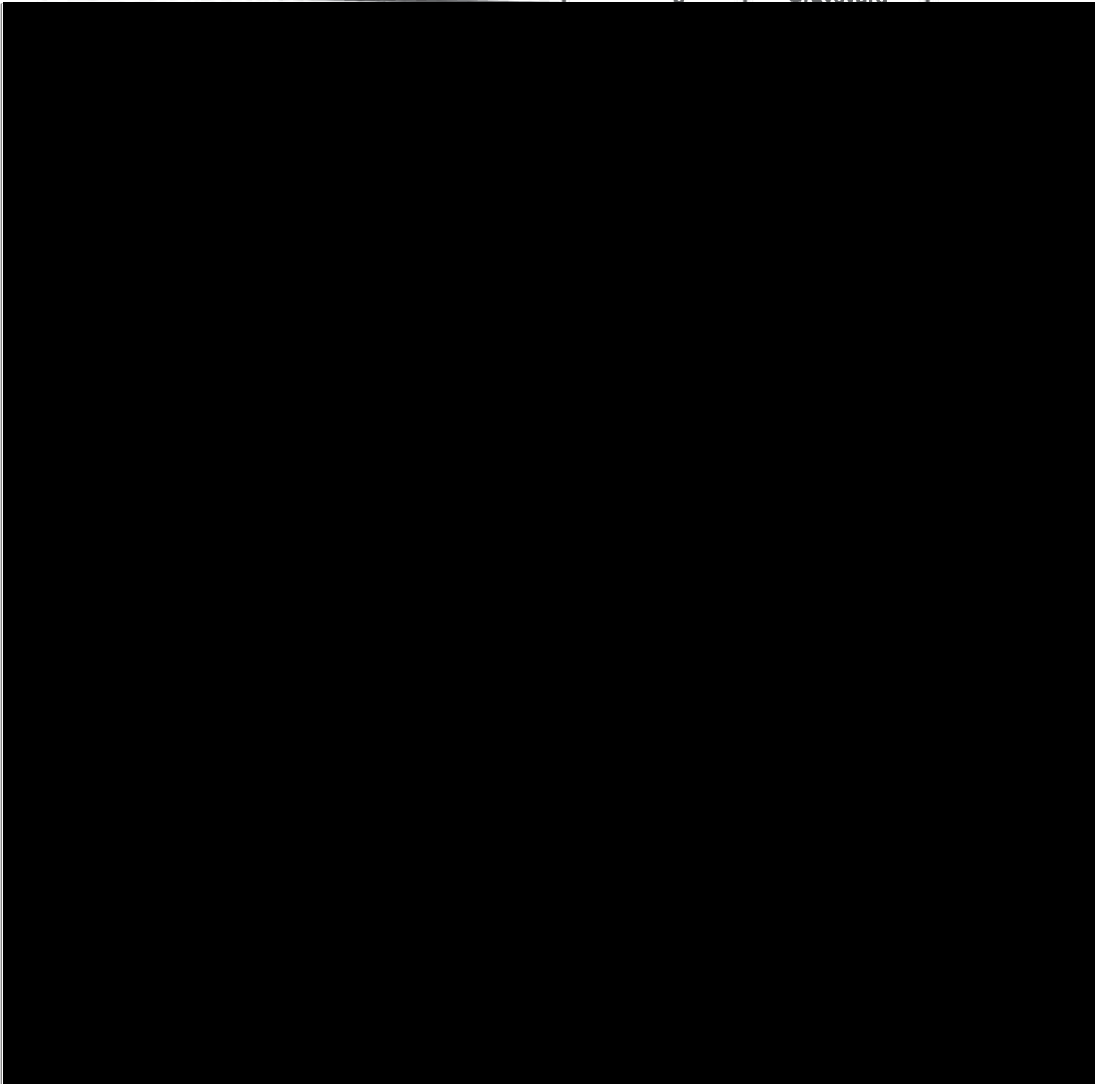
9/1/2022
Date

SWING
Shift

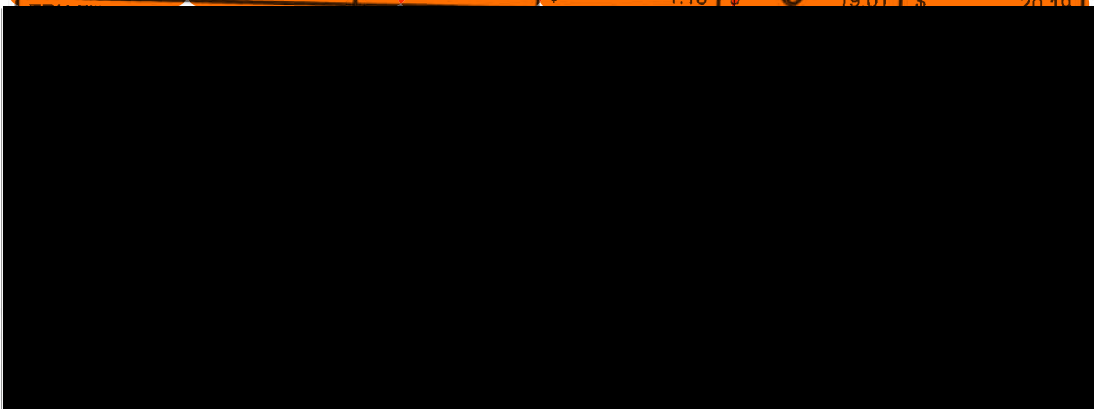
Casino Miami

Cage Accountability

	Day	Swing	Graveyard	
--	-----	-------	-----------	--



Cage Shortages (Schedule D)	\$ -	\$ 1.18	\$ 19.01	\$ 20.19
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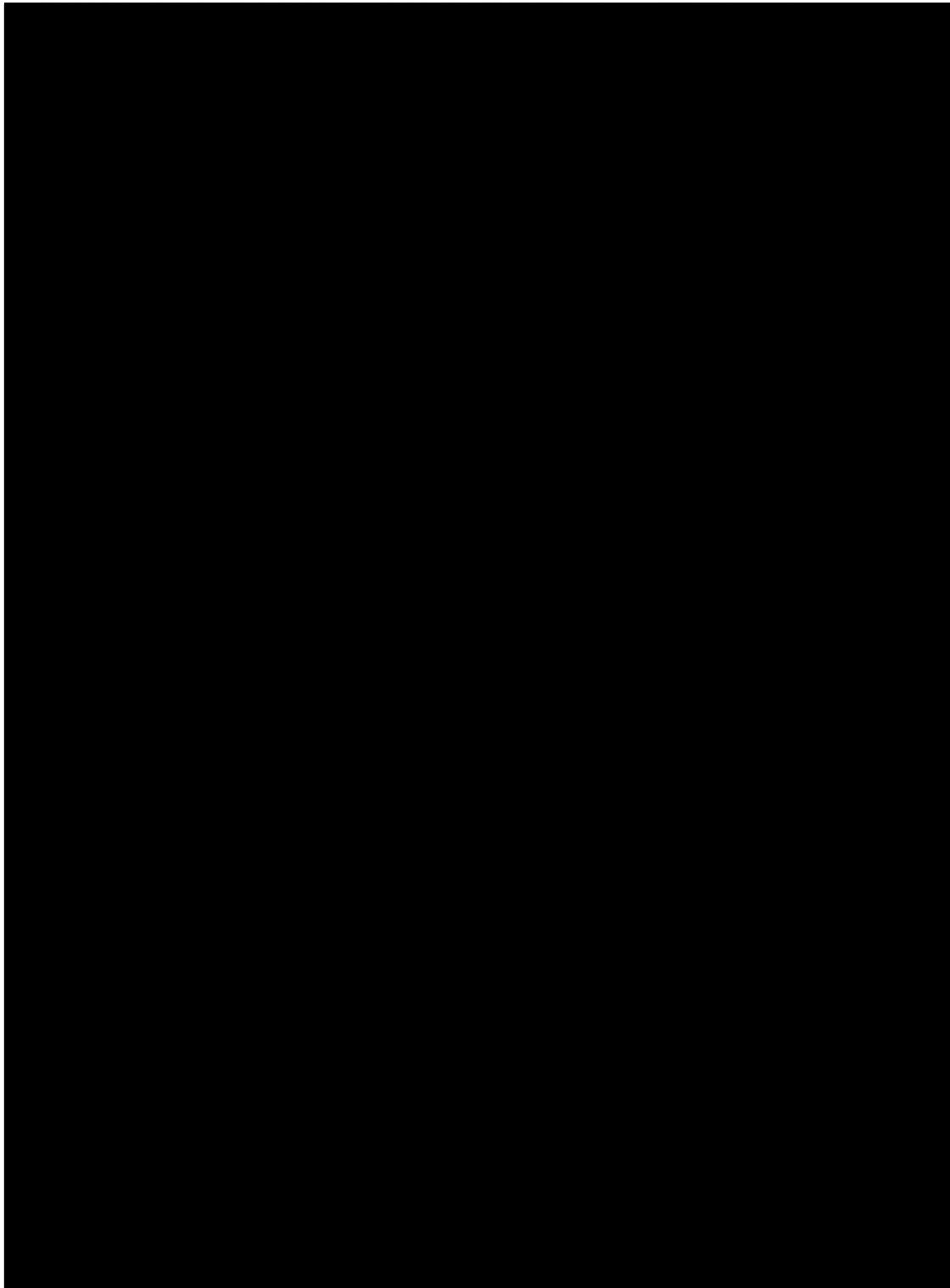
1221916424
Main Bank Cashier
kylo 10567355
Shift Supervisor

9/1/2022
Date
GRAVE
Shift

Casino Miami

Cage Accountability

	Day	Swing	Graveyard	
--	-----	-------	-----------	--



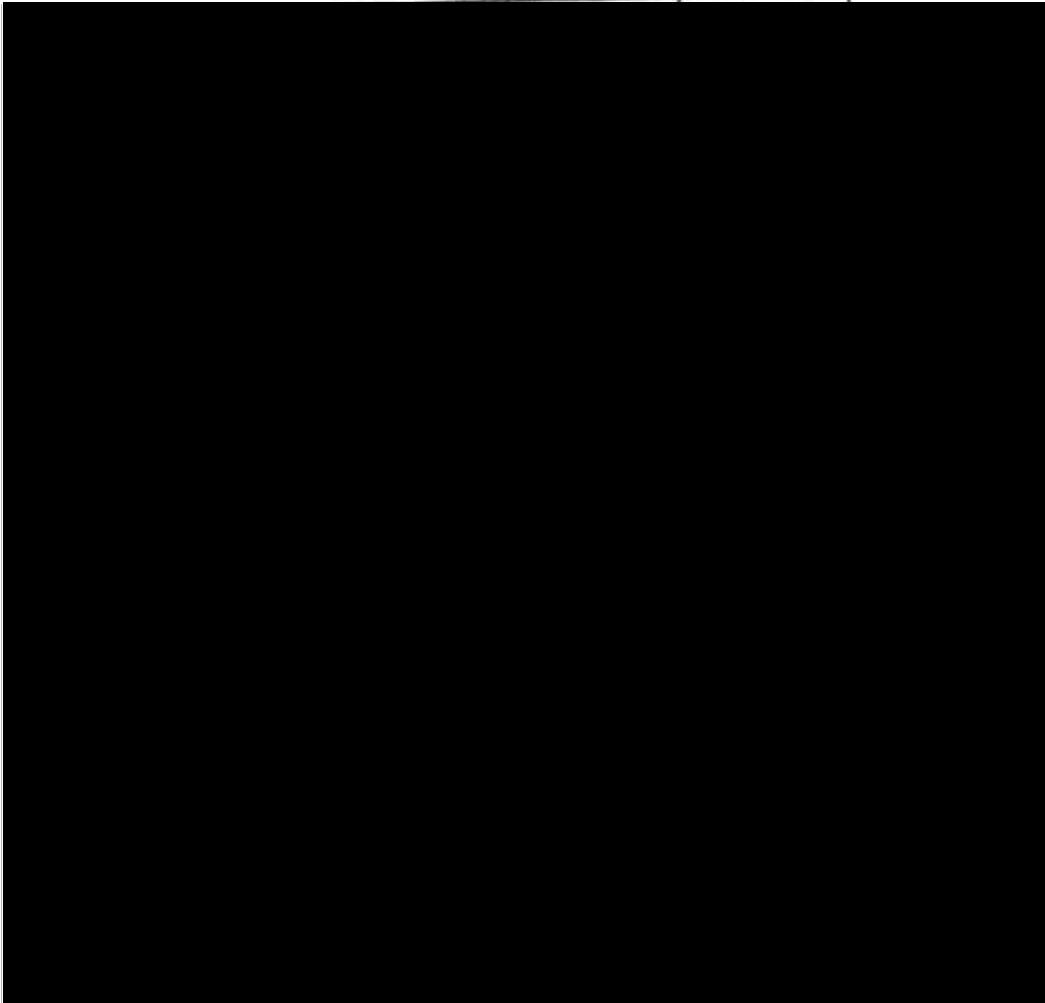
Main Bank Cashier
Sandra [Signature]
Shift Supervisor
Reusa 9/1/22

9/1/2022
Date
GRAVE
Shift

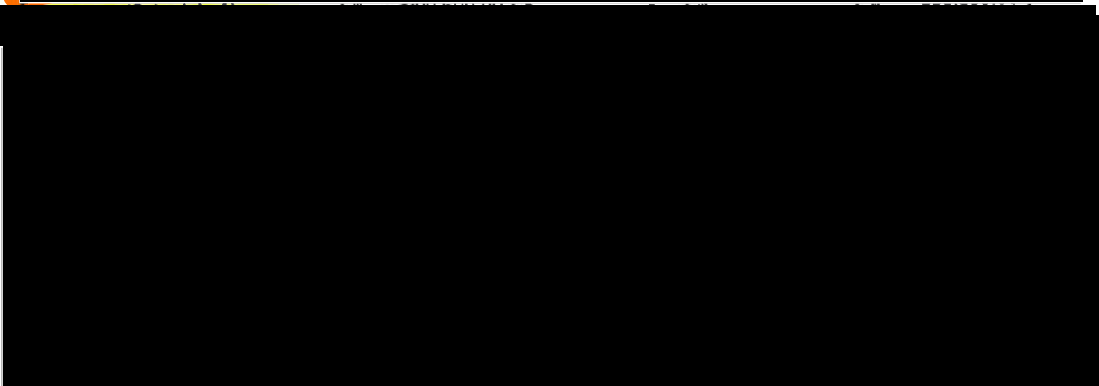
Casino Miami

Cage Accountability

	Day	Swing	Graveyard	
--	-----	-------	-----------	--



Cage Shortages (Schedule D)	\$ 80.00	\$ -	\$ -	\$ 80.00
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1211502055
Main Bank Cashier
[Signature] 10157091
Shift Supervisor

9/2/2022
Date
DAY
Shift

Daniella Sandoval

From: Daniella Sandoval
Sent: Thursday, September 1, 2022 4:26 PM
To: Beatriz Perez
Cc: Daniel Licciardi; Cesar Torres
Subject: Kevin Ramos Review

Good Afternoon Mrs. Perez,

Cage Management would like to request a review for Cage Cashier Kevin Ramos for August 27, 2022. What needs to be reviewed is Cassette #605 that has a shortage of \$100 after he filled the Cassette. Mr. Ramos was assigned to the Main Bank from 2am to 10am. The approximate time of the drop of this particular cassette was after 4:30am. Please note although I indicated August 27, 2022, the actual review should be August 28th, after 4:30 AM. Please let me know if you have any questions or need additional information.

Thank you,

**CASHIERS
WEEK ENDING**

	Name	14-Aug Sunday	15-Aug Monday	16-Aug Tuesday	17-Aug Wednesday	18-Aug Thursday	19-Aug Friday	20-Aug Saturday	Total Hrs
1		x	x	10am-6pm	10am-6pm	10am-6pm	10am-6pm*	10am-6pm	40
2		6pm-2am	6pm-2am*	6pm-2am*	6pm-2am	6pm-2am	x	x	40
3		x	x	10am-6pm	10am-6pm*	10am-6pm	10am-6pm	10am-6pm	40
4		MD	MD	x	MD	MD	MD	x	0
5		SUP	SUP	SUP	x	x	SUP	SUP	0
6		PTO	PTO	x	x	10am-6pm	10am-6pm	10am-6pm*	24
7	Kevin Ramos	2am-10am	2am-10am*	x	x	2am-10am	2am-10am	2am-10am	40
8		x	6pm-2am	6pm-2am	SUP	6pm-2am	x	SUP	40
9		6pm-2am	6pm-2am	6pm-2am	6pm-2am*	x	6pm-2am*	6pm-2am	48
10		2am-10am	2am-10am	2am-10am	x	x	2am-10am	2am-10am*	40
11		10am-6pm	10am-6pm	x	x	10am-6pm*	6pm-2am	6pm-2am*	40
12		x	x	2am-10am*	2am-10am	2am-10am*	2am-10am*	2am-10am	40
13		10am-6pm	10am-6pm	10am-6pm*	10am-6pm	x	10am-6pm	10am-6pm	48
14		6pm-2am*	x	x	6pm-2am	6pm-2am*	6pm-2am	6pm-2am	40
15		6pm-2am	x	x	x	x	x	x	0
16		6pm-2am	6pm-2am	6pm-2am	6pm-2am	6pm-2am	x	x	40
17				x	x				40

SCHEDULES ARE SUBJECT TO CHANGE AND MUST BE REVIEWED DAILY!

Casino
MIAMI

Completed 8/21/2022
Daudan 1018205
670

Gaming Date 8/14/2022

Daily Floor Plan-Sunday

Gaming Day Sunday

Day			Swing			Grave		
Location	Time	Name	Location	Time	Name	Location	Time	Name
FW 1			FW 1			FW 1		
FW 2	10am-6pm	SUP	FW 2			FW 2		
FW 3			FW 3	6pm-2am	Kenya	FW 3		
						PRK 1		
PKR 1	10am-6pm	Yusely	PKR 1			PKR 2	2am-10am	Ricandra
PKR 2			PKR 2	6pm-2am	Damian/ Dynellia			
Main Bank 10am-6pm		Hugo/ Lazara	Main Bank 6pm-2am		Marsha/Christopher	Main Bank 2am-10am		Kevin
8am-6pm			PCB/Helper 6pm-2am			Poker/Poker Chip Bank 2am-10am		Ricandra

Gaming Date: 8/18/2022

Daily Floor Plan-Thursday

Gaming Day THURSDAY

EXHIBIT # 15
PAGE # 3

Day			Swing			Grave		
Location	Time	Name	Location	Time	Name	Location	Time	Name
FW 1			FW 1			FW 1		
FW 2	10am-6pm	Yusely ✓	FW 2			FW 2	6pm-2am	Tameika ✓
FW 3			FW 3	6pm-2am	Kenya ✓	FW 3		
						PRK 1		
PKR 1	10am-6pm	Kathereen ✓	PKR 1			PKR 2		
PKR 2			PKR 2	6pm-2am	Marsha ✓			
						PKR 1		
						PKR 2		
Main Bank 10am-6pm		Lineth ✓	Main Bank 6pm-2am		Ailea/Christopher ✓	Main/PMB 6pm-2am		Kevin ✓
Poker Bank 10am-6pm		Maria ✓	Poker Main/Helper 6pm-2am		Ailea	Main/PMB		

Gaming Date 8/19/2022

Daily Floor Plan-Friday

Gaming Day FRIDAY

EXHIBIT # 15
PAGE # 4

Day			Swing			Grave		
Location	Time	Name	Location	Time	Name	Location	Time	Name
FW 1			FW 1			FW 1		
FW 2	10am-6pm	Maria ✓	FW 2			FW 2		Tameika ✓
FW 3			FW 3	6pm-2am	Damian ✓	FW 3		
PKR 1	10am-6pm	Lineth ✓	PKR 1					
PKR 2			PKR 2	6pm-2am	Yusely ✓	PW1		Ricandra ✓
	10am-6pm							
Main Bank 10am-6pm		Katherineen ✓	Main Bank 6pm-2am		Kenya ✓	Main/PMB 2am-10am		Kevin ✓
PMB/ MB HELPER 10am-6pm		Hugo ✓	PMB 6pm-2am			PCB 2am-10am		Ricandra ✓

**CASHIERS
WEEK ENDING**

	Name	21-Aug Sunday	22-Aug Monday	23-Aug Tuesday	24-Aug Wednesday	25-Aug Thursday	26-Aug Friday	27-Aug Saturday	Total Hrs
1	[REDACTED]	x	x	10am-6pm	10am-6pm	10am-6pm	10am-6pm*	10am-6pm*	40
2		6pm-2am	6pm-2am*	6pm-2am	6pm-2am	6pm-2am	x	x	40
3		x	x	10am-6pm	10am-6pm	10am-6pm*	10am-6pm	10am-6pm	40
4		MD	MD	x	MD	MD	MD	x	0
5		SUP	SUP	x	x	10am-6pm	SUP	SUP	8
6		10am-6pm*	10am-6pm*	x	x	10am-6pm	10am-6pm	10am-6pm	40
7	Kevin Ramos	2am-10am	2am-10am*	x	x	2am-10am*	2am-10am	2am-10am	40
8	[REDACTED]	SUP	x	SUP*	SUP	SUP	x	SUP	40
9		6pm-2am	6pm-2am	6pm-2am	6pm-2am*	x	6pm-2am*	6pm-2am	48
10		2am-10am	2am-10am	2am-10am	x	x	2am-10am	2am-10am*	40
11		10am-6pm	10am-6pm	x	10am-6pm*	6pm-2am*	6pm-2am	x	40
12		x	x	2am-10am*	2am-10am	2am-10am	2am-10am*	2am-10am	40
13		10am-6pm	10am-6pm	10am-6pm*	10am-6pm	x	10am-6pm	10am-6pm	48
14		OUT	x	x	6pm-2am	6pm-2am	6pm-2am	6pm-2am	40
15		6pm-2am	6pm-2am	6pm-2am	x	x	6pm-2am	6pm-2am*	40
16							x	x	40
17				x	x				40

SCHEDULES ARE SUBJECT TO CHANGE AND MUST BE REVIEWED DAILY!

Casino
MIAMI

*Payroll Completed.
Dawson
10/10/09
8/28/12
1:10pm*

Gaming Date 8/21/2022

Daily Floor Plan-Sunday

Gaming Day Sunday

Day			Swing			Grave		
Location	Time	Name	Location	Time	Name	Location	Time	Name
FW 1			FW 1			FW 1		
FW 2	10am-6pm	Lineth ✓	FW 2		Marsha	FW 2		
FW 3			FW 3	6pm-2am	Kenya	FW 3		
						PRK 1		
PKR 1	10am-6pm	Yusely ✓	PKR 1			PKR 2	2am-10am	Ricandra ✓
PKR 2			PKR 2	6pm-2am	Damian			
Main Bank 10am-6pm		Hugo ✓	Main Bank 6pm-2am		Christopher	Main Bank 2am-10am		Kevin
8am-6pm			PCB/Helper 6pm-2am		Marsha	Poker/Poker Chip Bank 2am-10am		Ricandra ✓

EXHIBIT # 15
PAGE # 6

Ailea Game SUP.

Gaming Date

8/26/2022

Daily Floor Plan-Friday

Gaming Day

FRIDAY

Day			Swing			Grave		
Location	Time	Name	Location	Time	Name	Location	Time	Name
FW 1			FW 1			FW 1		
FW 2	10am-6pm	Maria ✓	FW 2			FW 2		Tameika ✓
FW 3			FW 3	6pm-2am	Damian ✓	FW 3		
PKR 1	10am-6pm	Hugo ✓	PKR 1					
PKR 2			PKR 2	6pm-2am	Yusely ✓	PW1		Ricandra ✓
	10am-6pm							
Main Bank 10am-6pm		Kathereen ✓	Main Bank 6pm-2am		Chrystopher ✓	Main/PMB 2am-10am		Kevin ✓
PMB/ MB HELPER 10am-6pm		Lineth ✓	PMB 6pm-2am		Kenya ✓	PCB 2am-10am		Ricandra

EXHIBIT # 15
PAGE # 7

**CASHIERS
WEEK ENDING**

	Name	28-Aug Sunday	29-Aug Monday	30-Aug Tuesday	31-Aug Wednesday	1-Sep Thursday	2-Sep Friday	3-Sep Saturday	Total Hrs
1	[REDACTED]	x	x	10am-6pm	10am-6pm*	10am-6pm	10am-6pm	10am-6pm	40
2	[REDACTED]	5pm-2am*	6pm-2am	6pm-2am	6pm-2am	6pm-2am	x	x	40
3	[REDACTED]	x	x	10am-6pm	10am-6pm	10am-6pm*	10am-6pm	10am-6pm	40
4	[REDACTED]	SUP	SUP*	x	x	10am-6pm	SUP	SUP	8
5	[REDACTED]	10am-6pm	10am-6pm	x	x	10am-6pm	10am-6pm*	10am-6pm	40
6	Kevin Ramos	2am-10am	2am-12pm	x	x	2am-10am*	2am-10am	2am-10am	40
7	[REDACTED]	SUP	x	SUP	SUP	SUP	x	SUP	0
8	[REDACTED]	6pm-2am	6pm-2am	6pm-2am	6pm-2am*	x	6pm-2am	6pm-2am	48
9	[REDACTED]	2am-10am	2am-10am	2am-10am	x	x	2am-10am	2am-10am*	40
10	[REDACTED]	x	10am-6pm	10am-6pm	x	6pm-2am*	6pm-2am	6pm-2am	40
11	[REDACTED]	x	x	2am-10am*	2am-10am	2am-10am	2am-10am*	2am-10am	40
12	[REDACTED]	10am-6pm	9am-6pm	10am-6pm*	10am-6pm	x	10am-6pm	10am-6pm*	49
13	[REDACTED]	6pm-2am	x	x	6pm-2am	6pm-2am	6pm-2am	6pm-2am*	40
14	[REDACTED]	5pm-2am*	6pm-2am*	6pm-2am*	x	x	6pm-2am*	6pm-2am	40
15	[REDACTED]	x	x	x	x	4:30 pm-12am	NC/NS	NC/NS	24
16	[REDACTED]	x	x	x	x	4:30am-12am	10am-6pm	10am-6pm	24
17	[REDACTED]			x	x				0

SCHEDULES ARE SUBJECT TO CHANGE AND MUST BE REVIEWED DAILY!

Casino
MIAMI

[Signature]
1015601-
9/15/2022

Gaming Date 8/28/2022

Daily Floor Plan-Sunday

Gaming Day Sunday

Day			Swing			Grave		
Location	Time	Name	Location	Time	Name	Location	Time	Name
FW 1			FW 1			FW 1		
FW 2	10am-6pm	SUP	FW 2			FW 2		
FW 3			FW 3	6pm-2am	Marsha/Chrystopher	FW 3		
						PRK 1		
PKR 1	10am-6pm	Lineth	PKR 1			PKR 2	2am-10am	Ricandra
PKR 2			PKR 2	6pm-2am	Kenya			
Main Bank 10am-6pm		Hugo	Main Bank 6pm-2am		Damian	Main Bank 2am-10am		Kevin
8am-6pm			PCB/Helper 6pm-2am			Poker/Poker Chip Bank 2am-10am		Ricandra

Daily Floor Plan-Friday

Gaming Date

9/2/2022

Gaming Day

FRIDAY

Day			Swing			Grave		
Location	Time	Name	Location	Time	Name	Location	Time	Name
FW 1			FW 1			FW 1		
FW 2	10am-6pm	Lineth ✓	FW 2			FW 2		Tameika ✓
FW 3			FW 3	6pm-2am	Christopher	FW 3		
PKR 1	10am-6pm	Hugo ✓	PKR 1					
PKR 2			PKR 2	6pm-2am	Kenya	PW1		Ricandra ✓
	10am-6pm							
Main Bank 10am-6pm		Kathereen/Lidia ✓	Main Bank 6pm-2am		Damian Yusely /Mercedes (CT)	Main/PMB 2am-10am		Kevin
PMB/ MB HELPER 10am-6pm		Maria	PMB 6pm-2am		Yusely Damian	PCB 2am-10am		Ricandra ✓

EXHIBIT # 15
PAGE # 10

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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- [Complaint Search](#) | [Change Recording License Type](#) | [Delete Complaint](#) | [Mass Activity Update](#) | [Mass Discipline Update](#)
- [Mass Status Update](#) | [Public Case Info](#)

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **Imuniz**

[VR Home](#) > [Complaint Search](#) > **Maintain Complaint**

Lic Type	1055 - Slot Machine/Cardroom/Pari-Mutuel Individual Combination	Status	20 Under Investigation	Status Date	10/03/2022
Complaint #	2022045861	Case Type	CMP - Complaint	Disposition	
Docket#		Respondent	RAMOS, KEVIN JERMAIN	Responsible	rsuau - SUAU, RAUL
					Private Case

Complaint	Respondent	Complainant	Add'l Info
-----------	------------	-------------	------------

Source	INTN - Internal	Security Level	1	<input type="checkbox"/> Parties	<input checked="" type="checkbox"/> Activities
Form	INSP - Inspection	Priority		<input type="checkbox"/> Allegations	<input type="checkbox"/> Discipline
Class'n	303 - Rules	Complexity	R - Regular	<input checked="" type="checkbox"/> Violations	<input type="checkbox"/> Compliance
Security	STND - Standard	Incident	09/02/2022	<input type="checkbox"/> Related	<input checked="" type="checkbox"/> Disposition
Region	SR - Southern Region	Received	09/19/2022	<input type="checkbox"/> Inspection	
Reference	551.109			<input type="checkbox"/> Costs	
Entered	09/20/2022	Entered By	tsantos	<input type="checkbox"/> Time Tracking	<input type="button" value="Auto Assign"/>
Summary	273-Casino Miami, LLC ----- / ----- Prohibited Acts; penalties: An employee of Casino Miami, LLC was arrested for the theft of the facility's slot machine proceeds. ----- / ----- . . 09/26/2022 - Assigned to Investigations. ----- / ----- . 9/20/2022-An employee of Casino Miami, LLC was arrested for the theft of the facility's slot machine proceeds.			<input type="checkbox"/> Attachments	<input type="button" value="History"/>
Updated	10/03/2022 10:48:46	By	Imuniz	<input type="checkbox"/> Work Notes	<input type="button" value="Print Report"/>

<input type="button" value="Change"/>	<input type="button" value="Save"/>	<input type="button" value="OK"/>	<input type="button" value="Cancel"/>	<input type="button" value="Back"/>
---------------------------------------	-------------------------------------	-----------------------------------	---------------------------------------	-------------------------------------

 [Get Adobe Reader.](#)



FLORIDA
GAMING CONTROL
COMMISSION

JOHN MACIVER, CHAIRMAN
MICHAEL YAWORSKY, VICE CHAIR
JULIE I. BROWN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER

September 26, 2022

SENT VIA ELECTRONIC MAIL

Beatriz Perez
Director of Human Resources & State Compliance
Casino Miami
3500 NW 37th Ave
Miami FL, 33142

Re: Possible Violation No. 2022045861

Dear Ms. Perez:

Attached please find a FGCC Slot Operations Incident Report. As stipulated in Fla. Admin. Code R. 61D-14.087, 2, (a), a written response from Casino Miami, LLC is required. Please note that a written reply shall be provided by the slot machine licensee or occupational licensee within "five (5) business days from the date of receipt of the date of a written request from the division."

If you have any questions, please do not hesitate to contact the Regional Office at the number listed below.

Respectfully,

Tatiana Santos
Slot Operations Analyst II
FGCC, Division of Pari-Mutuel Wagering
954-202-6782



INCIDENT REPORT

Type of Report: Violation <input checked="" type="checkbox"/> Complaint <input type="checkbox"/>	Facility: Casino Miami, LLC	Date: 09/02/2022 Time: 0932	Case Number: 2022045861
Respondent / Accused: Name: Kevin Jermain Ramos Address: 3500 NW 37 th Avenue City/State/Zip: Miami, FL 33142 1 st Phone: [REDACTED] 2 nd Phone: [REDACTED] Profession: Cage Cashier License Type: 1055 License # 11916424	Complainant / Victim: FGCC Name: FGCC Address: 1400 West Commercial Boulevard Suite 165 City/State/Zip: Fort Lauderdale, FL 33309 1 st Phone: 954-202-6845 2 nd Phone: Profession: License #:		
Witness #1 Name / Lic. #	Address:	Contact Information:	Board: 101
Witness #2 Name / Lic. #	Address:	Contact Information:	
Specific Rule Violation: 551.109(5) Prohibited acts; penalties			
Summary: An employee of Casino Miami, LLC was arrested for the theft of the facility's slot machine proceeds.			
Interviews: On the above date and time I, Pari-Mutual Operations Specialist Jackelin Rodriguez, while present in the Security Operations Center (SOC) observed Casino Miami, LLC's Cage Cashier Kevin Jermain RAMOS , License #11916424, being escorted to the Director of Security's office inside of SOC by the Director of Security Eugene TELLEZ , License #396678, and City of Miami Police Officer Chijokee ANYANWU to discuss a shortage in the Main bank. I later returned to the State Office and observed from Indigo Vision video security system on camera #732 from 0932 hours to 1310 hours RAMOS being questioned by TELLEZ . At 1310 RAMOS was handcuffed and escorted out by City of Miami Police Officers via employee entrance and exit door.			
Slot Specialist / Date Jackelin Rodriguez <i>J Rodriguez 9/20/22</i>	Slot Supervisor / Date Kereene Lewis <i>K Lewis 9-20-2022</i>		
Slot Manager / Date Dennis Chen <i>D Chen 09-16-22</i>	Chief of Slots / Date Bill Crafts <i>Bill C 9-26-2022</i>		
Distribution: No Action <input type="checkbox"/> Investigation <input type="checkbox"/> Consent Order <input type="checkbox"/> Respondent <input checked="" type="checkbox"/>			

CONTINUATION

Interviews (Continued): Upon further review surveillance footage from camera #324 shows **RAMOS** in the Main Bank taking money from Ticket Redemption Units (TRU) cassettes that had already been refilled prior and placing it into a designated area in the Main Bank Drawer from 0232 hours to 0619 hours. **RAMOS** repeated this on other TRU cassettes. **RAMOS** removed seals from some TRUs and resealed them after taking bills. **RAMOS** had a total of \$600.00 set aside that was placed under a yellow slip in the designated area in the Main Bank Drawer. Cameras #325 and #411 at 0621 shows **RAMOS** taking the money with his left hand, and clenching it in his fist. Shortly after he was observed leaving the Main Bank and Casino Cage with the money in his hand. At 0623 Camera #345 shows **RAMOS** exiting the casino via employee entrance and exit door. **RAMOS** was then observed driving off property and returning approximately at 0736.

On 09/01/2022, Surveillance received an email (copied on Surveillance report CMJ-000011160) from VP of HR and State Compliance **Beatriz PEREZ**, License #634266 approving a video review requested by Cage Manager **Daniella Carolina SANDOVAL**, License #10157909. On this email **SANDOVAL** requested a review on **RAMOS** for 08/28/2022 due to a shortage of \$100.00 on TRU cassette #605. Further review from cameras #324 and #325 from 0359 hours to 0958 hours, reveals **RAMOS** taking money from several TRU cassettes totaling to approximately \$945.00. **RAMOS** is seen crumbling the money into his left hand and walking out the Main Bank. From 0642 hours to 0752 hours camera #503 shows **RAMOS** in his car. At 0755 hours from camera #324 **RAMOS** is seen entering the Main Bank. From 0811 hours to 0958 hours cameras #324 and #325 shows **RAMOS** once again taking money from TRU cassettes totaling to \$220.00 and putting it into his vest pocket. At 1010 hours **RAMOS** is seen using the time clock and leaving property.

RAMOS was detained at Miami Dade County jail and charged with Grand Theft. Casino Miami, LLC is currently investigating **RAMOS** for additional theft of their slot machine proceeds.

Disposition: This report has been forwarded to Supervisor Kereene Lewis and Manager Dennis Chen for review.

Number of previous violations of this type:(0)

Attachments: (4)

- 1- Complaint / Arrest Affidavit
- 2- CMJ-000005095- Security Report
- 3- CMJ-000011160- Surveillance Report
- 4- CMJ-000011163- Surveillance Report

Video saved in FGCC shared folder: CASE 1160 09-01-2022 and CASE 1163 09-02-2022

CMJ-000005095 - Employee - Theft - Reported 09/05/2022 11:03

Author: Gene Tellez 396678

Report Number: CMJ-000005095

Start Time: 09/05/2022 11:03

End Time: 09/02/2022 11:44

Report Group: Security Reports

Report Type: Employee - Theft

Note: On 9-2-2022, Mr. Kevin Jermain Ramos, was arrested and permanently excluded for theft from Casino Main Bank.

Location / Origin: Casino Cage Main Bank

Person

Name: Kevin Jermain Ramos

Alias:

Race: African American

Gender: Male

Eye color: Brown

Hair color: Black

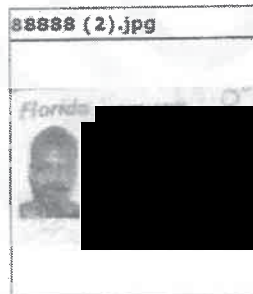
Height: 5'09" Inches

Weight: 160 Pounds

DOB: [REDACTED]

Keywords: Permanently Trespassed, Theft

Drivers Lic#: [REDACTED]

**Narrative**

On 9-2-2022, approximately 9:32 AM, this writer (S-1 G. Tellez) was directed to the main cage of Casino Miami to interview Mr. Kevin J. Ramos, with regards to a shortage in the Main Bank. Mr. Ramos was asked to accompany this writer and City of Miami Police Officer #1 (Chijioke Adyx Anyanwu), to the security office to discuss the shortage. I informed Mr. Ramos the reason for the interview was related to the shortages attributed to him that had occurred on 9-2-2022. Mr. Ramos, at first was reluctant to admit to any of the shortages, but once he was told that he was going to be arrested and that if he cooperated and reimbursed the casino, the casino was willing to ask the state attorney for leniency on his behavior. Mr. Ramos does not have a criminal record and is presently seriously ill.

Mr. Ramos, then provided a written statement of what he could remember relating to the shortages in question and apologized for his unacceptable behavior as a cashier in the main cage of Miami Casino. He mentioned approximately \$1,767.00. during the period of August 2022 and September 2022 Mr. Ramos voluntarily disclosed where \$600 of the missing funds were located (front seat of his car). The money was retrieved with his consent by MPD officer #1. The Casino is currently conducting an audit of Mr. Ramos transactions during his employment as a cashier at the casino to determine if any additional losses were incurred. Mr. Ramos was later transported to Miami Dade T.G. K. Correctional Center for further processing by On duty Miami Police Officers.

Search consent and Constitutional rights form available in evidence folder in the locked file in the security office.

Signature A

Date

Signature B

Date



CMJ-000011160 - MGMT Request Casino Review or Coverage Report - Reported 09/01/2022 19:29

Author: Angelo Pardo 13169581

Report Number: CMJ-000011160

Start Time: 09/01/2022 19:00

End Time: 09/02/2022 21:24

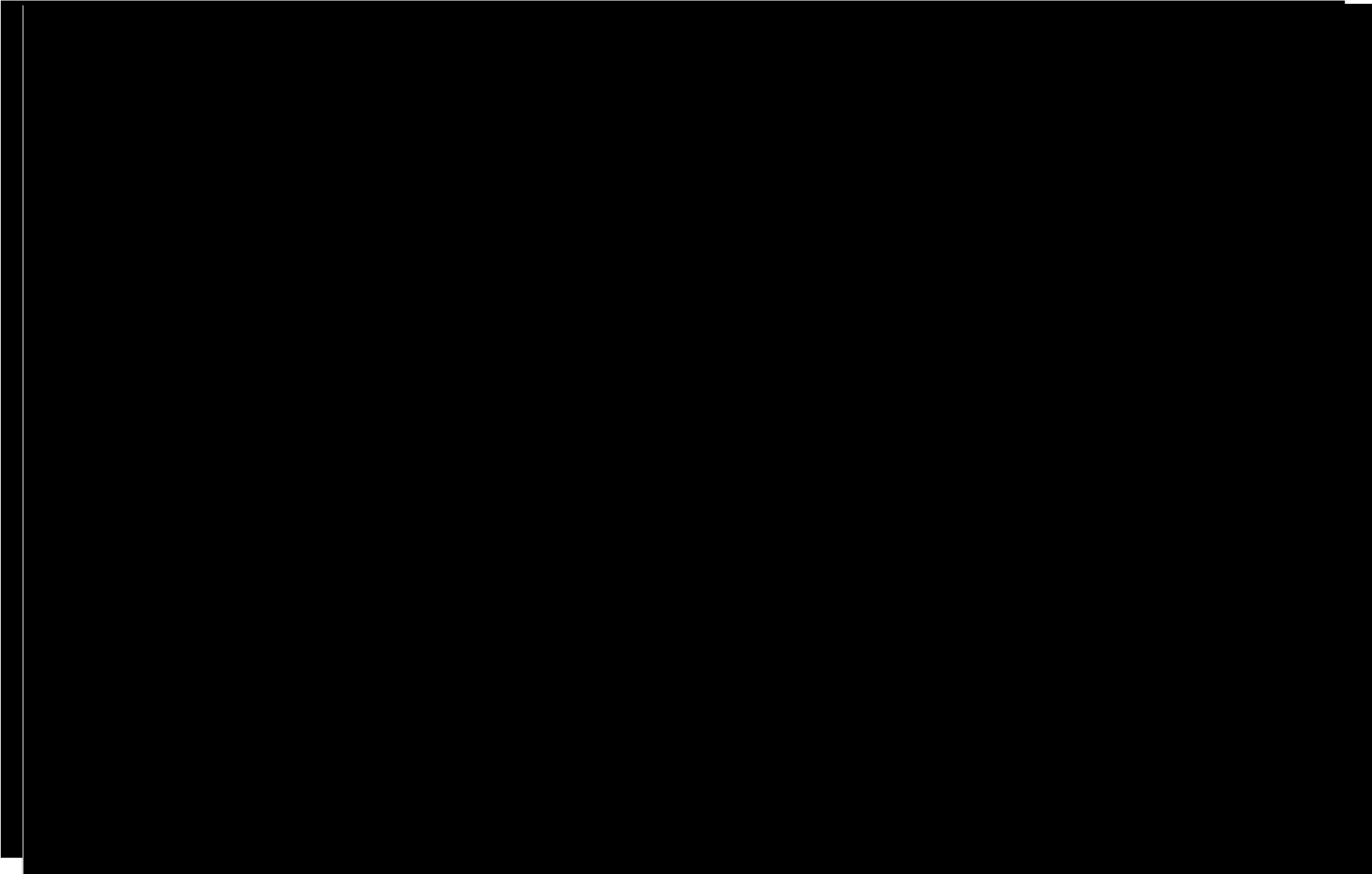
Report Group: Surveillance Reports

Report Type: MGMT Request Casino Review or Coverage Report

Note: Cage Manager, Daniella Sandoval request video review in reference to Main Bank Cage Cashier Kevin Ramos-short \$100.00 TRU # 605 Cassette on 08-28-2022.

Location / Origin: Incident-Cashier Shortage

Camera: 325,324,



Narrative

On 09/01/2022 at 16:00 hours, Surveillance received a email from VP of HR and State Compliance Betty Perez approving a video review requested by Cage Manager, Daneilla Sandavol. Ms.Sandavoi email reads as follows:

Good Afternoon Mrs. Perez,

Cage Management would like to request a review for Cage Cashier Kevin Ramos for August 27, 2022. What needs to be review is Cassette #605 that has a shortage of \$100 after he filled the Cassette. Mr. Ramos was assigned to the Main Bank from 2am to 10am. The approximate time of the drop of this particular cassette was after 4:30am. Please

note although I indicated August 27, 2022, the actual review should be August 28th, after 4:30 AM. Please let me know if you have any questions or need additional information.

This Report was written by Surveillance operator Angelo Pardo #13169581

A video review revealed the following Main Bank Activities on 08-28-2022:

01. At 03:59 Hours on camera # 324, Cage Cashier, Kevin Ramos took (1) \$100.00 Bill out of TRU # 606 cassette -refill was already made before he got there, he broke the tab - opened it and then resealed it with another tab. Mr. Ramos placed the \$100.00 Bill into a designated area in the Main Bank Drawer.
02. At 04:04 Hours on camera # 324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 613 cassette - refill was already made before he got there, he broke the tab - opened it and then resealed it with another tab. Mr. Ramos placed the \$100.00 Bill into a designated area in the Main Bank Drawer.
03. At 04:09 Hours on camera # 324, Mr. Ramos took (2) \$20.00 Bills out of TRU # 416 cassette --during a refill and placed it back into a designated area in the Main Bank Drawer.
04. At 04:13 Hours on camera # 324, Mr. Ramos took (2) \$20.00 Bills out of TRU # 407 cassette--during a refill and placed it back into a designated area in the Main Bank Drawer.
05. At 04:16 Hours on camera # 324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 603 cassette - refill was already made before he got there, he broke the tab - opened it and then resealed it with another tab
06. At 05:31 Hours on camera # 325, Mr. Ramos took (2) \$20.00 Bills from Main Bank Drawer and placed it back into a designated area in the Main bank Drawer.
07. At 06:07 Hours on camera #324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 605 cassette -verify amount after TRU Cassette return. Mr. Ramos placed the \$100.00 Bill into a designated area in the Main Bank Drawer.
08. At 06:12 Hours on camera #324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 616 cassette -verify amount after TRU Cassette return. Mr. Ramos placed the \$100.00 Bill into a designated area in the Main Bank Drawer.
09. At 06:14 Hours on camera # 325, Mr. Ramos took (1) \$20.00 Bill from Main Bank Drawer and placed it back into a designated area in the Main bank Drawer.
10. At 06:18 Hours on camera # 325, Mr. Ramos took (2) \$100.00 Bills out of TRU # Reject cassette --verify amount after TRU Cassette return. Mr. Ramos placed the \$100.00 Bills into a designated area in the Main Bank Drawer.
11. At 06:20 Hours on camera #325, Mr. Ramos took (3) \$20.00 Bills out of TRU # 415 cassette -verify amount after TRU Cassette return. Mr. Ramos placed the \$20.00 Bills into a designated area in the Main Bank Drawer.
12. At 06:23 Hours on camera # 325, Mr. Ramos took (1) \$20.00 Bill from Main Bank Drawer and placed it back into a designated area in the Main bank Drawer.
13. At 06:27 Hours on camera # 325, Mr. Ramos took (1) \$20.00 Bill from Main Bank Drawer and placed it back into a designated area in the Main bank Drawer.



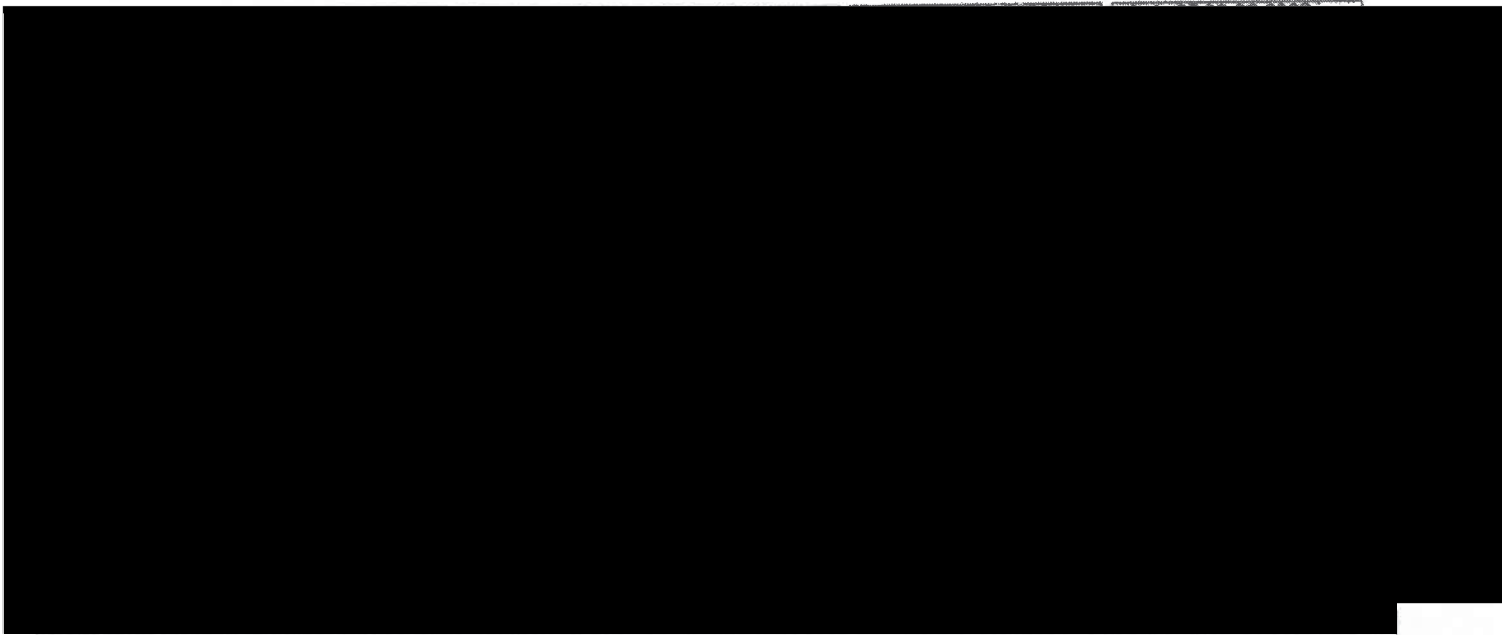
CMJ-000011163 - MGMT Request Casino Review or Coverage Report - Reported 09/02/2022 04:58

Author: William Hutcheson 7874265
Start Time: 09/02/2022 02:32
Report Group: Surveillance Reports

Report Number: CMJ-000011163
End Time: 09/02/2022 21:19
Report Type: MGMT Request Casino Review or Coverage Report

Note: Vice President and General Manager, Daniel Licciardi request coverage of Main Bank Cage Cashier, Kevin Ramos on 09-02-2022.

Location / Origin: Incident-Employee Activities



Narrative

On 09-01-2022 at 22:00 Hours, VP and General Manager, Daniel Licciardi request coverage of Main Bank Cage Cashier, Kevin Ramos on 09-02-2022.

An observation of Cage Cashier, Kevin Ramos in the Main Bank revealed the following Activities on 09-02-2022 :

01. At 02:32 Hours on camera # 324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 605 cassette -refill was already made before he got there, he noticed that there was a bill hanging out the back and took it (did not break the tab) and then placed the \$100 Bill into a designated area in the Main Bank Drawer.

02. At 02:36 Hours on camera # 324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 608 cassette - refill was already made before he got there, he broke the tab - opened it and then resealed it with another tab. Mr. Ramos placed the \$100.00 Bill into a designated area in the Main Bank Drawer.

03. At 02:47 Hours on camera # 324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 613 cassette - refill was already made before he got there, he broke the tab - opened it and then resealed it with another tab. Mr. Ramos placed the \$100.00 Bill into a designated area in the Main Bank Drawer.

04. At 04:25 Hours on camera # 324, Mr. Ramos took (1) \$20.00 Bill from Main Drawer and placed it back into a designated area in the Main bank Drawer.
05. At 04:29 Hours on camera # 324, Mr. Ramos took (1) \$20.00 Bill from Main Bank Drawer and placed it back into a designated area in the Main Bank Drawer.
06. At 04:32 Hours on camera # 324, Mr. Ramos took (1) \$100.00 Bill and (1) \$20.00 out of TRU # 908 reject cassette - verify amount after TRU Cassette return. Mr. Ramos placed the \$100.00 Bill into a designated area in the Main Bank Drawer.
07. At 04:37 Hours on camera # 324, Mr. Ramos took (1) \$10.00 out of TRU # 906 reject cassette - verify amount after TRU Cassette return. Mr. Ramos placed the \$10.00 Bill into a designated area in the Main Bank Drawer.
08. At 04:42 Hours on camera # 324, Mr. Ramos took (1) \$50.00 from Main Bank Drawer and placed it back into a designated area in the Main Bank Drawer.
09. At 05:19 Hours on camera # 324, Mr. Ramos took (2) \$20.00 out of TRU # 411 cassette -during a refill and placed it back into a designated area in the Main Bank Drawer.
10. At 05:21 Hours on camera # 324, Mr. Ramos took (2) \$20.00 out of TRU # 401 cassette -during a refill and placed it back into a designated area in the Main Bank Drawer.
11. At 06:19 hours on camera #325 and #324, Mr Ramos made change for \$100.00 Bill, by using (5) \$20.00 bills that he had set to the side and exchanging it for (1) \$100.00 Bill from Main Bank Drawer. still has a total of \$600.00 set to the side, which he folds in half and places under a yellow paper slip.
12. At 06:21 hours on camera #325 and #411, Mr. Ramos was observed taking the money with his left hand, and bailing it up in his fist. Shortly thereafter he was observed leaving the Main Bank and Casino Cage with the money in his hand.
13. At 06:23 hours on camera #345 Surveillance observed Mr. Ramos exiting the casino via the employee entrance / exit doors. NOTE: Mr. Ramos was observed driving off property and returning on property shortly after.
14. At 07:36 hours on camera #345, Surveillance observed Mr. Ramos entering the casino via the employee entrance / exit doors.

This report was written and approved by Surveillance Director, William Hutcheson # 7874265.

Signature A

Date

Signature B

Date

Muniz, Luz

From: Suau, Raul
Sent: Monday, October 3, 2022 10:29 AM
To: Muniz, Luz
Subject: FW: Possible Violation - case# 2022045861
Attachments: Incident Report - Ramos, Kevin Jermain - case# 2022045861.pdf

Thank You,



Raul Suau
Investigations Specialist II
Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
Investigations
954-202-6787 Office 954-202-3930 FAX
954-649-6508 Cell

From: Chen, Dennis
Sent: Monday, September 26, 2022 11:22 AM
To: Suau, Raul; Minaya, Julio
Cc: Crafts, Bill
Subject: FW: Possible Violation - case# 2022045861

Good morning,
Per your request.



Dennis Chen
Slot Operations Manager
Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
Office of Slot Operations
954.202.6791 Office 305.978.4245 Cell

From: Santos, Tatiana
Sent: Monday, September 26, 2022 11:19 AM
To: Beatriz Perez

Cc: Crafts, Bill; Chen, Dennis; Lewis, Kereene
Subject: Possible Violation - case# 2022045861

Good Morning Beatriz,

Attached is a possible violation for your review and response within five (5) business days. Please advise how the process can be improved to prevent future occurrences of this nature.

Respectfully,

Tatiana Santos, Slot Operations Analyst II
Florida Gaming Control Commission, Office of Slot Operations
1400 W. Commercial Blvd. Suite 165
Fort Lauderdale, FL 33309-3787
Office Phone: 954-202-6782
Mobile: 954-459-0984
Fax: 954-202-3370
tatiana.santos@fgcc.fl.gov
www.fgcc.fl.gov



VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **Imuniz**

VR Home > Complaint Search > Maintain Complaint > Display Alerts > **Maintain License Modifiers - BASE_CLONE**

Search Criteria | Results | Detail

Modifier Type **A - Alert**
 Modifier **ENFO - Enforcement**
 Effective **09/13/2022**
 Expires -
 Additional Info :
 Prompt
 Value **APPLICANT HIT**
Applicant must submit form PMW-3410 and provide court disposition records for the 09/02/2022 offense in Miami Dade County, Florida.
 Notes
 Updated **09/13/2022 11:54:09**
 By **jdye1**

Notes History
 Change
 Delete
 Save
 Cancel
 < >

Add | Back

 [Get Adobe Reader.](#)

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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[License Search](#) |
 [Entity Search](#) |
 [Modify License Standing](#) |
 [Maintain License CE Control](#)

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **Imuniz**

VR Home > Complaint Search > Maintain Complaint > **License Home**

License

Fed Tax # **0** Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo** Expires On **06/30/2025**

File # **6992** Name **RAMOS, KEVIN JERMAIN** Extended To

License # **11916424** Rank **SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo** Renewed On **04/06/2022**

Entity # **11916424** Lic Status **Current**

- [Licensee](#)
- [History](#)
- [Notes](#)
- [Notes History](#)
- [Back](#)

Address

Street # **1458** Street **NW 81ST TERRACE**

Line 2

Line 3

City **PLANTATION** State **FL** Zip **33322**

Routing

Other

1st License Date **12/04/2018** Rank Date **04/06/2022** Certificate #

Method **I-S-1017** Status Date **04/09/2019** Certificate Date

Fee Exempt **No** Birth Date **[REDACTED]** Renewal Sent

Select

Action  

Modifiers

Type	Modifier	Effective Date	Additional Info
A	ENFO - Enforcement Alert	09/13/2022	APPLICANT HIT
C	CGCA - Cage Cashier	08/06/2021	
F	LIVE - Live Scan Fee Exemption	12/04/2018	
I	JLAI - Jai-Alai	08/06/2021	
L	273 - Miami Jai-Alai	08/06/2021	
Y	3YR - 3 Year License	04/09/2019	

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VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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|------------------------------------|---|----------------------------------|--------------------------------------|--|
| Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update |
| Mass Status Update | Public Case Info | | | |

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **Imuniz**

[VR Home](#) > [Complaint Search](#) > **Maintain Complaint**

Lic Type	1055 - Slot Machine/Cardroom/Pari-Mutuel Individual Combination	Status	90 Closed	Status Date	11/28/2022
Complaint #	2022045861	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#	Respondent	RAMOS, KEVIN JERMAIN	Responsible	jminaya - MINAYA, JULIO	Private Case

Complaint	Respondent	Complainant	Add'l Info
-----------	------------	-------------	------------

Source	INTN - Internal	Security Level	1	<input type="checkbox"/>	Parties	<input checked="" type="checkbox"/>	Activities
Form	INSP - Inspection	Priority		<input type="checkbox"/>	Allegations	<input type="checkbox"/>	Discipline
Class'n	303 - Rules	Complexity	R - Regular	<input checked="" type="checkbox"/>	Violations	<input type="checkbox"/>	Compliance
Security	STND - Standard	Incident	09/02/2022	<input type="checkbox"/>	Related	<input checked="" type="checkbox"/>	Disposition
Region	SR - Southern Region	Received	09/19/2022	<input type="checkbox"/>	Inspection		
Reference	551.109			<input type="checkbox"/>	Costs		
Entered	09/20/2022	Entered By	tsantos	<input type="checkbox"/>	Time Tracking		Auto Assign
Summary	273-Casino Miami, LLC ----- / ----- Prohibited Acts; penalties: An employee of Casino Miami, LLC was arrested for the theft of the facility's slot machine proceeds. ----- / ----- . 09/26/2022 - Assigned to Investigations. ----- / ----- . 9/20/2022-An employee of Casino Miami, LLC was arrested for the theft of the facility's slot machine proceeds.			<input type="checkbox"/>	Attachments		History
Updated	11/28/2022 14:11:11	By	Imuniz	<input type="checkbox"/>	Work Notes		Print Report

56	Change	Save	OK	Cancel	Back
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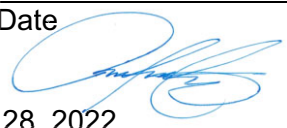
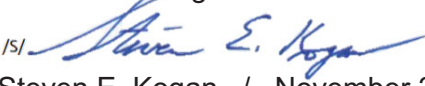
[Get Adobe Reader.](#)

Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office: PMW	Region: SOUTHERN	Date of Complaint: September 2, 2022	Case Number: 2022 04 5861
Respondent: RAMOS, KEVIN JERMAIN 1458 NW 81 TERRACE PLANTATION, FL 33322		Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 W. COMMERCIAL BLVD. SUITE 165 FT. LAUDERDALE, FLORIDA 33309 TEL. (954) 202-3900	
License # and Type: 11916424 - 1055		Profession: Casino Employee / Main Cage Banker	Report Date: November 15, 2022
Period of Investigation: September 9, 2022 thru November 15, 2022		Type of Report: Final	
<p>Alleged Violation: 61D-14.090 Prohibited Acts. (2) No person shall conspire with, solicit, aid, abet, counsel, hire, or procure any other person or persons to engage in a violation of Chapter 551, F.S., or Chapter 61D-14, F.A.C., nor shall he or she commit any such act on his or her own.</p> <p>F.S.S. 551.107(7) Slot Machine occupational license; Findings; application; fee.- (e) ..." each person who is licensed or who is fingerprinted as required by this section must agree to inform the commission within 48 hours if he or she is convicted of or has entered a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication."</p> <p>F.S.S. 551.109 Prohibited acts; penalties.— (3) Any person who knowingly excludes, or takes any action in an attempt to exclude, anything of value from the deposit, counting, collection, or computation of revenues from slot machine activity, or any person who by trick, sleight-of-hand performance, a fraud or fraudulent scheme, or device wins or attempts to win, for himself or herself or for another, money or property or a combination thereof or reduces or attempts to reduce a losing wager in connection with slot machine gaming commits a felony of the third degree, punishable as provided in s. 775.082, s. 775.083, or s. 775.084.</p>			
<p>Synopsis: On September 2, 2022, between the hours of 2:32 A.M. and 6:19 A.M., Casino Miami (CM) Cage Cashier Kevin Jermain RAMOS was observed by surveillance personnel removing the plastic numbered security seals fastened on the Ticket Redemption Units (TRU) cassettes that had been refilled with money and removing monies from them. He was also captured on video footage taking monies from other TRU cassettes that were refilled and sealed but had extra bills hanging from the back. RAMOS would then conceal the money in a designated area inside of the Main Bank Drawer. Prior to taking a break, RAMOS was observed taking the money from the drawer with his left hand, and clenching it in his fist while walking out of the Main Bank and Casino Cage. He then exited the facility, entered a vehicle and drove away. He returned to the facility at 7:36 A.M., and was later detained, interviewed and placed under arrest by Miami Police Department and charged with Felony Grand Theft. (Exhibit's 2 & 5)</p>			
Related Case(s):			
Investigator / Date  Raul Suau / November 15, 2022		Investigator Supervisor / Date  Julio Minaya / November 28, 2022	
Chief of Investigations / Date  /s/ Steven E. Kogan / November 28, 2022			

CONTINUATION

On September 9, 2022, while conducting a routine facility inspection of Casino Miami, I was informed by FGCC/PMW Slot Specialists Frank Gomez and Jackelin Rodriguez that Casino Miami Main Banker/Cashier Kevin Jermain **RAMOS** was arrested on September 2, 2022, for stealing monies from the Main Bank's cash drawer. Because the facility was unaware of the time frame in which **RAMOS** had been stealing an Internal Investigation including an audit was conducted by the facility going back 14 days of surveillance and document review. Once the facility completed their inquiry, FGCC/PMW Slots Department received all relative documents related to this incident.

On September 19, 2022, I e-mailed Casino Miami Director of Human Resources and State Compliance Beatriz Perez, and requested copies of all Security, Surveillance Reports and video footage related to this incident. On September 23, 2022, I received Security Report CMJ-000005095, Surveillance Reports CMJ-000011160, 000011163, 000011175 and video footage of the incident. **(Exhibit's 1, 2, 3, 10)**

On September 26, 2022, FGCC/PMW Slot Operation Manager Dennis Chen forwarded a copy of their completed Investigation and all related paperwork which was carried under PMW Slot Incident Report 2022-04-5861. Subsequently on October 3, 2022, this case was assigned to this Investigator. **(Exhibit # 5, 6, 7)**

A PMW licensing check on Kevin Jermain **RAMOS** revealed that he is licensed as a Cage Cashier. He applied for and was issued a three (3) year license on April 6, 2022, which expires on June 30, 2025. An Enforcement Alert was placed on **RAMOS's** Versa license requesting that he provide court documents related to his arrest in this matter. **(Exhibit #9)**

A Comprehensive Case Information System (CCIS) inquiry was conducted. Results show that on September 2, 2022, **RAMOS** was arrested and charged with Felony Grand Theft. On September 3, 2022, the charge was reduced to a Misdemeanor and the case was dispositioned, Adjudication Withheld. He was assessed \$368.00 in court fees. As required by State Statute **RAMOS** failed to notify the Commission within 48 hours of being convicted and having his case adjudicated. **(Exhibit #8)**

On October 7, 2022, I interviewed CM Cage Manager Daniela Sandoval in reference to this matter. Sandoval stated that while reviewing the Cage Accountability Report for August 29, 2022, she observed a variance of \$100.00. She ordered all of the TRU¹ Cassette's opened and conducted an audit to verify their balances. TRU Cassette #605 was found to be short \$100.00. This TRU Cassette contained \$100.00 bills and was supposed to contain \$50,000.00. Instead, it only contained \$49,900.00. The cassette had been prepared by **RAMOS** on August 27, 2022, and had not been used as of the date of the audit. Sandoval verified with the Ticket Redemption Unit Cassette Control Log, which showed that cassette #605 was prepared on August 27, 2022, by **RAMOS**. As a result of this shortage, **RAMOS's** name was added to the Main Bank Settlement Sheet Schedule D form which shows any differences in the Main Bank. **(Exhibit #13)**

Sandoval also stated that the Cage Accountability Report dated August 29, 2022, which showed the variance would normally wash out² after 24-48 hours. In this case, on September 1, 2022, when she returned from her days off, the discrepancy had not cleared. Sandoval then ordered all of the TRU Cassette's in the Main Cage to be opened and verified. During this second audit TRU Cassette's #401 & 411 prepared by **RAMOS** on September 1, 2022, were also found to be short \$40.00 each. Each of these cassettes contained \$20.00 bills and were supposed to be filled with a total of \$40,000.00 each. Instead, the cassettes each contained \$39,960.00. **(Exhibit #13, Pages 2, 4, 6 & 31)**

It was at this point that Sandoval sent an e-mail to CM Director of Human Resources and State Compliance Beatriz Perez requesting surveillance to review **RAMOS's** shift from 2:00 A.M., to 10:00 A.M., on August 28, 2022, after **RAMOS** completed the drop at 4:30 A.M. She specifically requested that they review the filling of Cassette #605 because it had a shortage of \$100.00. Video review confirmed that **RAMOS** had taken \$100.00 from the cassette as documented in the Cage Accountability Report form dated August 29, 2022, and the Main Bank Settlement Sheet dated August 29, 2022. **(Exhibit #13, Pages 1, 3 & Exhibit #14)**

¹ TRU are known as Ticket Redemption Unit Machines that dispense cash and are used to cash vouchers under \$1,200.00 that have been printed by Slot Machines.

² The term (wash out) means that if there is a variance (overage or shortage) it normally corrects itself within forty-eight hours as it usually does if there are no problems with the balancing. The shortage was documented by the facility via the Main Bank Settlement Sheet, Schedule D form. **(Exhibit #13, Page 1)**

CONTINUATION

Sandoval further stated that **RAMOS** intentionally documented the incorrect amount on the Ticket Redemption Unit Cassette Control Logs form for each cartridge that he had taken money from with the intent of deceiving management in believing that the cassettes had been correctly balanced and replenished with the correct amount.

The facility's investigation concluded that **RAMOS** had taken money from cassettes that were being filled, were already filled and or were being recovered from the TRU's after a drop was conducted of the TRU's Cassette's.

Per Surveillance Reports CMJ000011160, CMJ-000011163, CMJ-000011175 and video footage provided by the Surveillance Department, **RAMOS** was observed during the course of his work shift taking money from numerous TRU Cassettes on the following dates;

- August 14, 2022 - \$520.00
- August 18, 2022 - \$430.00
- August 19, 2022 - \$425.00
- August 26, 2022 - \$421.00
- August 28, 2022 - \$1,167.00
- September 2, 2022 - \$600.00 (**Exhibits 1, 2 & 10**)
- **Totaling** **\$3,563.00**

Although the above incidents were captured on video footage on the above dates, the casinos Standard Operating Procedures dictates that they record the theft as occurring on the previous work day.³ (**Exhibit #13**)

Review of all documents and a review of video footage by this Investigator corroborates what was documented in the facility's Surveillance Reports and what was observed on video by this Investigator. It also confirms that **RAMOS's** actions to intentionally document the incorrect amounts regarding the TRU Cassette's allowed him to go undetected for all of the above dates except for the August 28, 2022 when the variance was noticed. (**Exhibit # 13, Pages 2 & 3**)

As a result of **RAMOS's** arrest, Casino Miami permanently excluded him from their facility. (**Exhibit # 3**)

RAMOS violated F.S.S. 551.107(e) when he failed to notify the Commission within 48 hours of being convicted of or has entered a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication. **RAMOS** also violated F.S.S. 551.109(3).

Case closed by Investigations and forwarded to Legal for review and possible revocation of **RAMOS's** PMW license.

³ The casino work day is called a "Casino Day" to identify the date an incident occurred. The casino day starts at 9:00 A.M., on the day in question and ends the next day at 8:59 A.M., the following day. On the date that cassette #605 was filled, it was listed as casino date August 27, 2022, but physically it was on August 28, 2022.

CONTINUATION

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Surveillance Department

Casino Miami Jai-Alai - 3500 NW 37th Ave. Miami, FL 33142
(305) 633-6400 - casinomiamijai.com

CMJ-000011160 - MGMT Request Casino Review or Coverage Report - Reported 09/01/2022 19:29

Author: Angelo Pardo 13169581

Report Number: CMJ-000011160

Start Time: 09/01/2022 19:00

End Time: 09/02/2022 21:24

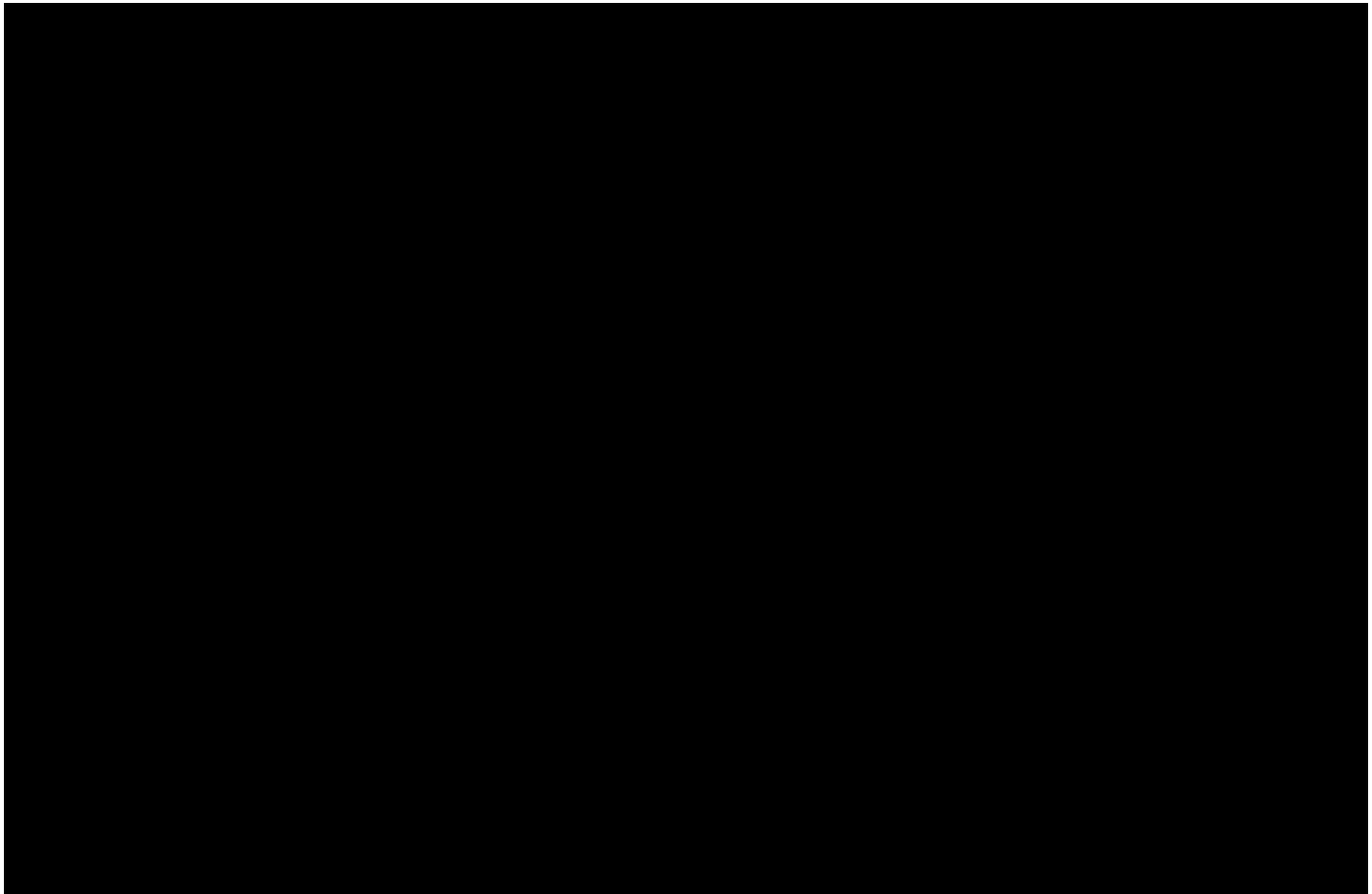
Report Group: Surveillance Reports

Report Type: MGMT Request Casino Review or Coverage Report

Note: Cage Manager, Daniella Sandoval request video review in reference to Main Bank Cage Cashier Kevin Ramos-short \$100.00 TRU # 605 Cassette on 08-28-2022.

Location / Origin: Incident-Cashier Shortage

Camera: 325,324,



Narrative

On 09/01/2022 at 16:00 hours, Surveillance received a email from VP of HR and State Compliance Betty Perez approving a video review requested by Cage Manager, Daneilla Sandavol. Ms.Sandavol email reads as follows:

Good Afternoon Mrs. Perez,

Cage Management would like to request a review for Cage Cashier Kevin Ramos for August 27, 2022. What needs to be review is Cassette #605 that has a shortage of \$100 after he filled the Cassette. Mr. Ramos was assigned to the Main Bank from 2am to 10am. The approximate time of the drop of this particular cassette was after 4:30am. Please

note although I indicated August 27, 2022, the actual review should be August 28th, after 4:30 AM. Please let me know if you have any questions or need additional information.

This Report was written by Surveillance operator Angelo Pardo #13169581

A video review revealed the following Main Bank Activities on 08-28-2022:

01. At 03:59 Hours on camera # 324, Cage Cashier, Kevin Ramos took (1) \$100.00 Bill out of TRU # 606 cassette -refill was already made before he got there, he broke the tab - opened it and then resealed it with another tab. Mr. Ramos placed the \$100.00 Bill into a designated area in the Main Bank Drawer.
02. At 04:04 Hours on camera # 324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 613 cassette - refill was already made before he got there, he broke the tab - opened it and then resealed it with another tab. Mr. Ramos placed the \$100.00 Bill into a designated area in the Main Bank Drawer.
03. At 04:09 Hours on camera # 324, Mr. Ramos took (2) \$20.00 Bills out of TRU # 416 cassette --during a refill and placed it back into a designated area in the Main Bank Drawer.
04. At 04:13 Hours on camera # 324, Mr. Ramos took (2) \$20.00 Bills out of TRU # 407 cassette--during a refill and placed it back into a designated area in the Main Bank Drawer.
05. At 04:16 Hours on camera # 324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 603 cassette - refill was already made before he got there, he broke the tab - opened it and then resealed it with another tab
06. At 05:31 Hours on camera # 325, Mr. Ramos took (2) \$20.00 Bills from Main Bank Drawer and placed it back into a designated area in the Main bank Drawer.
07. At 06:07 Hours on camera #324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 605 cassette -verify amount after TRU Cassette return. Mr. Ramos placed the \$100.00 Bill into a designated area in the Main Bank Drawer.
08. At 06:12 Hours on camera #324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 616 cassette -verify amount after TRU Cassette return. Mr. Ramos placed the \$100.00 Bill into a designated area in the Main Bank Drawer.
09. At 06:14 Hours on camera # 325, Mr. Ramos took (1) \$20.00 Bill from Main Bank Drawer and placed it back into a designated area in the Main bank Drawer.
10. At 06:18 Hours on camera # 325, Mr. Ramos took (2) \$100.00 Bills out of TRU # Reject cassette --verify amount after TRU Cassette return. Mr. Ramos placed the \$100.00 Bills into a designated area in the Main Bank Drawer.
11. At 06:20 Hours on camera #325, Mr. Ramos took (3) \$20.00 Bills out of TRU # 415 cassette -verify amount after TRU Cassette return. Mr. Ramos placed the \$20.00 Bills into a designated area in the Main Bank Drawer.
12. At 06:23 Hours on camera # 325, Mr. Ramos took (1) \$20.00 Bill from Main Bank Drawer and placed it back into a designated area in the Main bank Drawer.
13. At 06:27 Hours on camera # 325, Mr. Ramos took (1) \$20.00 Bill from Main Bank Drawer and placed it back into a designated area in the Main bank Drawer.

14. At 06:33 Hours on camera # 325, Mr. Ramos took (1) \$5.00 Bill from Main Bank Drawer and placed it back into a designated area in the Main bank Drawer.

15. At 06:36 Hours on camera # 325, Mr. Ramos took out of the Main Bank Cash Drawer several bills. The bills that could be accounted for revealed the following count: approx. (7) \$100.00, (1) \$5.00, (12) \$20.00 and (2) \$1.00 Bill, totaling to approx. amount \$ 947.00. Mr. Ramos was further observed "crumbling the money in his left hand "and then walking out of the Main Bank with it.

16. From 06:42 to 07:52 hours on camera #503 Mr. Ramos was in his car.

17. At 08:11 Hours on camera # 324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 605 cassette --during a refill and placed it back into a designated area in the Main Bank Drawer.

18. At 08:20 Hours on camera # 324, Mr. Ramos took (2) \$20.00 Bills out of TRU # 410 cassette--during a refill and placed it back into a designated area in the Main Bank Drawer.

19. At 08:22 Hours on camera # 324, Mr. Ramos took (2) \$20.00 Bills out of TRU # 404 cassette--during a refill and placed it back into a designated area in the Main Bank Drawer.

20. At 08:25 Hours on camera # 324, Mr. Ramos took (2) \$20.00 Bills out of TRU # 408 cassette--during a refill and placed it back into a designated area in the Main Bank Drawer.

21. At 09:58 Hours on camera # 325, Mr. Ramos took out of the Main Bank Cash Drawer several bills. The bills that could be accounted for revealed the following count: (2) \$100.00, (1) \$20.00 Bills totaling to approx. amount \$ 220.00. Mr. Ramos was further observed "folded the money in his left hand "and then appears to put it into his vest pocket.

22. At 10:10:22 hours on camera #358 Mr. Ramos was observed using the time clock.

This report was written by Surveillance Supervisor Jeffry Bissainthe #10317256.

This report was approved by Surveillance Director, William Hutcheson # 7874265.

Signature A

Date

Signature B

Date



CMJ-000011163 - MGMT Request Casino Review or Coverage Report - Reported 09/02/2022 04:58

Author: William Hutcheson 7874265

Report Number: CMJ-000011163

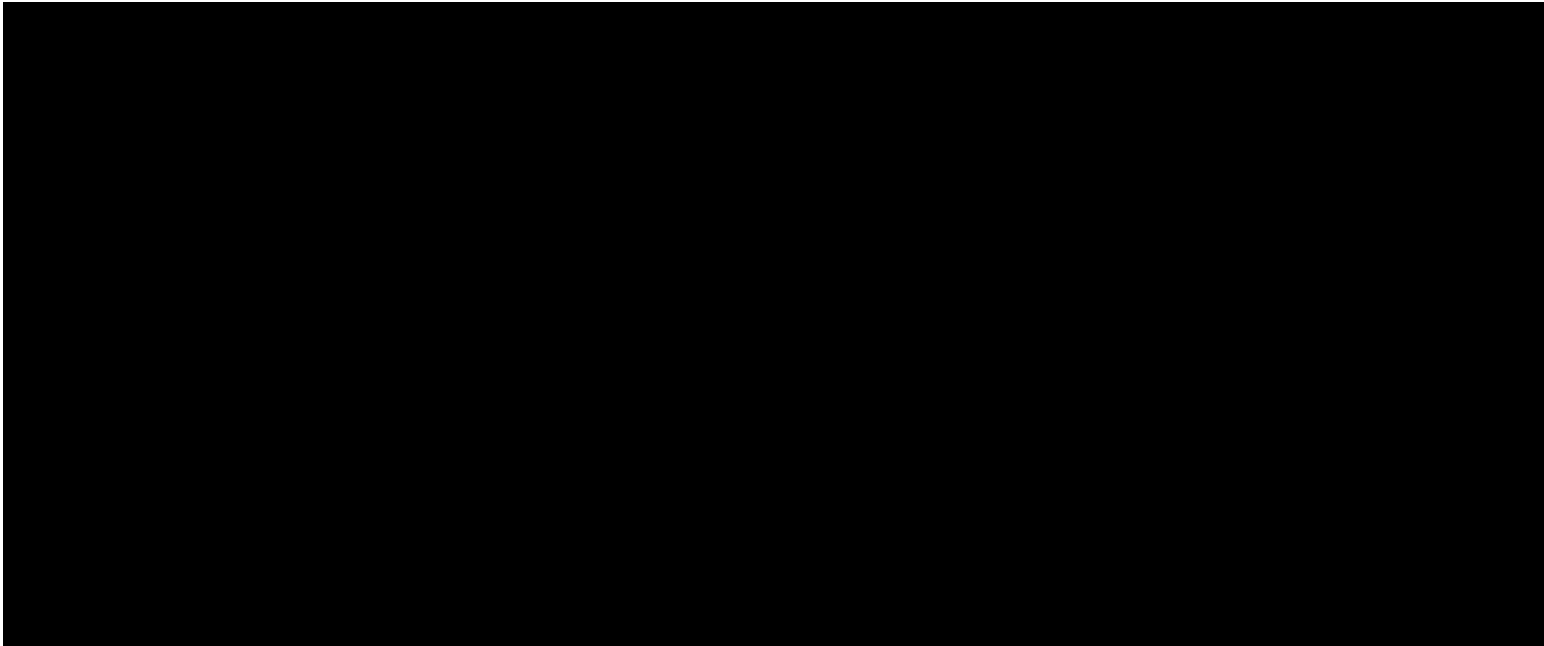
Start Time: 09/02/2022 02:32

End Time: 09/02/2022 21:19

Report Group: Surveillance Reports

Report Type: MGMT Request Casino Review or Coverage Report

Note: Vice President and General Manager, Daniel Licciardi request coverage of Main Bank Cage Cashier, Kevin Ramos on 09-02-2022.



Narrative

On 09-01-2022 at 22:00 Hours, VP and General Manager, Daniel Licciardi request coverage of Main Bank Cage Cashier, Kevin Ramos on 09-02-2022.

An observation of Cage Cashier, Kevin Ramos in the Main Bank revealed the following Activities on 09-02-2022 :

01. At 02:32 Hours on camera # 324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 605 cassette -refill was already made before he got there, he noticed that there was a bill hanging out the back and took it (did not break the tab) and then placed the \$100 Bill into a designated area in the Main Bank Drawer.

02. At 02:36 Hours on camera # 324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 608 cassette - refill was already made before he got there, he broke the tab - opened it and then resealed it with another tab. Mr. Ramos placed the \$100.00 Bill into a designated area in the Main Bank Drawer.

03. At 02:47 Hours on camera # 324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 613 cassette - refill was already made before he got there, he broke the tab - opened it and then resealed it with another tab. Mr. Ramos placed the \$100.00 Bill into a designated area in the Main Bank Drawer.

04. At 04:25 Hours on camera # 324, Mr. Ramos took (1) \$20.00 Bill from Main Drawer and placed it back into a designated area in the Main bank Drawer.
05. At 04:29 Hours on camera # 324, Mr. Ramos took (1) \$20.00 Bill from Main Bank Drawer and placed it back into a designated area in the Main Bank Drawer.
06. At 04:32 Hours on camera # 324, Mr. Ramos took (1) \$100.00 Bill and (1) \$20.00 out of TRU # 908 reject cassette - verify amount after TRU Cassette return. Mr. Ramos placed the \$100.00 Bill into a designated area in the Main Bank Drawer.
07. At 04:37 Hours on camera # 324, Mr. Ramos took (1) \$10.00 out of TRU # 906 reject cassette - verify amount after TRU Cassette return. Mr. Ramos placed the \$10.00 Bill into a designated area in the Main Bank Drawer.
08. At 04:42 Hours on camera # 324, Mr. Ramos took (1) \$50.00 from Main Bank Drawer and placed it back into a designated area in the Main Bank Drawer.
09. At 05:19 Hours on camera # 324, Mr. Ramos took (2) \$20.00 out of TRU # 411 cassette -during a refill and placed it back into a designated area in the Main Bank Drawer.
10. At 05:21 Hours on camera # 324, Mr. Ramos took (2) \$20.00 out of TRU # 401 cassette -during a refill and placed it back into a designated area in the Main Bank Drawer.
11. At 06:19 hours on camera #325 and #324, Mr Ramos made change for \$100.00 Bill, by using (5) \$20.00 bills that he had set to the side and exchanging it for (1) \$100.00 Bill from Main Bank Drawer. still has a total of \$600.00 set to the side, which he folds in half and places under a yellow paper slip.
12. At 06:21 hours on camera #325 and #411, Mr. Ramos was observed taking the money with his left hand, and balling it up in his fist. Shortly thereafter he was observed leaving the Main Bank and Casino Cage with the money in his hand.
13. At 06:23 hours on camera #345 Surveillance observed Mr. Ramos exiting the casino via the employee entrance / exit doors. NOTE: Mr. Ramos was observed driving off property and returning on property shortly after.
14. At 07:36 hours on camera #345, Surveillance observed Mr. Ramos entering the casino via the employee entrance / exit doors.

This report was written and approved by Surveillance Director, William Hutcheson # 7874265.

Signature A

Date

Signature B

Date

EXHIBIT #2
PAGE # 2



CMJ-000005095 - Employee - Theft - Reported 09/05/2022 11:03

Author: Gene Tellez 396678

Report Number: CMJ-000005095

Start Time: 09/05/2022 11:03

End Time: 09/02/2022 11:44

Report Group: Security Reports

Report Type: Employee - Theft

Note: On 9-2-2022, Mr. Kevin Jermain Ramos, was arrested and permanently excluded for theft from Casino Main Bank.

Location / Origin: Casino Cage Main Bank

Person

Name: Kevin Jermain Ramos

Alias:

Race: African American

Gender: Male

Eye color: Brown

Hair color: Black

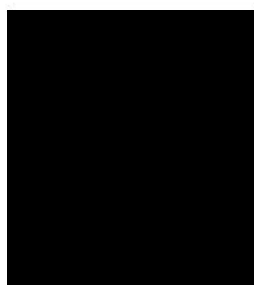
Height: 5'09" Inches

Weight: 160 Pounds

DOB: [REDACTED]

Keywords: Permanently Trespassed, Theft

Drivers Lic#: [REDACTED]



Narrative

On 9-2-2022, approximately 9:32 AM, this writer (S-1 G. Tellez) was directed to the main cage of Casino Miami to interview Mr. Kevin J. Ramos, with regards to a shortage in the Main Bank. Mr. Ramos was asked to accompany this writer and City of Miami Police Officer #1 (Chijioke Adyx Anyanwu), to the security office to discuss the shortage. I informed Mr. Ramos the reason for the interview was related to the shortages attributed to him that had occurred on 9-2-2022. Mr. Ramos, at first was reluctant to admit to any of the shortages, but once he was told that he was going to be arrested and that if he cooperated and reimbursed the casino, the casino was willing to ask the state attorney for leniency on his behave. Mr. Ramos does not have a criminal record and is presently seriously ill.

Mr. Ramos, then provided a written statement of what he could remember relating to the shortages in question and apologized for his unacceptable behavior as a cashier in the main cage of Miami Casino. He mentioned approximately \$1,767.00. during the period of August 2022 and September 2022 Mr. Ramos voluntarily disclosed where \$600 of the missing funds were located (front seat of his car). The money was retrieved with his consent by MPD officer #1. The Casino is currently conducting an audit of Mr. Ramos transactions during his employment as a cashier at the casino to determine if any additional losses were incurred. Mr. Ramos was later transported to Miami Dade T.G. K. Correctional Center for further processing by On duty Miami Police Officers.

Search consent and Constitutional rights form available in evidence folder in the locked file in the security office.

Signature A

Date

Signature B

Date

Minaya, Julio

From: Crafts, Bill
Sent: Thursday, October 6, 2022 9:18 AM
To: Minaya, Julio
Subject: FW: Cage Cashier Kevin Ramos

Bill Crafts
Chief of Slot Operations
Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
Office of Slot Operations
954.202.6783 Office 954.202.3370 Fax
954.575.2849 Cell

-----Original Message-----

From: Beatriz Perez [mailto:bperez@playcasinomiami.com]
Sent: Friday, September 2, 2022 11:04 AM
To: Crafts, Bill; Chen, Dennis
Cc: Daniel Licciardi
Subject: Cage Cashier Kevin Ramos

[NOTICE] This message comes from a system outside of DBPR. Please exercise caution when clicking on links and/or providing sensitive information. If you have concerns, please contact your Knowledge Champion or the DBPR Helpdesk.

Good Morning Mr. Crafts and Mr. Chen,

Please be advised based on information received from the Surveillance department in reference to Cage Cashier Kevin Ramos activities, Mr. Ramos was interrogated by the Director of Security Mr. Gene Téllez. Mr. Ramos has been terminated and is presently in handcuffs. We will update you once we have more information. Thank you.

Respectfully,

Sent from my iPhone
(Please excuse Typos or Brevity)
Beatriz Perez

Vice President of Human Resources & State Compliance

E: bperez@playcasinomiami.com<<mailto:bperez@playcasinomiami.com>>

P: (305) 633-6400 ext. 2012

F: (305) 638-8077

[<http://www.playcasinomiami.com/wp-content/uploads/2019/04/logo.png>]<<http://www.playcasinomiami.com>>

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JOHN MACIVER, CHAIRMAN
 MICHAEL YAWORSKY, VICE CHAIR
 JULIE I. BROWN, COMMISSIONER
 CHUCK DRAGO, COMMISSIONER
 JOHN D'AQUILA, COMMISSIONER

INCIDENT REPORT

Type of Report: Violation <input checked="" type="checkbox"/> Complaint <input type="checkbox"/>		Facility: Casino Miami, LLC	Date: 09/02/2022 Time: 0932	Case Number: 2022045861
Respondent / Accused: Name: Kevin Jermain Ramos Address: 3500 NW 37 th Avenue City/State/Zip: Miami, FL 33142 1 st Phone: [REDACTED] 2 nd Phone: Profession: Cage Cashier License Type: 1055 License # 11916424		Complainant / Victim: FGCC Name: FGCC Address: 1400 West Commercial Boulevard Suite 165 City/State/Zip: Fort Lauderdale, FL 33309 1 st Phone: 954-202-6845 2 nd Phone: Profession: License #:		
Witness #1 Name / Lic. #	Address:	Contact Information:	Board: 101	
Witness #2 Name / Lic. #	Address:	Contact Information:		
Specific Rule Violation: 551.109(5) Prohibited acts; penalties				
Summary: An employee of Casino Miami, LLC was arrested for the theft of the facility's slot machine proceeds.				
Interviews: On the above date and time I, Pari-Mutual Operations Specialist Jackelin Rodriguez, while present in the Security Operations Center (SOC) observed Casino Miami, LLC's Cage Cashier Kevin Jermain RAMOS, License #11916424, being escorted to the Director of Security's office inside of SOC by the Director of Security Eugene TELLEZ, License #396678, and City of Miami Police Officer Chijokee ANYANWU to discuss a shortage in the Main bank. I later returned to the State Office and observed from Indigo Vision video security system on camera #732 from 0932 hours to 1310 hours RAMOS being questioned by TELLEZ. At 1310 RAMOS was handcuffed and escorted out by City of Miami Police Officers via employee entrance and exit door.				
Slot Specialist / Date Jackelin Rodriguez <i>[Signature]</i>		Slot Supervisor / Date Kereene Lewis <i>[Signature]</i> 9-26-2022		
Slot Manager / Date Dennis Chen <i>[Signature]</i> 09-16-22		Chief of Slots / Date Bill Crafts <i>[Signature]</i> 9-26-2022		
Distribution: No Action <input type="checkbox"/> Investigation <input type="checkbox"/> Consent Order <input type="checkbox"/> Respondent <input checked="" type="checkbox"/>				

OFFICE OF SLOT OPERATIONS
 1400 WEST COMMERCIAL BOULEVARD, SUITE 165
 FT. LAUDERDALE, FLORIDA 33309

CONTINUATION

Interviews (Continued): Upon further review surveillance footage from camera #324 shows RAMOS in the Main Bank taking money from Ticket Redemption Units (TRU) cassettes that had already been refilled prior and placing it into a designated area in the Main Bank Drawer from 0232 hours to 0619 hours. RAMOS repeated this on other TRU cassettes. RAMOS removed seals from some TRUs and resealed them after taking bills. RAMOS had a total of \$600.00 set aside that was placed under a yellow slip in the designated area in the Main Bank Drawer. Cameras #325 and #411 at 0621 shows RAMOS taking the money with his left hand, and clenching it in his fist. Shortly after he was observed leaving the Main Bank and Casino Cage with the money in his hand. At 0623 Camera #345 shows RAMOS exiting the casino via employee entrance and exit door. RAMOS was then observed driving off property and returning approximately at 0736.

On 09/01/2022, Surveillance received an email (copied on Surveillance report CMJ-000011160) from VP of HR and State Compliance Beatriz PEREZ, License #634266 approving a video review requested by Cage Manager Daniella Carolina SANDOVAL, License #10157909. On this email SANDOVAL requested a review on RAMOS for 08/28/2022 due to a shortage of \$100.00 on TRU cassette #605. Further review from cameras #324 and #325 from 0359 hours to 0958 hours, reveals RAMOS taking money from several TRU cassettes totaling to approximately \$945.00. RAMOS is seen crumbling the money into his left hand and walking out the Main Bank. From 0642 hours to 0752 hours camera #503 shows RAMOS in his car. At 0755 hours from camera #324 RAMOS is seen entering the Main Bank. From 0811 hours to 0958 hours cameras #324 and #325 shows RAMOS once again taking money from TRU cassettes totaling to \$220.00 and putting it into his vest pocket. At 1010 hours RAMOS is seen using the time clock and leaving property.

RAMOS was detained at Miami Dade County jail and charged with Grand Theft. Casino Miami, LLC is currently investigating RAMOS for additional theft of their slot machine proceeds.

Disposition: This report has been forwarded to Supervisor Kereene Lewis and Manager Dennis Chen for review.

Number of previous violations of this type:(0)

Attachments: (4)

- 1- Complaint / Arrest Affidavit
- 2- CMJ-000005095- Security Report
- 3- CMJ-000011160- Surveillance Report
- 4- CMJ-000011163- Surveillance Report

Video saved in FGCC shared folder: CASE 1160 09-01-2022 and CASE 1163 09-02-2022



FLORIDA
GAMING CONTROL
COMMISSION

JOHN MACIVER, CHAIRMAN
MICHAEL YAWORSKY, VICE CHAIR
JULIE I. BROWN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER

September 26, 2022

SENT VIA ELECTRONIC MAIL

Beatriz Perez
Director of Human Resources & State Compliance
Casino Miami
3500 NW 37th Ave
Miami FL, 33142

Re: Possible Violation No. 2022045861

Dear Ms. Perez:

Attached please find a FGCC Slot Operations Incident Report. As stipulated in Fla. Admin. Code R. 61D-14.087, 2, (a), a written response from Casino Miami, LLC is required. Please note that a written reply shall be provided by the slot machine licensee or occupational licensee within "five (5) business days from the date of receipt of the date of a written request from the division."

If you have any questions, please do not hesitate to contact the Regional Office at the number listed below.

Respectfully,

Tatiana Santos
Slot Operations Analyst II
FGCC, Division of Pari-Mutuel Wagering
954-202-6782

Muniz, Luz

From: Suau, Raul
Sent: Monday, October 3, 2022 10:29 AM
To: Muniz, Luz
Subject: FW: Possible Violation - case# 2022045861
Attachments: Incident Report - Ramos, Kevin Jermain - case# 2022045861.pdf

Thank You,



Raul Suau
Investigations Specialist II
Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
Investigations
954-202-6787 Office 954-202-3930 FAX
954-649-6508 Cell

From: Chen, Dennis
Sent: Monday, September 26, 2022 11:22 AM
To: Suau, Raul; Minaya, Julio
Cc: Crafts, Bill
Subject: FW: Possible Violation - case# 2022045861

Good morning,
Per your request.



Dennis Chen
Slot Operations Manager
Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
Office of Slot Operations
954.202.6791 Office 305.978.4245 Cell

From: Santos, Tatiana
Sent: Monday, September 26, 2022 11:19 AM
To: Beatriz Perez

Cc: Crafts, Bill; Chen, Dennis; Lewis, Kereene
Subject: Possible Violation - case# 2022045861

Good Morning Beatriz,

Attached is a possible violation for your review and response within five (5) business days. Please advise how the process can be improved to prevent future occurrences of this nature.

Respectfully,

Tatiana Santos, Slot Operations Analyst II
Florida Gaming Control Commission, Office of Slot Operations
1400 W. Commercial Blvd. Suite 165
Fort Lauderdale, FL 33309-3787
Office Phone: 954-202-6782
Mobile: 954-459-0984
Fax: 954-202-3370
tatiana.santos@fgcc.fl.gov
www.fgcc.fl.gov

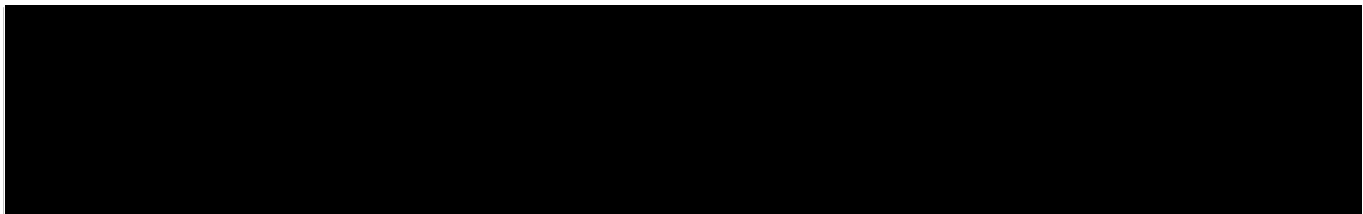




EXHIBIT #8
PAGE # / 715



#8
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VR Home | **Inbox** | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | **Modify License Standing** | Maintain License CE Control

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **Imuniz**

VR Home > Complaint Search > Maintain Complaint > License Home

License

Fed Tax # [REDACTED] Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo** Expires On **06/30/2025**
 File # **6992** Name **RAMOS, KEVIN JERMAIN** Extended To
 License # **11916424** Rank **SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo** Renewed On **04/06/2022**
 Entity # **11916424** Lic Status **Current**

- Licensee
- History
- Notes
- Notes History
- Back

Address

Street # **1458** Street **NW 81ST TERRACE**
 Line 2
 Line 3
 City **PLANTATION** State **FL** Zip **33322**
 Routing

Other

1st License Date **12/04/2018** Rank Date **04/06/2022** Certificate #
 Method **I-S-1017** Status Date **04/09/2019** Certificate Date
 Fee Exempt **No** Birth Date [REDACTED] Renewal Sent

Select

Action  

Modifiers

Type	Modifier	Effective Date	Additional Info
A	ENFO - Enforcement Alert	09/13/2022	APPLICANT HIT
C	CGCA - Cage Cashier	08/06/2021	
F	LIVE - Live Scan Fee Exemption	12/04/2018	
I	JLAI - Jai-Alai	08/06/2021	
L	273 - Miami Jai-Alai	08/06/2021	
Y	3YR - 3 Year License	04/09/2019	


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EXHIBIT #9
PAGE # 1

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: Imuniz

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Modifier Type **A - Alert**
Modifier **ENFO - Enforcement**
Effective **09/13/2022**
Expires -
Additional Info :
Prompt
Value **APPLICANT HIT**
Notes **Applicant must submit form PMW-3410 and provide court disposition records for the 09/02/2022 offense in Miami Dade County, Florida.**
Updated **09/13/2022 11:54:09**
By **jdye1**

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CMJ-000011175 - MGMT Request Casino Review or Coverage Report - Reported 09/05/2022 17:18

Author: Geoffry Geffrard 10084397

Report Number: CMJ-000011175

Start Time: 09/05/2022 17:18

End Time: 09/19/2022 09:30

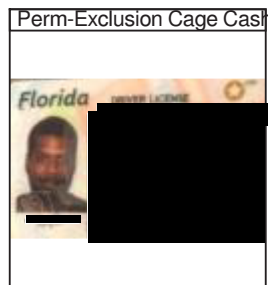
Report Group: Surveillance Reports

Report Type: MGMT Request Casino Review or Coverage Report

Note: Cage Manager, Daniella Sandoval request video review in reference to Main Bank Cage Cashier Kevin Ramos activities on 08-14-2022, 08-18-2022, 08-19-2022, 08-26-2022, and 08-28-2022.

Location / Origin: Incident-Employee Activities

Camera: 411,345,358,324,325,406



Narrative

On 09-05-2022, Cage Manager, Daniella Sandoval request video review via email in reference to Main Bank Cage Cashier Kevin Ramos activities on 08-14-2022, 08-18-2022, 08-19-2022, 08-26-2022, and 08-28-2022.

A video review revealed the following activity of Cage Cashier, Kevin Ramos:

- On 08-14-2022 Mr. Ramos was observed taking a total of \$520.00 from the main bank during his shift.
- On 08-18-2022 Mr. Ramos was observed taking a total of \$430.00 from the main bank during his shift.
- On 08-19-2022 Mr. Ramos was observed taking a total of \$425.00 from the main bank during shift.
- On 08-26-2022 Mr. Ramos was observed taking a total of \$421.00 and an unknown amount in quarters from the main bank during his shift.
- On 08-28-2022 Mr. Ramos was observed taking a total of \$1,167.00 from the main bank during his shift.

08/14/2022

At 05:30:30 hours, on camera #325, Cage Cashier Kevin Ramos was observed removing (1) \$100.00 bill from the stack of \$100s he is holding and placing it in a designated area in the main bank drawer.

At 08:40:00 hours, on camera #325, Cage Cashier Kevin Ramos was observed count taking a stack of \$100's from the main drawer. Mr. Ramos then takes (2) \$100 bills and places them in a designated area in the drawer.

At 08:52:46 hours, on camera #325, Cage Cashier Kevin Ramos was observed count taking a stack of \$20's from the main drawer. Mr. Ramos then takes (1) \$20 bill and places it in a designated area in the drawer.

At 09:18:12 hours, on camera #325, Cage Cashier Kevin Ramos was observed count taking a stack of \$20's from the main drawer. Mr. Ramos then takes (1) \$20 bill and places it in a designated area in the drawer.

At 09:20:17 hours, on camera #325, Cage Cashier Kevin Ramos was observed count taking a stack of \$20's from a TRU Cassette reject #910 . Mr. Ramos then takes (1) \$20 bill and places it in a designated area in the drawer.

At 09:46:30 hours, on camera #324, Cage Cashier Kevin Ramos was observed count taking a stack of \$20's that was going to load TRU Cassette 408. Mr. Ramos then takes (2) \$20 bill and places it in a designated area in the drawer.

At 09:48:28 hours, on camera #324, Cage Cashier Kevin Ramos was observed count taking a stack of \$20's that was going to load TRU Cassette 406. Mr. Ramos then takes (2) \$20 bill and places it in a designated area in the drawer.

At 09:50:22 hours, on camera #324, Cage Cashier Kevin Ramos was observed count taking a stack of \$20's that was going to load TRU Cassette 415. Mr. Ramos then takes (2) \$20 bill and places it in a designated area in the drawer.

At 09:54:01 hours, on camera #324, Cage Cashier Kevin Ramos was observed count taking a stack of \$20's that was going to load TRU Cassette 405. Mr. Ramos then takes (2) \$20 bill and places it in a designated area in the drawer.

At 10:52:05 hours on camera #325, Mr. Ramos was observed counting \$520.00 from the designated area.

At 10:55:12 hours on camera #324 and #325, Surveillance observed Mr. Ramos placing the bills under the yellow paper slip.

From 10:57:50 to 10:58:21 hours on camera #324 and #325, Surveillance observed Mr. Ramos placing his hand under the yellow paper slip, balling the money (\$520.00) into his left fist, then proceeded to exit the main bank with the money in his hand.

NOTE: On 08-14-2022 Mr. Ramos was observed taking a total of \$520.00 from the main bank during his shift.

08/18/2022

At 05:01:18 hours, on camera #325, Cage Cashier Kevin Ramos was observed removing (1) \$20.00 bill from the main bank drawer and placing it in a designated area in the main bank drawer.

From 05:03:17 until 05:04:31 hours, on camera #325, Cage Cashier Kevin Ramos was observed removing \$100 bills from Cassette #607 then counting it on the counting machine. Once done count the done strapping the \$100 bills Mr. Ramos was observed taking (1) \$100 and placing it in a designated area in the main bank drawer. All other \$100 bills were sorted and placed in main bank drawer.

At 05:21:34 hours, on camera #325, Cage Cashier Kevin Ramos was observed counting a stack of \$20's from the main bank drawer then takes (1)\$20 bill and places it in a designated area in the drawer.

At 05:23:19 hours, on camera #325, Cage Cashier Kevin Ramos was observed count and sorting bills over the main drawer. Mr. Ramos was observed counting the \$50 bills then taking (1) \$50 bill and places it in a designated area in the drawer.

At 05:26:29 hours, on camera #325, Cage Cashier Kevin Ramos was observed taking a \$20 bill from the counting machine and places it under a stack of \$20's. After he was observed taking (1) \$20 bill from the top of the stack and

puts in a designated area in the main bank drawer.

At 05:33:44 hours, on camera #324 and #325, Cage Cashier Kevin Ramos was observed taking (2) \$20 bills from the counter top and placed them in a designated area in the main bank drawer. These bills came from the money used to fill Cassette #401.

At 05:35:52 hours, on camera #324 and #325, Cage Cashier Kevin Ramos was observed taking (2) \$20 bills from the counter top and placed them in a designated area in the main bank drawer. These bills came from the money used to fill Cassette #405.

At 05:37:44 hours, on camera #324 and #325, Cage Cashier Kevin Ramos was observed taking (2) \$5 bills from the counter top and placed them in a designated area in the main bank drawer. These bills came from the money used to fill Cassette #216.

At 05:39:18 hours, on camera #324 and #325, Cage Cashier Kevin Ramos was observed taking (2) \$5 bills from the counter top and placed them in a designated area in the main bank drawer. These bills came from the money used to fill Cassette #401.

At 05:42:15 hours, on camera #324 and #325, Cage Cashier Kevin Ramos was observed taking (1) \$100 bill from the counter top and placed them in a designated area in the main bank drawer. The bill came from the money used to fill Cassette #604.

From 05:52:17 until 05:53:11 hours, on camera #324 and #325, Cage Cashier Kevin Ramos counting the bills from the designated area. Mr. Ramos exchanges \$20 in \$5 bills for (1) \$20 bill, then he exchanges \$100 in \$20 bills for (1) \$100 bill. Mr. Ramos was observed with \$410.00 that he puts back to the a designated area in the main bank drawer.

At 06:15:19 hours, on camera #406 and #324, Cage Cashier Kevin Ramos was observed moving the money from the designated area to a new area in the drawer next to the \$5 bills and \$1 bills.

At 06:29:21 hours, on camera #406 and 325, Cage Cashier Kevin Ramos was observed taking money with right hand, moving it under the yellow paper and taking it with his left hand which he then balls up.

At 06:29:39 hours, on camera 411 and #325, Cage Cashier Kevin Ramos was observed leaving the main bank with his left hand balled up. Shortly thereafter he was observed leaving Casino Cage with the money in his hand.

At 06:31:16 hours, on camera #345, Cage Cashier Kevin Ramos was walking out of the Casino through the employee entrance/ exit door. Mr. Ramos walks across the employee parking and out of camera view.

At 08:04:52 hours, on camera #345, Cage Cashier Kevin Ramos was observed re-entering the building through the employee entrance/ exit door. Then walks back into the main bank to continue working.

At 08:19:15 hours, on camera #324 and #325, Cage Cashier Kevin Ramos was observed taking (2) \$5 bills from the counter top and placed them in a a designated area in the main bank drawer. These bills came from the money used to fill Cassette #218.

At 08:21:10 hours, on camera #324 and #325, Cage Cashier Kevin Ramos was observed taking (2) \$5 bills from the counter top and placed them in a a designated area in the main bank drawer. These bills came from the money used to fill Cassette #208.

At 08:22:49 hours, on camera #324 and #325, Cage Cashier Kevin Ramos was observed counting the bills from the designated area. Mr. Ramos exchanges \$20 in \$5 bills for (1) \$20 bill and puts it at designated area in the main bank drawer.

From 08:58:47 until 08:59:09 on camera #325, Cage Cashier Kevin Ramos was observed using papers to conceal at \$20 bill from Cage Supervisor Sylvia Zamorano-Soto sight. Ms. Zamorano-Soto was observed grabbing the papers and taking them out of the drawer, while Mr. Ramos was observed moves bundles of money to cover the \$20 bill from her. After hiding the \$20 bill from Ms. Zamorano-Soto he was observed balling up the \$20 bill in his left hand and taking the bill out of the drawer.

At 08:59:33 hours, on camera #324,325, and 411, Cage Cashier Kevin Ramos was observed walking from away from Ms. Zamorano-Soto while still having his left hand balled up. Mr. Ramos was observed grabbing a blue money bag and placing it in a drawer. After Mr. Ramos was observed putting his balled up left hand into his vest pocket as he turning around. When Mr. Ramos takes his left hand out of the vest pocket it was no longer balled up.

At 09:12:00 hours, on camera #411, Cage Cashier Kevin Ramos was observed walking out the main bank. Then re-enters the main bank at 09:12:31 hours.

At 09:27:29 hours, on camera #411, Cage Cashier Kevin Ramos was observed walking out the main bank. Then re-enters the main bank at 09:30:31 hours.

At 09:31:33 hours, on camera #411, Cage Cashier Kevin Ramos was observed walking out the main bank. Then re-enters the main bank at 09:32:05 hours.

At 09:33:42 hours, on camera #411, Cage Cashier Kevin Ramos was observed walking out the main bank. Then briefly re-enters the main bank at 09:36:33 hours and walk out again.

At 09:37:44 hours, on camera #345, Cage Cashier Kevin Ramos was walking out of the Casino through the employee entrance/ exit door.

NOTE: On 08-18-2022 Mr. Ramos was observed taking a total of \$430.00 from the main bank during his shift.

08/19/2022

From 03:13:10 to 03:14:05 hours on camera #324 and #325, Surveillance observed Mr. Ramos refilling TRU #606 cassette, removing (1) \$100.00 bill and placing it in a designated area in the main bank drawer.

From 05:28:45 to 05:29:47 hours on camera #324 and #325, Surveillance observed Mr. Ramos opening TRU #907 reject cassette and removing the money from it. Shortly thereafter he was observed taking (1) \$20.00 bill and placing it in a designated area in the main bank drawer.

From 05:29:50 to 05:31:42 hours on camera #324 and #325, Surveillance observed Mr. Ramos opening TRU #815 cassette and removing the money from it. Shortly thereafter he was observed taking (1) \$20.00 bill and placing it in a designated area in the main bank drawer.

From 05:38:55 to 05:41:15 hours on camera #324 and #325, Surveillance observed Mr. Ramos opening TRU cassettes #219 and #911 reject cassette then removing the money from it. Shortly thereafter he was observed taking (1) \$20.00 bill and placing it in a designated area in the main bank drawer.

From 05:43:20 to 05:43:50 hours on camera #325, Mr. Ramos was observed looking upwards to all cameras inside the casino cage main bank.

From 05:45:30 to 05:45:55 hours on camera #324 and #325, Surveillance observed Mr. Ramos taking (1) \$20.00 bill from the main bank drawer and placing it in a designated area in the main bank drawer.

From 05:46:50 to 05:47:25 hours on camera #324 and #325, Surveillance observed Mr. Ramos opening TRU #910 reject cassette and removing the money from it. Shortly thereafter he was observed taking (1) \$20.00 bill and placing it in a designated area in the main bank drawer.

From 05:53:15 to 05:53:30 hours on camera #324 and #325, Surveillance observed Mr. Ramos taking (1) \$20.00 bill from the main bank drawer and placing it in a designated area in the main bank drawer.

From 06:01:30 to 6:03:35 hours on camera #324 and #325, Surveillance observed Mr. Ramos refilling TRU #405 cassette, then removing (2) \$20.00 bill from the refill money and placing it in a designated area in the main bank drawer.

From 06:04:35 to 06:06:00 hours on camera #324 and #325, Surveillance observed Mr. Ramos refilling TRU #406 cassette, then removing (2) \$20.00 bill from the refill money and placing it in a designated area in the main bank drawer.

From 06:12:45 to 06:14:00 hours on camera #324 and #325, Surveillance observed Mr. Ramos refilling TRU #206 cassette, then removing (1) \$5.00 bill from the refill money and placing it in a designated area in the main bank drawer.

From 06:45:50 to 06:46:30 hours on camera #324 and #325, Surveillance observed Mr. Ramos refilling TRU #605 cassette, then removing (1) \$100.00 bill from the refill money and placing it in a designated area in the main bank drawer.

From 06:50:35 to 06:51:11 hours on camera #324 and #325, Surveillance observed Mr. Ramos changing (10) \$20.00 bills that he had in the designated area in the main bank drawer, in exchange for (2) 100.00 bills. He then places the money he had in the designated area (4) 100.00 bills and (1) \$5.00 bill a total of \$405.00, under a yellow paper slip.

From 06:51:15 to 06:52:15 hours on camera #324 and #325, Surveillance observed Mr. Ramos placing his hand under the yellow paper slip, balling the money (\$405.00) into his left fist, then proceeded to exit the main bank with the money in his hand.

From 06:52:15 to 06:52:55 hours on camera #315, Surveillance observed Mr. Ramos placing keys back into the key watcher with the money concealed in his fist. he then proceeded to exit the main cage.

From 06:53:45 to 06:54:00 hours on camera #345, Surveillance observed Mr. Ramos exit the casino via the casino employee entrance / exit doors.

From 08:32:20 to 08:32:32 hours on camera #345, Surveillance observed Mr. Ramos entering the casino via the employee entrance / exit doors.

From 09:48:30 to 09:54:30 hours on cameras # 324, 325, 406, 411 and 323, Surveillance observed Mr. Ramos taking (1) \$20.00 bill from the main bank, placing it in a designated area in the drawer, then removing it and putting it into his vest pocket.

From 10:05:45 to 10:06:00 hours on camera 345, Surveillance observed Mr. Ramos exiting the casino via the casino employee entrance / exit doors.

NOTE: On 08-19-2022 Mr. Ramos was observed taking a total of \$425.00 from the main bank during shift.

08/26/2022

At 04:26:50 hours, on camera #325, Cage Cashier Kevin Ramos was observed removing (1) \$20.00 bill from the stack of \$20s he is holding and placing it in a designated area in the main bank drawer.

At 04:31:41 hours, on camera #325, Cage Cashier Kevin Ramos was observed removing (1) \$20.00 bill from the bank area and placing it in a designated area in the main bank drawer.

At 04:46:55 hours, on camera #325, Cage Cashier Kevin Ramos was observed removing (1) \$100.00 bill from the stack of money he is holding and placing it in a designated area in the main bank drawer.

At 05:31:17 hours, on camera #325, Cage Cashier Kevin Ramos was observed removing (2) \$5.00 bill from the TRU Cassette 213 of \$5's he is filling and placing it in a designated area in the main bank drawer.

At 05:33:01 hours, on camera #325, Cage Cashier Kevin Ramos was observed removing (2) \$5.00 bill from the TRU Cassette 218 \$5's he is filling and placing it in a designated area in the main bank drawer.

At 05:37:18 hours, on camera #325, Cage Cashier Kevin Ramos was observed removing (2) \$5.00 bill from the TRU Cassette 210 \$5's he is filling and placing it in a designated area in the main bank drawer.

At 05:39:59 hours, on camera #325, Cage Cashier Kevin Ramos was observed removing (2) \$5.00 bill from the TRU Cassette 201 \$5's he is filling and placing it in a designated area in the main bank drawer.

At 05:49:33 hours on camera #325 Cage Cashier, Kevin Ramos was observed exchanging the (\$5) bills for (\$20) bills.

At 05:51:38 hours, on camera #325, Cage Cashier Kevin Ramos was observed removing (2) \$20.00 bill from the TRU Cassette 404 \$20's he is filling and placing it in a designated area in the main bank drawer.

From 06:28:19 to 06:28:41 hours on camera #324 and #325, Surveillance observed Mr. Ramos placing his hand under the yellow paper slip, balling the money (\$220.00) into his left fist, then proceeded to exit the main bank with the money in his hand.

At 08:01:47 hours, on camera #325, Cage Cashier Kevin Ramos was observed removing (1) \$100.00 bill from the stack of money he is holding and placing it in a designated area in the main bank drawer.

At 08:05:49 hours, on camera #325, Cage Cashier Kevin Ramos was observed removing a big bill from the stack of money that was on the counter and placing it in a designated area in the main bank drawer.

At 08:08:43 hours, on camera #325, Cage Cashier Kevin Ramos was observed removing (1) \$100.00 bill from the stack of money he is holding and placing it in a designated area in the main bank drawer.

At 08:34:31 hours, on camera #325, Cage Cashier Kevin Ramos was observed removing (1) \$1.00 bill from the bank area and placing it in a designated area in the main bank drawer.

At 08:34:32 hours, on camera #325, Cage Cashier Kevin Ramos was observed taking an unknown amount in quarters from coin tray and walks out of the main bank with them in his hand.

From 08:41:12 to 08:41:42 hours on camera #324 and #325, Surveillance observed Mr. Ramos folding and placing the bills under the yellow paper slip.

At 08:41:47 hours on Camera #325 Surveillance observed Mr. Ramos grabbing the folding money and then proceed to exit the main bank with the money in his hand.

At 08:43:20 hours on camera #323 Surveillance observed Mr. Ramos placing the folded money in his Jacket pocket.

NOTE: On 08-26-2022 Mr. Ramos was observed taking a total of \$421.00 and an unknown amount in quarters from the main bank during his shift.

08/28/2022

At 03:59 Hours on camera # 324, Cage Cashier, Kevin Ramos took (1) \$100.00 Bill out of TRU # 606 cassette -refill was already made before he got there, he broke the tab - opened it and then resealed it with another tab. Mr. Ramos placed the \$100.00 Bill into a designated area in the Main Bank Drawer

At 04:04 Hours on camera # 324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 613 cassette - refill was already made before he got there, he broke the tab - opened it and then resealed it with another tab. Mr. Ramos placed the \$100.00 Bill into a designated area in the Main Bank Drawer.

At 04:09 Hours on camera # 324, Mr. Ramos took (2) \$20.00 Bills out of TRU # 416 cassette --during a refill and placed it back into a designated area in the Main Bank Drawer.

At 04:13 Hours on camera # 324, Mr. Ramos took (2) \$20.00 Bills out of TRU # 407 cassette--during a refill and placed it back into a designated area in the Main Bank Drawer.

At 04:16 Hours on camera # 324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 603 cassette - refill was already made before he got there, he broke the tab - opened it and then resealed it with another tab

At 05:31 Hours on camera # 325, Mr. Ramos took (2) \$20.00 Bills from Main Bank Drawer and placed it back into a designated area in the Main bank Drawer.

At 06:07 Hours on camera #324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 605 cassette -verify amount after TRU Cassette return. Mr. Ramos placed the \$100.00 Bill into a designated area in the Main Bank Drawer.

06:12 Hours on camera #324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 616 cassette -verify amount after TRU Cassette return. Mr. Ramos placed the \$100.00 Bill into a designated area in the Main Bank Drawer.

At 06:14 Hours on camera # 325, Mr. Ramos took (1) \$20.00 Bill from Main Bank Drawer and placed it back into a designated area in the Main bank Drawer.

At 06:18 Hours on camera # 325, Mr. Ramos took (2) \$100.00 Bills out of TRU # Reject cassette -verify amount after TRU Cassette return. Mr. Ramos placed the \$100.00 Bills into a designated area in the Main Bank Drawer.

At 06:20 Hours on camera #325, Mr. Ramos took (3) \$20.00 Bills out of TRU # 415 cassette -verify amount after TRU Cassette return. Mr. Ramos placed the \$20.00 Bills into a designated area in the Main Bank Drawer.

At 06:23 Hours on camera # 325, Mr. Ramos took (1) \$20.00 Bill from Main Bank Drawer and placed it back into a designated area in the Main bank Drawer.

At 06:27 Hours on camera # 325, Mr. Ramos took (1) \$20.00 Bill from Main Bank Drawer and placed it back into a designated area in the Main bank Drawer.

At 06:33 Hours on camera # 325, Mr. Ramos took (1) \$5.00 Bill from Main Bank Drawer and placed it back into a designated area in the Main bank Drawer.

At 06:36 Hours on camera # 325, Mr. Ramos took out of the Main Bank Cash Drawer several bills. The bills that could

be accounted for revealed the following count: approx. (7) \$100.00, (1) \$5.00, (12) \$20.00 and (2) \$1.00 Bill, totaling to approx. amount \$ 947.00. Mr. Ramos was further observed "crumpling the money in his left hand "and then walking out of the Main Bank with it.

From 06:42 to 07:52 hours on camera #503 Mr. Ramos was in his car for break.

At 08:11 Hours on camera # 324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 605 cassette --during a refill and placed it back into a designated area in the Main Bank Drawer.

At 08:20 Hours on camera # 324, Mr. Ramos took (2) \$20.00 Bills out of TRU # 410 cassette--during a refill and placed it back into a designated area in the Main Bank Drawer.

At 08:22 Hours on camera # 324, Mr. Ramos took (2) \$20.00 Bills out of TRU # 404 cassette--during a refill and placed it back into a designated area in the Main Bank Drawer.

At 08:25 Hours on camera # 324, Mr. Ramos took (2) \$20.00 Bills out of TRU # 408 cassette--during a refill and placed it back into a designated area in the Main Bank Drawer.

At 09:58 Hours on camera # 325, Mr. Ramos took out of the Main Bank Cash Drawer several bills. The bills that could be accounted for revealed the following count: (2) \$100.00, (1) \$20.00 Bills totaling to approx. amount \$ 220.00. Mr. Ramos was further observed "folded the money in his left hand "and then appears to put it into his vest pocket.

At 10:10:22 hours on camera #358, Mr. Ramos was observed using the time clock.

NOTE: On 08-28-2022 Mr. Ramos was observed taking a total of \$1,167.00 from the main bank during his shift.

Videos of the requested dates were saved for future reference.

This report was written by Surveillance Shift Managers Geoffry Geffrard #10084397, Adrian Gordon #11117304, and Assistant Manager Carlos Rodriguez Lic. #9792016.

This report was approved by Surveillance Director, William Hutcheson # 7874265.

Signature A

Date

Signature B

Date

MIAMI JAI-ALAI CASINO
SYSTEM OF INTERNAL CONTROLS
ARTICLE D – SECTION 4

In accordance with 61D-14.074 (3-10), TRU's, Ticket Redemption Units (automatic ticket redemption machines) shall have a maximum ticket value of \$1,199.99 that can be paid out, per individual ticket.

All cash transfers to and from a TRU requires Surveillance notification, a Miami PD or Security escort and funds to be transported in a locked cart.

Keys to the TRU's will be maintained in the electronic key box (Key Watcher). Surveillance will be notified any time the TRU's are accessed.

A. Filling the Cassettes:

1. Before accessing of any of the TRU's, Surveillance shall be notified.
2. Each cassette is to be filled with a predetermined number of notes. The standard fill will be communicated by the Director of Finance or Cash Operations Manager based on cash needs.
3. Prior to filling the cassette, the cash must be fitness sorted. The currency will be run through a Jet scan, and any bill which registers a "no call" will not be considered ATM fit. This step may be omitted if count room verified bagged currency is used.
4. The amount of cash loaded into a cassette will be verified by two Cash Operations personnel, at least one of which must be a Cage Supervisor, by running the notes through a currency counter.
5. Once the notes are verified, they will be placed in the cassette with both people present, and the pre-numbered cassette will be sealed with a security seal. Both people will verify that the denomination of the notes equals the denomination indicated on the TRU cassette. The TRU Cassette Control log will be filled out and both individuals will sign and include License # agreeing to the amount.
6. The filled/prepped cassettes will be listed on the respective Main Banker or above INVENTORY SHEET as a separate line item.
The filled/prepped cassettes will be stored in the Vault until needed.

B. Funding the TRU's (Interim Funding):

1. If the NRT Money Machine needs additional funding prior to the "end of day", additional funds will be added. These additional fills will be listed on the a TRU fill and the Main Bank TRU schedule. If an TRU cassette is changed as part of the fill, the removed cassette will be balanced in the Main Cage and the returned amount listed on the TRU fill and the Main Bank TRU schedule.
2. Two Cash Operations personnel, one of which will be the Main Banker or above will perform the balancing process for the removed cassette(s) and/or BV Cans (Redemption Stackers), and both individuals will sign the TRU credit. The cassette will be refilled as soon as possible utilizing the process in #1.
3. The BV Cans (Redemption Stacker) will be opened and all funds and tickets will be removed and separated via a 4-Pocket Cummins Sorter Machine.
4. The physical inventory must be compared to the TRU generated drop Receipt for accuracy.
5. The signatures of two people witnessing the fill and/or removal of funds from the BV Cans (Redemption Stacker) will be on the TRU Fills and Credits, one of which must be a Cage Supervisor.
6. The machine generated receipts documenting the fill and/or credit will be attached to the corresponding fill or credit for auditing purposes.
7. After all totals have been verified, the cage will forward the tickets, TRU generated fill and drop receipts and all supporting paperwork to the Revenue Audit Department.
8. Any discrepancies/variances will be investigated by Finance and Surveillance.
9. The steps needed to perform the funding at the machine are detailed below in the REPLENISHMENT section.

**MIAMI JAI-ALAI CASINO
SYSTEM OF INTERNAL CONTROLS
ARTICLE D – SECTION 4**

C. Checking Supply Levels:

Current inventory levels for each TRU are obtained by accessing the TRU computer program:

1. Log on to the TRU monitoring system using a unique login
2. Select the icon for each TRU to see the detail
3. Determine fill/credit needs based on the set impressions for each denomination.

Casino Miami
Main Bank Settlement Sheet
Schedule D

Date: 9/2/2022

Shift: Day

Name	Window Number	Over	Short	Comments
KEVIN RAMOS	MB		80.00	EOS
Total		-	\$ 80.00	

[Handwritten signature]

Casino MIAMI

Cashier Variance Slip

Date 8/29/12	Time 11am	Shift D S G	Location MB
-----------------	--------------	----------------	----------------

Cashier Name (Print) / License #
Kevin Ramos

Amount Short \$100.00	Amount Over
--------------------------	-------------

Comments
CASSETTE #605 SHORT \$100
Cassette was filled on 8/27
Grace Theft

Cashier Signature / License #
1210 1311 7087

Main Bank Signature / License #
[Signature] 1019105

Supervisor Signature / License #
[Signature]

WHITE-Cage YELLOW-Accounting PINK-Department
CMJ-011

Shortage From Cassettes Made by
Kevin Ramos 1/2/22

20's #401 <407
20's #411 <407

Casino M I A M I

Cashier Variance Slip

Date 1/2/22	Time 10:30am	Shift D S G	Location MB
----------------	-----------------	----------------	----------------

Cashier Name (Print) / License #

Kevin Ramos

Amount Short

< 80 >

Amount Over

Comments

he was shorting \$20.00
cassette \$80.00

Cashier Signature / License #

122159285

Main Bank Signature / License #

Supervisor Signature / License #

1015909

WHITE-Cage

YELLOW-Accounting

PINK-Department

CMJ-011

Casino Miami
Ticket Redemption Unit Cassette Control Log

Date	Cassette #	Seal #	Denom.	Amount	TRU #	Prepared by	Verified by														
[Redacted]						KR11916424	Stuart Blum														
								* 8/27	605	130280	1000	50000									
								[Redacted]							Flea 1278610 ↓ 12578610 Flea						

Casino Miami

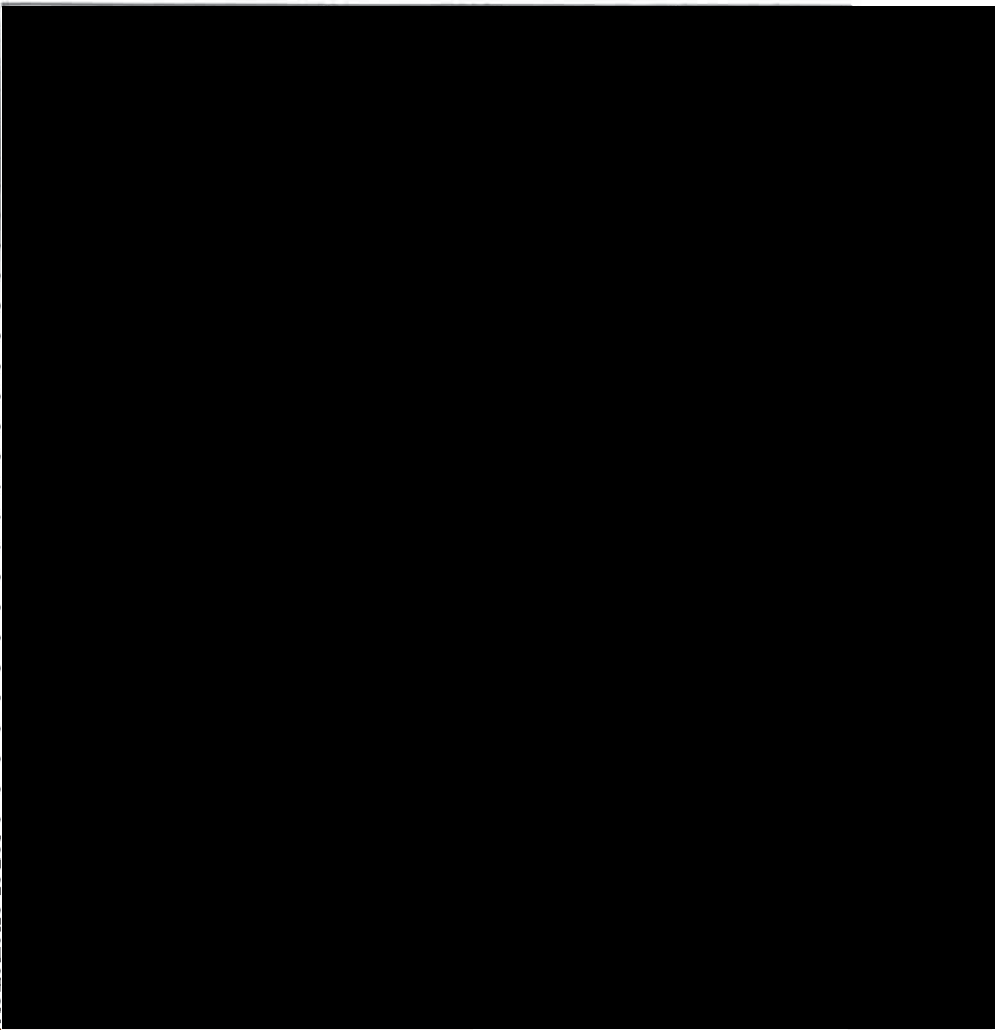
Ticket Redemption Unit Cassette Control Log

Date	Cassette #	Seal #	Denom.	Amount	TRU #	Prepared by	Verified by
[REDACTED]							
						<i>KR11916CR24</i>	<i>Re-opened.</i>
<i>9/11</i>	<i>401</i>	<i>129795</i>	<i>20</i>	<i>40000</i>			
<i>9/11</i>	<i>411</i>	<i>129796</i>	<i>20</i>	<i>40000</i>			<i>12564395</i>
[REDACTED]							

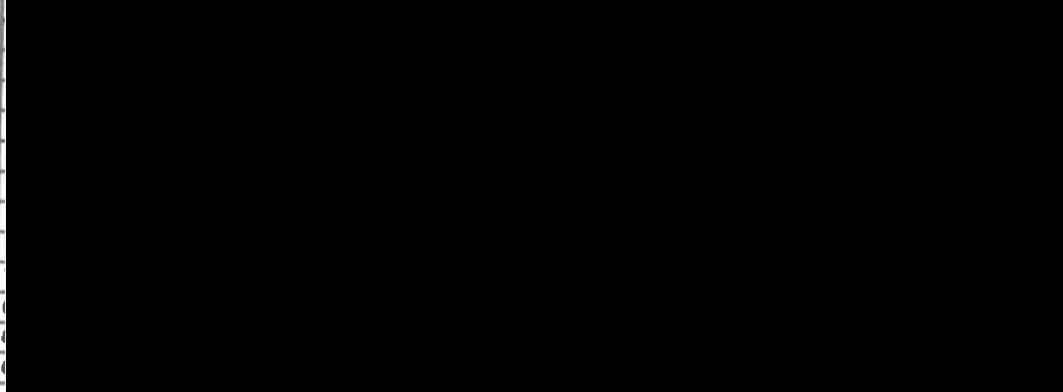
Casino Miami

Cage Accountability

Day	Swing	Graveyard
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Cage Shortages (Schedule D)	\$ 100.00	\$ -	\$ -	\$ 100.00
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1110 1371 7087
Main Bank Cashier
(11888794)
Shift Supervisor

8/29/2022
Date

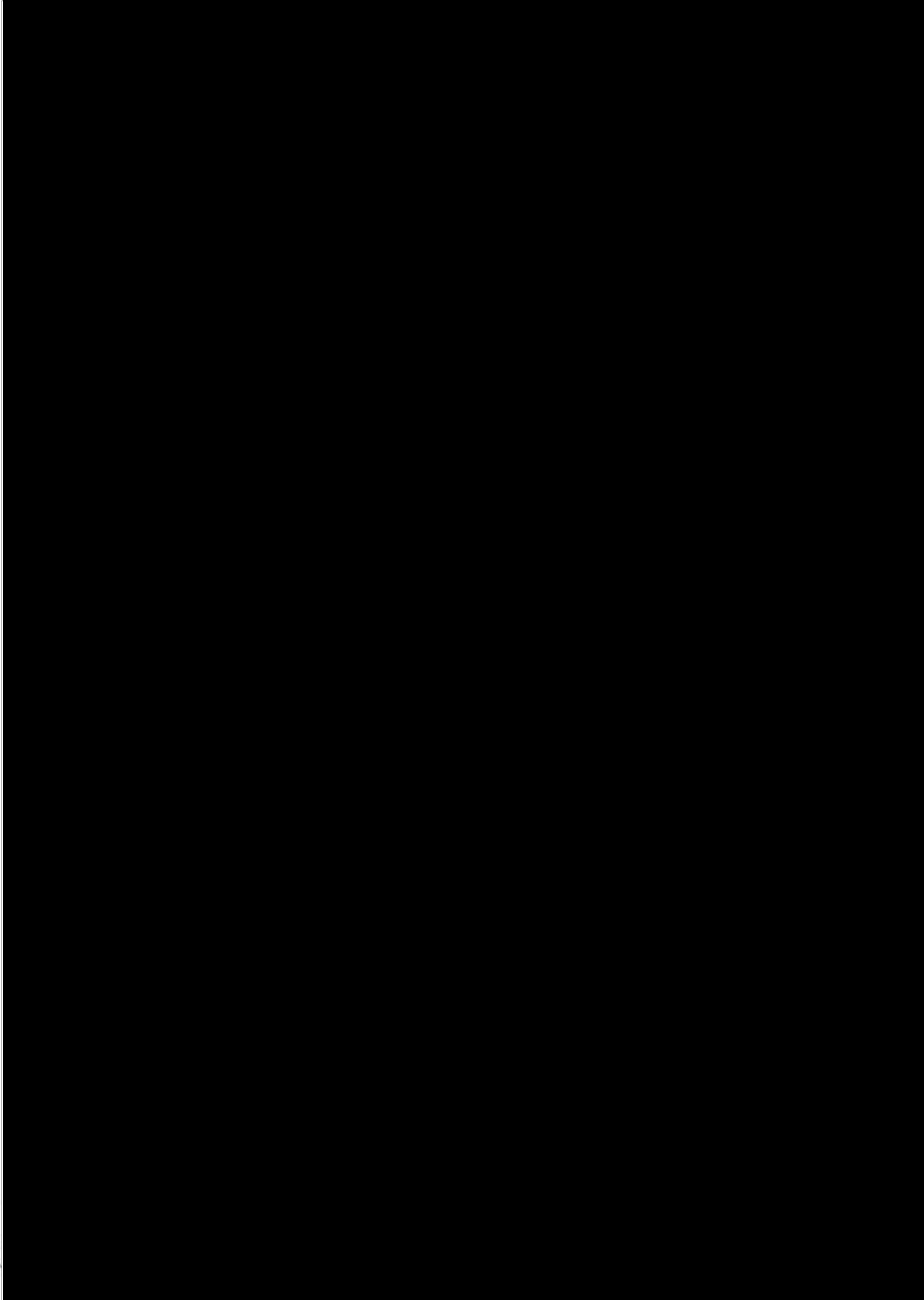
Day

Shift

Casino Miami

Cage Accountability

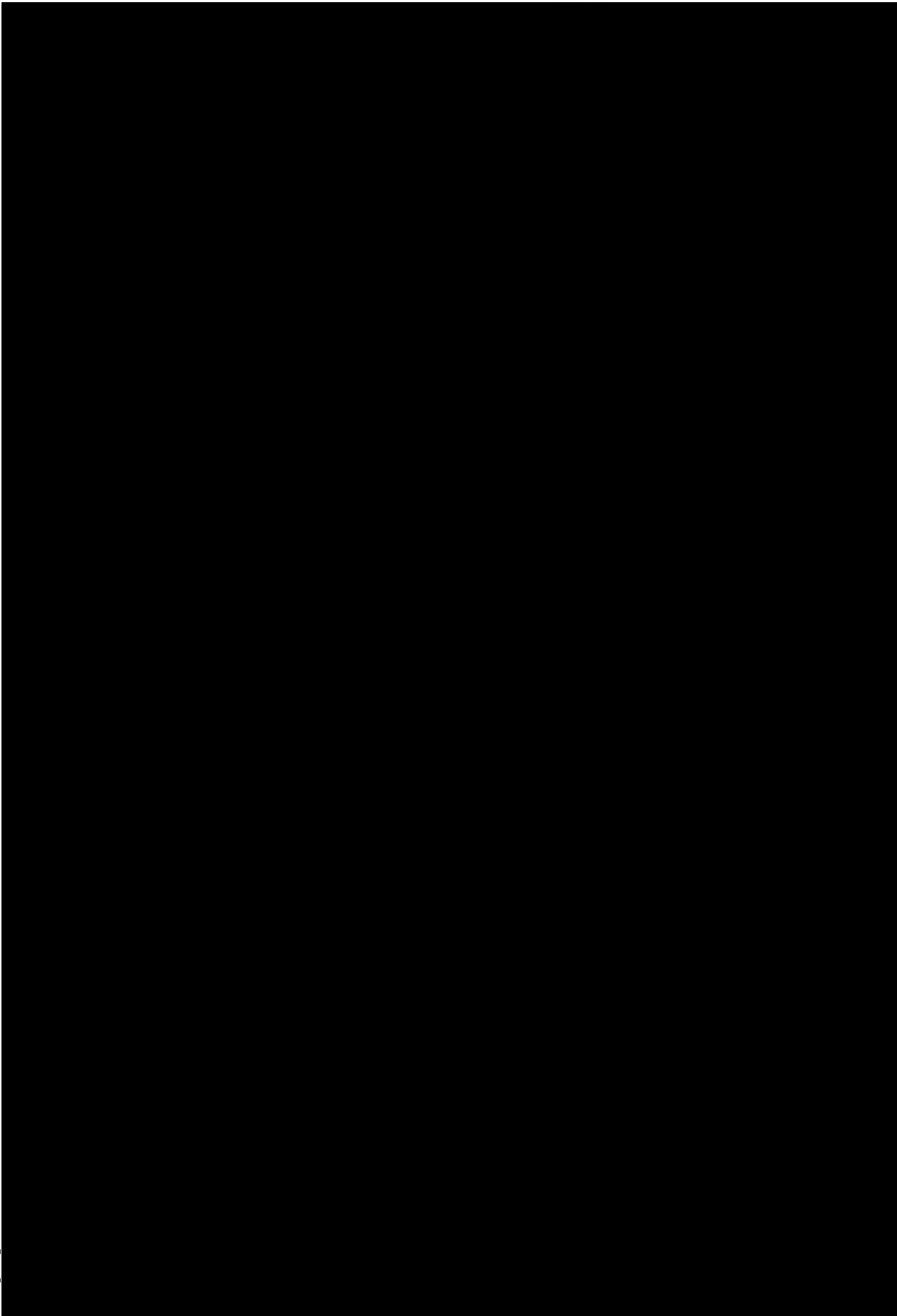
	Day	Swing	Graveyard	
--	-----	-------	-----------	--



Casino Miami

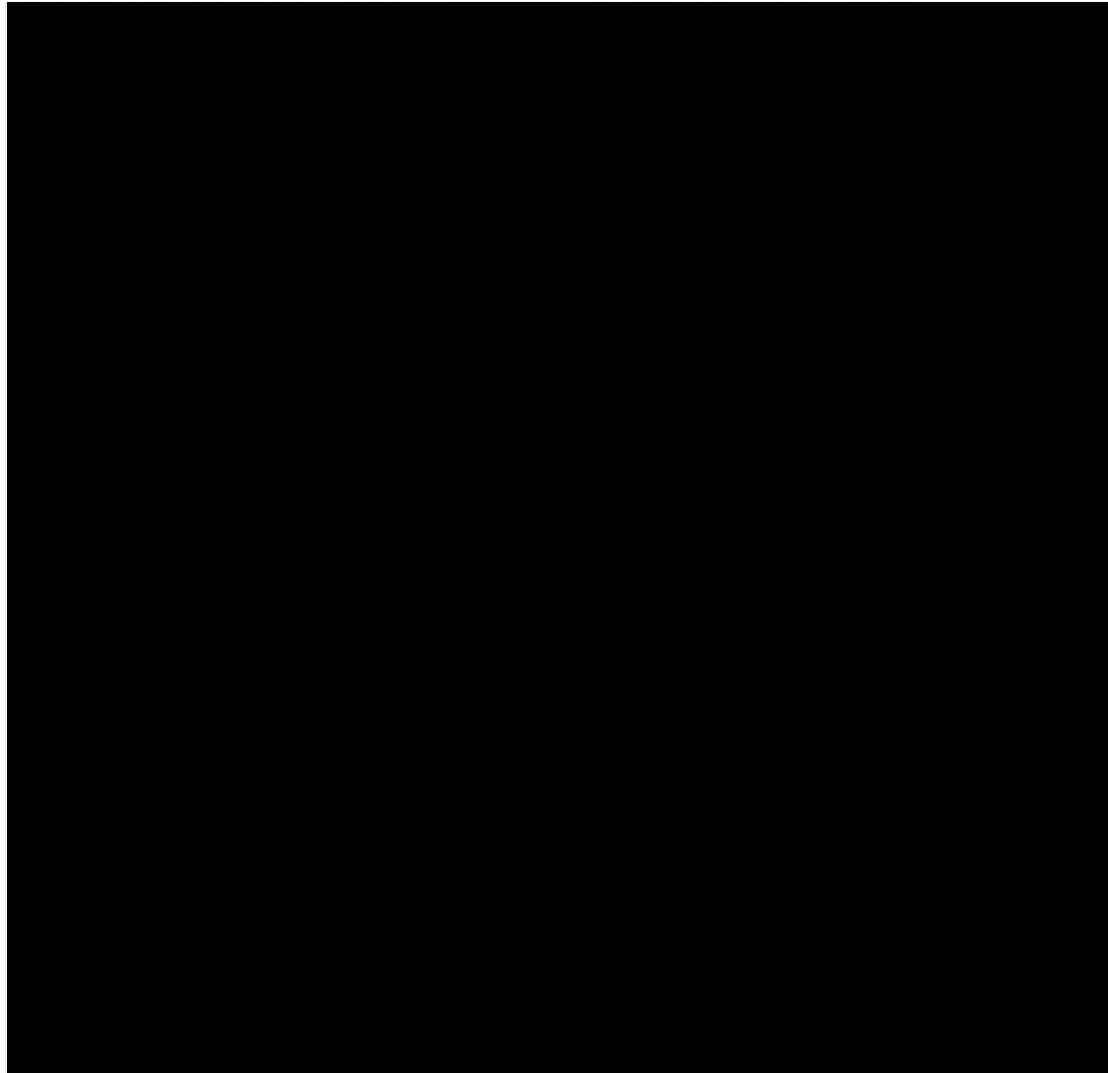
Cage Accountability

	Day	Swing	Graveyard	
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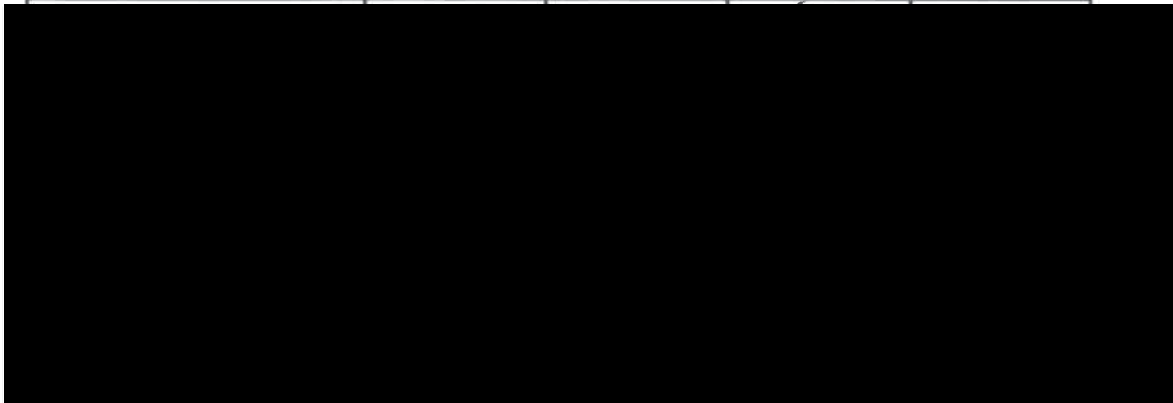


Casino Miami

Cage Accountability				
	Day	Swing	Graveyard	



Cage Shortages (Schedule D)	\$ -	\$ -	\$ 97.12	\$ 97.12
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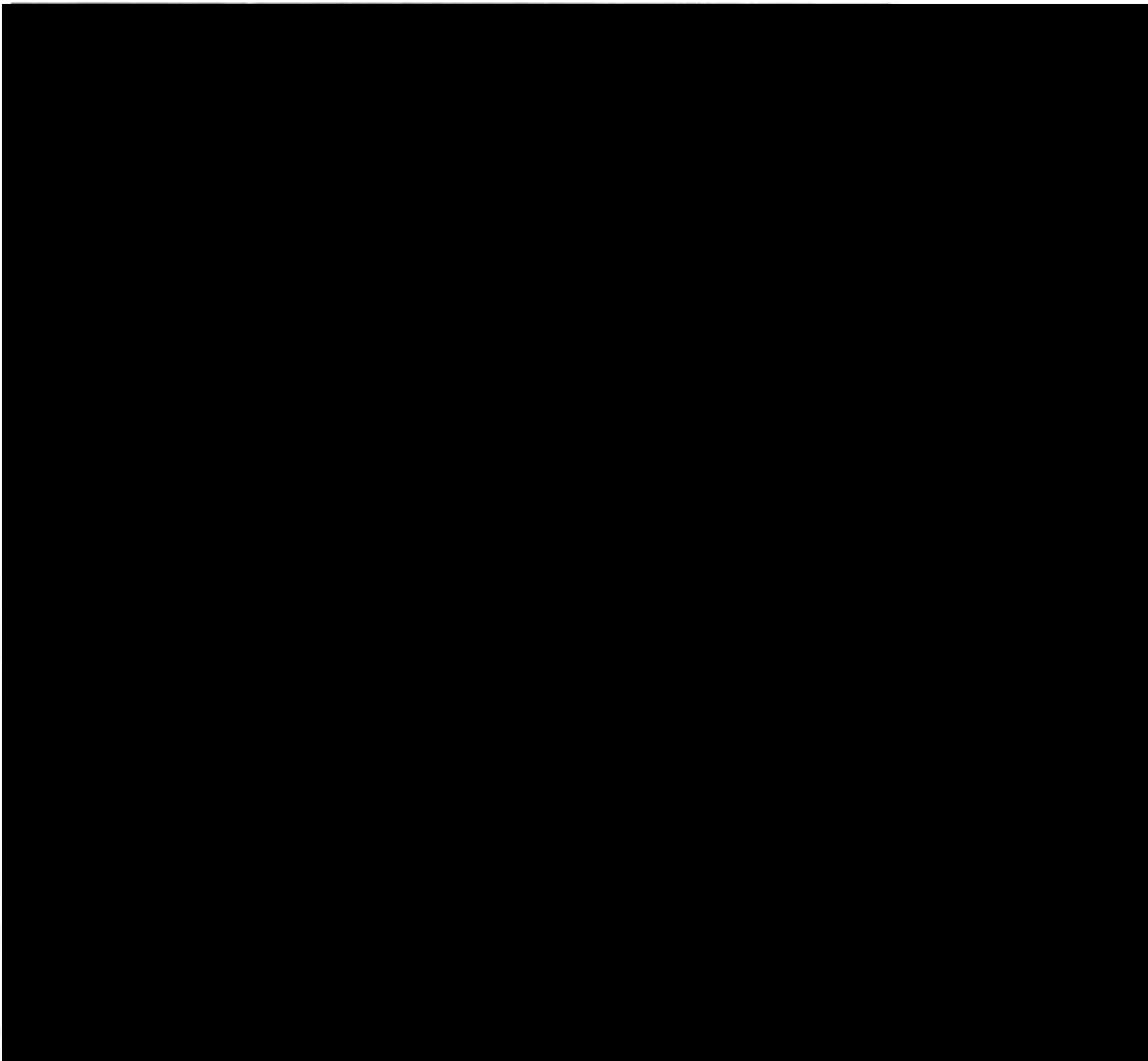


KR 11916424
Main Bank Cashier
10568355
Shift Supervisor

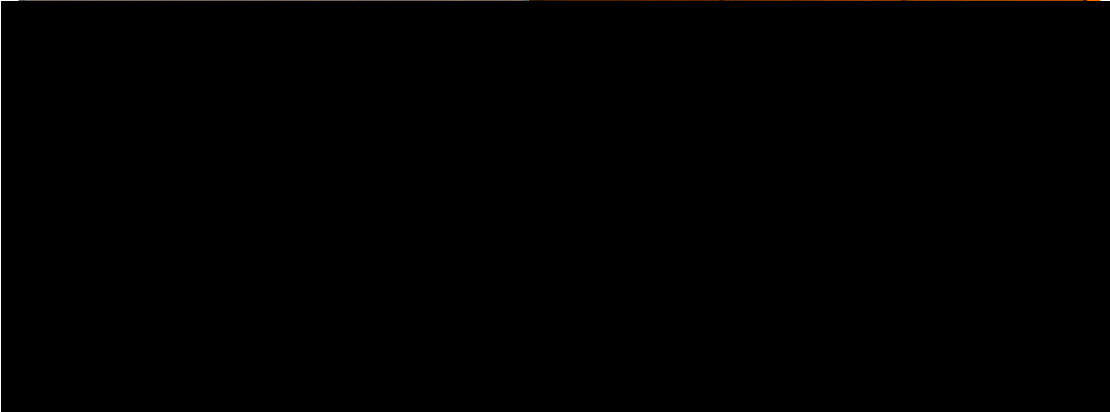
8/17/2022
Date
GRAVE
Shift

Casino Miami

Cage Accountability				
	Day	Swing	Graveyard	



Cage Shortages (Schedule D)	\$ 1.00	\$ 9.17	\$ 120.87	\$ 131.04
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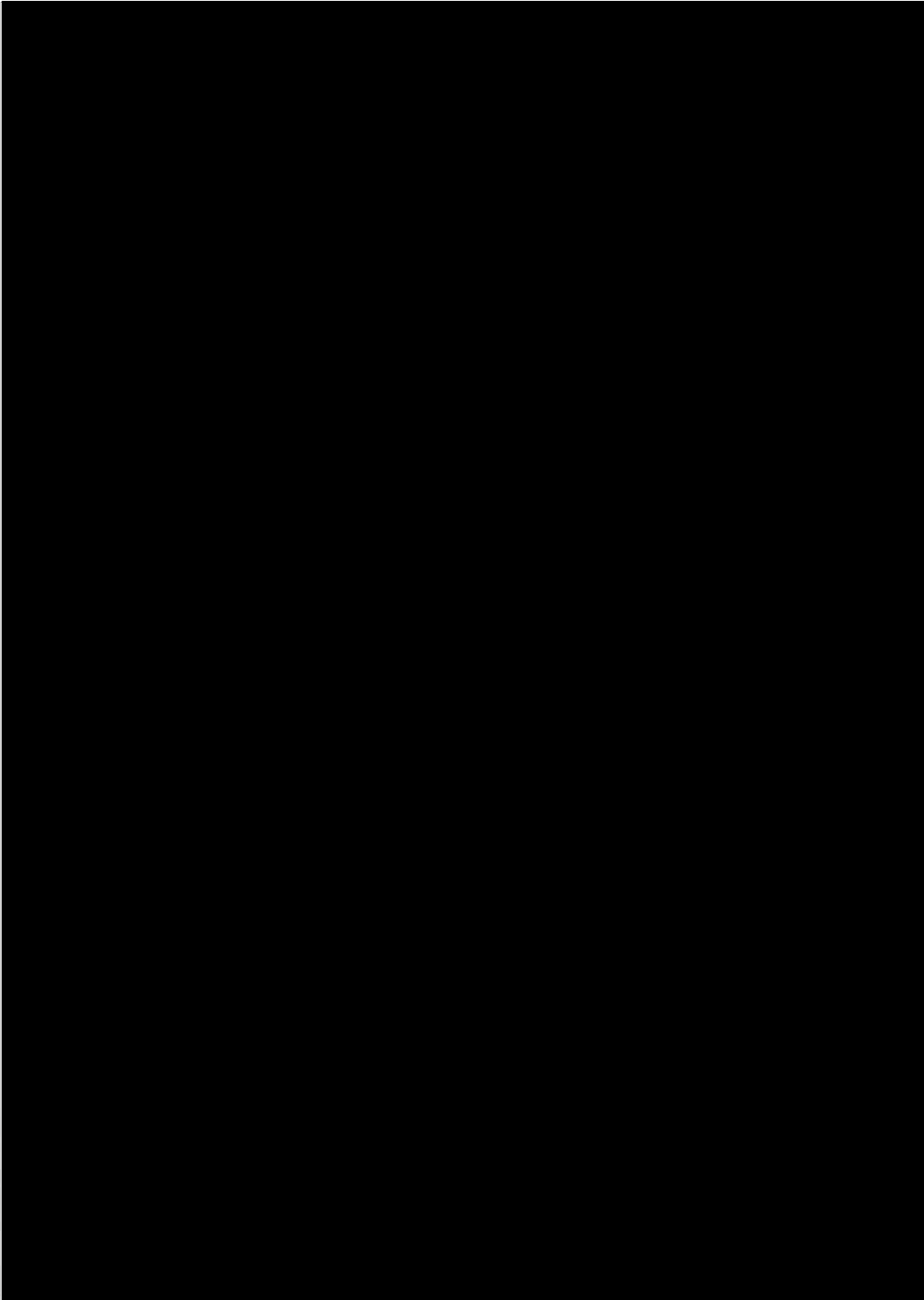
161616424
Main Bank Cashier
12569395
Shift Supervisor

8/18/2022
Date
GRAVE
Shift

Casino Miami

Cage Accountability

	Day	Swing	Graveyard	
--	-----	-------	-----------	--



JFK (1) 127800
Main Bank Cashier
JFK (1) 127800
Shift Supervisor

8/25/2022
Date

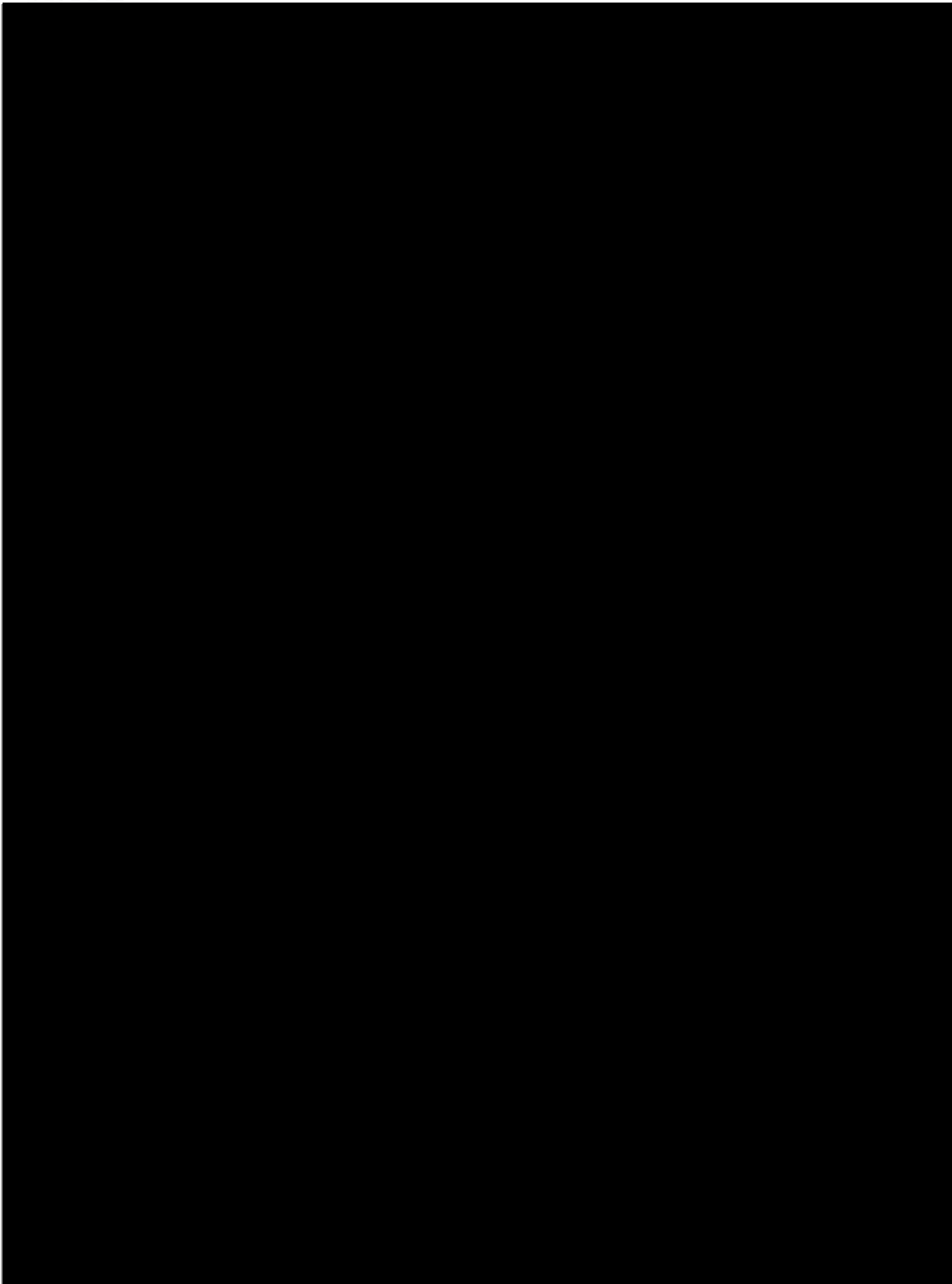
Day

Shift

Casino Miami

Cage Accountability

	Day	Swing	Graveyard	
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Wp 13253390
Main Bank Cashier

Arthur 12142122
Shift Supervisor

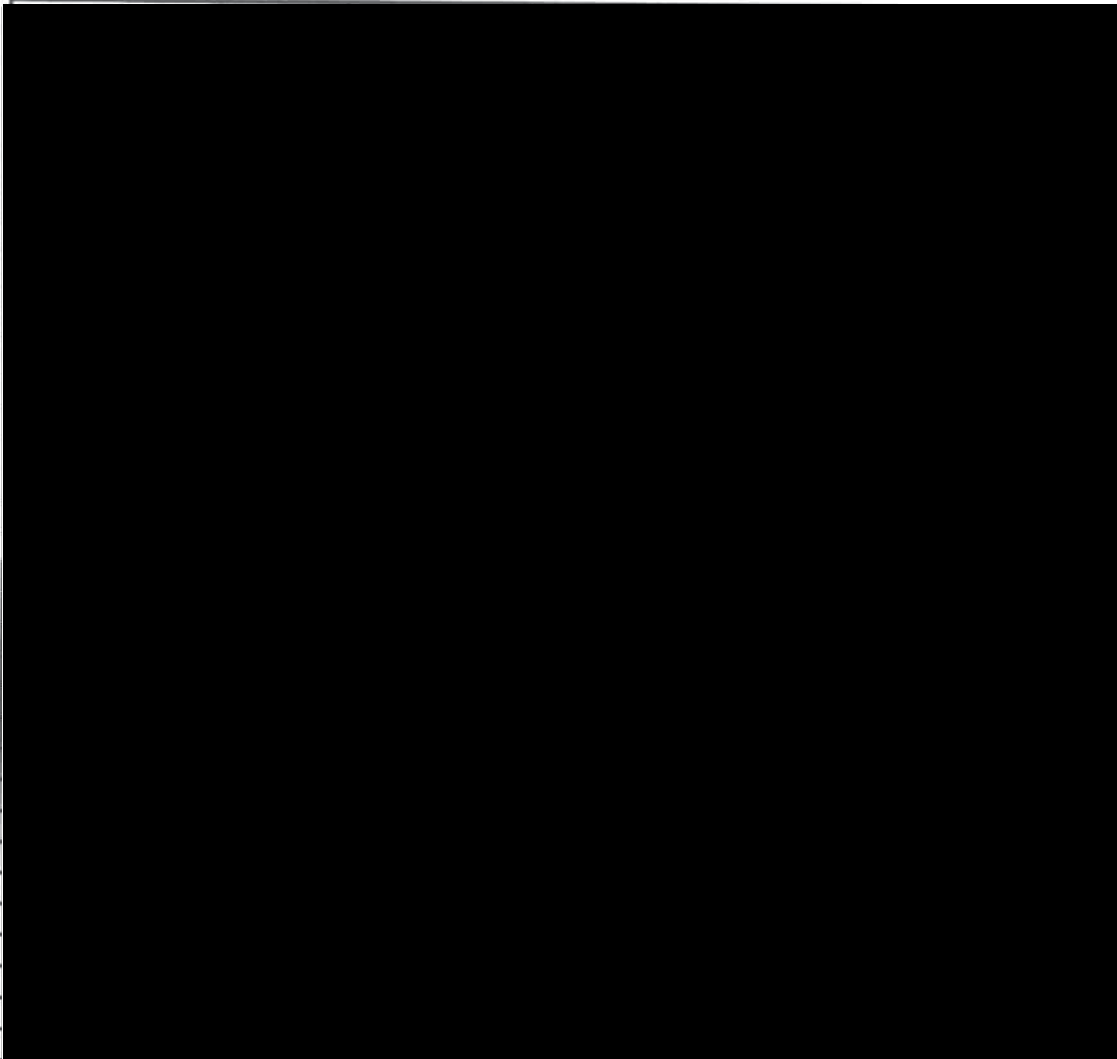
8/25/2022
Date

SWING
Shift

Casino Miami

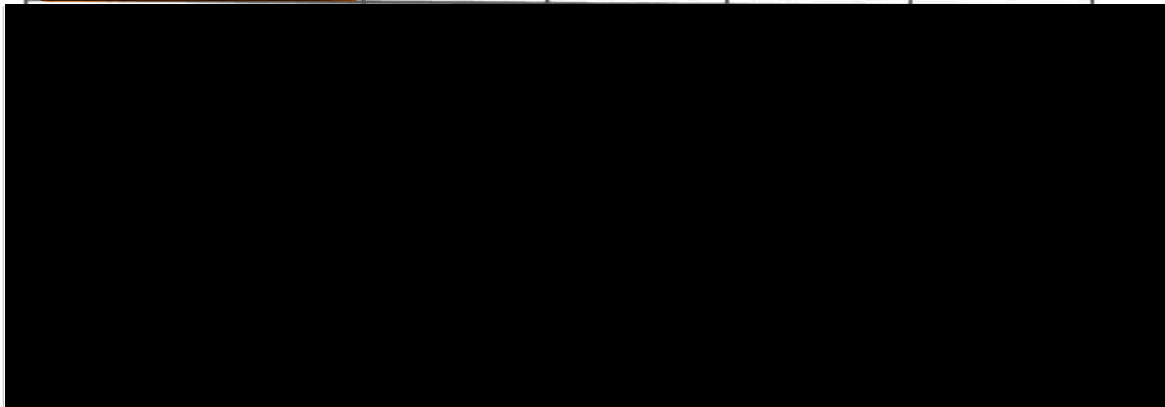
Cage Accountability

Day	Swing	Graveyard
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NO slip

Cage Shortages (Schedule D)	\$ -	\$ -	\$ -	\$ -
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KR1191624

Main Bank Cashier

12564395

Shift Supervisor

8/25/2022

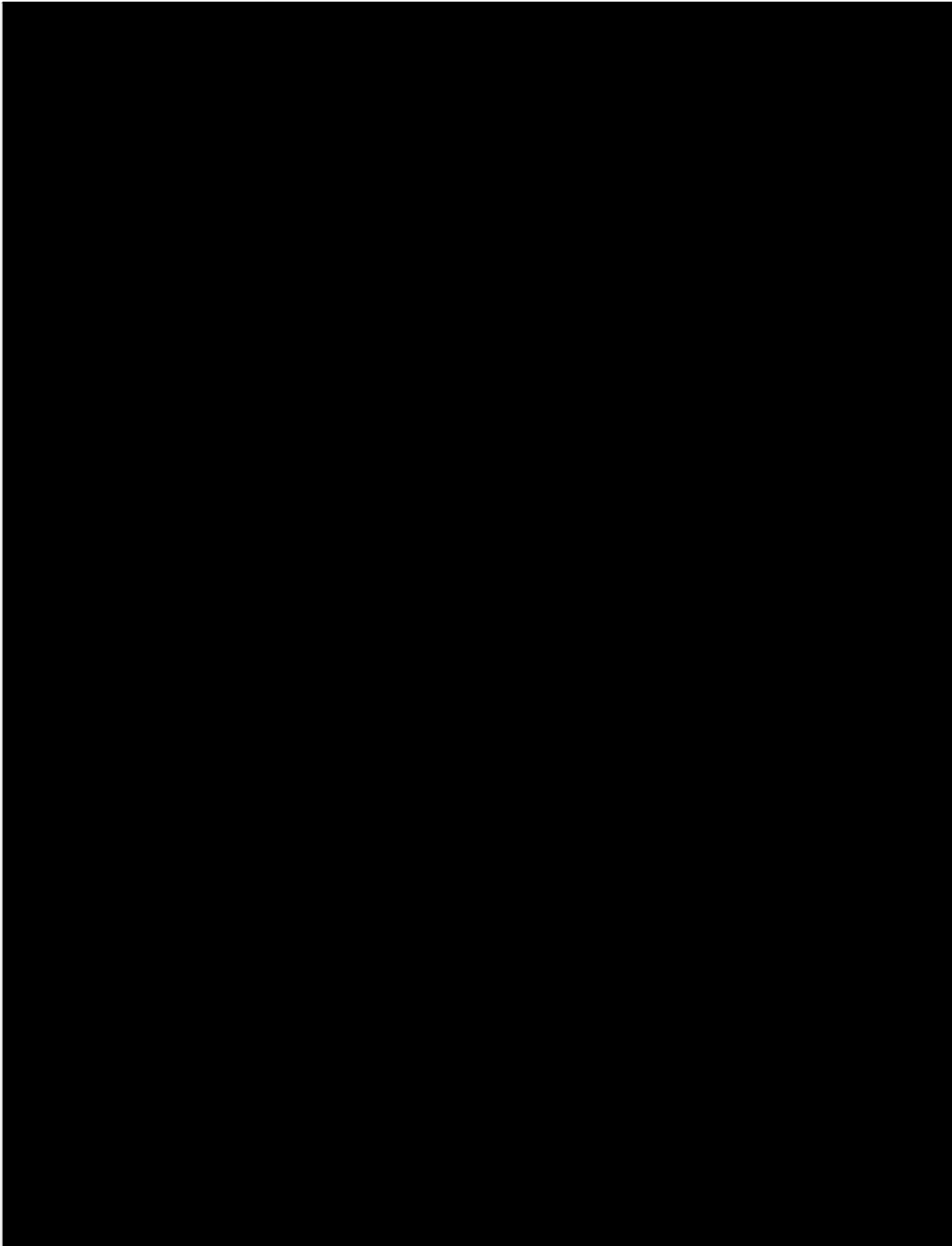
Date

GRAVE

Shift

Casino Miami

Cage Accountability				
	Day	Swing	Graveyard	



[Signature]
Main Bank Cashier

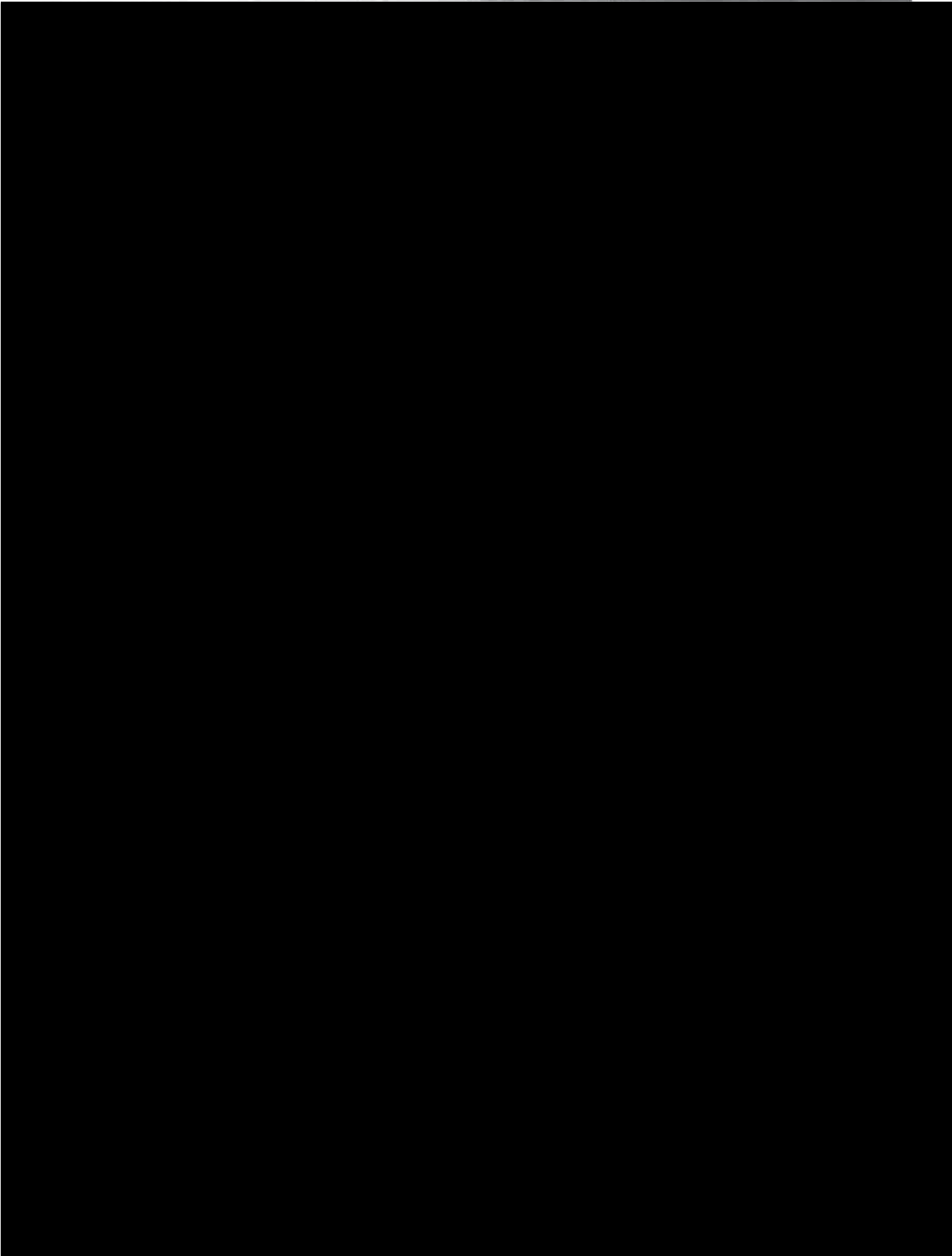
[Signature]
Shift supervisor

8/27/2022
Date

DAY
Shift

Casino Miami

Cage Accountability			
Day	Swing	Graveyard	

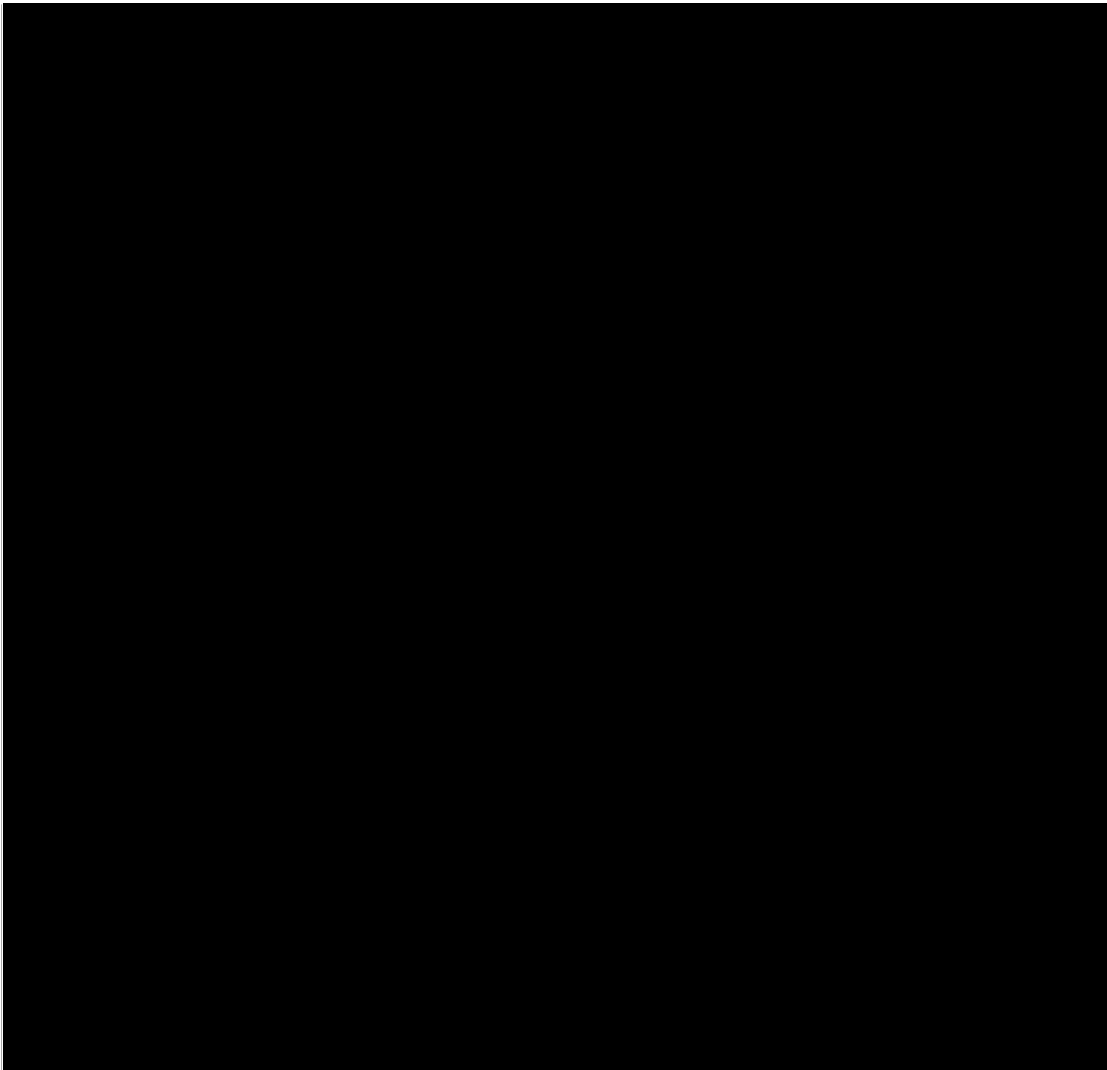


John / 5053340
Main Bank Cashier
FL (1) 12578600
Shift Supervisor

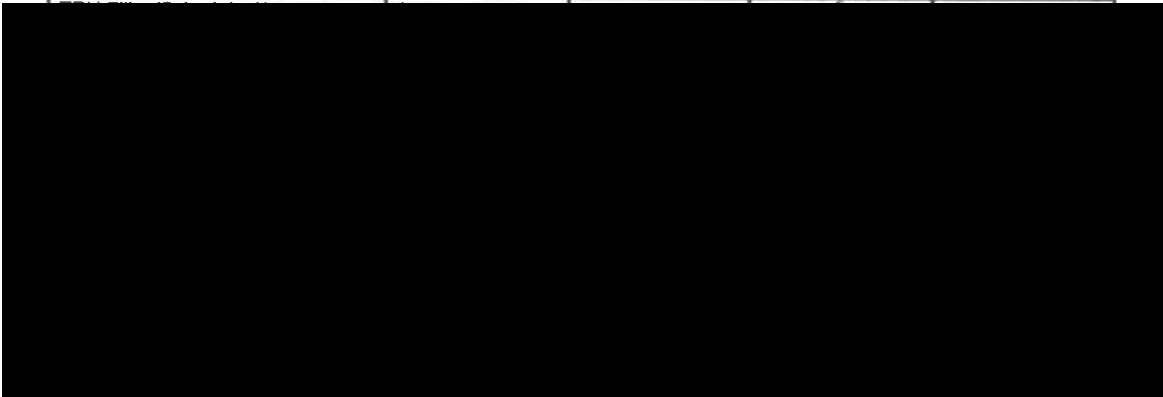
8/27/2022
Date
Swing
Shift

Casino Miami

Cage Accountability				
	Day	Swing	Graveyard	



Cage Shortages (Schedule D)	\$ -	\$ 13.98	\$ 13.38	\$ 27.36
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KR1151642

Main Bank Cashier

[Signature]
Shift Supervisor

8/27/2022

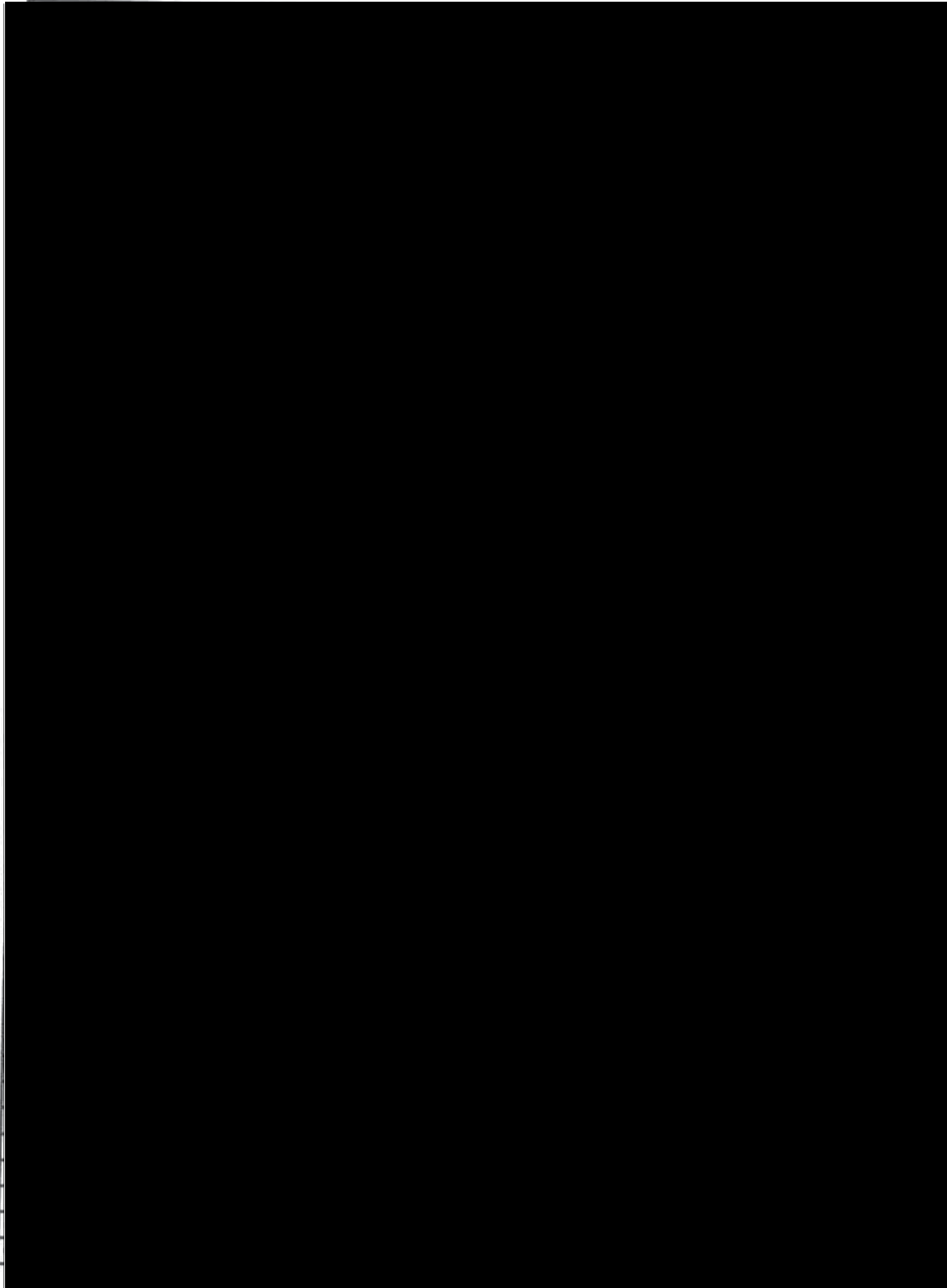
Date

GRAVE

Shift

Casino Miami

Cage Accountability			
Day	Swing	Graveyard	



Main Bank Cashier

Shift Supervisor

[Handwritten signature]
Revised 9/1/2022

9/1/2022

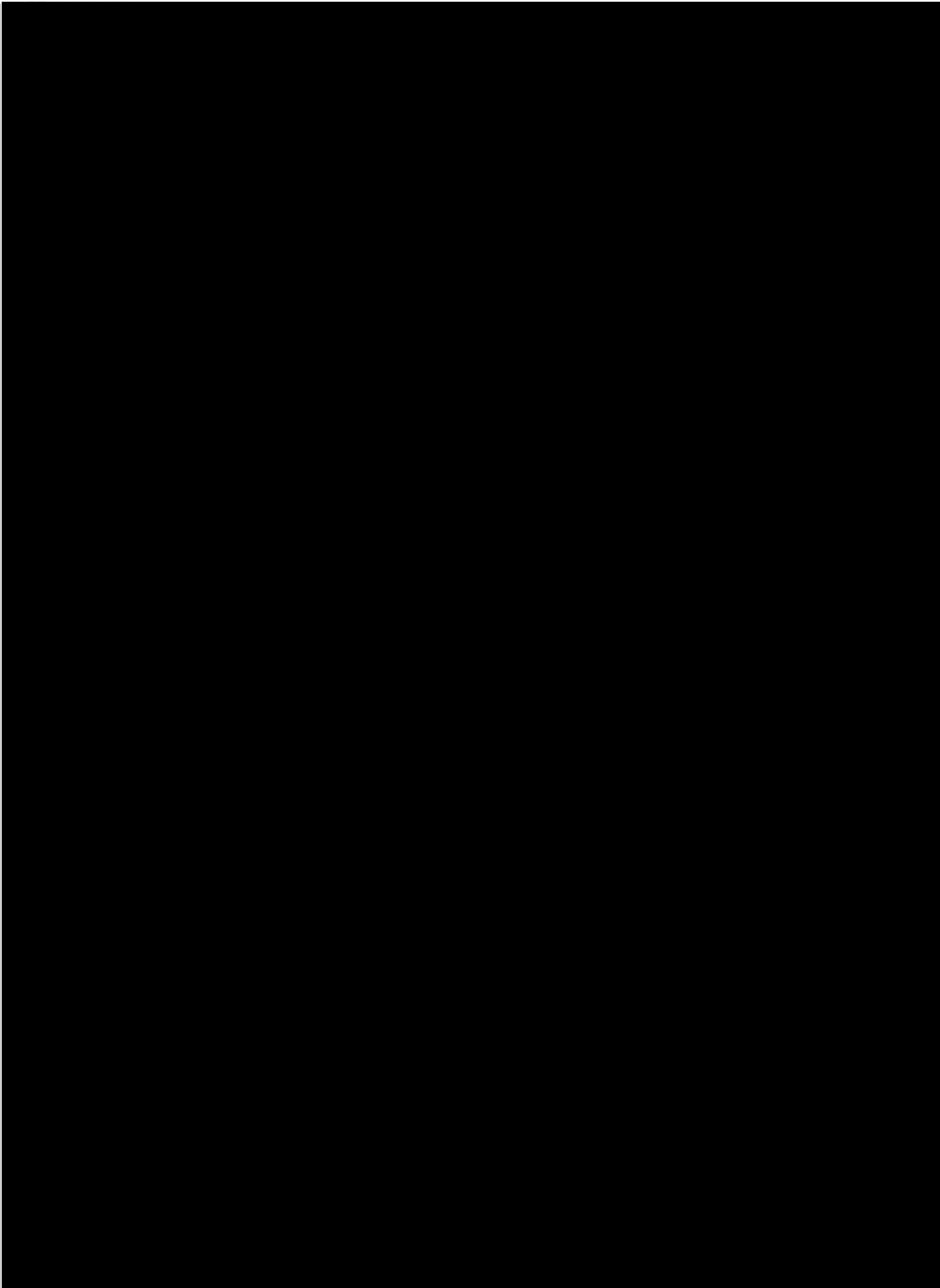
Date

Day

Shift

Casino Miami

Cage Accountability			
Day	Swing	Graveyard	



Mamba Martin 2010406
Main Bank Cashier

Arthur Martin
Shift Supervisor

9/1/2022
Date

SWING
Shift

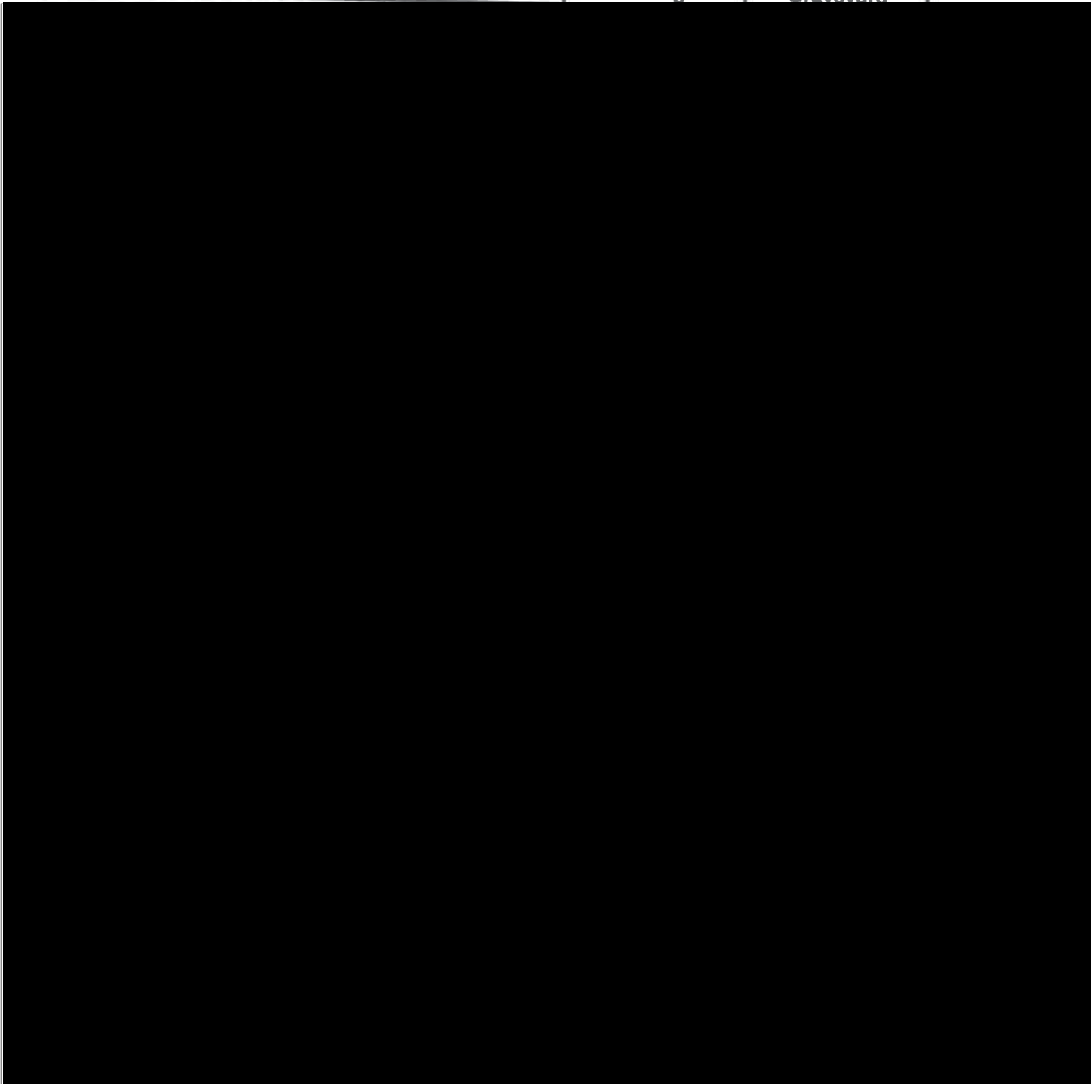
Casino Miami

Cage Accountability

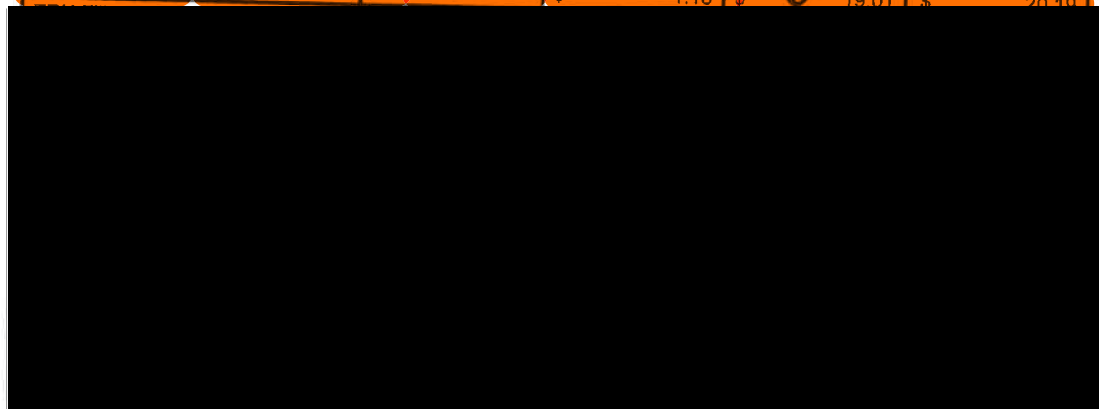
Day

Swing

Graveyard



Cage Shortages (Schedule D)	\$	-	\$	1.18	\$	19.01	\$	20.19
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1221916424

Main Bank Cashier

lyla 10567355

Shift Supervisor

9/1/2022

Date

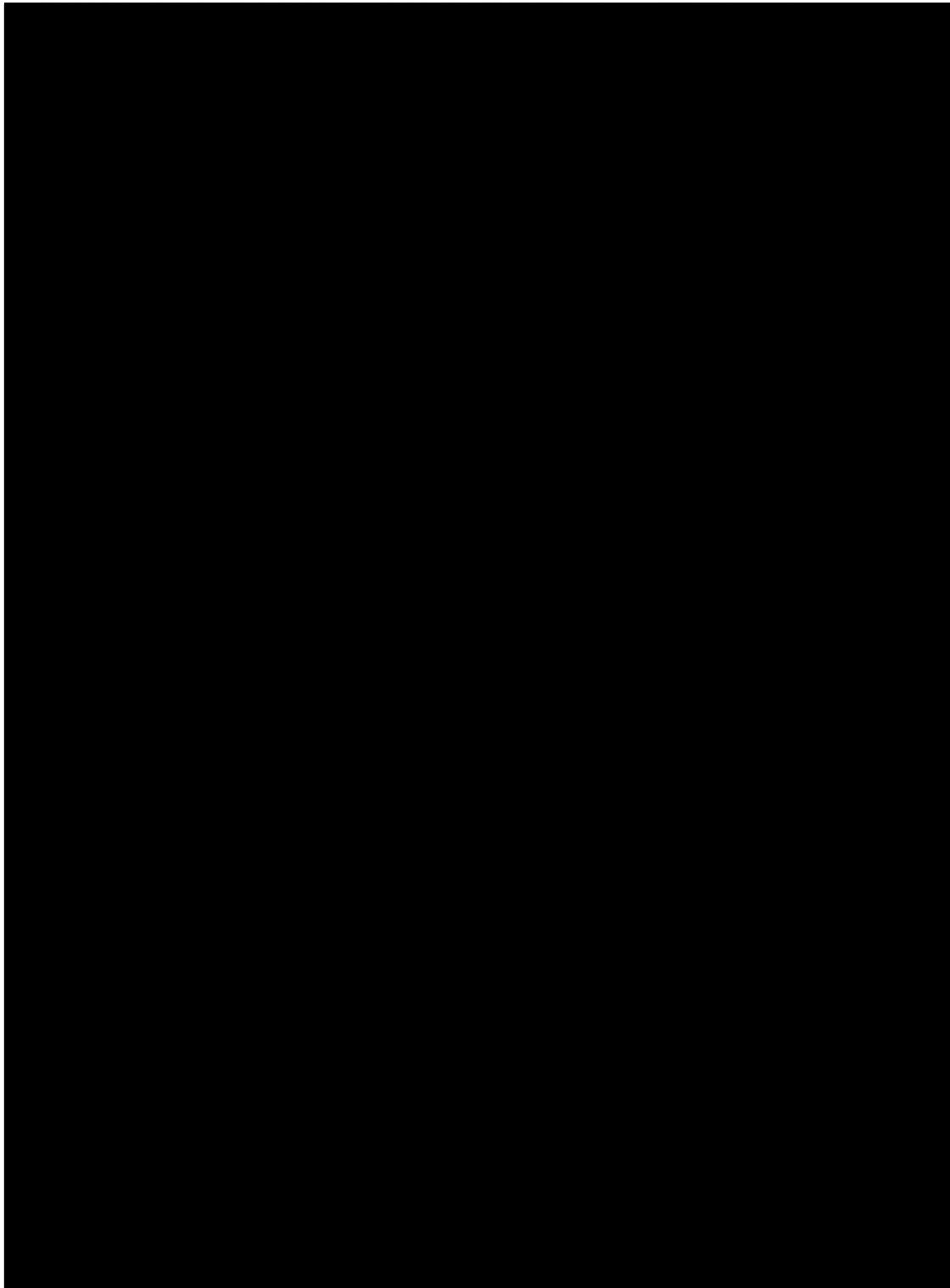
GRAVE

Shift

Casino Miami

Cage Accountability

	Day	Swing	Graveyard	
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Main Bank Cashier

Sandra [Signature]
Shift Supervisor

Reusa 9/1/22

9/1/2022

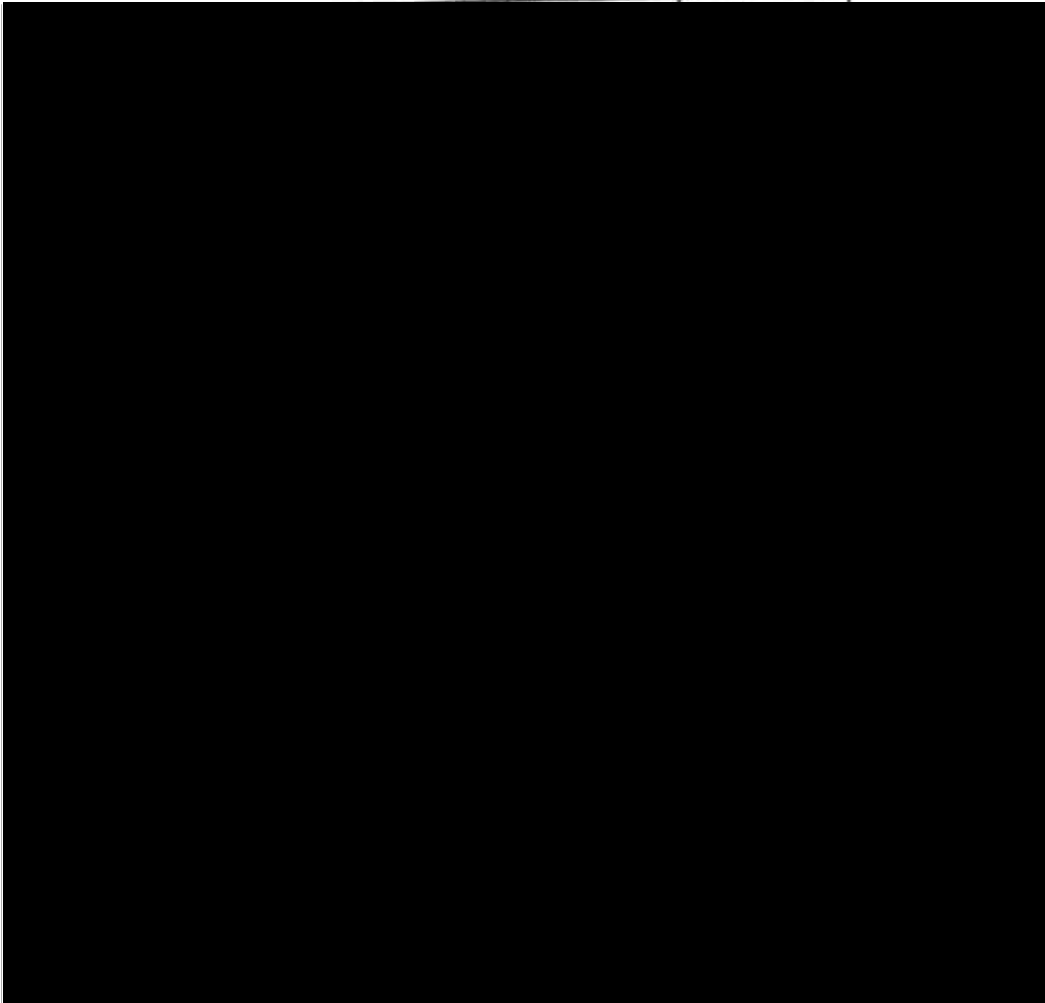
Date

GRAVE

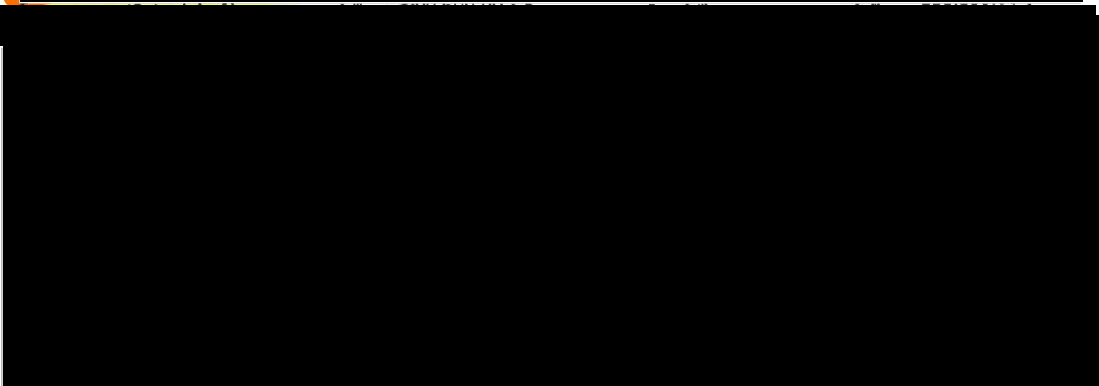
Shift

Casino Miami

Cage Accountability			
Day	Swing	Graveyard	



Cage Shortages (Schedule D)	\$ 80.00	\$ -	\$ -	\$ 80.00
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1211502055
Main Bank Cashier
[Signature] 10157909
Shift Supervisor

9/2/2022
Date
DAY
Shift

Daniella Sandoval

From: Daniella Sandoval
Sent: Thursday, September 1, 2022 4:26 PM
To: Beatriz Perez
Cc: Daniel Licciardi; Cesar Torres
Subject: Kevin Ramos Review

Good Afternoon Mrs. Perez,

Cage Management would like to request a review for Cage Cashier Kevin Ramos for August 27, 2022. What needs to be reviewed is Cassette #605 that has a shortage of \$100 after he filled the Cassette. Mr. Ramos was assigned to the Main Bank from 2am to 10am. The approximate time of the drop of this particular cassette was after 4:30am. Please note although I indicated August 27, 2022, the actual review should be August 28th, after 4:30 AM. Please let me know if you have any questions or need additional information.

Thank you,

**CASHIERS
WEEK ENDING**

	Name	14-Aug Sunday	15-Aug Monday	16-Aug Tuesday	17-Aug Wednesday	18-Aug Thursday	19-Aug Friday	20-Aug Saturday	Total Hrs
1		x	x	10am-6pm	10am-6pm	10am-6pm	10am-6pm*	10am-6pm	40
2		6pm-2am	6pm-2am*	6pm-2am*	6pm-2am	6pm-2am	x	x	40
3		x	x	10am-6pm	10am-6pm*	10am-6pm	10am-6pm	10am-6pm	40
4		MD	MD	x	MD	MD	MD	x	0
5		SUP	SUP	SUP	x	x	SUP	SUP	0
6		PTO	PTO	x	x	10am-6pm	10am-6pm	10am-6pm*	24
7	Kevin Ramos	2am-10am	2am-10am*	x	x	2am-10am	2am-10am	2am-10am	40
8		x	6pm-2am	6pm-2am	SUP	6pm-2am	x	SUP	40
9		6pm-2am	6pm-2am	6pm-2am	6pm-2am*	x	6pm-2am*	6pm-2am	48
10		2am-10am	2am-10am	2am-10am	x	x	2am-10am	2am-10am*	40
11		10am-6pm	10am-6pm	x	x	10am-6pm*	6pm-2am	6pm-2am*	40
12		x	x	2am-10am*	2am-10am	2am-10am*	2am-10am*	2am-10am	40
13		10am-6pm	10am-6pm	10am-6pm*	10am-6pm	x	10am-6pm	10am-6pm	48
14		6pm-2am*	x	x	6pm-2am	6pm-2am*	6pm-2am	6pm-2am	40
15		6pm-2am	x	x	x	x	x	x	0
16		6pm-2am	6pm-2am	6pm-2am	6pm-2am	6pm-2am	x	x	40
17				x	x				40

SCHEDULES ARE SUBJECT TO CHANGE AND MUST BE REVIEWED DAILY!

Casino
MIAMI

Completed 8/21/2022
782

Gaming Date 8/14/2022

Daily Floor Plan-Sunday

Gaming Day Sunday

Day			Swing			Grave		
Location	Time	Name	Location	Time	Name	Location	Time	Name
FW 1			FW 1			FW 1		
FW 2	10am-6pm	SUP	FW 2			FW 2		
FW 3			FW 3	6pm-2am	Kenya	FW 3		
						PRK 1		
PKR 1	10am-6pm	Yusely	PKR 1			PKR 2	2am-10am	Ricandra
PKR 2			PKR 2	6pm-2am	Damian/ ^{OUT} Dynellia			
Main Bank 10am-6pm		Hugo/ Lazara	Main Bank 6pm-2am		Marsha/Christopher	Main Bank 2am-10am		Kevin
8am-6pm			PCB/Helper 6pm-2am			Poker/Poker Chip Bank 2am-10am		Ricandra

EXHIBIT # 15
PAGE # 2

Gaming Date: 8/18/2022

Daily Floor Plan-Thursday

Gaming Day THURSDAY

EXHIBIT # 15
PAGE # 3

Day			Swing			Grave		
Location	Time	Name	Location	Time	Name	Location	Time	Name
FW 1			FW 1			FW 1		
FW 2	10am-6pm	Yusely ✓	FW 2			FW 2	6pm-2am	Tameika ✓
FW 3			FW 3	6pm-2am	Kenya ✓	FW 3		
						PRK 1		
PKR 1	10am-6pm	Katherine ✓	PKR 1			PKR 2		
PKR 2			PKR 2	6pm-2am	Marsha ✓			
						PKR 1		
						PKR 2		
Main Bank 10am-6pm		Lineth ✓	Main Bank 6pm-2am		Ailea/Christopher ✓	Main/PMB 6pm-2am		Kevin ✓
Poker Bank 10am-6pm		Maria ✓	Poker Main/Helper 6pm-2am		Ailea	Main/PMB		

Gaming Date 8/19/2022

Daily Floor Plan-Friday

Gaming Day FRIDAY

EXHIBIT # 15
PAGE # 4

Day			Swing			Grave		
Location	Time	Name	Location	Time	Name	Location	Time	Name
FW 1			FW 1			FW 1		
FW 2	10am-6pm	Maria ✓	FW 2			FW 2		Tameika ✓
FW 3			FW 3	6pm-2am	Damian ✓	FW 3		
PKR 1	10am-6pm	Lineth ✓	PKR 1					
PKR 2			PKR 2	6pm-2am	Yusely ✓	PW1		Ricandra ✓
	10am-6pm							
Main Bank 10am-6pm		Kathereen ✓	Main Bank 6pm-2am		Kenya ✓	Main/PMB 2am-10am		Kevin ✓
PMB/ MB HELPER 10am-6pm		Hugo ✓	PMB 6pm-2am			PCB 2am-10am		Ricandra ✓

**CASHIERS
WEEK ENDING**

	Name	21-Aug Sunday	22-Aug Monday	23-Aug Tuesday	24-Aug Wednesday	25-Aug Thursday	26-Aug Friday	27-Aug Saturday	Total Hrs
1	[REDACTED]	x	x	10am-6pm	10am-6pm	10am-6pm	10am-6pm*	10am-6pm*	40
2		6pm-2am	6pm-2am*	6pm-2am	6pm-2am	6pm-2am	x	x	40
3		x	x	10am-6pm	10am-6pm	10am-6pm*	10am-6pm	10am-6pm	40
4		MD	MD	x	MD	MD	MD	x	0
5		SUP	SUP	x	x	10am-6pm	SUP	SUP	8
6		10am-6pm*	10am-6pm*	x	x	10am-6pm	10am-6pm	10am-6pm	40
7	Kevin Ramos	2am-10am	2am-10am*	x	x	2am-10am*	2am-10am	2am-10am	40
8	[REDACTED]	SUP	x	SUP*	SUP	SUP	x	SUP	40
9		6pm-2am	6pm-2am	6pm-2am	6pm-2am*	x	6pm-2am*	6pm-2am	48
10		2am-10am	2am-10am	2am-10am	x	x	2am-10am	2am-10am*	40
11		10am-6pm	10am-6pm	x	10am-6pm*	6pm-2am*	6pm-2am	x	40
12		x	x	2am-10am*	2am-10am	2am-10am	2am-10am*	2am-10am	40
13		10am-6pm	10am-6pm	10am-6pm*	10am-6pm	x	10am-6pm	10am-6pm	48
14		OUT	x	x	6pm-2am	6pm-2am	6pm-2am	6pm-2am	40
15		6pm-2am	6pm-2am	6pm-2am	x	x	6pm-2am	6pm-2am*	40
16							x	x	40
17				x	x				40

SCHEDULES ARE SUBJECT TO CHANGE AND MUST BE REVIEWED DAILY!

Casino
MIAMI

Payroll Completed
Dawoud
10/10/2019
8/28/12
1:10pm

Gaming Date 8/21/2022

Daily Floor Plan-Sunday

Gaming Day Sunday

Day			Swing			Grave		
Location	Time	Name	Location	Time	Name	Location	Time	Name
FW 1			FW 1			FW 1		
FW 2	10am-6pm	Lineth ✓	FW 2		Marsha	FW 2		
FW 3			FW 3	6pm-2am	Kenya	FW 3		
						PRK 1		
PKR 1	10am-6pm	Yusely ✓	PKR 1			PKR 2	2am-10am	Ricandra ✓
PKR 2			PKR 2	6pm-2am	Damian			
Main Bank 10am-6pm		Hugo ✓	Main Bank 6pm-2am		Christopher	Main Bank 2am-10am		Kevin
8am-6pm			PCB/Helper 6pm-2am		Marsha	Poker/Poker Chip Bank 2am-10am		Ricandra ✓

EXHIBIT # 15
PAGE # 6

Ailea Game SUP.

Gaming Date

8/26/2022

Daily Floor Plan-Friday

Gaming Day

FRIDAY

Day			Swing			Grave		
Location	Time	Name	Location	Time	Name	Location	Time	Name
FW 1			FW 1			FW 1		
FW 2	10am-6pm	Maria ✓	FW 2			FW 2		Tameika ✓
FW 3			FW 3	6pm-2am	Damian ✓	FW 3		
PKR 1	10am-6pm	Hugo ✓	PKR 1					
PKR 2			PKR 2	6pm-2am	Yusely ✓	PW1		Ricandra ✓
	10am-6pm							
Main Bank 10am-6pm		Katherineen ✓	Main Bank 6pm-2am		Chrystopher ✓	Main/PMB 2am-10am		Kevin ✓
PMB/ MB HELPER 10am-6pm		Lineth ✓	PMB 6pm-2am		Kenya ✓	PCB 2am-10am		Ricandra

EXHIBIT # 15
PAGE # 7

**CASHIERS
WEEK ENDING**

	Name	28-Aug Sunday	29-Aug Monday	30-Aug Tuesday	31-Aug Wednesday	1-Sep Thursday	2-Sep Friday	3-Sep Saturday	Total Hrs
1		x	x	10am-6pm	10am-6pm*	10am-6pm	10am-6pm	10am-6pm	40
2		5pm-2am*	6pm-2am	6pm-2am	6pm-2am	6pm-2am	x	x	40
3		x	x	10am-6pm	10am-6pm	10am-6pm*	10am-6pm	10am-6pm	40
4		SUP	SUP*	x	x	10am-6pm	SUP	SUP	8
5		10am-6pm	10am-6pm	x	x	10am-6pm	10am-6pm*	10am-6pm	40
6	Kevin Ramos	2am-10am	2am-12pm	x	x	2am-10am*	2am-10am	2am-10am	40
7		SUP	x	SUP	SUP	SUP	x	SUP	0
8		6pm-2am	6pm-2am	6pm-2am	6pm-2am*	x	6pm-2am	6pm-2am	48
9		2am-10am	2am-10am	2am-10am	x	x	2am-10am	2am-10am*	40
10		x	10am-6pm	10am-6pm	x	6pm-2am*	6pm-2am	6pm-2am	40
11		x	x	2am-10am*	2am-10am	2am-10am	2am-10am*	2am-10am	40
12		10am-6pm	9am-6pm	10am-6pm*	10am-6pm	x	10am-6pm	10am-6pm*	49
13		6pm-2am	x	x	6pm-2am	6pm-2am	6pm-2am	6pm-2am*	40
14		5pm-2am*	6pm-2am*	6pm-2am*	x	x	6pm-2am*	6pm-2am	40
15		x	x	x	x	4:30 pm-12am	NC/NS	NC/NS	24
16		x	x	x	x	4:30am-12am	10am-6pm	10am-6pm	24
17				x	x				0

SCHEDULES ARE SUBJECT TO CHANGE AND MUST BE REVIEWED DAILY!

Casino
MIAMI

Signature
10/15/2021
9/15/2022

Gaming Date 8/28/2022

Daily Floor Plan-Sunday

Gaming Day Sunday

Day			Swing			Grave		
Location	Time	Name	Location	Time	Name	Location	Time	Name
FW 1			FW 1			FW 1		
FW 2	10am-6pm	SUP	FW 2			FW 2		
FW 3			FW 3	6pm-2am	Marsha/Chrystopher	FW 3		
						PRK 1		
PKR 1	10am-6pm	Lineth	PKR 1			PKR 2	2am-10am	Ricandra ✓
PKR 2			PKR 2	6pm-2am	Kenya			
Main Bank 10am-6pm		Hugo ✓	Main Bank 6pm-2am		Damian ✓	Main Bank 2am-10am		Kevin
8am-6pm			PCB/Helper 6pm-2am			Poker/Poker Chip Bank 2am-10am		Ricandra ✓

Daily Floor Plan-Friday

Gaming Date

9/2/2022

Gaming Day

FRIDAY

Day			Swing			Grave		
Location	Time	Name	Location	Time	Name	Location	Time	Name
FW 1			FW 1			FW 1		
FW 2	10am-6pm	Lineth ✓	FW 2			FW 2		Tameika ✓
FW 3			FW 3	6pm-2am	Christopher	FW 3		
PKR 1	10am-6pm	Hugo ✓	PKR 1					
PKR 2			PKR 2	6pm-2am	Kenya	PW1		Ricandra ✓
	10am-6pm							
Main Bank 10am-6pm		Kathereen/Lidia ✓	Main Bank 6pm-2am		Damian Yusely/Mercedes (CT)	Main/PMB 2am-10am		Kevin
PMB/ MB HELPER 10am-6pm		Maria	PMB 6pm-2am		Yusely Damian	PCB 2am-10am		Ricandra ✓

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
---------	-------	--------	-------------	---------	------	------	------------	-------------	--------

- [Complaint Search](#)
- [Change Recording License Type](#)
- [Delete Complaint](#)
- [Mass Activity Update](#)
- [Mass Discipline Update](#)
- [Mass Status Update](#)
- [Public Case Info](#)

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **Imuniz**

[VR Home](#) > [Complaint Search](#) > [Maintain Complaint](#)

Lic Type	1055 - Slot Machine/Cardroom/Pari-Mutuel Individual Combination	Status	20 Under Investigation	Status Date	10/03/2022
Complaint #	2022045861	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#	Respondent	RAMOS, KEVIN JERMAIN	Responsible	rsuau - SUAU, RAUL	Private Case

Complaint	Respondent	Complainant	Add'l Info
-----------	------------	-------------	------------

Source INTN - Internal	Security Level 1	<input type="checkbox"/>	Parties	<input checked="" type="checkbox"/>	Activities
Form INSP - Inspection	Priority	<input type="checkbox"/>	Allegations	<input type="checkbox"/>	Discipline
Class'n 303 - Rules	Complexity R - Regular	<input checked="" type="checkbox"/>	Violations	<input type="checkbox"/>	Compliance
Security STND - Standard	Incident 09/02/2022	<input type="checkbox"/>	Related	<input checked="" type="checkbox"/>	Disposition
Region SR - Southern Region	Received 09/19/2022	<input type="checkbox"/>	Inspection		
Reference 551.109		<input type="checkbox"/>	Costs		
Entered 09/20/2022	Entered By tsantos	<input type="checkbox"/>	Time Tracking		Auto Assign
Summary	273-Casino Miami, LLC ----- / ----- Prohibited Acts; penalties: An employee of Casino Miami, LLC was arrested for the theft of the facility's slot machine proceeds. ----- / -- ----- . . 09/26/2022 - Assigned to Investigations. ----- / ----- . 9/20/2022-An employee of Casino Miami, LLC was arrested for the theft of the facility's slot machine proceeds.	<input type="checkbox"/>	Attachments		History
Updated 10/03/2022 10:48:46	By Imuniz	<input type="checkbox"/>	Work Notes		Print Report

Change	Save	OK	Cancel	Back
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FLORIDA GAMING CONTROL COMMISSION

JOHN MACIVER, CHAIRMAN
MICHAEL YAWORSKY, VICE CHAIR
JULIE I. BROWN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER

September 26, 2022

SENT VIA ELECTRONIC MAIL

Beatriz Perez
Director of Human Resources & State Compliance
Casino Miami
3500 NW 37th Ave
Miami FL, 33142

Re: Possible Violation No. 2022045861

Dear Ms. Perez:

Attached please find a FGCC Slot Operations Incident Report. As stipulated in Fla. Admin. Code R. 61D-14.087, 2, (a), a written response from Casino Miami, LLC is required. Please note that a written reply shall be provided by the slot machine licensee or occupational licensee within "five (5) business days from the date of receipt of the date of a written request from the division."

If you have any questions, please do not hesitate to contact the Regional Office at the number listed below.

Respectfully,

Tatiana Santos
Slot Operations Analyst II
FGCC, Division of Pari-Mutuel Wagering
954-202-6782



FLORIDA

GAMING CONTROL
COMMISSION

JOHN MACIVER, CHAIRMAN
MICHAEL YAWORSKY, VICE CHAIR
JULIE I. BROWN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER

INCIDENT REPORT

Type of Report: Violation <input checked="" type="checkbox"/> Complaint <input type="checkbox"/>	Facility: Casino Miami, LLC	Date: 09/02/2022 Time: 0932	Case Number: 2022045861
Respondent / Accused: Name: Kevin Jermain Ramos Address: 3500 NW 37 th Avenue City/State/Zip: Miami, FL 33142 1 st Phone: [REDACTED] 2 nd Phone: [REDACTED] Profession: Cage Cashier License Type: 1055 License # 11916424		Complainant / Victim: FGCC Name: FGCC Address: 1400 West Commercial Boulevard Suite 165 City/State/Zip: Fort Lauderdale, FL 33309 1 st Phone: 954-202-6845 2 nd Phone: Profession: License #:	
Witness #1 Name / Lic. #	Address:	Contact Information:	Board: 101
Witness #2 Name / Lic. #	Address:	Contact Information:	
Specific Rule Violation: 551.109(5) Prohibited acts; penalties			
Summary: An employee of Casino Miami, LLC was arrested for the theft of the facility's slot machine proceeds.			
Interviews: On the above date and time I, Pari-Mutual Operations Specialist Jackelin Rodriguez, while present in the Security Operations Center (SOC) observed Casino Miami, LLC's Cage Cashier Kevin Jermain RAMOS , License #11916424, being escorted to the Director of Security's office inside of SOC by the Director of Security Eugene TELLEZ , License #396678, and City of Miami Police Officer Chijokee ANYANWU to discuss a shortage in the Main bank. I later returned to the State Office and observed from Indigo Vision video security system on camera #732 from 0932 hours to 1310 hours RAMOS being questioned by TELLEZ . At 1310 RAMOS was handcuffed and escorted out by City of Miami Police Officers via employee entrance and exit door.			
Slot Specialist / Date Jackelin Rodriguez <i>Jackelin Rodriguez 9/20/22</i>	Slot Supervisor / Date Kereene Lewis <i>Kereene Lewis 9-20-2022</i>		
Slot Manager / Date Dennis Chen <i>Dennis Chen 09-16-22</i>	Chief of Slots / Date Bill Crafts <i>Bill Crafts 9-26-2022</i>		
Distribution: No Action <input type="checkbox"/> Investigation <input type="checkbox"/> Consent Order <input type="checkbox"/> Respondent <input checked="" type="checkbox"/>			

CONTINUATION

Interviews (Continued): Upon further review surveillance footage from camera #324 shows **RAMOS** in the Main Bank taking money from Ticket Redemption Units (TRU) cassettes that had already been refilled prior and placing it into a designated area in the Main Bank Drawer from 0232 hours to 0619 hours. **RAMOS** repeated this on other TRU cassettes. **RAMOS** removed seals from some TRUs and resealed them after taking bills. **RAMOS** had a total of \$600.00 set aside that was placed under a yellow slip in the designated area in the Main Bank Drawer. Cameras #325 and #411 at 0621 shows **RAMOS** taking the money with his left hand, and clenching it in his fist. Shortly after he was observed leaving the Main Bank and Casino Cage with the money in his hand. At 0623 Camera #345 shows **RAMOS** exiting the casino via employee entrance and exit door. **RAMOS** was then observed driving off property and returning approximately at 0736.

On 09/01/2022, Surveillance received an email (copied on Surveillance report CMJ-000011160) from VP of HR and State Compliance **Beatriz PEREZ**, License #634266 approving a video review requested by Cage Manager **Daniella Carolina SANDOVAL**, License #10157909. On this email **SANDOVAL** requested a review on **RAMOS** for 08/28/2022 due to a shortage of \$100.00 on TRU cassette #605. Further review from cameras #324 and #325 from 0359 hours to 0958 hours, reveals **RAMOS** taking money from several TRU cassettes totaling to approximately \$945.00. **RAMOS** is seen crumbling the money into his left hand and walking out the Main Bank. From 0642 hours to 0752 hours camera #503 shows **RAMOS** in his car. At 0755 hours from camera #324 **RAMOS** is seen entering the Main Bank. From 0811 hours to 0958 hours cameras #324 and #325 shows **RAMOS** once again taking money from TRU cassettes totaling to \$220.00 and putting it into his vest pocket. At 1010 hours **RAMOS** is seen using the time clock and leaving property.

RAMOS was detained at Miami Dade County jail and charged with Grand Theft. Casino Miami, LLC is currently investigating **RAMOS** for additional theft of their slot machine proceeds.

Disposition: This report has been forwarded to Supervisor Kereene Lewis and Manager Dennis Chen for review.

Number of previous violations of this type:(0)

Attachments: (4)

- 1- Complaint / Arrest Affidavit
- 2- CMJ-000005095- Security Report
- 3- CMJ-000011160- Surveillance Report
- 4- CMJ-000011163- Surveillance Report

Video saved in FGCC shared folder: CASE 1160 09-01-2022 and CASE 1163 09-02-2022

CMJ-000005095 - Employee - Theft - Reported 09/05/2022 11:03

Author: Gene Tellez 396678

Report Number: CMJ-000005095

Start Time: 09/05/2022 11:03

End Time: 09/02/2022 11:44

Report Group: Security Reports

Report Type: Employee - Theft

Note: On 9-2-2022, Mr. Kevin Jermain Ramos, was arrested and permanently excluded for theft from Casino Main Bank.

Location / Origin: Casino Cage Main Bank

Person

Name: Kevin Jermain Ramos

Alias:

Race: African American

Gender: Male

Eye color: Brown

Hair color: Black

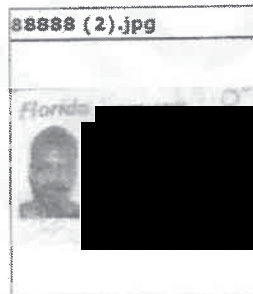
Height: 5'09" Inches

Weight: 160 Pounds

DOB: [REDACTED]

Keywords: Permanently Trespassed, Theft

Drivers Lic#: [REDACTED]

**Narrative**

On 9-2-2022, approximately 9:32 AM, this writer (S-1 G. Tellez) was directed to the main cage of Casino Miami to interview Mr. Kevin J. Ramos, with regards to a shortage in the Main Bank. Mr. Ramos was asked to accompany this writer and City of Miami Police Officer #1 (Chijioke Adyx Anyanwu), to the security office to discuss the shortage. I informed Mr. Ramos the reason for the interview was related to the shortages attributed to him that had occurred on 9-2-2022. Mr. Ramos, at first was reluctant to admit to any of the shortages, but once he was told that he was going to be arrested and that if he cooperated and reimbursed the casino, the casino was willing to ask the state attorney for leniency on his behavior. Mr. Ramos does not have a criminal record and is presently seriously ill.

Mr. Ramos, then provided a written statement of what he could remember relating to the shortages in question and apologized for his unacceptable behavior as a cashier in the main cage of Miami Casino. He mentioned approximately \$1,767.00. during the period of August 2022 and September 2022 Mr. Ramos voluntarily disclosed where \$600 of the missing funds were located (front seat of his car). The money was retrieved with his consent by MPD officer #1. The Casino is currently conducting an audit of Mr. Ramos transactions during his employment as a cashier at the casino to determine if any additional losses were incurred. Mr. Ramos was later transported to Miami Dade T.G. K. Correctional Center for further processing by On duty Miami Police Officers.

Search consent and Constitutional rights form available in evidence folder in the locked file in the security office.

Signature A

Date

Signature B

Date



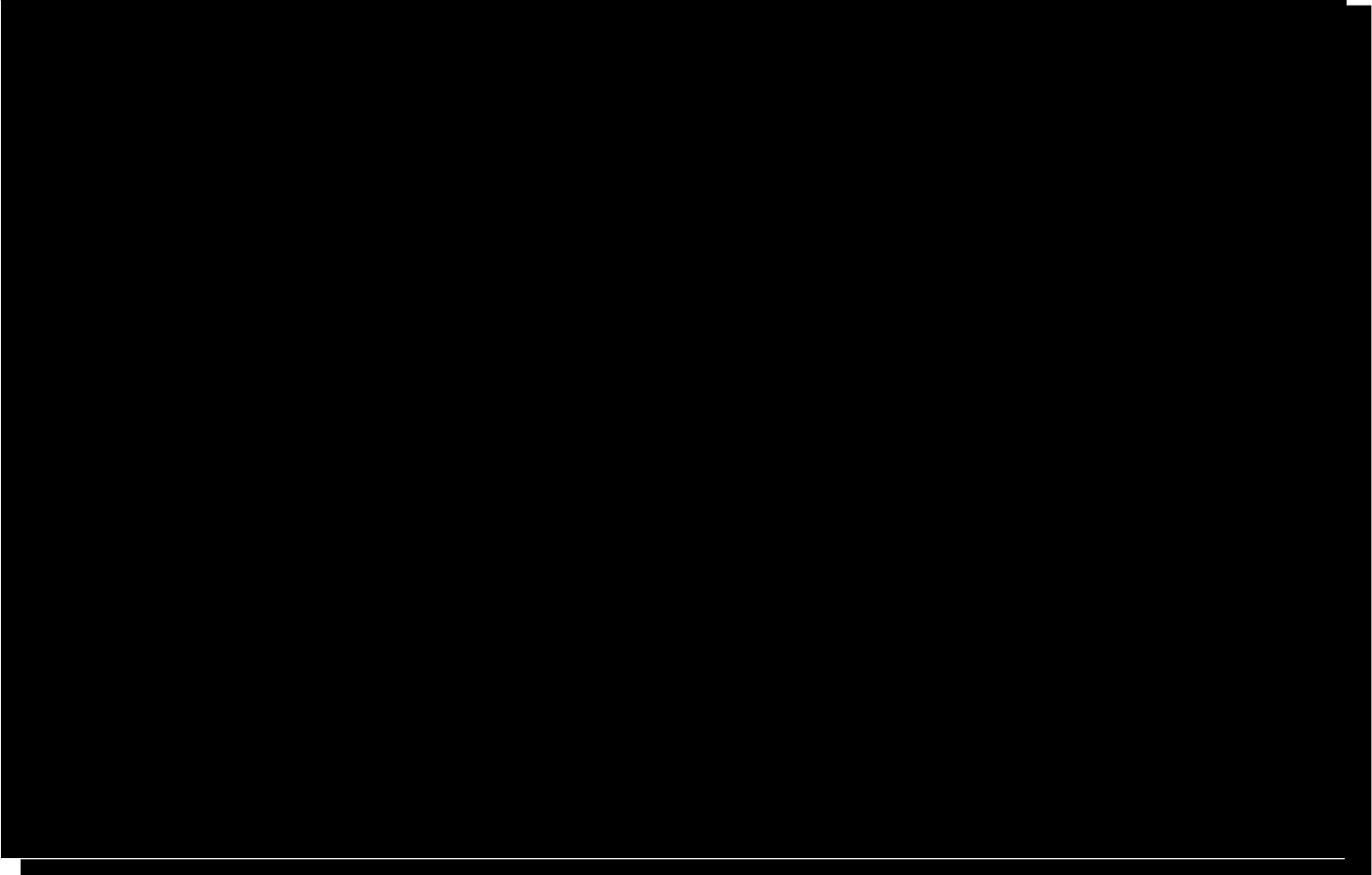
CMJ-000011160 - MGMT Request Casino Review or Coverage Report - Reported 09/01/2022 19:29

Author: Angelo Pardo 13169581
Start Time: 09/01/2022 19:00
Report Group: Surveillance Reports

Report Number: CMJ-000011160
End Time: 09/02/2022 21:24
Report Type: MGMT Request Casino Review or Coverage Report

Note: Cage Manager, Daniella Sandoval request video review in reference to Main Bank Cage Cashier Kevin Ramos-short \$100.00 TRU # 605 Cassette on 08-28-2022.

Location / Origin: Incident-Cashier Shortage Camera: 325,324,



Narrative

On 09/01/2022 at 16:00 hours, Surveillance received a email from VP of HR and State Compliance Betty Perez approving a video review requested by Cage Manager, Daneilla Sandavol. Ms.Sandavoi email reads as follows:

Good Afternoon Mrs. Perez,

Cage Management would like to request a review for Cage Cashier Kevin Ramos for August 27, 2022. What needs to be review is Cassette #605 that has a shortage of \$100 after he filled the Cassette. Mr. Ramos was assigned to the Main Bank from 2am to 10am. The approximate time of the drop of this particular cassette was after 4:30am. Please

note although I indicated August 27, 2022, the actual review should be August 28th, after 4:30 AM. Please let me know if you have any questions or need additional information.

This Report was written by Surveillance operator Angelo Pardo #13169581

A video review revealed the following Main Bank Activities on 08-28-2022:

01. At 03:59 Hours on camera # 324, Cage Cashier, Kevin Ramos took (1) \$100.00 Bill out of TRU # 606 cassette -refill was already made before he got there, he broke the tab - opened it and then resealed it with another tab. Mr. Ramos placed the \$100.00 Bill into a designated area in the Main Bank Drawer.
02. At 04:04 Hours on camera # 324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 613 cassette - refill was already made before he got there, he broke the tab - opened it and then resealed it with another tab. Mr. Ramos placed the \$100.00 Bill into a designated area in the Main Bank Drawer.
03. At 04:09 Hours on camera # 324, Mr. Ramos took (2) \$20.00 Bills out of TRU # 416 cassette --during a refill and placed it back into a designated area in the Main Bank Drawer.
04. At 04:13 Hours on camera # 324, Mr. Ramos took (2) \$20.00 Bills out of TRU # 407 cassette--during a refill and placed it back into a designated area in the Main Bank Drawer.
05. At 04:16 Hours on camera # 324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 603 cassette - refill was already made before he got there, he broke the tab - opened it and then resealed it with another tab
06. At 05:31 Hours on camera # 325, Mr. Ramos took (2) \$20.00 Bills from Main Bank Drawer and placed it back into a designated area in the Main bank Drawer.
07. At 06:07 Hours on camera #324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 605 cassette -verify amount after TRU Cassette return. Mr. Ramos placed the \$100.00 Bill into a designated area in the Main Bank Drawer.
08. At 06:12 Hours on camera #324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 616 cassette -verify amount after TRU Cassette return. Mr. Ramos placed the \$100.00 Bill into a designated area in the Main Bank Drawer.
09. At 06:14 Hours on camera # 325, Mr. Ramos took (1) \$20.00 Bill from Main Bank Drawer and placed it back into a designated area in the Main bank Drawer.
10. At 06:18 Hours on camera # 325, Mr. Ramos took (2) \$100.00 Bills out of TRU # Reject cassette --verify amount after TRU Cassette return. Mr. Ramos placed the \$100.00 Bills into a designated area in the Main Bank Drawer.
11. At 06:20 Hours on camera #325, Mr. Ramos took (3) \$20.00 Bills out of TRU # 415 cassette -verify amount after TRU Cassette return. Mr. Ramos placed the \$20.00 Bills into a designated area in the Main Bank Drawer.
12. At 06:23 Hours on camera # 325, Mr. Ramos took (1) \$20.00 Bill from Main Bank Drawer and placed it back into a designated area in the Main bank Drawer.
13. At 06:27 Hours on camera # 325, Mr. Ramos took (1) \$20.00 Bill from Main Bank Drawer and placed it back into a designated area in the Main bank Drawer.

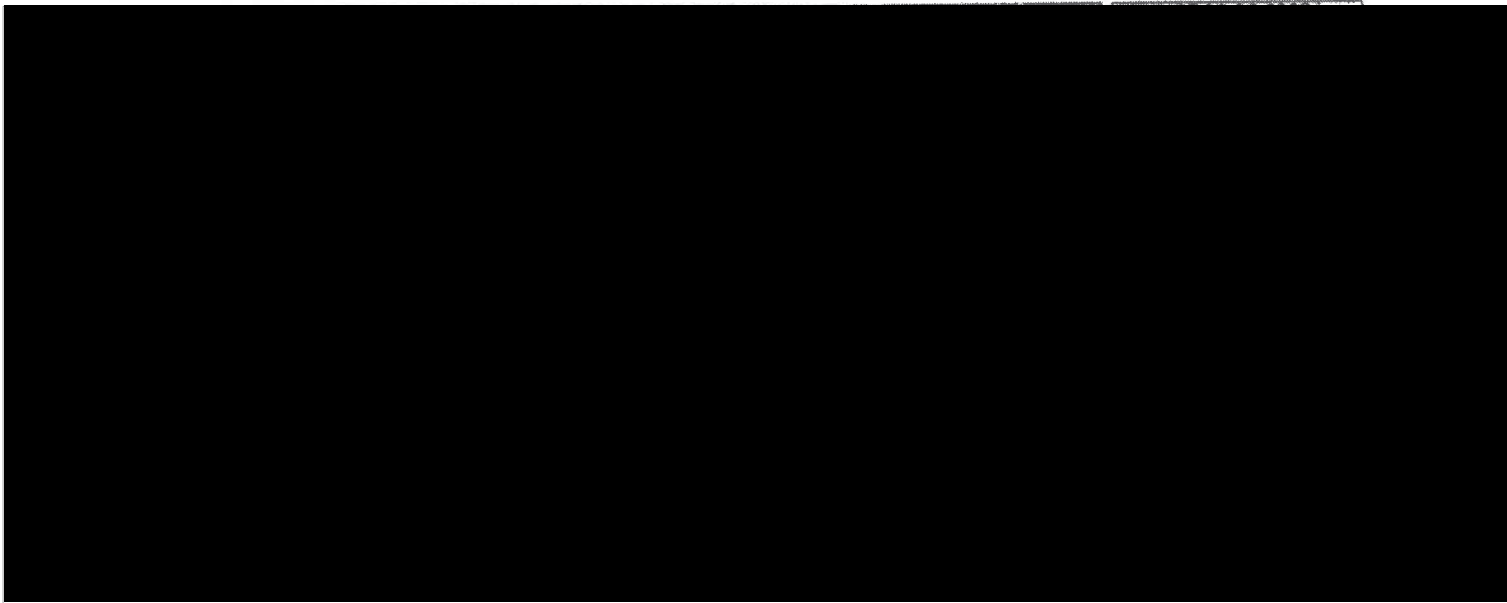


CMJ-000011163 - MGMT Request Casino Review or Coverage Report - Reported 09/02/2022 04:58

Author: William Hutcheson 7874265 Report Number: CMJ-000011163
Start Time: 09/02/2022 02:32 End Time: 09/02/2022 21:19
Report Group: Surveillance Reports Report Type: MGMT Request Casino Review or Coverage Report

Note: Vice President and General Manager, Daniel Licciardi request coverage of Main Bank Cage Cashier, Kevin Ramos on 09-02-2022.

Location / Origin: Incident-Employee Activities



Narrative

On 09-01-2022 at 22:00 Hours, VP and General Manager, Daniel Licciardi request coverage of Main Bank Cage Cashier, Kevin Ramos on 09-02-2022.

An observation of Cage Cashier, Kevin Ramos in the Main Bank revealed the following Activities on 09-02-2022 :

- 01. At 02:32 Hours on camera # 324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 605 cassette -refill was already made before he got there, he noticed that there was a bill hanging out the back and took it (did not break the tab) and then placed the \$100 Bill into a designated area in the Main Bank Drawer.
- 02. At 02:36 Hours on camera # 324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 608 cassette - refill was already made before he got there, he broke the tab - opened it and then resealed it with another tab. Mr. Ramos placed the \$100.00 Bill into a designated area in the Main Bank Drawer.
- 03. At 02:47 Hours on camera # 324, Mr. Ramos took (1) \$100.00 Bill out of TRU # 613 cassette - refill was already made before he got there, he broke the tab - opened it and then resealed it with another tab. Mr. Ramos placed the \$100.00 Bill into a designated area in the Main Bank Drawer.

04. At 04:25 Hours on camera # 324, Mr. Ramos took (1) \$20.00 Bill from Main Drawer and placed it back into a designated area in the Main bank Drawer.
05. At 04:29 Hours on camera # 324, Mr. Ramos took (1) \$20.00 Bill from Main Bank Drawer and placed it back into a designated area in the Main Bank Drawer.
06. At 04:32 Hours on camera # 324, Mr. Ramos took (1) \$100.00 Bill and (1) \$20.00 out of TRU # 908 reject cassette - verify amount after TRU Cassette return. Mr. Ramos placed the \$100.00 Bill into a designated area in the Main Bank Drawer.
07. At 04:37 Hours on camera # 324, Mr. Ramos took (1) \$10.00 out of TRU # 906 reject cassette - verify amount after TRU Cassette return. Mr. Ramos placed the \$10.00 Bill into a designated area in the Main Bank Drawer.
08. At 04:42 Hours on camera # 324, Mr. Ramos took (1) \$50.00 from Main Bank Drawer and placed it back into a designated area in the Main Bank Drawer.
09. At 05:19 Hours on camera # 324, Mr. Ramos took (2) \$20.00 out of TRU # 411 cassette -during a refill and placed it back into a designated area in the Main Bank Drawer.
10. At 05:21 Hours on camera # 324, Mr. Ramos took (2) \$20.00 out of TRU # 401 cassette -during a refill and placed it back into a designated area in the Main Bank Drawer.
11. At 06:19 hours on camera #325 and #324, Mr Ramos made change for \$100.00 Bill, by using (5) \$20.00 bills that he had set to the side and exchanging it for (1) \$100.00 Bill from Main Bank Drawer. still has a total of \$600.00 set to the side, which he folds in half and places under a yellow paper slip.
12. At 06:21 hours on camera #325 and #411, Mr. Ramos was observed taking the money with his left hand, and bailing it up in his fist. Shortly thereafter he was observed leaving the Main Bank and Casino Cage with the money in his hand.
13. At 06:23 hours on camera #345 Surveillance observed Mr. Ramos exiting the casino via the employee entrance / exit doors. NOTE: Mr. Ramos was observed driving off property and returning on property shortly after.
14. At 07:36 hours on camera #345, Surveillance observed Mr. Ramos entering the casino via the employee entrance / exit doors.

This report was written and approved by Surveillance Director, William Hutcheson # 7874265.

Signature A

Date

Signature B

Date

Muniz, Luz

From: Suau, Raul
Sent: Monday, October 3, 2022 10:29 AM
To: Muniz, Luz
Subject: FW: Possible Violation - case# 2022045861
Attachments: Incident Report - Ramos, Kevin Jermain - case# 2022045861.pdf

Thank You,



Raul Suau
Investigations Specialist II
Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
Investigations
954-202-6787 Office 954-202-3930 FAX
954-649-6508 Cell

From: Chen, Dennis
Sent: Monday, September 26, 2022 11:22 AM
To: Suau, Raul; Minaya, Julio
Cc: Crafts, Bill
Subject: FW: Possible Violation - case# 2022045861

Good morning,
Per your request.



Dennis Chen
Slot Operations Manager
Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
Office of Slot Operations
954.202.6791 Office 305.978.4245 Cell

From: Santos, Tatiana
Sent: Monday, September 26, 2022 11:19 AM
To: Beatriz Perez

Cc: Crafts, Bill; Chen, Dennis; Lewis, Kereene
Subject: Possible Violation - case# 2022045861

Good Morning Beatriz,

Attached is a possible violation for your review and response within five (5) business days. Please advise how the process can be improved to prevent future occurrences of this nature.

Respectfully,

Tatiana Santos, Slot Operations Analyst II
Florida Gaming Control Commission, Office of Slot Operations
1400 W. Commercial Blvd. Suite 165
Fort Lauderdale, FL 33309-3787
Office Phone: 954-202-6782
Mobile: 954-459-0984
Fax: 954-202-3370
tatiana.santos@fgcc.fl.gov
www.fgcc.fl.gov



VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **Imuniz**

VR Home > Complaint Search > Maintain Complaint > Display Alerts > **Maintain License Modifiers - BASE_CLONE**

Search Criteria | Results | Detail

Modifier Type **A - Alert**
 Modifier **ENFO - Enforcement**
 Effective **09/13/2022**
 Expires -
 Additional Info :
 Prompt
 Value **APPLICANT HIT**
Applicant must submit form PMW-3410 and provide court disposition records for the 09/02/2022 offense in Miami Dade County, Florida.
 Notes
 Updated **09/13/2022 11:54:09**
 By **jdye1**

Notes History
 Change
 Delete
 Save
 Cancel
 < >

Add Back

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VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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[License Search](#) |
 [Entity Search](#) |
 [Modify License Standing](#) |
 [Maintain License CE Control](#)

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **Imuniz**

VR Home > Complaint Search > Maintain Complaint > **License Home**

License

Fed Tax # [REDACTED] Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo** Expires On **06/30/2025**

File # **6992** Name **RAMOS, KEVIN JERMAIN** Extended To

License # **11916424** Rank **SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo** Renewed On **04/06/2022**

Entity # **11916424** Lic Status **Current**

-
-
-
-
-

Address

Street # **1458** Street **NW 81ST TERRACE**

Line 2

Line 3

City **PLANTATION** State **FL** Zip **33322**

Routing


Other

1st License Date **12/04/2018** Rank Date **04/06/2022** Certificate #

Method **I-S-1017** Status Date **04/09/2019** Certificate Date

Fee Exempt **No** Birth Date [REDACTED] Renewal Sent

Select

Action  

Modifiers

Type	Modifier	Effective Date	Additional Info
A	ENFO - Enforcement Alert	09/13/2022	APPLICANT HIT
C	CGCA - Cage Cashier	08/06/2021	
F	LIVE - Live Scan Fee Exemption	12/04/2018	
I	JLAI - Jai-Alai	08/06/2021	
L	273 - Miami Jai-Alai	08/06/2021	
Y	3YR - 3 Year License	04/09/2019	

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NOTICE OF ACTION

BEFORE THE DIVISION OF PARI-MUTUEL WAGERING

IN RE: Violation of sections 550.0251(6), 550.105(5)(b), 550.105(10)(d), 551.10(6)(a), 551.112, 849.086(6)(g), Florida Statutes, and rules 75-11.005(4) and 75-11.011.

KEVIN JERMAIN RAMOS
1458 NW 81st Terrace
Plantation, Florida 33322

CASE NO.: 2022-045861

LICENSE NO.: 11916424

The Florida Gaming Control Commission has filed an Administrative Complaint against you, a copy of which may be obtained by contacting Ebonie Lanier, Administrative Assistant III, Office of the General Counsel, Florida Gaming Control Commission, 4070 Esplanade Way, Tallahassee, FL 32399, (850) 794-8072.

If no contact has been made by you concerning the above by Friday, October 13, 2023, the matter of the Administrative Complaint will be presented to the Florida Gaming Control Commission at the next public meeting for final agency action.

In accordance with the Americans with Disabilities Act, persons needing special accommodation to participate in this proceeding should contact the individual or agency sending notice no later than seven days prior to the proceeding at the address given on notice. Telephone: (850) 257- 6097; 1-800-955-8771 (TDD) or 1-800-955-8770 (v), via Florida Relay Service.

Public Notice Order Confirmation

Ad Text

This is not an Invoice

Daily Business Review

Zone: Florida - Broward

Ad Order #: 0000683341

Account #: 9059304

Acct Name: FLORIDA GAMING CONTROL COMMISSION

PO #: 2022-045861

Phone: 850-717-1663

Placement: Other Notices

Position: Miscellaneous / Other Notices

Ad Size: 4.85 Inches

Run Dates: 09/15, 09/22, 09/29, 10/06

Firm Internal #:

PO# 2:

Amount Due: ██████████

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Deputy Chief Attorney
Re: FGCC v. Alexis Camano Robles Case No. 2022-051619; Default
Final Order
Date: November 17, 2023

Executive Summary

The Division of Pari-Mutuel Wagering seeks the suspension of Alexis Camano Robles (“Respondent”) Pari-Mutuel Wagering Professional Individual Occupational License. By failing to respond to the publication notice, Respondent waived his right to request a hearing contesting the Division’s decision. Therefore, the Florida Gaming Control Commission should enter a final order suspending Respondent’s Pari-Mutuel Wagering Professional Individual Occupational License.

Background

On March 8, 2021, an amended default final judgment of \$1,829.80 was entered against Respondent for unpaid financial obligations regarding veterinary services provided on the ground of a pari-mutuel facility in the County Court of the Seventeenth Judicial Circuit in and for Broward County, Florida in case number COCE19019419. These unpaid financial obligations related to racing conducted at a pari-mutuel facility in the state of Florida.

The Division attempted service USPS certified mail on two occasions but failed to achieve service. The Division attempted to serve Respondent via handservice, but failed to achieve service. The Division requested that a notice be placed with the Broward County Daily Business Review.¹ It was published on September 15, 2023, September 22, 2023, September 29, 2023, and October 6, 2023. Respondent was given until October 13, 2023 to respond to this notice. He has never responded.

Analysis

¹ Section 120.60(5), Florida Statutes, provides, “when personal service cannot be made and the certified mail notice is returned undelivered, the agency shall cause a short, plain notice to the licensee to be published once each week for 4 consecutive weeks in a newspaper published in the county of the licensee’s last known address as it appears on the records of the agency. If no newspaper is published in that county, the notice may be published in a newspaper of general circulation in that county.”

Section 550.105(7), Florida Statutes, provides, that “[t]he Commission may deny, revoke, or suspend any occupational license if the applicant therefor or holder thereof accumulates unpaid obligations or defaults in obligations, or issues drafts or checks that are dishonored or for which payment is refused without reasonable cause, if such unpaid obligations, defaults, or dishonored or refused drafts or checks directly relate to the sport of jai alai or racing being conducted at a pari-mutuel facility within this state.” Respondent defaulted in Seventeenth Circuit on a case relating to horse racing in the state of Florida. Accordingly, Respondent license may be suspended or revoked.

Because Respondent failed to file a timely response to the properly served administrative complaint, he waived his right to request a hearing.

Staff Recommendation: The Florida Gaming Control Commission should enter a final order suspending Alexis Camano Robles’s Pari-Mutuel Wagering Professional Individual Occupational License until the Commission receives confirmation that payment has been satisfied.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2022-051619

ALEXIS CAMANO ROBLES,

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against Alexis Camano Robles (“Respondent”), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering pursuant to Chapter 550, Florida Statutes.
2. At all times material hereto, Respondent held a Pari-Mutuel Wagering Professional Individual Occupational License, 7992572-1021, issued by Petitioner.
3. On or about March 8, 2021, an amended default and final judgment of \$1,829.80 was entered against Respondent for unpaid financial obligations regarding veterinary services in the County Court of the Seventeenth Judicial Circuit in and for Broward County, Florida, in case number COCE19019419.
4. These unpaid financial obligations relate to racing conducted at a pari-mutuel facility in the State of Florida.
5. Pursuant to Section 550.105(7), Florida Statutes:

The commission may deny, revoke, or suspend any occupational license if the applicant therefor or holder thereof accumulates unpaid obligations or defaults in obligations, or issues drafts or checks that are dishonored or for which payment is refused without reasonable cause, if such unpaid obligations, defaults, or dishonored or refused drafts or checks directly relate to the sport of jai alai or racing being conducted at a pari-mutuel facility within this state.

6. Based on the foregoing, Respondent violated Section 550.105(7), Florida Statutes, by accumulating unpaid obligations or defaults in obligations that directly related to racing conducted at a pari-mutuel facility in the State of Florida.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties the Commission is authorized to impose pursuant to Chapter 550, Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2022-051619 is signed this 8th day of November, 2022.

/s/ Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 717-1783
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@fgcc.fl.gov
Secondary: Ebonie.Lanier@fgcc.fl.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to Sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to Rule 28-106.2015, Florida Administrative Code. Pursuant to Rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under Section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
---------	-------	--------	-------------	---------	------	------	------------	-------------	--------

- [Complaint Search](#)
- [Mass Status Update](#)
- [Change Recording License Type](#)
- [Public Case Info](#)
- [Delete Complaint](#)
- [Mass Activity Update](#)
- [Mass Discipline Update](#)

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **Imuniz**

[VR Home](#) > [Complaint Search](#) > [Maintain Complaint](#)

Lic Type	1021 - Pari-Mutuel Wagering Individual Occupational	Status	90 Closed	Status Date	10/31/2022
Complaint #	2022051619	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#	Respondent	CAMANO ROBLES, ALEXIS	Responsible	jminaya - MINAYA, JULIO	Private Case

Complaint	Respondent	Complainant	Addtl Info
-----------	------------	-------------	------------

Source	CNSM - Consumer	Security Level	1	<input type="checkbox"/> Parties	<input checked="" type="checkbox"/> Activities
Form	PCOM - PMW Complaint	Priority		<input checked="" type="checkbox"/> Allegations	<input type="checkbox"/> Discipline
Class'n	IV-A - Financial Responsibility	Complexity	R - Regular	<input type="checkbox"/> Violations	<input type="checkbox"/> Compliance
Security	STND - Standard	Incident	10/26/2022	<input type="checkbox"/> Related	<input checked="" type="checkbox"/> Disposition
Region	SR - Southern Region	Received	10/26/2022	<input type="checkbox"/> Inspection	
Reference	550.105(7)			<input type="checkbox"/> Costs	
Entered	10/26/2022	Entered By	Imuniz	<input type="checkbox"/> Time Tracking	<input type="button" value="Auto Assign"/>
Summary	<p>321-Gulfstream Park Racing Assoc., Inc. ----- / ----- . Financial Responsibility / Occupational licenses of racetrack employees; fees; denial, suspension, and revocation of license; penalties and fines: On October 25, 2022, a copy of an Amended Default & Final Judgment, Case #COCE-19-019419 was received via e-mail from Attorney Mark Gunderson. Per said Judgment filed on March 8, 2021, in the County Court For the 17th Judicial Circuit in and For Broward County, FL, in Division 48 Teigland, Franklin & Brokken, DVMs Inc., shall recover from Alexis Camano the sum of \$1,829.80, which shall bear interest at the rate of 4.81% per year. Because Camano has made \$800.00 in payments the balance due is \$1,029.80.</p>				<input type="button" value="History"/>
Updated	10/31/2022 10:47:48	By	Imuniz	<input type="checkbox"/> Attachments	<input type="button" value="Print Report"/>
				<input type="checkbox"/> Work Notes	

<input type="button" value="Change"/>	<input type="button" value="Save"/>	<input type="button" value="OK"/>	<input type="button" value="Cancel"/>	<input type="button" value="Back"/>
---------------------------------------	-------------------------------------	-----------------------------------	---------------------------------------	-------------------------------------


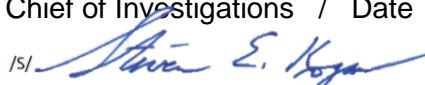
[Get Adobe Reader.](#)

Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office: PMW	Region: SOUTHERN	Date of Complaint: October 26, 2022	Case Number: 2022 05 1619
Respondent: CAMANO, ALEXIS ROBLES 9940 RIVER RUN CIR S PEMBROKE PINES, FLORIDA 33025 [REDACTED]		Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATION 1400 W. COMMERCIAL BLVD., SUITE 165 FT. LAUDERDALE, FL 33309 (954) 202-3900	
License # and Type: 7992572 - 1021		Profession: Individual Animal Owner	Report Date: October 31, 2022
Period of Investigation: October 26, 2022 through October 31, 2022		Type of Report: Final	
Alleged Violation: F.S.S. 550.105 Occupational licenses of racetrack employees; fees; denial, suspension, and revocation of license; penalties and fines. – (7) “The commission may deny, revoke, or suspend any occupational license if the applicant therefor or holder thereof accumulates unpaid obligations or defaults in obligations, or issues drafts or checks that are dishonored or for which payment is refused without reasonable cause, if such unpaid obligations, defaults, or dishonored or refused drafts or checks directly relate to the sport of jai alai or racing being conducted at a pari-mutuel facility within this state.”			
Synopsis: On October 25, 2022, a copy of an Amended Default & Final Judgment against Alexis Robles CAMANO , in favor of Teigland, Franklin & Brokken, DVM’s, PA, Case No. COCE-19-019419, Division 48 In the County Court of the 17 th Judicial Circuit In and For Broward County, FL, ordered on March 8, 2019, was received via e-mail from Attorney Mark Gunderson. Per said judgment, Teigland, Franklin & Brokken, DVM’s, PA, shall recover from CAMANO the sum of \$1,829.80, which shall bear interest at the rate of 4.81% per year. Per Gunderson, CAMANO has made \$800.00 in payments. Therefore, the actual balance due is \$1,029.80. (Exhibit # 1, Pages 2 & 3)			
Related Case:			
Investigator / Date		Investigator Supervisor / Date	
		 Julio Minaya / October 31, 2022	
Chief of Investigations / Date			
 Steven E. Kogan / October 31, 2022			

CONTINUATION

A check of DBPR Versa records reflect that Alexis Robles **CAMANO** obtained a 3 year Florida PMW Occupational license as a Thoroughbred Owner/Trainer on July 13, 2021. This license expires on June 30, 2024. A copy of his licensing information is attached as **EXHIBIT # 2**.

In the letter from Gunderson dated October 25, 2022, he states that the judgment amount of \$1,829.80 is for veterinary services provided by his client at a Florida Pari-Mutuel facility where **CAMANO** stables his horses. Gunderson also provided copies of the Original Complaint and invoices related to his case. These documents are attached as **EXHIBIT # 1 & 3**.

On October 26, 2022, an Enforcement Alert was placed on **CAMANO's** license record in VERSA to reflect this unsatisfied Financial Obligation. A copy of the License Action Request Form and VERSA alert is attached as **EXHIBIT # 4**.

On October 28, 2022, Gunderson explained the discrepancies in his letter to the Division by providing a copy of an Agreed Order of Dismissal dated October 29, 2019, in which **CAMANO** agreed to pay Teigland, Franklin & Brokken, DVM's, PA, \$300.00 on the 1st of every month until the full amount of \$2,829.80 was paid. However, because **CAMANO** failed to make payments pursuant to the above stipulation, the case against **CAMANO** was re-opened and an Affidavit for Final Judgment Pursuant to Stipulation was filed against **CAMANO** on March 2, 2021, seeking a final judgment in the amount of \$1,829.80 (\$2,829.80 less payments of \$1,000.00), consistent with the court's approval of the stipulation. On May 5, 2021, a Stipulation for Payment of Final Judgment in the amount of \$1,829.80 was agreed to by **CAMANO** and Teigland, Franklin & Brokken, DVM's, PA. These documents are attached as **EXHIBIT # 5**.

Status: **CAMANO** is in violation of F.S.S. 550.105(7) for failing to satisfy the aforementioned Final Judgment.

Case is closed by Investigations and forwarded to Legal for review.

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II.

III. INVESTIGATIVE REPORT 2

IV. EXHIBITS

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3. Original Court Complaint & Invoices..... 1-5

4. License Enforcement ALERT..... 1-2

5. Copy of Order Of Dismissal, Affidavit for Final Judgment Pursuant to Stipulation, Amended Default & Final Judgment & Stipulation for Payment Of Final Judgment..... 1-7

Minaya, Julio

From: Mark Gunderson <mgunderson@mindspring.com>
Sent: Tuesday, October 25, 2022 4:37 PM
To: Minaya, Julio; Fleming, Donna
Cc: Trish Shelton
Subject: Complaint - Alexis Camano
Attachments: 2019_08_04 Complaint Camano.pdf; 2022_10_25 Letter to Fla Division Camano.doc

Follow Up Flag: Follow up
Flag Status: Completed

[NOTICE] This message comes from a system outside of DBPR. Please exercise caution when clicking on links and/or providing sensitive information. If you have concerns, please contact your Knowledge Champion or the DBPR Helpdesk.

Julio/Donna,

Attached find my client's complaint against Alexis Camano

Mark Gunderson
Attorney • CPA
2510 SW 18 Street
Ft. Lauderdale, FL 33312
(954) 288-1001 Mobile
(954)791-6154 Fax

*"Greed is a powerful motivator...
many people behave badly and dishonestly, creating serious hardships for others in order to enrich themselves"*
-Richard Bitner-

Confidential: This e-mail contains a communication protected by the attorney-client privilege or constitutes work product. If you do not expect such a communication please delete this message without reading it or any attachment and then notify the sender of this inadvertent delivery.

Law Office of
Mark Gunderson, PL
Attorney ♦ CPA

2510 SW 18 Street ♦ Fort Lauderdale, Florida 33312
phone: (954) 288-1001 ♦ fax: (954) 791-6154 ♦ e-mail: mgunderson@mindspring.com

DIVISION OF PARI-MUTUEL WAGERING COMPLAINT – ALEXIS CAMANO

October 25, 2021

Via Certified Mail – 7020 0410 0002 0271 5867
Via email - Donna.Fleming@myfloridalicense.com

Via Email - Julio.Minaya@myfloridalicense.com

Division of Pari-Mutuel Wagering
DBPR 0070 – Uniform Complaint Form
2601 Blairstone Rd.
Tallahassee, Florida 32399

Julio Minaya, Investigation Supervisor
Division of Pari-Mutuel Wagering
Office of Investigation
1400 West Commercial Boulevard #165
Fort Lauderdale, FL 33065

Re: Teigland, Franklin & Brokken, DVMS, Inc. v Alexis Camano
17th Judicial Circuit - Florida - COCE-19019419 - \$1,029.80 (Judgment \$1,829.80 – Payments \$800.00)

Gentlemen:

On March 8, 2021, 2021, my client, Teigland, Franklin & Brokken, DVMS, Inc. obtained judgment¹ against Mr. Camano, a licensee, concerning veterinary services, which my client performed at a Florida pari-mutuel facility where Mr. Camano stabled his horses. Since obtaining the judgment, Mr. Camano has failed to satisfy his obligation.

Florida statutes states: “the division [pari-mutuel wagering] may deny, revoke, or suspend any occupational license if the applicant therefor or holder thereof accumulates unpaid obligations or defaults in obligations...if such unpaid obligations...directly relate to the sport of ... racing being conducted at a pari-mutuel facility within this state.” 550.105(7) Fla. Stat.

Mr. Camano holds a Pari-Mutuel Wagering Individual Occupational license issued by the State of Florida, as does my client. Mr. Camano has accumulated unpaid obligations and has defaulted on an obligation that directly related to the sport of racing conducted at a pari-mutuel facility.

Accordingly, my client requests that Mr. Camano’s racing license be suspended or revoked, in accordance with the procedures found at Fla. Admin. Code R. 61D-3.001, which states that, “alleged violations of Chapter 550, F.S., or Chapter 61D, F.A.C., in horseracing shall be heard by a board of stewards.”

Thank you for your attention to this matter.

/s/ Mark Gunderson, Esq.

Cc. Client
Alexis Camano, 9940 River Run Cir S, Pembroke Pines, FL 33025

¹ Judgment attached.

**IN THE COUNTY COURT OF THE 17TH JUDICIAL CIRCUIT
IN AND FOR BROWARD COUNTY, FLORIDA**

CASE NO. COCE19019419 DIVISION 48 JUDGE Jennifer Hilal

Teigland Franklin & Brokken DVMs Inc

Plaintiff(s) / Petitioner(s)

v.

Alexis Camano

Defendant(s) / Respondent(s)

_____ /

AMENDED DEFAULT & FINAL JUDGMENT

This action was heard on Plaintiff's affidavit for non-payment concerning this Court's final order approving, Stipulation of the Parties - Order of Dismissal.

IT IS ADJUDGED that Defendant, ALEXIS CAMANO breached the Court approved stipulation and therefore this case is reopened and Plaintiff, TEIGLAND, FRANKLIN & BROKKEN, DVM'S, PA, 12277 SW 55th Street, Suite 909, Ft. Lauderdale, FL 33330-3311, shall recover from defendant, 308 Palm Circle E, Apt. 308, Pembroke Pines, FL **33025**, the sum of \$1,829.80, which shall bear interest at the rate of 4.81% per year, for which let execution issue forthwith.

IT IS FURTHER ORDERED AND ADJUDGED that the defendant shall complete Florida Small Claims Rules Form 7.343 (Fact Information Sheet) and return it to the plaintiff's attorney, or to the plaintiff if the plaintiff is not represented by an attorney, within 45 days from the date of this final judgment, unless the final judgment is satisfied or a motion for new trial or notice of appeal is filed. The defendants should NOT file the completed form 7.343 with the court.

Jurisdiction of this case is retained to enter further orders that are proper to compel the defendants to complete form 7.343 and return it to the plaintiff's attorney, or the plaintiff if the plaintiff is not represented by an attorney.

DONE and **ORDERED** in Chambers, at Broward County, Florida on 03-08-2021.


COCE19019419 03-08-2021 5:00 PM

COCE19019419 03-08-2021 5:00 PM

Hon. Jennifer Hilal

COUNTY JUDGE

Electronically Signed by Jennifer Hilal

Copies Furnished To:

Mark Gunderson , E-mail : mgunderson@mindspring.com

**STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND
PROFESSIONAL REGULATION**

Please submit to the appropriate address on Page 4.

Any investigation or administrative proceeding brought by the Department against the subject of your complaint will rely upon the information you provide to the Department. All allegations and supporting documentation **MUST** be provided to the Department at this time.

COMPLAINANT INFORMATION				
Last Name	First	Middle	Title	Suffix
Your Company/Occupation TEIGLAND, FRANKLIN, & BROKKEN, DVMs, PA				
MAILING ADDRESS				
Street Address or P.O. Box				
12277 S.W. 55TH STREET, SUITE 909				
City FORT LAUDERDALE		State FL	Zip Code (+4 optional) 33330	
County (if Florida address) BROWARD		Country		
CONTACT INFORMATION				
Primary Phone Number 954-288-1001		Alternate Phone Number		
Primary E-Mail Address MGUNDERSON@MINDSPRING.COM				
Unlicensed Activity Complaint? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Unknown <input type="checkbox"/>				
COMPLAINT DESCRIPTION				
SEE ATTACHED LETTER				

Attach additional sheets as necessary.

PRIVATE ATTORNEY FOR COMPLAINANT (IF APPLICABLE)				
Last Name	First	Middle	Title	Suffix
GUNDERSON, MARK, ESQ				
ADDRESS				
Street Address or P.O. Box				
2510 SW 18 STREET				
City			State	Zip Code (+4 optional)
FORT LAUDERDALE			FL	33312
County (if Florida address)		Country		
BROWARD				
CONTACT INFORMATION				
Primary Phone Number		Alternate Phone Number		
954-288-1001				

SUBJECT OF COMPLAINT				
Last Name	First	Middle	Title	Suffix
ALEXIS CAMANO				
License Number (if known)				
7992572				
Company/Occupation				
HORSE TRAINER				
MAILING ADDRESS				
Street Address or P.O. Box				
9940 RIVER RUN CIR S				
City			State	Zip Code (+4 optional)
PEMBROKE PINES			FL	33025
County (if Florida address)		Country		
CONTACT INFORMATION				
Primary Phone Number		Primary E-Mail Address		
786 560-4844		alexisrobles0630@yahoo.com		
RESIDENCE ADDRESS (IF DIFFERENT THAN MAILING ADDRESS)				
Street Address				
City			State	Zip Code (+4 optional)
County (if Florida address)		Country		

PRIVATE ATTORNEY FOR SUBJECT OF COMPLAINT (IF APPLICABLE)				
Last Name	First	Middle	Title	Suffix
NONE				
ADDRESS				
Street Address or P.O. Box				
City			State	Zip Code (+4 optional)
County (if Florida address)		Country		
CONTACT INFORMATION				
Primary Phone Number		Alternate Phone Number		

WITNESS (IF APPLICABLE)				
Last Name	First	Middle	Title	Suffix
TRISH SHELTON - MGR OF COLLECTIONS - TEIGLAND, FRANKLIN, BROKKEN, DVMS, PA				
ADDRESS				
Street Address or P.O. Box				
12277 SW 55 TH STREET				
City		State	Zip Code (+4 optional)	
FORT LAUDERDALE		FL	33330	
County (if Florida address)		Country		
BROWARD				
CONTACT INFORMATION				
Primary Phone Number		Alternate Phone Number		
954-680-5886				

WITNESS (IF APPLICABLE)				
Last Name	First	Middle	Title	Suffix
ADDRESS				
Street Address or P.O. Box				
City		State	Zip Code (+4 optional)	
County (if Florida address)		Country		
CONTACT INFORMATION				
Primary Phone Number		Alternate Phone Number		

I affirm that I have provided the above information completely and truthfully to the best of my knowledge.

Complainant Sign Here: BY: /S/ MARK GUNDERSON, ESQ. Date: 10/25/2022

VR Home | **Inbox** | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: jminaya

VR Home > License Search > License Home

License

Fed Tax # [REDACTED] Lic Type **1021 - PMW Professional Individual Occupational** Expires On **06/30/2024**

File # **66925** Name **CAMANO ROBLES, ALEXIS** Extended To

License # **7992572** Rank **PIND - Professional Individual Occupational** Renewed On

Entity # **7992572** Lic Status **Current**

-
-
-
-
-

Address

Street # **9940** Street **RIVER RUN CIR S**

Line 2

Line 3

City **PEMBROKE PINES** State **FL** Zip **33025**

Routing

Other

1st License Date **07/13/2021** Rank Date **07/13/2021** Certificate #

Method **I-S-1024** Status Date **07/13/2021** Certificate Date

Fee Exempt **No** Birth Date [REDACTED] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
C	ONTR - Owner/Trainer	05/21/2021	
I	TBRD - Thoroughbred	05/03/2018	
L	321 - Gulfstream Park	07/02/2021	
Y	3YR - 3 Year License	03/21/2018	

Alt Keys

BEST LIC NBR **7992572**

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VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: jminaya

VR Home > License Search > License Home > Basic Entity Data

Basic Entity Data | List of Addresses | List of Names

Lic Type	1021 - PMW Professional Individual		Entity #	7992572
	Occupational			
Fed Tax #	[REDACTED]	Fed Tax Type	SSN #	
			Name	CAMANO ROBLES, ALEXIS
H Last Name	CAMANO ROBLES	First	ALEXIS	Middle
Title		Suffix		Qualifier
Gender	M	Race	2 - White	
Driver License #		Birth Date	[REDACTED]	
Mailing Address	<input checked="" type="checkbox"/>	Private Address	<input type="checkbox"/>	Preferred Name
Street #	9940	Street	RIVER RUN CIR S	
Line 2				
Line 3				
City	PEMBROKE PINES	County	Broward	
Zip	33025	State	Florida	Country
Routing				United States
Phone #	786-286-3132	Ext		E-Mail
				alexisrobles0630@yahoo.com
Insp Region		Receive Email	<input type="checkbox"/>	
Updated	07/14/2021 08:46:37	By	tmcdowell1	

- Notes
- Notes History
- Fix
- Change
- Save
- OK
- Cancel
- Back

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IN THE COUNTY COURT FOR THE
SEVENTEENTH JUDICIAL CIRCUIT IN AND
FOR BROWARD COUNTY, FLORIDA

TEIGLAND, FRANKLIN & BROKKEN,
D.V.M.'S, Inc.
Plaintiff,
vs

SMALL CLAIMS DIVISION

ALEXIS CAMANO
Defendant.

COMPLAINT

Plaintiff, TEIGLAND, FRANKLIN & BROKKEN, D.V.M.'S, Inc., sues defendant, ALEXIS CAMANO sad alleges:

1. This is an action on an open account.
2. This Court has subject matter jurisdiction over this dispute because this complaint seeks damages less than \$5,000 exclusive of interest, attorneys' fees, and costs. §34.01(2) Fla. Stat.
3. Venue is proper in the 17th Judicial Circuit, in that plaintiff's cause of action arose in Broward County Florida. §47.011 Fla. Stat.
4. Plaintiff is a resident of Broward County and otherwise *sui juris*.
5. The Court has jurisdiction over the defendant in that plaintiff's cause of action against defendant arises from defendant operating, conducting, engaging in, or carrying on a business or business venture in this state, breaching a contract in this state by failing to perform acts required by the contract to be performed in this state, and/or defendant's substantial and not isolated activity within this state. §48.193 Fla. Stat.
6. Service is alternatively made (if applicable) via substituted service on the Secretary for the State of Florida in accordance with §48.161 Fla. Stat. or §48.181 Fla. Stat. in that defendant was a resident of this state and subsequently became a nonresident of this state or has concealed his whereabouts.
7. Defendant owes plaintiff **\$2,208.32** that is due with interest since May 31, 2019, based on an agreement for goods and services that were sold and delivered at an agreed upon price, **directly related to horse racing being conducted at a pari-mutuel facility**. See Exhibit A.
8. Plaintiff is entitled to prevailing party attorney fees pursuant to agreement between the parties.

WHEREFORE, plaintiff demands judgment for damages, attorney fees and costs against defendant.

MARK GUNDERSON, P.L.
Attorney for Teigland, Franklin & Brokken, D.V.M.'S, Inc.
2510 SW 18 Street
Fort Lauderdale Florida 33312
(954) 288-1001; (954) 791-6154 Fax
mgunderson@mindspring.com
/s/ Mark Gunderson FBN: 0842141

STATEMENT



Teigland, Franklin, & Brokken, DVMs, Inc.
 12277 S.W. 55th Street, Suite 909 • Ft. Lauderdale, FL 33330-3311
 Phone: (954) 680-5886 • Fax: (954) 680-5976
 Email: Office@tfbequine.com

Alexis Camano
 308 Palm Circle E Apt 308
 Pembroke Pines, FL 33025-1188

Date: May-31-19
 Client #: 264546
 Page: 1

Trainer: CAMANO, ALEXIS

\$

AMOUNT REMITTED

TO ENSURE PROPER CREDIT, PLEASE DETACH STUB AND RETURN WITH YOUR PAYMENT.

Check here if credit card payment & complete charge slip on reverse side.

DATE	DESCRIPTION	QUANTITY	DR.	STATE	SUBTOTAL	CHARGE
	Balance Forward					76.00
*** PAYMENTS AND ADJUSTMENTS TO YOUR ACCOUNT ****						
10/5/18	Payment - Cash					-56.00
	Note: 10/4/18 - KING READY & LOVINGRDSIMMAR					
10/18/18	Payment - Check 1006					-179.00
	Note: 10/5 - 10/8 = TIZBUD SHE WANTS					
10/30/18	Payment - Check 0993					-240.00
	Note: on account					
12/3/18	Payment - Check 1021					-350.00
1/14/19	Payment - Check 1029					-200.00
1/31/19	Interest					1.52
2/28/19	Interest					1.52
3/31/19	Interest					6.78
4/30/19	Interest					8.74
5/31/19	Interest					8.78
FLYING GIRL 100.00%						
10/19/18	Banamine (Flunixin Meglumine) Injection	1	06	FL		28.00
10/19/18	Ketoprofen	1	06	FL		38.00
10/31/18	Banamine (Flunixin Meglumine) Injection	1	35	FL		28.00
11/2/18	Lactated Ringers & DMSO IV	1	06	FL		48.00
11/2/18	Ketoprofen	1	06	FL		38.00
11/3/18	Phenylbutazone Injection	1	35	FL		15.00
12/4/18	Dexamethasone Injection	1	34	FL		28.00
12/5/18	Ketoprofen	1	34	FL		38.00
12/5/18	Methocarbamol Injection	1	34	FL		37.50
12/5/18	Lactated Ringers & DMSO IV	1	34	FL		48.00
12/6/18	Phenylbutazone Injection	1	34	FL		15.00
12/6/18	Lactated Ringers (1L) and Mult. Vitamins	1	34	FL		48.00

OVER 90 DAYS	OVER 60 DAYS	OVER 30 DAYS	CURRENT	SERVICE CHARGES	TOTAL AMOUNT
--------------	--------------	--------------	---------	-----------------	--------------

PLEASE PAY THIS AMOUNT >>>>>

ALL TREATMENTS HAVE BEEN APPROVED BY YOUR TRAINER.

TOTAL AMOUNT IS DUE UPON RECEIPT OF STATEMENT. We accept MASTERCARD, VISA, DISCOVER and AMERICAN EXPRESS. Amount past due 60 days or more will bear interest at the maximum rate allowable by law. In the event we are required to take action to collect the amount owed to us, you will be responsible for a \$100.00 administration fee plus all attorney fees and costs regardless of whether a lawsuit is filed.

Teigland, Franklin & Brokken, DVMs, Inc. - 12277 SW 55th Street, #909, Ft. Lauderdale, FL 33330-3311 - (954) 680-5886

Thank You

EXHIBIT #3
 PAGE #2



STATEMENT

Telgland, Franklin, & Brokken, DVMs, Inc.
 12277 S.W. 55th Street, Suite 909 • Ft. Lauderdale, FL 33330-3311
 Phone: (954) 680-5886 • Fax: (954) 680-5976
 Email: Office@ffbequine.com

Alexis Camano
 308 Palm Circle E Apt 308
 Pembroke Pines, FL 33025-1186

Date: May-31-19
 Client #: 264546
 Page: 2

Trainer: CAMANO, ALEXIS

\$

AMOUNT REMITTED

TO ENSURE PROPER CREDIT, PLEASE DETACH STUB AND RETURN WITH YOUR PAYMENT.

Check here if credit card payment & complete charge slip on reverse side.

DATE	DESCRIPTION	QUANTITY	DR.	STATE	SUBTOTAL	CHARGE
12/21/18	Banamine (Flunixin Meglumine) Injection	1	34	FL		28.00
12/22/18	Ketoprofen	1	34	FL		38.00
12/22/18	Calcium (CMPK) 500 ML	1	34	FL		38.00
1/4/19	Phenylbutazone Injection	1	34	FL		15.00
1/4/19	Lactated Ringers (1L) and Mult. Vitamins	1	34	FL		48.00
1/17/19	Banamine (Flunixin Meglumine) Injection	1	06	FL		28.00
1/18/19	Ketoprofen	1	34	FL		38.00
1/18/19	Lactated Ringers (1L) and Mult. Vitamins	1	34	FL		48.00
	FLYING GIRL Sub-Total				690.50	
	KING READY 100.00%					
10/4/18	Banamine (Flunixin Meglumine) Injection	1	35	FL		28.00
10/5/18	Ketoprofen	1	06	FL		38.00
10/6/18	Banamine (Flunixin Meglumine) Injection	1	35	FL		28.00
10/19/18	Lactated Ringers (1L) and Mult. Vitamins	1	06	FL		48.00
10/29/18	Lactated Ringers & DMSO IV	1	06	FL		48.00
10/29/18	Ketoprofen	1	06	FL		38.00
10/30/18	Phenylbutazone Injection	1	06	FL		15.00
11/22/18	Phenylbutazone Injection	1	03	FL		15.00
11/22/18	Calcium Injection	1	03	FL		30.00
	KING READY Sub-Total				288.00	
	LOVINGRDSIMMAR 100.00%					
10/4/18	Banamine (Flunixin Meglumine) Injection	1	35	FL		28.00
10/5/18	Ketoprofen	1	06	FL		38.00
10/15/18	Banamine (Flunixin Meglumine) Injection	1	06	FL		28.00
10/15/18	Methocarbamol Injection	1	06	FL		37.50
10/15/18	Lactated Ringers & DMSO IV	1	06	FL		48.00
10/16/18	Calcium (CMPK) 500 ML	1	06	FL		38.00
10/29/18	Lactated Ringers & DMSO IV	1	06	FL		48.00
	OVER 90 DAYS					
	OVER 60 DAYS					
	OVER 30 DAYS					
	CURRENT					
	SERVICE CHARGES					
	TOTAL AMOUNT					

PLEASE PAY THIS AMOUNT >>>>>

ALL TREATMENTS HAVE BEEN APPROVED BY YOUR TRAINER.
 TOTAL AMOUNT IS DUE UPON RECEIPT OF STATEMENT. We accept MASTERCARD, VISA, DISCOVER and AMERICAN EXPRESS.
 Amount past due 60 days or more will bear interest at the maximum rate allowable by law. In the event we are required to take action to collect the amount owed to us, you will be responsible for a \$100.00 administration fee plus all attorney fees and costs regardless of whether a lawsuit is filed.
 Telgland, Franklin & Brokken, DVMs, Inc. - 12277 SW 55th Street, #909, Ft. Lauderdale, FL 33330-3311 - (954) 680-5886

Thank You

EXHIBIT # 3
PAGE #30

STATEMENT



Teigland, Franklin, & Brokken, DVMs, Inc.
 12277 S.W. 55th Street, Suite 909 • Ft. Lauderdale, FL 33330-3311
 Phone: (954) 680-5886 • Fax: (954) 680-5976
 Email: Office@tfbequine.com

Alexis Camano
 308 Palm Circle E Apt 308
 Pembroke Pines, FL 33025-1186

Date: May-31-19
 Client #: 264546
 Page: 3

Trainer: CAMANO, ALEXIS

\$

TO ENSURE PROPER CREDIT, PLEASE DETACH STUB AND RETURN WITH YOUR PAYMENT.

AMOUNT REMITTED

Check here if credit card payment & complete charge slip on reverse side.

DATE	DESCRIPTION	QUANTITY	DR.	STATE	SUBTOTAL	CHARGE
10/29/18	Ketoprofen	1	06	FL		38.00
10/29/18	Estrone Sulfate Injection	1	06	FL		32.00
10/30/18	Phenylbutazone Injection	1	06	FL		15.00
10/30/18	Estrone Sulfate Injection	1	06	FL		32.00
11/2/18	Trimethoprim/Sulfamethoxazole - 500 Tabs	1	06	FL		80.00
12/2/18	Tranquilize - Dormosedan & Butorphanol	1	34	FL		39.00
12/2/18	Inject Stifle Joint	2	34	FL		295.00
	<i>Left, Right</i>					
	Inject Hyalovet		34	FL		
12/10/18	Dexamethasone Injection	1	34	FL		28.00
12/11/18	Ketoprofen	1	34	FL		38.00
12/11/18	Methocarbamol Injection	1	34	FL		37.50
12/11/18	Lactated Ringers & DMSO IV	1	34	FL		48.00
12/11/18	Calcium Injection with Vitamin B1	1	34	FL		25.00
12/12/18	Phenylbutazone Injection	1	34	FL		15.00
12/12/18	Calcium Injection with Vitamin B1	1	34	FL		25.00
12/14/18	Ketoprofen	1	34	FL		38.00
12/14/18	Calcium Injection with Vitamin B1	1	34	FL		25.00
12/15/18	Phenylbutazone Injection	1	06	FL		15.00
12/15/18	Calcium Injection	1	06	FL		30.00
12/15/18	Vitamin B1 - 200 mg.- Thiamine	1	06	FL		28.00
12/21/18	Banamine (Flunixin Meglumine) Injection	1	34	FL		28.00
12/22/18	Ketoprofen	1	34	FL		38.00
12/22/18	Calcium (CMPK) 500 ML	1	34	FL		38.00
12/28/18	Ketoprofen	1	06	FL		38.00
12/29/18	Phenylbutazone Injection	1	34	FL		15.00
12/29/18	L-Arginine	1	34	FL		25.00
1/11/19	Banamine (Flunixin Meglumine) Injection	1	34	FL		28.00
1/12/19	Ketoprofen	1	34	FL		38.00
1/12/19	Calcium Injection with Vitamin B1	1	34	FL		25.00

OVER 90 DAYS	OVER 60 DAYS	OVER 30 DAYS	CURRENT	SERVICE CHARGES	TOTAL AMOUNT
--------------	--------------	--------------	---------	-----------------	--------------

PLEASE PAY THIS AMOUNT >>>>>

ALL TREATMENTS HAVE BEEN APPROVED BY YOUR TRAINER.

TOTAL AMOUNT IS DUE UPON RECEIPT OF STATEMENT. We accept MASTERCARD, VISA, DISCOVER and AMERICAN EXPRESS. Amount past due 60 days or more will bear interest at the maximum rate allowable by law. In the event we are required to take action to collect the amount owed to us, you will be responsible for a \$100.00 administration fee plus all attorney fees and costs regardless of whether a lawsuit is filed.
 Teigland, Franklin & Brokken, DVMs, Inc. - 12277 SW 55th Street, #909, Ft. Lauderdale, FL 33330-3311 - (954) 680-5886

Thank You

EXHIBIT #3
 PAGE #4

STATEMENT



Teigland, Franklin, & Brokken, DVMs, Inc.
 12277 S.W. 55th Street, Suite 909 • Ft. Lauderdale, FL 33330-3311
 Phone: (954) 680-5886 • Fax: (954) 680-5976
 Email: Office@tfbequine.com

Alexis Camano
 308 Palm Circle E Apt 308
 Pembroke Pines, FL 33025-1186

Date: May-31-19
 Client #: 264546
 Page: 4

Trainer: CAMANO, ALEXIS

\$

TO ENSURE PROPER CREDIT, PLEASE DETACH STUB AND RETURN WITH YOUR PAYMENT.

AMOUNT REMITTED
 Check here if credit card payment & complete charge slip on reverse side.

DATE	DESCRIPTION	QUANTITY	DR.	STATE	SUBTOTAL	CHARGE
LOVINGRDSIMMAR Sub-Total					1,422.00	
SILVER DEFENSE 100.00%						
12/19/18	Video-Endoscopy Exam	1	36	FL		75.00
12/28/18	Ketoprofen	1	06	FL		38.00
12/29/18	Phenylbutazone Injection	1	34	FL		15.00
1/11/19	Banamine (Flunixin Meglumine) Injection	1	34	FL		28.00
1/12/19	Ketoprofen	1	34	FL		38.00
1/12/19	Calcium Injection with Vitamin B1	1	34	FL		25.00
1/19/19	Ketoprofen	1	34	FL		38.00
1/19/19	Lactated Ringers & DMSO IV	1	34	FL		48.00
1/20/19	Phenylbutazone Injection	1	34	FL		15.00
1/20/19	Calcium Injection with Vitamin B1	1	34	FL		25.00
SILVER DEFENSE Sub-Total					345.00	
TIZBUD SHE WANTS 100.00%						
10/5/18	Banamine (Flunixin Meglumine) Injection	1	06	FL		28.00
10/6/18	Ketoprofen	1	35	FL		38.00
10/7/18	Ketoprofen	1	35	FL		38.00
10/8/18	Ketoprofen	1	35	FL		38.00
10/8/18	Banamine (Flunixin Meglumine) Injection	1	35	FL		28.00
10/15/18	Banamine (Flunixin Meglumine) Injection	1	06	FL		28.00
10/15/18	Methocarbamol Injection	1	06	FL		37.50
10/16/18	Lactated Ringers (1L) and Mult. Vitamins	1	06	FL		48.00
10/28/18	Triple Antibiotic Eye Ointment	1	06	FL		35.00
10/30/18	Dexamethasone Injection	1	06	FL		28.00
10/31/18	Ketoprofen	1	35	FL		38.00
TIZBUD SHE WANTS Sub-Total					384.50	

OVER 90 DAYS	OVER 60 DAYS	OVER 30 DAYS	CURRENT	SERVICE CHARGES	TOTAL AMOUNT
2,184.04	8.78	8.74	8.76		

PLEASE PAY THIS AMOUNT >>>>>

2,208.32

Client #: 264546

ALL TREATMENTS HAVE BEEN APPROVED BY YOUR TRAINER.
TOTAL AMOUNT IS DUE UPON RECEIPT OF STATEMENT. We accept MASTERCARD, VISA, DISCOVER and AMERICAN EXPRESS.
 Amount past due 60 days or more will bear interest at the maximum rate allowable by law. In the event we are required to take action to collect the amount owed to us, you will be responsible for a \$100.00 administration fee plus all attorney fees and costs regardless of whether a lawsuit is filed.
 Teigland, Franklin & Brokken, DVMs, Inc. - 12277 SW 55th Street, #909, Ft. Lauderdale, FL 33330-3311 - (954) 680-5886

Thank You

EXHIBIT #3
PAGE #832



Louis Trombetta, Executive Director

Ron DeSantis, Governor

**DIVISION OF PARI-MUTUEL WAGERING
LICENSE ACTION REQUEST FORM**

To: Licensing Administrator

From: Investigator Minaya

Date: October 26, 2022

X	PLEASE ADD ALERT : Contact Investigations Prior to Licensing
	PLEASE REMOVE ALERT FROM LICENSE RECORD

License number and type: 7992572 - 1021

Last Name: CAMANO

First Name: ALEXIS ROBLES

DOB: [REDACTED]

SS# [REDACTED]

Reason for Addition/Removal of Alert: **DO NOT LICENSE!** Unsatisfied Final Judgment pending against Alexis R Camano, in favor of Teigland, Franklin & Brokken, DVMs Inc., Case # COCE-19-019419, Division 48, in the Circuit Court of the 17th Judicial Court in Broward County, Florida, in the amount of \$1,829.80 plus 4.81% interest per year. Because Camano has made \$800.00 in payments the balance due is \$1,029.80.

Responsible Party: Inv. Sup Minaya

Case Number: 2022 05 1619

Approved by: _____ Date _____

Added/Removed by:  _____ Date October 26 2022

Revised 12/08

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: jminaya

VR Home > License Search > License Home > Maintain License Modifiers - BASE_CLONE

Search Criteria | Results | Detail

Modifier Type **A - Alert**
Modifier **ENFO - Enforcemt Alert**
Effective **10/26/2022**

Expires -
Additional Info :
Prompt
Value

DO NOT LICENSE! Unsatisfied Final Judgment pending against Alexis R Camano, in favor of Teigland, Franklin & Brokken, DVMs Inc., Case # COCE-19-019419, Division 48, in the Circuit Court of the 17th Judicial Court in Broward County, Florida, in the amount of \$1,829.80 plus 4.81% interest per year. Because Camano has made \$800.00 in payments the balance due is \$1,029.80.

Updated **10/26/2022 14:09:27**

By **jminaya**

Notes History

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Get Adobe Reader.

Minaya, Julio

From: Mark Gunderson <mgunderson@mindspring.com>
Sent: Friday, October 28, 2022 3:48 PM
To: Minaya, Julio
Subject: Burns Camano Info
Attachments: Burns Documents.pdf; Camano Documents.pdf

Follow Up Flag: Follow up
Flag Status: Flagged

[NOTICE] This message comes from a system outside of DBPR. Please exercise caution when clicking on links and/or providing sensitive information. If you have concerns, please contact your Knowledge Champion or the DBPR Helpdesk.

Per your request:

			Burns
Stipulation	P1/2	2/16/2021	\$2,868.03
Affidavit for Judgment	P3	6/25/2021	\$2,818.93
Final Judgment	P4	6/30/2021	\$2,818.93
Amended Final Judgment	P5	7/27/2021	\$2,818.93
*Payments of \$600 made after Amended Judgment		10/25/2022	\$2,218.93
			Camano
Stipulation	P1/2	10/29/2019	\$2,829.80
Affidavit for Judgment	P3	3/2/2021	\$1,829.80
Amended Default & Final Judgment	P4/5	3/8/2021	\$1,829.80
Stipulation for Payment of Final Judgment	P6	5/6/2021	\$1,829.80
*Payment of \$800 after Stipulation		10/25/2022	\$1,029.80

Mark Gunderson
Attorney • CPA
2510 SW 18 Street
Ft. Lauderdale, FL 33312
(954) 288-1001 Mobile
(954)791-6154 Fax

"Greed is a powerful motivator..."

many people behave badly and dishonestly, creating serious hardships for others in order to enrich themselves"

-Richard Bitner-

Confidential: This e-mail contains a communication protected by the attorney-client privilege or constitutes work product. If you do not expect such a communication please delete this message without reading it or any attachment and then notify the sender of this inadvertent delivery.

IN THE COUNTY COURT FOR THE SEVENTEENTH JUDICIAL CIRCUIT IN AND FOR BROWARD COUNTY, FLORIDA

TEIGLAND, FRANKLIN & BROKKEN, D.V.M.'S, Inc. Plaintiff,

COCE-19-019419 Division: 48

vs

ALEXIS CAMANO Defendant.

ORDER OF DISMISSAL
STIPULATION OF THE PARTIES - FINAL ORDER APPROVING STIPULATION

The undersigned parties to this action stipulate and agree based on mutual consideration, as follows:

1. The Defendant agrees to pay the Plaintiff, and the Plaintiff agrees to accept the sum of \$2,829.80 in full settlement of the above-styled action, which is calculated as follows: \$2,208.32 in damages, \$191.48 in court costs, \$80.00 in process server fees, and \$350.00 in attorney fees.

2. Defendant shall make payment of \$200.00 on November 1, 2019, and make additional payments of \$100.00 per month on the 1st of each month thereafter, until the full amount of \$2,829.80 is paid, time being of the essence.

3. Payments are to be made directly to Plaintiff by check or credit card at the following address, Teigland, Franklin & Brokken, DVM's, PA, Attn: Trish Shelton, 12277 SW 55th Street, Suite 909, Ft. Lauderdale, FL 33330-3311. Tel: (954) 680-5886.

4. Upon Affidavit by Plaintiff, that Defendant has not made the payment(s) according to this Stipulation, the Court shall reopen this case and Final Judgment shall be entered against Defendant without further notice or hearing, in the amount of \$2,829.80 less any payment that have been made pursuant to this stipulation.

Based upon the foregoing, the parties agree that the Court shall enter an Order of Dismissal, this date, but may reopen the cause based upon the filing of an Affidavit, as stated in 4. above.

Agreed to on the last date signed by the parties.

/s/ Mark Gunderson 10/15/19
Mark Gunderson, Esq. Date
on behalf of Plaintiff

Alexis Camano 10-23-19
Print & Sign Date
Alexis Camano

FINAL ORDER APPROVING STIPULATION AND ORDER OF DISMISSAL

The above Agreement-Stipulation, having been considered by the Court, is approved, and this cause is dismissed. The case is closed, subject to the provisions as stated above.

DONE AND ORDERED at Fort Lauderdale, Broward County, Florida, this ___ day of ___, 2018.

County Court Judge

Copies via US Mail to: Mark Gunderson, Esq., 2510 SW 18 Street, Fort Lauderdale, FL 33312;
Alexis Camano, 9491 Palm Circle South #204, Pembroke Pines, FL 33025

2

IN THE COUNTY COURT OF THE 17TH JUDICIAL CIRCUIT
IN AND FOR BROWARD COUNTY, FLORIDA

CASE NO. COCE19019419 DIVISION 48 JUDGE Jennifer Hilal

Teigland Franklin & Brokken DVMs Inc

Plaintiff(s) / Petitioner(s)

v.

Alexis Camano

Defendant(s) / Respondent(s)

_____ /

AGREED ORDER OF DISMISSAL

The attached Agreement-Stipulation, is made a part of this Order having been considered by the Court, is approved, and this cause is dismissed. The case is closed, subject to the provisions as stated in the Stipulation.

DONE and ORDERED in Chambers, at Broward County, Florida on 10-29-2019.

COCE19019419 10/29/2019 10:31 AM

COCE19019419 10-29-2019 10:31 AM

Hon. Jennifer Hilal
COUNTY JUDGE

Electronically Signed by Jennifer Hilal

Copies Furnished To:

Mark Gunderson , E-mail : mgunderson@mindspring.com

IN THE COUNTY COURT FOR THE SEVENTEENTH
JUDICIAL CIRCUIT IN AND FOR BROWARD
COUNTY, FLORIDA

TEIGLAND, FRANKLIN & BROKKEN,
D.V.M.'S, Inc.
Plaintiff,
vs

COCE-19-019419 Division: 48

3

ALEXIS CAMANO
Defendant.

AFFIDAVIT FOR FINAL JUDGMENT PURSUANT TO STIPULATION

STATE OF FLORIDA
COUNTY OF BROWARD

BEFORE ME, the undersigned authority, personally appeared TRISH SHELTON who after being duly sworn, deposes and says:

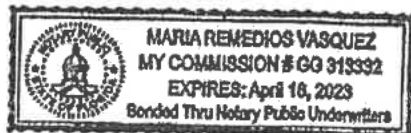
1. I am over the age of 18 and otherwise *sul juris*.
2. I am the Records Custodian for TEIGLAND, FRANKLIN & BROKKEN, D.V.M.'S, INC. and familiar with all the facts and circumstances in this action.
3. On October 29, 2019, the parties entered into a stipulation (attached), which required defendant to make certain scheduled payments to plaintiff.
4. Defendant has failed to make payments pursuant to the stipulation.
5. Plaintiff provides this affidavit to support the reopening of this case and for the entry of final judgment in the amount of \$1,829.80 (\$2,829.80 less payments of \$1,000.00), consistent with this Court's approval of that stipulation.

FURTHER SAYETH NAUGHT

Trish Shelton 3/2/21
TRISH SHELTON, RECORDS CUSTODIAN

Sworn to and subscribed before me on February 19, 2021 by Trish Shelton who is personally known or has produced a Florida Driver License # _____

Maria Remedios Vasquez
Notary Public - State of Florida
(Seal)



4

IN THE COUNTY COURT OF THE 17TH JUDICIAL CIRCUIT
IN AND FOR BROWARD COUNTY, FLORIDA

CASE NO. COCE19019419 DIVISION 48 JUDGE Jennifer Hilal

Teigland Franklin & Brokken DVMs Inc

Plaintiff(s) / Petitioner(s)

v.

Alexis Camano

Defendant(s) / Respondent(s)

_____ /

AMENDED DEFAULT & FINAL JUDGMENT

This action was heard on Plaintiff's affidavit for non-payment concerning this Court's final order approving, Stipulation of the Parties - Order of Dismissal.

IT IS ADJUDGED that Defendant, ALEXIS CAMANO breached the Court approved stipulation and therefore this case is reopened and Plaintiff, TEIGLAND, FRANKLIN & BROKKEN, DVM'S, PA, 12277 SW 55th Street, Suite 909, Ft. Lauderdale, FL 33330-3311, shall recover from defendant, 308 Palm Circle E, Apt. 308, Pembroke Pines, FL 33025, the sum of \$1,829.80, which shall bear interest at the rate of 4.81% per year, for which let execution issue forthwith.

IT IS FURTHER ORDERED AND ADJUDGED that the defendant shall complete Florida Small Claims Rules Form 7.343 (Fact Information Sheet) and return it to the plaintiff's attorney, or to the plaintiff if the plaintiff is not represented by an attorney, within 45 days from the date of this final judgment, unless the final judgment is satisfied or a motion for new trial or notice of appeal is filed. The defendants should NOT file the completed form 7.343 with the court.

Jurisdiction of this case is retained to enter further orders that are proper to compel the defendants to complete form 7.343 and return it to the plaintiff's attorney, or the plaintiff if the plaintiff is not represented by an attorney.

5

DONE and ORDERED in Chambers, at Broward County, Florida on 03-08-2021.

COCE19019419 03-08-2021 5:00 PM

COCE19019419 03-08-2021 5:00 PM

Hon. Jennifer Hilal

COUNTY JUDGE

Electronically Signed by Jennifer Hilal

Copies Furnished To:

Mark Gunderson , E-mail : mgunderson@mindspring.com

EXHIBIT # 5
PAGE # 6
840

IN THE COUNTY COURT FOR THE SEVENTEENTH JUDICIAL CIRCUIT IN AND FOR BROWARD COUNTY, FLORIDA

TEIGLAND, FRANKLIN & BROKKEN, D.V.M.'S, Inc. Plaintiff,

COCE-19-019419 Division: 48

vs

ALEXIS CAMANO Defendant.

STIPULATION FOR PAYMENT OF FINAL JUDGMENT

Plaintiff and Defendant stipulate that:

1. Defendant is indebted to Plaintiff via Judgment (attached) in the sum of \$1,829.80, plus interest at the rate of 4.81% from the Judgment date.
2. Plaintiff has agreed to waive interest for the period from the Judgment date, until the date the final monthly payment is made on the remaining balance, according to the following payment schedule.
 - a. \$200 to be received May 6, 2021, upon the signing of this Stipulation;
 - b. \$100 to be received on the 6th of each month thereafter until the entire balance according to paragraph 1 is paid in full.
3. All payment shall be made directly to Teigland, Franklin & Brokken, DVM, PA, 12277 SW 55th Street #909, Fort Lauderdale, FL 33330. Call Trish Shelton at (954) 732-0083, if paying by credit/debit card.
4. Upon the signing of this stipulation and receipt of Defendant's 1st payment, plaintiff shall notify the Florida Division of Pari-mutuel Wagering that it is withdrawing its complaint. So long as payments are made in strict compliance with the above schedule, Plaintiff shall not seek revocation of Defendant's license.
5. If Defendant fails to make timely payments, in clear funds, each month, time being of the essence, in at least the amounts shown in ¶2, Plaintiff, shall be entitled to have Defendant's license revoked without notice or hearing..
6. Upon receipt of the last payment according to ¶2, Plaintiff shall provide Defendant with a Satisfaction of Judgment.

Trish Shelton 5/6/21
By: Trish Shelton Date
Manager of Customer Collections
for Teigland, Franklin et. al

[Signature]
Alexis Camano Date

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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[Complaint Search](#) | [Change Recording License Type](#) | [Delete Complaint](#) | [Mass Activity Update](#) | [Mass Discipline Update](#)
[Mass Status Update](#) | [Public Case Info](#)

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: Imuniz

[VR Home](#) | [Complaint Search](#) | [Maintain Complaint](#)

Lic Type	1021 - Pari-Mutuel Wagering Individual Occupational		Status	20 Under Investigation		Status Date	10/26/2022	
Complaint #	2022051619		Case Type	CMP Complaint		Disposition	Disposition Date	
Docket#	Re pondent	CAMANO ROBLES, ALEXIS		Re pon ible	jminaya - MINAYA, JULIO		Private Ca e	

Complaint	Re pondent	Complainant	Add'l Info
-----------	------------	-------------	------------

Source	CNSM - Consumer	Security Level	1	<input type="checkbox"/>	Parties	<input checked="" type="checkbox"/>	Activities			
Form	PCOM - PMW Complaint	Priority		<input checked="" type="checkbox"/>	Allegations	<input type="checkbox"/>	Discipline			
Class'n	IV-A - Financial Responsibility	Complexity	R - Regular	<input type="checkbox"/>	Violation	<input type="checkbox"/>	Compliance			
Security	STND - Standard	Incident	10/26/2022	<input type="checkbox"/>	Related	<input checked="" type="checkbox"/>	Disposition			
Region	SR - Southern Region	Received	10/26/2022	<input type="checkbox"/>	Inspection					
Reference	550.105(7)			<input type="checkbox"/>	Costs					
Entered	10/26/2022	Entered By	Imuniz	<input type="checkbox"/>	Time Tracking		Auto A ign			
Summary	<p>321-Gulfstream Park Racing Assoc., Inc. ----- / ----- . Financial Responsibility / Occupational licenses of racetrack employees; fees; denial, suspension, and revocation of license; penalties and fines: On October 25, 2022, a copy of an Amended Default & Final Judgment, Case #COCE-19-019419 was received via e-mail from Attorney Mark Gunderson. Per said Judgment filed on March 8, 2021, in the County Court For the 17th Judicial Circuit in and For Broward County, FL, in Division 48 Teigland, Franklin & Brokken, DVMs Inc., shall recover from Alexis Camano the sum of \$1,829.80, which shall bear interest at the rate of 4.81% per year. Because Camano has made \$800.00 in payments the balance due is \$1,029.80.</p>						<input type="checkbox"/>	Attachments	<input type="checkbox"/>	History
Updated	10/26/2022 13:18:05		By	Imuniz		<input type="checkbox"/>	Work Notes	<input type="checkbox"/>	Print Report	

<input type="button" value="Change"/>	<input type="button" value="Save"/>	<input type="button" value="OK"/>	<input type="button" value="Cancel"/>	<input type="button" value="Back"/>
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**STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING**

OPEN CASE REQUEST

(OTHER THAN THOSE SPECIFIED IN SECTION 120.80(4)(a), F.S.)

INCIDENT DATE: October 26, 2022

PMW Cardroom Slot

Violation Incident Complaint

FACILITY NAME: Gulfstream Park Racing, Assoc., Inc. **LIC #:** 321

RESPONDENT

NAME: Alexis Camano Robles		
Address: 9940 River Run Cir S, Pembroke Pines, Florida 33025		Tel #: XXXXXXXXXX
LIC #: 7992572	LIC TYPE: 1021	OCCUPATION: Individual Animal Owner / Trainer

COMPLAINANT

NAME: Teigland, Franklin & Brokken, DVMs Inc.		Tel #: (954) 288-1001
Address: 12277 SW 55th Street, Fort Lauderdale Florida 33330		
LIC #:	LIC TYPE:	OCCUPATION:

VIOLATION(S) / TITLE(S): **F.S.S. 550.105 Occupational licenses of racetrack employees; fees; denial, suspension, and revocation of license; penalties and fines. –**

(7) The commission may deny, revoke, or suspend any occupational license if the applicant therefor or holder thereof accumulates unpaid obligations or defaults in obligations, or issues drafts or checks that are dishonored or for which payment is refused without reasonable cause, if such unpaid obligations, defaults, or dishonored or refused drafts or checks directly relate to the sport of jai alai or racing being conducted at a pari-mutuel facility within this state.

DESCRIPTION: On October 25, 2022, a copy of an Amended Default & Final Judgment, Case #COCE-19-019419 was received via e-mail from Attorney Mark Gunderson. Per said Judgment filed on March 8, 2021, in the County Court For the 17th Judicial Circuit in and For Broward County, FL, in Division 48 Teigland, Franklin & Brokken, DVMs Inc., shall recover from Alexis Camano the sum of \$1,829.80, which shall bear interest at the rate of 4.81% per year. Because Camano has made \$800.00 in payments the balance due is \$1,029.80.

CASE DETAILS FILED BY:

Chief Inspector Judge/Steward Investigator Other: _____
(Title of State Employee)

Julio Minaya

October 26, 2022

(Print Name)

(Signature)

(Date)

Muniz, Luz

From: Minaya, Julio
Sent: Wednesday, October 26, 2022 11:22 AM
To: Muniz, Luz
Cc: Kogan, Steven
Subject: OCR
Attachments: OPEN CASE REQUEST -CAMANO - Finiancial.docx

Luz,

Please assign to me.



Julio Minaya
Investigative Supervisor
Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
Office of Investigations
1400 West Commercial Boulevard, Suite 165
Ft. Lauderdale, Florida 33309
Office: 954-202-6844 / Fax: 954.202.3930



VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: Imuniz

VR Home | Complaint Search | Maintain Complaint | License Home

<p>License</p> <p>Fed Tax # [REDACTED] Lic Type 1021 - PMW Professional Individual Occupational Expire On 06/30/2024</p> <p>File # 66925 Name CAMANO ROBLES, ALEXIS Extended To</p> <p>License # 7992572 Rank PIND - Professional Individual Occupational Renewed On</p> <p>Entity # 7992572 Lic Status Current</p>		<p>Licensee</p> <p>History</p> <p><input type="checkbox"/> Notes</p> <p>Notes History</p> <p>Back</p>																			
<p>Address</p> <p>Street # 9940 Street RIVER RUN CIR S</p> <p>Line 2</p> <p>Line 3</p> <p>City PEMBROKE PINES State FL Zip 33025</p> <p>Routing</p>																					
<p>Other</p> <p>1st License Date 07/13/2021 Rank Date 07/13/2021 Certificate #</p> <p>Method I-S-1024 Status Date 07/13/2021 Certificate Date</p> <p>Fee Exempt No Birth Date [REDACTED] Renewal Sent</p>																					
<p>Select</p> <p>Action <input type="text"/>  </p>																					
<p>Modifiers</p> <table border="1"> <thead> <tr> <th>Type</th> <th>Modifier</th> <th>Effective Date</th> <th>Additional Info</th> </tr> </thead> <tbody> <tr> <td>C</td> <td>ONTR - Owner/Trainer</td> <td>05/21/2021</td> <td></td> </tr> <tr> <td>I</td> <td>TBRD - Thoroughbred</td> <td>05/03/2018</td> <td></td> </tr> <tr> <td>L</td> <td>321 - Gulfstream Park</td> <td>07/02/2021</td> <td></td> </tr> <tr> <td>Y</td> <td>3YR 3 Year License</td> <td>03/21/2018</td> <td></td> </tr> </tbody> </table>		Type	Modifier	Effective Date	Additional Info	C	ONTR - Owner/Trainer	05/21/2021		I	TBRD - Thoroughbred	05/03/2018		L	321 - Gulfstream Park	07/02/2021		Y	3YR 3 Year License	03/21/2018	
Type	Modifier	Effective Date	Additional Info																		
C	ONTR - Owner/Trainer	05/21/2021																			
I	TBRD - Thoroughbred	05/03/2018																			
L	321 - Gulfstream Park	07/02/2021																			
Y	3YR 3 Year License	03/21/2018																			
<p>Alt Key</p> <p>BEST LIC NBR 7992572</p>																					

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NOTICE OF ACTION

BEFORE THE DIVISION OF PARI-MUTUEL WAGERING

IN RE: Violation of sections 550.105(7), Florida Statutes.

ALEXIS CAMANO ROBLES
9940 River Run Circle South
Pembroke Pines, Florida 33025

CASE NO.: 2022-051619

LICENSE NO.: 7992572

The Florida Gaming Control Commission has filed an Administrative Complaint against you, a copy of which may be obtained by contacting Ebonie Lanier, Administrative Assistant III, Office of the General Counsel, Florida Gaming Control Commission, 4070 Esplanade Way, Tallahassee, FL 32399, (850) 794-8072.

If no contact has been made by you concerning the above by Friday, October 13, 2023, the matter of the Administrative Complaint will be presented to the Florida Gaming Control Commission at the next public meeting for final agency action.

In accordance with the Americans with Disabilities Act, persons needing special accommodation to participate in this proceeding should contact the individual or agency sending notice no later than seven days prior to the proceeding at the address given on notice. Telephone: (850) 257- 6097; 1-800-955-8771 (TDD) or 1-800-955-8770 (v), via Florida Relay Service.

Public Notice Order Confirmation

Ad Text

This is not an Invoice

Daily Business Review

Zone: Florida - Broward

Ad Order #: 0000683383

Account #: 9059304

Acct Name: FLORIDA GAMING CONTROL COMMISSION

PO #: 2022-051619

Phone: 850-717-1663

Placement: Other Notices

Position: Miscellaneous / Other Notices

Ad Size: 4.37 Inches

Run Dates: 09/15, 09/22, 09/29, 10/06

Firm Internal #:

PO# 2:

██████████ ██████████

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Deputy Chief Attorney
Re: FGCC v. Yaneri Caridad Garcia Case No. 2023-026969; Default Final Order
Date: November 17, 2023

Executive Summary

The Division of Pari-Mutuel Wagering seeks the permanent exclusion of Yaneri Caridad Garcia (“Respondent”) from all pari-mutuel and slot facilities in the state of Florida. By failing to respond to the properly served administrative complaint seeking to exclude her, Respondent waived her right to request a hearing contesting the Division’s decision. Therefore, the Florida Gaming Control Commission should enter a final order excluding Respondent from all pari-mutuel and slot facilities in the state of Florida.

Background

On or about May 8, 2023, Respondent was a patron of Calder Casino.¹ Respondent was seen capping her bet. Capping, a form of cheating, means adding money to a winning bet after the outcome is known. Respondent was permanently excluded from Calder Casino², on May 9, 2023.

Based on her exclusion from Harrah’s Pompano Park Casino, the Division filed an administrative complaint against Respondent seeking his exclusion from all pari-mutuel and slot facilities in the state of Florida. The election of rights accompanying the administrative complaint made it clear that the Respondent had 21 days³ to file a written response to the administrative complaint. The Respondent was served on October 24, 2023, which means the Respondent had until November 14, 2023, to respond. She has never responded.

¹ Hialeah Park is operated by a pari-mutuel wagering permit holder that also possesses a slot machine and cardroom license.

² Pari-mutuel permit holders and slot machine licensees have the right to exclude patrons. §§ 550.0251(6); 551.112, Fla. Stat.

³ See Fla. Admin. Code R. 28-106.111(4) (“Any person who receives written notice of an agency decision and who fails to file a written request for a hearing within 21 days waives the right to request a hearing on such matters.”)

Analysis

Respondent can be excluded from all pari-mutuel and slot machine facilities in this state. Section 550.0251(6), Florida Statutes, provides, in relevant part, that “[t]he Commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state.” Likewise, section 551.112, Florida Statutes, provides, in relevant part “[t]he Commission may exclude from any facility of a slot machine licensee any person who has been ejected from a facility of a slot machine licensee in this state.” Calder Casino is both a pari-mutuel facility and slot machine licensee in this state. And Respondent was ejected from it. Accordingly, Respondent can be excluded from all pari-mutuel and slot machine facilities in this state.

Because Respondent failed to file a timely response to the administrative complaint, she waived her right to request a hearing. Therefore, the Florida Gaming Control Commission may enter a final order excluding Respondent from all pari-mutuel and slot machine facilities in this state.

Staff Recommendation: The Florida Gaming Control Commission should enter a final order permanently excluding Yaneri Caridad Garcia from all pari-mutuel and slot machine facilities in this state.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2023-026969

YANERI CARIDAD GARCIA,

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against Yaneri Caridad Garcia (“Respondent”), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering, slot machines, and cardroom operations pursuant to chapters 550, 551, and 849, Florida Statutes.
2. At all times material hereto, Respondent’s address was reported as 3035 Northwest 68th Street, Miami, Florida 33147.
3. At all times material hereto, Calder Casino was a facility operated by a permitholder authorized to conduct pari-mutuel wagering, slot machines, and cardroom operations in the State of Florida.
4. On or about May 8, 2023, Respondent was a patron of Calder Casino.
5. On or about May 9, 2023, Respondent was ejected and permanently excluded from Calder Casino.
6. Respondent was permanently excluded for the reasons alleged in Exhibit 1.

7. Section 550.0251(6), Florida Statutes, provides in relevant part:

In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the commission may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state.

(Emphasis supplied).

8. Section 551.112, Florida Statutes, provides:

In addition to the power to exclude certain persons from any facility of a slot machine licensee in this state, the commission may exclude any person from any facility of a slot machine licensee in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any facility of a slot machine licensee any person who has been ejected from a facility of a slot machine licensee in this state or who has been excluded from any facility of a slot machine licensee or gaming facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over the gaming in such other state. This section does not abrogate the common law right of a slot machine licensee to exclude a patron absolutely in this state.

(Emphasis supplied).

9. Based on the foregoing, Respondent is subject to exclusion from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the State of Florida under sections 550.0251(6) and 551.112, Florida Statutes based on her ejection from Calder Casino on or about May 9, 2023.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order excluding Respondent from all licensed pari-mutuel wagering facilities and any

facility of a slot machine licensee in the State of Florida, along with any other remedy provided by chapters 550 and 551, Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2023-026969 is signed this 6th day of July 2023.

/s/Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way Ste. 250
Tallahassee, Florida 32399-2202
Telephone: (850) 794-8066
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@flgaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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[Complaint Search](#) | [Change Recording License Type](#) | [Delete Complaint](#) | [Mass Activity Update](#) | [Mass Discipline Update](#)
[Mass Status Update](#) | [Public Case Info](#)

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **nmelvai**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type 1098 - Unlicensed Complaints	Status 90 Closed	Status Date 07/03/2023
Complaint # 2023026969	Case Type CMP - Complaint	Disposition
Docket#	Respondent GARCIA, YANERI CARIDAD	Responsible bjones - JONES, BRADFORD
		Private Case

Complaint	Respondent	Complainant	Add'l Info
-----------	------------	-------------	------------

Source INTN - Internal	Security Level 1	<input type="checkbox"/> Parties	<input checked="" type="checkbox"/> Activities
Form INTR - Internal	Priority		
Class'n V-C - Cardroom Violations	Complexity R - Regular	<input checked="" type="checkbox"/> Allegations	<input type="checkbox"/> Discipline
Security STND - Standard	Incident 05/09/2023	<input type="checkbox"/> Violations	<input type="checkbox"/> Compliance
Region SR - Southern Region	Received 05/10/2023	<input type="checkbox"/> Related	<input checked="" type="checkbox"/> Disposition
Reference 61D-11.005		<input type="checkbox"/> Inspection	
Entered 05/10/2023	Entered By nmelvai	<input type="checkbox"/> Costs	
Summary 285 - Calder Race Course, Inc. - On May 9, 2023, this Investigator was informed by Calder Casino Director of Compliance Iliana Velazquez via e-mail of a cheating incident that occurred in the cardroom on May 9, 2023 at approximately 3:38 A.M. Velazquez's e-mail stated that cardroom Patron Yaneri Caridad Garcia was observed cheating during a live poker game. Garcia was observed adding an additional chip which is known as (Capping) to the table after looking at her cards, in order to get a larger payout out on the winning hand. A copy of the Security and Surveillance Report was obtained from Velazquez. Video footage of the incident was also obtained.		<input type="checkbox"/> Time Tracking	<input type="button" value="Auto Assign"/>
		<input type="checkbox"/> Attachments	<input type="button" value="History"/>
		<input type="checkbox"/> Work Notes	<input type="button" value="Print Report"/>
Updated 07/05/2023 13:30:52	By nmelvai		



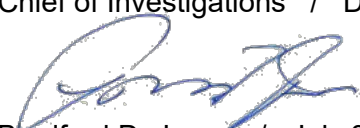
56 Days	<input type="button" value="Change"/>	<input type="button" value="Save"/>	<input type="button" value="OK"/>	<input type="button" value="Cancel"/>	<input type="button" value="Back"/>
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Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS
INVESTIGATIVE REPORT

Office: PMW	Region: SOUTHERN	Date of Complaint: May 9, 2023	Case Number: 2023 02 6969
Respondent: GARCIA, YANERI CARIDAD 3035 NW 68th STREET MIAMI, FLORIDA 33147		Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 W. COMMERCIAL BLVD. SUITE 165 FT. LAUDERDALE, FLORIDA 33309 TEL (954) 202-3900	
License # and Type: N/A - 1098	Profession: Patron		Report Date: June 13, 2023
Period of Investigation: May 9, 2023 through June 13, 2023		Type of Report: Final	
<p>Alleged Violation: 75-11.005 Prohibitions.</p> <p>(4) No person shall, either directly or indirectly:</p> <p>(a) Employ or attempt to employ any device, scheme, or artifice to defraud any participant in a game or the cardroom operator.</p> <p>(b) Engage in any act, practice, or course of operation that would constitute a fraud or deceit upon any participant in a game or the cardroom operator.</p> <p>(c) Engage in any act, practice, or course of operation with the intent of cheating any participant or the cardroom operator.</p>			
<p>Synopsis: On May 9, 2023, this Investigator received an e-mail notification from Calder Casino Director of Compliance Iliana Velazquez concerning a cheating incident that occurred on May 8, 2023. Velazquez stated that card room patron Yaneri Caridad GARCIA was observed adding additional chips to the table after looking at her cards during a live poker game. This practice is known as "Capping" (a form of cheating) and it is done to create a larger payout on an [already] winning hand. Velazquez subsequently provided this Investigator with copies of Security and Surveillance Reports (EXHIBITS #1-3). On May 10, 2023, a copy of video footage of the incident was obtained from Calder Surveillance Manager Leonides Martinez (EXHIBIT #4).</p> <p>After a review of the reports and video footage of the incident, an Open Case Request was submitted to Investigations Supervisor Julio Minaya for review and approval. The video was placed into PMW evidence for review (EXHIBIT #4).</p>			
Related Case(s):			
Investigator / Date: June 13, 2023  Tyrell Smith /		Investigator Supervisor / Date  Julio Minaya / June 23, 2023	
Chief of Investigations / Date  Bradford D. Jones / July 3, 2023			

CONTINUATION

Further investigation into this matter and a review of the surveillance footage and Incident Reports revealed that on May 8, 2023, at approximately 11:40 P.M., **GARCIA** was sitting at poker table #6, seat #4, playing in a live poker game. According to Surveillance Report #21796, **GARCIA** was observed looking at her cards and then placing an additional \$5.00 (five dollar) chip onto the table. This is a form of cheating which is called "Capping." By doing this, **GARCIA** guaranteed herself an extra \$50.00 payout should she win the hand. At 11:50 P.M., Card Room Supervisor Scott Burnett requested a video review of the incident from Surveillance (**EXHIBIT #2**).

Upon review of the video footage, the following was observed:

GARCIA can be observed sitting at Table #6, seat #4, playing in a live game of poker. She is dealt her hand by the Dealer and proceeds to look at her cards. After looking at her cards and all bets are on the table, she places her cards face up on the table. The Dealer looks away for a moment and **GARCIA** is then seen adding an additional red \$5.00 (five dollar) chip onto the "Flush Rush" bet on which she had already placed a bet. She continues to play poker and at some point (not seen in the video), moves to table #2, seat #1, where she is confronted by Poker Supervisor Scott Burnett and Security Supervisor Vladamir Morency. **GARCIA** is then escorted from the table and to the cashier cage by Morency where she is allowed to cash in her remaining chips. She is then observed speaking to Morency and a few minutes later she is escorted to the north exit of the casino and allowed to leave without further incident.

On June 1, 2023, this Investigator spoke with Calder Compliance Director Iliana Velazquez to obtain additional details regarding this incident. Velazquez stated that on the day of the incident **GARCIA** was observed by the Designated Player (DP) at the table Banu Ghai, "Capping" her bet while playing at table #6. Ghai notified the DP Supervisor Carlos Brioso, who was also in the cardroom. Brioso then informed Calder Poker Supervisor Scott Burnett the incident. Burnett then contacted Surveillance, requested a video review of table #6, and confirmed that **GARCIA** had cheated. Burnett then notified Security Supervisor Vladamir Morency and the two responded to the poker room and approached **GARCIA**. According to Velazquez, **GARCIA** was allowed to cash out her remaining chips, and was permanently excluded from the facility. She was escorted to the north exit and allowed to leave the premises without further incident.

On June 13, 2023, this Investigator spoke with Calder Cardroom Supervisor Scott Burnett about the incident. Burnett stated that he was the Shift Supervisor on May 8, 2023, when he was notified by Designated Player Supervisor Carlos Brioso, of a possible cheating incident at table #6. Burnett says that he went to Surveillance, reviewed video footage of table #6, and confirmed Brioso's allegation. Burnett then contacted Security Supervisor Vladamir Morency who responded to the cardroom.

Once Morency arrived at the cardroom, Burnett and Morency approached **GARCIA** who was now sitting at table #2. **GARCIA** was escorted from the table and to the cashier cage where she would be allowed to cash out her remaining chips. However, prior to arriving at the cage, Burnett says that he informed **GARCIA** of the cardroom rules regarding cheating. It was at this time that Morency informed **GARCIA** that she was being permanently excluded from the facility. She was allowed to cash out her remaining chips and was escorted to the exit door by Morency without further incident.

Status: Case closed by Investigations and forwarded to Legal for review.

CONTINUATION

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I.	INVESTIGATIVE REPORT COVERSHEET	1
II.	INVESTIGATIVE REPORT	2-11
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	2. Calder Surveillance Incident Report # 21796	1-5
	3. Calder Security Incident Report # 21795.....	1-3
	4. Property Receipt	1-1

Tyrell Smith

From: Iliana Velazquez <Iliana.Velazquez@caldercasino.com>
Sent: Tuesday, May 9, 2023 12:03 PM
To: Tyrell Smith
Cc: Richard Sukhu; Iliana Velazquez
Subject: Lifetime Ban Request for State Exclusion List- Yaneri C Garcia
Attachments: Surv 21796 Criminal Cheating.pdf; SEC 21795 Criminal Cheating Incident Detail Report.pdf

Importance: High

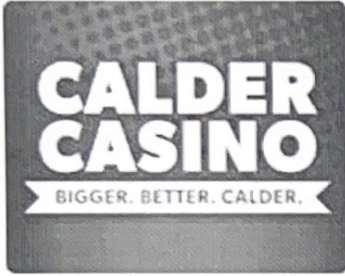
Hi Tyrell,

Calder Casino is recommending to have cardroom ex-patron Yaneri Garcia be added to the State Lifetime Ban List.

This Patron was caught cheating "capping the bet/post betting" while gaming at our facility, attached you'll find supporting documentation from our security and surveillance team outlining his actions. Ms. Garcia has been issued an indefinite ban from our facility and was escorted out of the facility right away, footage is on file for your review.

Please let me know if you need anything additional from me.

Thank you



ILIANA VELAZQUEZ

Director of Compliance

21001 NW 27th Avenue | Miami Gardens, FL 33056

Office: (305) 625-1311 ext: 5690

Iliana.Velazquez@CalderCasino.com



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IM - Incident Detail Report

INC-21796

SURV 21796 | 2023-05-09 | Criminal / Cheating |

Calder Casino
21001 NW 27th Avenue,
Miami Gardens, Florida United States
33056

Incident Details Report

Incident Name	SURV 21796 2023-05-09 Criminal / Cheating
Incident Type	<hr/> Criminal / Cheating <hr/>
Reported Date	May 9, 2023 3:48 am

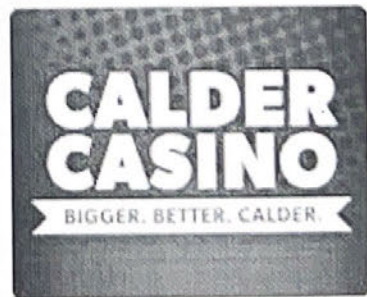


EXHIBIT # -2
PAGE # -1

(1. a.) INCIDENT SUMMARY

Primary Incident Type Criminal / Cheating	Incident Severity High	Net Loss 0
---	----------------------------------	----------------------

Description

Created on: 05092023 Observation: On May 8, 2023 at 23:50 hours, Card Room Supervisor Scott Burnett called and advised of wanting to conduct a review for a possible cheating guest.

Location

Observed Date/Time	Incident Reported DateTime May 9, 2023 3:48 am	Incident Start DateTime May 9, 2023 3:38 am	Incident End DateTime May 9, 2023 3:49 am
Reported By	Responding Person(s)	Incident Owner Jerome Davis	Incident Supervisor Jerome Davis, Ashley Kalisch, Humberto Machin, Leonides Martinez, Sierra Spencer, Michael Urena
Incident Flags	Fiscal Year	Additional Responses	Police File Number
Flag Notes	Additional Incident Types		

EXHIBIT # -2
PAGE # -2

(1. b.) INCIDENT ANNEXURES - INVOLVEMENTS & RELATED DATA

INVOLVED LOCATIONS

Reported Location

Location Name

Casino / Front of House / Poker Room

Location

Location Type

Property Name

Asset Status

Internal

Owner/Lessor

Organization

Business Unit

Policies

EXHIBIT #-2
PAGE # -3

INVOLVED PERSONS

Suspect

Involved Person Name Suspect Yeneri Garcia	Involvement Flags	Added to Incident May 9, 2023	
	First Name Yeneri	Gender	Email 1
	Last Name Garcia	Date of Birth	Phone Number 1

EXHIBIT #-2
PAGE # -4

NARRATIVES

Original Narrative

Person

Date & Time

May 9, 2023 3:53 am

Narrative Owner

Jerome Davis

Narrative

Origin of Call: On May 8, 2023, at 23:50 hours, Card Room Supervisor Scott Burnett requested a review of possible cheating at Table #6 Seat #4.

Video Coverage: Upon review at 23:40 hours, guest identified as Yaneri Garcia is observed being dealt her cards by dealer Kevin Alvarez. Upon receiving her cards, Ms. Garcia looked at the hand she was dealt and proceeded to illegally add an additional \$5 dollars to her bet for a total of \$10, which increased her winnings by an additional \$50 dollars.

Shift Supervisor Vladimir Morency was advised and confronted Ms. Garcia about her actions. Shift Supervisor Morency escorted Ms. Garcia out the North Exit, trespassing her from the property.

Information Supplied:

Per Card Room Supervisor Scott Burnett, Ms. Garcia had been paid out \$50.00 for that hand which she paid back.

Person's Involved:

Reporting Party - Card Room Supervisor Scott Burnett
Security Shift Supervisor Vladimir Morency
Suspect - Yaneri Garcia

Attached Narrative

EXHIBIT # -2
PAGE # -5

IM - Incident Detail Report

INC-21795

SEC 21795 | 2023-05-09 | Criminal / Cheating |

Calder Casino
21001 NW 27th Avenue,
Miami Gardens, Florida United States
33056

Incident Details Report

Incident Name	SEC 21795 2023-05-09 Criminal / Cheating
Incident Type	<u>Criminal / Cheating</u>
Reported Date	May 9, 2023 3:04 am

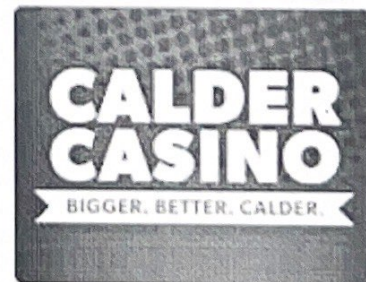


EXHIBIT # -3
PAGE # -1

(1. a.) INCIDENT SUMMARY

Primary Incident Type Criminal/Cheating	Incident Severity Low	Net Loss 0
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Description

05092023 Observation: On May 9, 2023 at approximately 0000 hours, Security Shift Supervisor Vladimir Morency was requested to the Card Room to meet with Card Room Manager Scott Burnett regarding guest, Yaneri Garcia [REDACTED] who was verified as "Capping" a bet; which is an act of cheating.

Location

Observed Date/Time	Incident Reported DateTime May 9, 2023 3:04 am	Incident Start DateTime May 9, 2023 12:00 am	Incident End DateTime May 9, 2023 12:15 am
Reported By Scott Burnett	Responding Person(s)	Incident Owner Vladimir Morency	Incident Supervisor Jean Lara
Incident Flags Suspect Known to Security, Video Available	Fiscal Year	Additional Responses	Police File Number
Flag Notes	Additional Incident Types		

EXHIBIT # -3
PAGE # -2

(2. a.) INVESTIGATION SUMMARY

Outcome Overview

What happened?

How did it happen?

Why did it happen?

What needs to be corrected?

Contributing Factors

Incident Corrective Actions

Closed Date/Time	Investigation Start Date	Investigation Close Date	Expiry Date
Reported to Police No	Reported to Supervisor Yes	Requires Investigation No	Investigator Vladimir Morency
		Investigation Cost \$0.00	Investigation Time Spent (Hours) 0

EXHIBIT # -3
PAGE # -3



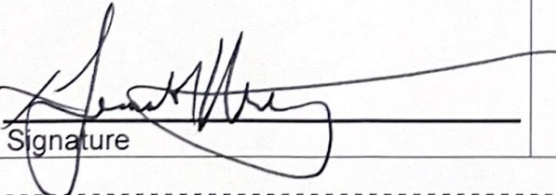
**Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
Office of Investigations**

PROPERTY RECEIPT

Complaint # 2023-02-4969 Date 5/10/23 Lab # _____

Item No.	Quantity	Description
1	1	DVD CONTAINING VIDEO FOOTAGE OF SACRED PATROW YANERLE CARIDAD GARCIA CAPPING HER BET.
<i>LAST ITEM</i>		

I hereby acknowledge that the above list represents all property taken from my possession and that I have received a copy of this receipt.


Signature

I hereby acknowledge that the above list represents all property impounded by me in the official performance of duty as Investigator for the Division of Pari-Mutuel Wagering.


Signature - Impounding Investigator

RETURNED PROPERTY RECEIPT

I hereby acknowledge the return to me, by the Division of Pari-Mutuel Wagering, the above listed property.

Signature _____
Date

Received by: _____ Date: _____
Received by: _____ Date: _____
Received by: _____ Date: _____

Copies for: File, Transmittal, Laboratory, Property Receipt

**EXHIBIT # -4
PAGE # -1**

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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Complaint Search | Mass Status Update | Change Recording | License Type | Public Case Info | Delete Complaint | Mass Activity Update | Mass Discipline Update

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **nmelvai**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type 1098 - Unlicensed Complaints	Status 90 Closed	Status Date 07/03/2023
Complaint # 2023026969	Case Type CMP - Complaint	Disposition
Docket#	Respondent GARCIA, YANERI CARIDAD	Responsible bjones - JONES, BRADFORD
		Private Case

Complaint	Respondent	Complainant	Add'l Info
-----------	------------	-------------	------------

Source INTN - Internal	Security Level 1	<input type="checkbox"/> Parties	<input checked="" type="checkbox"/> Activities
Form INTR - Internal	Priority		
Class'n V-C - Cardroom Violations	Complexity R - Regular	<input checked="" type="checkbox"/> Allegations	<input type="checkbox"/> Discipline
Security STND - Standard	Incident 05/09/2023	<input type="checkbox"/> Violations	<input type="checkbox"/> Compliance
Region SR - Southern Region	Received 05/10/2023	<input type="checkbox"/> Related	<input checked="" type="checkbox"/> Disposition
Reference 61D-11.005		<input type="checkbox"/> Inspection	
Entered 05/10/2023	Entered By nmelvai	<input type="checkbox"/> Costs	
Summary 285 - Calder Race Course, Inc. - On May 9, 2023, this Investigator was informed by Calder Casino Director of Compliance Iliana Velazquez via e-mail of a cheating incident that occurred in the cardroom on May 9, 2023 at approximately 3:38 A.M. Velazquez's e-mail stated that cardroom Patron Yaneri Caridad Garcia was observed cheating during a live poker game. Garcia was observed adding an additional chip which is known as (Capping) to the table after looking at her cards, in order to get a larger payout out on the winning hand. A copy of the Security and Surveillance Report was obtained from Velazquez. Video footage of the incident was also obtained.		<input type="checkbox"/> Time Tracking	<input type="button" value="Auto Assign"/>
		<input type="checkbox"/> Attachments	<input type="button" value="History"/>
		<input type="checkbox"/> Work Notes	<input type="button" value="Print Report"/>
Updated 07/05/2023 13:30:52	By nmelvai		

56 Days	<input type="button" value="Change"/>	<input type="button" value="Save"/>	<input type="button" value="OK"/>	<input type="button" value="Cancel"/>	<input type="button" value="Back"/>
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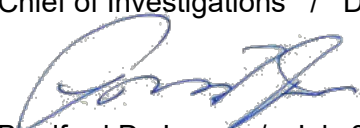
Get Adobe Reader.

Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office: PMW	Region: SOUTHERN	Date of Complaint: May 9, 2023	Case Number: 2023 02 6969
Respondent: GARCIA, YANERI CARIDAD 3035 NW 68th STREET MIAMI, FLORIDA 33147		Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 W. COMMERCIAL BLVD. SUITE 165 FT. LAUDERDALE, FLORIDA 33309 TEL (954) 202-3900	
License # and Type: N/A - 1098		Profession: Patron	Report Date: June 13, 2023
Period of Investigation: May 9, 2023 through June 13, 2023		Type of Report: Final	
<p>Alleged Violation: 75-11.005 Prohibitions.</p> <p>(4) No person shall, either directly or indirectly:</p> <p>(a) Employ or attempt to employ any device, scheme, or artifice to defraud any participant in a game or the cardroom operator.</p> <p>(b) Engage in any act, practice, or course of operation that would constitute a fraud or deceit upon any participant in a game or the cardroom operator.</p> <p>(c) Engage in any act, practice, or course of operation with the intent of cheating any participant or the cardroom operator.</p> <p>Synopsis: On May 9, 2023, this Investigator received an e-mail notification from Calder Casino Director of Compliance Iliana Velazquez concerning a cheating incident that occurred on May 8, 2023. Velazquez stated that card room patron Yaneri Caridad GARCIA was observed adding additional chips to the table after looking at her cards during a live poker game. This practice is known as "Capping" (a form of cheating) and it is done to create a larger payout on an [already] winning hand. Velazquez subsequently provided this Investigator with copies of Security and Surveillance Reports (EXHIBITS #1-3). On May 10, 2023, a copy of video footage of the incident was obtained from Calder Surveillance Manager Leonides Martinez (EXHIBIT #4).</p> <p>After a review of the reports and video footage of the incident, an Open Case Request was submitted to Investigations Supervisor Julio Minaya for review and approval. The video was placed into PMW evidence for review (EXHIBIT #4).</p>			
Related Case(s):			
Investigator / Date: June 13, 2023  Tyrell Smith /		Investigator Supervisor / Date  Julio Minaya / June 23, 2023	
Chief of Investigations / Date  Bradford D. Jones / July 3, 2023			

CONTINUATION

Further investigation into this matter and a review of the surveillance footage and Incident Reports revealed that on May 8, 2023, at approximately 11:40 P.M., **GARCIA** was sitting at poker table #6, seat #4, playing in a live poker game. According to Surveillance Report #21796, **GARCIA** was observed looking at her cards and then placing an additional \$5.00 (five dollar) chip onto the table. This is a form of cheating which is called "Capping." By doing this, **GARCIA** guaranteed herself an extra \$50.00 payout should she win the hand. At 11:50 P.M., Card Room Supervisor Scott Burnett requested a video review of the incident from Surveillance (**EXHIBIT #2**).

Upon review of the video footage, the following was observed:

GARCIA can be observed sitting at Table #6, seat #4, playing in a live game of poker. She is dealt her hand by the Dealer and proceeds to look at her cards. After looking at her cards and all bets are on the table, she places her cards face up on the table. The Dealer looks away for a moment and **GARCIA** is then seen adding an additional red \$5.00 (five dollar) chip onto the "Flush Rush" bet on which she had already placed a bet. She continues to play poker and at some point (not seen in the video), moves to table #2, seat #1, where she is confronted by Poker Supervisor Scott Burnett and Security Supervisor Vladamir Morency. **GARCIA** is then escorted from the table and to the cashier cage by Morency where she is allowed to cash in her remaining chips. She is then observed speaking to Morency and a few minutes later she is escorted to the north exit of the casino and allowed to leave without further incident.

On June 1, 2023, this Investigator spoke with Calder Compliance Director Iliana Velazquez to obtain additional details regarding this incident. Velazquez stated that on the day of the incident **GARCIA** was observed by the Designated Player (DP) at the table Banu Ghai, "Capping" her bet while playing at table #6. Ghai notified the DP Supervisor Carlos Brioso, who was also in the cardroom. Brioso then informed Calder Poker Supervisor Scott Burnett the incident. Burnett then contacted Surveillance, requested a video review of table #6, and confirmed that **GARCIA** had cheated. Burnett then notified Security Supervisor Vladamir Morency and the two responded to the poker room and approached **GARCIA**. According to Velazquez, **GARCIA** was allowed to cash out her remaining chips, and was permanently excluded from the facility. She was escorted to the north exit and allowed to leave the premises without further incident.

On June 13, 2023, this Investigator spoke with Calder Cardroom Supervisor Scott Burnett about the incident. Burnett stated that he was the Shift Supervisor on May 8, 2023, when he was notified by Designated Player Supervisor Carlos Brioso, of a possible cheating incident at table #6. Burnett says that he went to Surveillance, reviewed video footage of table #6, and confirmed Brioso's allegation. Burnett then contacted Security Supervisor Vladamir Morency who responded to the cardroom.

Once Morency arrived at the cardroom, Burnett and Morency approached **GARCIA** who was now sitting at table #2. **GARCIA** was escorted from the table and to the cashier cage where she would be allowed to cash out her remaining chips. However, prior to arriving at the cage, Burnett says that he informed **GARCIA** of the cardroom rules regarding cheating. It was at this time that Morency informed **GARCIA** that she was being permanently excluded from the facility. She was allowed to cash out her remaining chips and was escorted to the exit door by Morency without further incident.

Status: Case closed by Investigations and forwarded to Legal for review.

CONTINUATION

TABLE OF CONTENTS

I. INVESTIGATIVE REPORT COVERSHEET 1

II. INVESTIGATIVE REPORT 2-11

III. EXHIBITS

1. Notification of Cheating Incident 1-2

2. Calder Surveillance Incident Report # 21796 1-5

3. Calder Security Incident Report # 21795..... 1-3

4. Property Receipt 1-1

Tyrell Smith

From: Iliana Velazquez <Iliana.Velazquez@caldercasino.com>
Sent: Tuesday, May 9, 2023 12:03 PM
To: Tyrell Smith
Cc: Richard Sukhu; Iliana Velazquez
Subject: Lifetime Ban Request for State Exclusion List- Yaneri C Garcia
Attachments: Surv 21796 Criminal Cheating.pdf; SEC 21795 Criminal Cheating Incident Detail Report.pdf

Importance: High

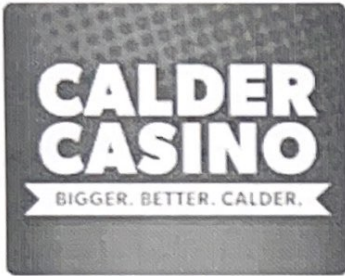
Hi Tyrell,

Calder Casino is recommending to have cardroom ex-patron Yaneri Garcia be added to the State Lifetime Ban List.

This Patron was caught cheating "capping the bet/post betting" while gaming at our facility, attached you'll find supporting documentation from our security and surveillance team outlining his actions. Ms. Garcia has been issued an indefinite ban from our facility and was escorted out of the facility right away, footage is on file for your review.

Please let me know if you need anything additional from me.

Thank you



ILIANA VELAZQUEZ

Director of Compliance

21001 NW 27th Avenue | Miami Gardens, FL 33056

Office: (305) 625-1311 ext: 5690

Iliana.Velazquez@CalderCasino.com



This Churchill Downs Incorporated communication (including any attachments) is for the use of the intended recipient(s) only and may contain information that is confidential, privileged or legally protected. Any unauthorized use or dissemination of this communication is strictly prohibited. If you have received this communication in error, please immediately notify the sender by return e-mail message and delete all copies of the original communication. Thank you for your cooperation.

IM - Incident Detail Report

INC-21796

SURV 21796 | 2023-05-09 | Criminal / Cheating |

Calder Casino
21001 NW 27th Avenue,
Miami Gardens, Florida United States
33056

Incident Details Report

Incident Name	SURV 21796 2023-05-09 Criminal / Cheating
Incident Type	<hr/> Criminal / Cheating <hr/>
Reported Date	May 9, 2023 3:48 am

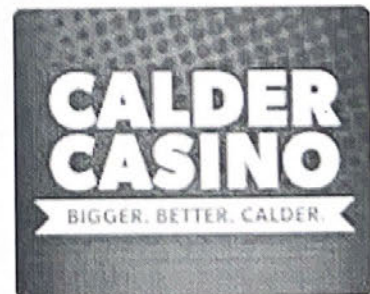


EXHIBIT # -2
PAGE # -1

(1. a.) INCIDENT SUMMARY

Primary Incident Type	Incident Severity	Net Loss
Criminal / Cheating	High	0

Description

Created on: 05092023 Observation: On May 8, 2023 at 23:50 hours, Card Room Supervisor Scott Burnett called and advised of wanting to conduct a review for a possible cheating guest.

Location

Observed Date/Time	Incident Reported DateTime	Incident Start DateTime	Incident End DateTime
	May 9, 2023 3:48 am	May 9, 2023 3:38 am	May 9, 2023 3:49 am
Reported By	Responding Person(s)	Incident Owner	Incident Supervisor
		Jerome Davis	Jerome Davis, Ashley Kalisch, Humberto Machin, Leonides Martinez, Sierra Spencer, Michael Urena
Incident Flags	Fiscal Year	Additional Responses	Police File Number
Flag Notes	Additional Incident Types		

EXHIBIT # -2
PAGE # -2

(1. b.) INCIDENT ANNEXURES - INVOLVEMENTS & RELATED DATA

INVOLVED LOCATIONS

Reported Location

Location Name

Casino / Front of House / Poker Room

Location

Location Type

Property Name

Asset Status

Internal

Owner/Lessor

Organization

Business Unit

Policies

EXHIBIT #-2
PAGE # -3

INVOLVED PERSONS

Suspect

Involved Person Name Suspect Yeneri Garcia	Involvement Flags	Added to Incident May 9, 2023	
	First Name Yeneri	Gender	Email 1
	Last Name Garcia	Date of Birth	Phone Number 1

EXHIBIT #-2
PAGE # -4

NARRATIVES

Original Narrative

Person

Date & Time

May 9, 2023 3:53 am

Narrative Owner

Jerome Davis

Narrative

Origin of Call: On May 8, 2023, at 23:50 hours, Card Room Supervisor Scott Burnett requested a review of possible cheating at Table #6 Seat #4.

Video Coverage: Upon review at 23:40 hours, guest identified as Yaneri Garcia is observed being dealt her cards by dealer Kevin Alvarez. Upon receiving her cards, Ms. Garcia looked at the hand she was dealt and proceeded to illegally add an additional \$5 dollars to her bet for a total of \$10, which increased her winnings by an additional \$50 dollars.

Shift Supervisor Vladimir Morency was advised and confronted Ms. Garcia about her actions. Shift Supervisor Morency escorted Ms. Garcia out the North Exit, trespassing her from the property.

Information Supplied:

Per Card Room Supervisor Scott Burnett, Ms. Garcia had been paid out \$50.00 for that hand which she paid back.

Person's Involved:

Reporting Party - Card Room Supervisor Scott Burnett
Security Shift Supervisor Vladimir Morency
Suspect - Yaneri Garcia

Attached Narrative

EXHIBIT # -2
PAGE # -5

IM - Incident Detail Report

INC-21795

SEC 21795 | 2023-05-09 | Criminal / Cheating |

Calder Casino
21001 NW 27th Avenue,
Miami Gardens, Florida United States
33056

Incident Details Report

Incident Name	SEC 21795 2023-05-09 Criminal / Cheating
Incident Type	<u>Criminal / Cheating</u>
Reported Date	May 9, 2023 3:04 am

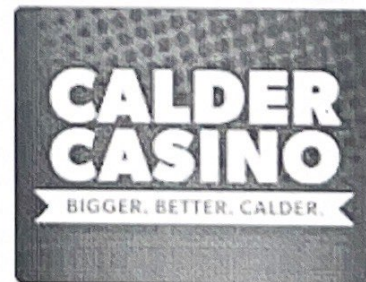


EXHIBIT # -3
PAGE # -1

(1. a.) INCIDENT SUMMARY

Primary Incident Type	Incident Severity	Net Loss
Criminal/Cheating	Low	0

Description

05092023 Observation: On May 9, 2023 at approximately 0000 hours, Security Shift Supervisor Vladimir Morency was requested to the Card Room to meet with Card Room Manager Scott Burnett regarding guest, Yaneri Garcia [REDACTED] who was verified as "Capping" a bet; which is an act of cheating.

Location

Observed Date/Time	Incident Reported DateTime	Incident Start DateTime	Incident End DateTime
	May 9, 2023 3:04 am	May 9, 2023 12:00 am	May 9, 2023 12:15 am
Reported By	Responding Person(s)	Incident Owner	Incident Supervisor
Scott Burnett		Vladimir Morency	Jean Lara
Incident Flags	Fiscal Year	Additional Responses	Police File Number
Suspect Known to Security, Video Available			
Flag Notes	Additional Incident Types		

EXHIBIT # -3
PAGE # -2

(2. a.) INVESTIGATION SUMMARY

Outcome Overview

What happened?

How did it happen?

Why did it happen?

What needs to be corrected?

Contributing Factors

Incident Corrective Actions

Closed Date/Time	Investigation Start Date	Investigation Close Date	Expiry Date
Reported to Police No	Reported to Supervisor Yes	Requires Investigation No	Investigator Vladimir Morency
		Investigation Cost \$0.00	Investigation Time Spent (Hours) 0

EXHIBIT # -3
PAGE # -3



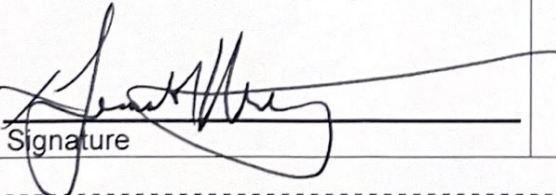
**Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
Office of Investigations**

PROPERTY RECEIPT

Complaint # 2023-02-4969 Date 5/10/23 Lab # _____

Item No.	Quantity	Description
1	1	DVD CONTAINING VIDEO FOOTAGE OF SACRED PATROW YANERLE CARIDAD GARCIA CAPPING HER BET.
<i>LAST ITEM</i>		

I hereby acknowledge that the above list represents all property taken from my possession and that I have received a copy of this receipt.


Signature

I hereby acknowledge that the above list represents all property impounded by me in the official performance of duty as Investigator for the Division of Pari-Mutuel Wagering.


Signature - Impounding Investigator

RETURNED PROPERTY RECEIPT

I hereby acknowledge the return to me, by the Division of Pari-Mutuel Wagering, the above listed property.

Signature _____
Date

Received by: _____ Date: _____
Received by: _____ Date: _____
Received by: _____ Date: _____

Copies for: File, Transmittal, Laboratory, Property Receipt

**EXHIBIT # -4
PAGE # -1**

U.S. Postal Service
Certified Mail Receipt

OUTBOUND TRACKING NUMBER
9414 7118 9956 2038 8376 36

RETURN RECEIPT TRACKING NUMBER
9490 9118 9956 2038 8376 78

FEES	
Postage per piece	\$1.830
Certified Fee	\$4.350
Return Receipt Fee	\$3.550
Total Postage & Fees:	\$9.730

ARTICLE ADDRESS TO:

AC - 2023026969 - PMW
Yaneri Caridad Garcia
2531 Nw 131st St
Miami FL 33167-1342

Postmark
Here

Tracking Number:

Remove X

9414711899562038837636

Copy

Add to Informed Delivery (<https://informedelivery.usps.com/>)

Latest Update

Your item was picked up at the post office at 11:19 am on October 24, 2023 in MIAMI, FL 33168.

Get More Out of USPS Tracking:

USPS Tracking Plus[®]

Delivered

Delivered, Individual Picked Up at Post Office

MIAMI, FL 33168

October 24, 2023, 11:19 am

[See All Tracking History](#)

Feedback

[What Do USPS Tracking Statuses Mean?](https://faq.usps.com/s/article/Where-is-my-package) (<https://faq.usps.com/s/article/Where-is-my-package>)

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Product Information



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Enter tracking or barcode numbers

Need More Help?

Contact USPS Tracking support for further assistance.

FAQs

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Deputy Chief Attorney
Re: FGCC v. Yeniset De La Caridad Acevedo Case No. 2023-043905;
Default Final Order
Date: November 17, 2023

Executive Summary

The Division of Pari-Mutuel Wagering seeks the permanent exclusion of Yeniset De La Caridad Acevedo (“Respondent”) from all pari-mutuel and slot facilities in the state of Florida and revocation of his Cardroom Employee Occupational License. By failing to respond to the properly served administrative complaint, Respondent waived his right to request a hearing contesting the Division’s decision. Therefore, the Florida Gaming Control Commission should enter a final order excluding Respondent from all pari-mutuel and slot facilities in the state of Florida and revoking her occupational license.

Background

On August 2, 2023, Respondent was working as a poker dealer at Hialeah Park.¹ Respondent was seen stealing chips in the amount of \$49.00 from the player’s pot. Upon review, Respondent was terminated from Hialeah Park, on August 4, 2023.

Based on the act leading to his termination from Hialeah Park, the Division served Respondent with an administrative complaint seeking her exclusion from all pari-mutuel and slot facilities in the state of Florida and revocation of her license. The election of rights accompanying the administrative complaint made it clear that the Respondent had 21 days² to file a written response to the administrative complaint. The Respondent was served on October 13, 2023, which means the Respondent had until November 3, 2023, to respond. She has never responded.

Analysis

¹ Hialeah Park is operated by a pari-mutuel wagering permit holder that also possesses a slot machine and cardroom license.

² See Fla. Admin. Code R. 28-106.111(4) (“Any person who receives written notice of an agency decision and who fails to file a written request for a hearing within 21 days waives the right to request a hearing on such matters.”)

Respondent can be excluded from all pari-mutuel and slot machine facilities in this state. Section 550.0251(6), Florida Statutes, provides, in relevant part, that the Commission may exclude from any pari-mutuel facility within this state for “any conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission.” Likewise, section 551.112, Florida Statutes, provides, in relevant part, the Commission may exclude from any facility of a slot machine licensee for “any conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission.” Hialeah Park is both a pari-mutuel facility and slot machine licensee in this state. And Respondent was terminated from it. Accordingly, Respondent can be excluded from all pari-mutuel and slot machine facilities in this state.

Furthermore, Rule 61D-11.005(4), Florida Administrative Code, provides, in pertinent part, that “[n]o person shall, either directly or indirectly (a) [e]mploy or attempt to employ any device, scheme, or artifice to defraud any participant in a game or the cardroom operator.”

Section 849.086(14)(a), Florida Statutes, provides that:

The commission may deny a license or the renewal thereof, or may suspend or revoke any license, when the applicant has: violated or failed to comply with the provisions of this section or any rules adopted pursuant thereto; knowingly caused, aided, abetted, or conspired with another to cause any person to violate this section or any rules adopted pursuant thereto; or obtained a license or permit by fraud, misrepresentation, or concealment; or if the holder of such license or permit is no longer eligible under this section.

Because Respondent failed to file a timely response to the properly served administrative complaint seeking to exclude her from all pari-mutuel and slot machine facilities in this state, she waived her right to request a hearing. Therefore, the Florida Gaming Control Commission may enter a final order revoking Respondent’s Cardroom Employee Occupational License and excluding Respondent from all pari-mutuel and slot machine facilities in this state.

Staff Recommendation: The Florida Gaming Control Commission should enter a final order revoking Yeniset De La Caridad Acevedo’s Cardroom Employee

Occupational License and permanently excluding Yeniset De La Caridad Acevedo from all pari-mutuel and slot machine facilities in this state.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2023-043905

YENISET DE LA CARIDAD ACEVEDO,

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

1. The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against Yeniset De La Caridad Acevedo (“Respondent”), and alleges:

2. Petitioner is the state agency charged with regulating pari-mutuel wagering, slot machines, and cardroom operations pursuant to chapters 550, 551, and 849, Florida Statutes.

3. At all times material hereto, Respondent held a Cardroom Employee Occupational License, number 10991118, issued pursuant to section 849.086(6), Florida Statutes.

4. At all times material hereto, Hialeah Park was a facility operated by a permitholder authorized to conduct pari-mutuel wagering, slot machines, and cardroom operations in the state of Florida pursuant to chapters 550, 551, and 849, Florida Statutes.

5. Respondent was employed as a poker dealer at Hialeah Park.

6. On or about August 2, 2023, Respondent was observed stealing chips in the amount of \$49.00 from the players pot during multiple live poker games.

7. On August 4, 2023, Respondent was terminated from her employment as a poker dealer at Hialeah Park.

COUNT I

8. Petitioner realleges and incorporates the allegations contained within paragraphs one through seven as though fully set forth herein.

9. Rule 75-11.005(4), Florida Administrative Code, provides, in pertinent part, that “[n]o person shall, either directly or indirectly (a) [e]mploy or attempt to employ any device, scheme, or artifice to defraud any participant in a game or the cardroom operator.”

10. Section 849.086(14)(a), Florida Statutes, provides that:

The commission may deny a license or the renewal thereof, or may suspend or revoke any license, when the applicant has: violated or failed to comply with the provisions of this section or any rules adopted pursuant thereto; knowingly caused, aided, abetted, or conspired with another to cause any person to violate this section or any rules adopted pursuant thereto; or obtained a license or permit by fraud, misrepresentation, or concealment; or if the holder of such license or permit is no longer eligible under this section.

11. Based on the foregoing, Respondent violated rule 75-11.005(4), Florida Administrative Code, by employing a device, scheme, or artifice to defraud the participants of games and is therefore subject to suspension or revocation of her occupational license.

COUNT II

12. Petitioner realleges and incorporates the allegations contained within paragraphs one through seven as though fully set forth herein.

13. Section 550.0251(6), Florida Statutes, provides in relevant part:

In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the commission may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may

exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state.

(Emphasis supplied).

14. Section 551.112, Florida Statutes, provides:

In addition to the power to exclude certain persons from any facility of a slot machine licensee in this state, the commission may exclude any person from any facility of a slot machine licensee in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the commission. The commission may exclude from any facility of a slot machine licensee any person who has been ejected from a facility of a slot machine licensee in this state or who has been excluded from any facility of a slot machine licensee or gaming facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over the gaming in such other state. This section does not abrogate the common law right of a slot machine licensee to exclude a patron absolutely in this state.

(Emphasis supplied).

15. Based on the foregoing, Respondent is subject to exclusion from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the state of Florida based on her termination for theft from Hialeah Park on August 2, 2023.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order revoking or suspending Respondent's Occupational License and excluding Respondent from all licensed pari-mutuel wagering facilities and any facility of a slot machine licensee in the state of Florida, along with any other remedy provided by chapters 550, 551, and 849 Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2023-043905 is signed this 10th day of October 2023.

/s/Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399-2202
Telephone: (850) 794-8066
Facsimile: (850) 536-8709
Primary: Emily.Alvarado@flagaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

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VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
Complaint Search Update	Change Mass Status Update	Recording License Type Public Case Info	Delete Complaint		Mass Activity Update		Mass Discipline		

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **cstubbs1**

[VR Home](#) > [Complaint Search](#) > **Maintain Complaint**

Lic Type	1012 - Cardroom Employee Occupational	Status	90 Closed	Status Date	09/23/2023
Complaint #	2023043905	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#	Respondent	Responsible	ACEVEDO, YENISET DE LA CARIDAD	bjones - JONES, BRADFORD	Private Case

Complaint	Respondent	Complainant	Addt'l Info
---------------------------	----------------------------	-----------------------------	-----------------------------

Source	INTN - Internal	Security Level	1	<input type="checkbox"/>	Parties	<input type="checkbox"/>	Activities
Form	INTR - Internal	Priority		<input type="checkbox"/>		<input type="checkbox"/>	
Class'n	V-C - Cardroom Violations	Complexity	R - Regular	<input type="checkbox"/>	Allegations	<input type="checkbox"/>	Discipline
Security	STND - Standard	Incident	08/02/2023	<input type="checkbox"/>	Violations	<input type="checkbox"/>	Compliance
Region	SR - Southern Region	Received	08/04/2023	<input type="checkbox"/>		<input type="checkbox"/>	
Reference	75-11.005	Entered By	nmelvai	<input type="checkbox"/>	Related	<input type="checkbox"/>	Disposition
Entered	08/04/2023			<input type="checkbox"/>	Inspection		
Summary	<p>544----South Florida Racing Association LLC. (Hialeah Park Casino)---[75-11.005 Prohibitions]---On August 4, 2023, this Investigator was informed by Hialeah Park Poker Director Angelo Garcia of a theft involving a Poker Dealer. The incident occurred on August 2, 2023, at approximately 1:13 P.M. He stated that poker dealer Yeniset De La Caridad Acevedo was observed stealing chips from the players pot and using the chips to tip the chip runner and add to her tip box. Poker Manager Adriana Jimenez conducted a surveillance review of the incident which confirmed what Acevedo had done. A total of \$49.00 was taken by Acevedo during the incident. A copy of the Incident Report was provided by Garcia and after a review of the Incident Report, and Open Case Request was sent to FGCC Investigations Supervisor Julio Minaya for review and approval.</p>			<input type="checkbox"/>	Costs	<input type="checkbox"/>	Auto Assign
Updated	09/25/2023 08:44:35	By	cstubbs1	<input type="checkbox"/>	Attachments	History	
				<input type="checkbox"/>	Work Notes	Print Report	

<input type="button" value="Change"/>	<input type="button" value="Save"/>	<input type="button" value="OK"/>	<input type="button" value="Cancel"/>	<input type="button" value="Back"/>
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Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office: PMW	Region: SOUTHERN	Date of Complaint: August 4, 2023	Case Number: 2023 04 3905
Respondent: ACEVEDO, YENISSET DE LA CARIDAD 106 MARSHALL AVE. LAKE PLACID, FLORIDA 33852		Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 W. COMMERCIAL BLVD. SUITE 165 FT. LAUDERDALE, FLORIDA 33309 TEL (954) 202-3900	
License # and Type: 10991118 - 1012	Profession: Poker Dealer	Report Date: August 29, 2023	
Period of Investigation: August 4, 2023, through August 29, 2023		Type of Report: Final	
Alleged Violation: 75-11.005 Prohibitions.			
(4) No person shall, either directly or indirectly:			
(a) Employ or attempt to employ any device, scheme, or artifice to defraud any participant in a game or the cardroom operator.			
(b) Engage in any act, practice, or course of operation that would constitute a fraud or deceit upon any participant in a game or the cardroom operator.			
Synopsis: On August 4, 2023, this Investigator was informed by Hialeah Park Poker Director, Angelo Garcia of a theft involving a Poker Dealer. The incident occurred on August 2, 2023, at approximately 1:13 P.M. Garcia stated that Poker Dealer Yeniset De La Caridad ACEVEDO was observed stealing chips from the players pot during live poker games and using the chips to tip a Chip Runner and add chips to her tip box. As per Garcia, Poker Manager, Adriana Jimenez requested a surveillance review of the incident which confirmed what ACEVEDO had done. A total of \$49.00 was taken by ACEVEDO during the incident. After receiving the notification from Garcia an Open Case Request was sent to FGCC Investigations Supervisor, Julio Minaya for review and approval.			
Related Case(s):			
Investigator / Date: August 29, 2023  Tyrell Smith /		Investigator Supervisor / Date  Tyrell D. Smith / August 29, 2023	
Chief of Investigations / Date  Bradford D. Jones / September 23, 2023			

CONTINUATION

On August 4, 2023, after receiving the notification from Garcia, I responded to the Hialeah Park Surveillance Office and obtained a copy of the Incident Report from Surveillance Manager, William Lantigua (**EXHIBIT #1**).

Further investigation into the facts and a review of the Incident Report (# IN20230001296), revealed that on August 2, 2023, at approximately 1:13 P.M., Card Room Manager Adriana Jimenez received a complaint from a patron, that the Dealer at table #19 was possibly stealing money from the pot during live poker games. Jimenez contacted Surveillance Manager, William Lantigua and requested a video review of the incident.

Upon the surveillance review by Lantigua, he observed and confirmed that the Dealer at table #19, Yeniset De La Caridad **ACEVEDO**, had taken chips from the players pot, placed the chips beside her imprest tray, and then used the chips to tip Chip Runner, Anabel Espinal. When she was not tipping the Chip Runner, **ACEVEDO** would later put the chips in her assigned tip box. **ACEVEDO** was observed on the video conducting these actions during her entire shift from 9:00 A.M., to 2:30 P.M., while dealing at several tables. The total amount taken from the pot(s) was forty-nine dollars. A total of thirty-four dollars was given to Chip Runner Espinal and fifteen dollars was placed in **ACEVEDO'S** assigned tip box.

After the video review was concluded, Lantigua notified Poker Manager Jimenez of his findings, and Jimenez then notified Poker Director Angelo Garcia. Garcia and Jimenez concluded that **ACEVEDO** will be suspended from working at the facility pending further investigation.

On August 4, 2023, this Investigator also conducted an independent review of the video footage of the incident from 9:15 A.M. to 2:21 P.M. During my review I observed the following:

There is no unusual activity between 9:00 A.M., to 9:15 A.M.

9:15 A.M., - While dealing live poker games at table #5, **ACEVEDO** is observed taking (3) one-dollar white chips from the pot and placing them beside her imprest tray. She then continues with the live poker games.

9:19 A.M., - While dealing live poker games at table #5, **ACEVEDO** is observed taking a (1) one-dollar white chip from the pot and placing it beside her imprest tray. She continues with the live poker games with no other unusual activity.

9:20 A.M., Chip Runner Anabel Espinal comes over to table #5 and retrieves cash from **ACEVEDO** in exchange for chips. Espinal also retrieves the four one-dollar chips that **ACEVEDO** had taken from the pot and placed them in her assigned tip box.

There is no unusual activity from 9:21 A.M., to 9:59 A.M. During that time **ACEVEDO** was given a break and assigned to table #2.

9:59 A.M., - While dealing live poker games at table #2, **ACEVEDO** is observed taking (2) one-dollar white chips from the pot and placing them beside her imprest tray. She then continues with the live poker games.

CONTINUATION

10:00 A.M., - While dealing live poker games at table #2, **ACEVEDO** is observed taking (1) two-dollar pink chip from the pot and placing it beside her imprest tray. She then continues with the live poker games.

10:10 A.M., Chip Runner Espinal comes over to table #2 and retrieves cash from **ACEVEDO** in exchange for chips and just as before retrieves the four dollars in chips that **ACEVEDO** had taken from the pot and placed them in her assigned tip box.

There is no unusual activity from 10:11 A.M., to 10:36 A.M. During that time **ACEVEDO** is given a break and reassigned to table #10.

10:36 A.M., - While dealing live poker games at table #10, **ACEVEDO** is observed taking (1) five-dollar red chip from the pot and placing it beside her imprest tray. She then continues with the live poker games.

10:42 A.M., Just as before, Chip Runner Espinal comes over to table #10 and retrieves cash from **ACEVEDO** in exchange for chips and retrieves the five-dollar chip that **ACEVEDO** had taken from the pot and placed it in her assigned tip box.

There is no unusual activity from 10:43 A.M., to 12:00 P.M. During that time **ACEVEDO** takes her lunch break and is reassigned to table # 19.

12:00 P.M., - While dealing live poker games at table #19, **ACEVEDO** is observed taking (1) five-dollar red chip from the pot and placing it beside her imprest tray. She then continues with the live poker games.

12:05 P.M., Chip Runner Espinal comes over to table #19 and retrieves cash from **ACEVEDO** in exchange for chips and just as before, retrieves the five-dollar chip that **ACEVEDO** had taken from the pot and placed it in her assigned tip box.

These activities continued throughout **ACEVEDO'S** entire shift from 9:00 A.M., to 2:30 P.M, while dealing at several poker tables. (See Incident Report for further details **EXHIBIT #1**).

Poker Manager Adriana Jimenez stated that tips that are given to the dealers from players are to be shared with the chip runners and should not be taken from the pot. The total amount of the days theft was determined to be \$49.00. Assuming the theft activity went undetected for a year, Hialeah Park estimates that based on her work schedule, and average daily thefts of \$49.00 **ACEVEDO** could have stolen more than \$12,000.

As a result of **ACEVEDO'S** actions, she was terminated from the facility effective August 4, 2023.¹ **ACEVEDO** had worked as a Dealer for Hialeah Park since March 18, 2022. She currently has a 1012 - Cardroom Employee Occupational license #10991118, which expires on June 30, 2024 (**EXHIBIT #2**).

A video copy of the incident was provided to this Investigator by Hialeah Park Surveillance Manager William Lantigua (**EXHIBIT #3**).

Status: Case closed by Investigations and forwarded to Legal for review.

¹ Facility management concluded that Chip Runner Espinal, had no knowledge of the theft activity and was unaware of the source of the tips she received from ACEVEDO (EXHIBIT #1).

CONTINUATION

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Incident File Full Report



ITrak Incident Reporting and Risk Management System Version
2019.3.2.33901

Incident Number: IN20230001296
Date/Time Occurred: 8/2/2023 1:13:00 PM
Day of Week: Wednesday
Created by: wlontguo

Printed By: wlontguo

Printed On: 8/3/2023 at 10:51:06 AM

**EXHIBIT#-/
PAG • # /**

Incident File Full Report

Incident File #IN20230001296

Record Creation Details

Date/Time Occurred: 8/ 2/2023 1:13:00 PM
Day of **Week** Occurred: Wednesday
Date/Time Created: 8/2/2023 2:31:25 PM
Date/Time Closed:
Closed By:

Department: Surveillance
Owner: wlantigua
Operator ID: wlantigua
Operator Name:
Personnel ID:
Card Number:
Job Position
Secondary Operator:

Location of Incident:

Property: Hialeah Park Casino
Location: Poker Room
Sublocation:

Details of Incident:

Daily Log#: DL20230026309
Incident Type: Theft
Specific: Employee
Incident Status: Open
Synopsis: Poker Manager Adriana Jimenez requested a review in regards to a complaint received by a player. See report.

Checklist

Narrative:

Created On	Created By	Modified On	Modified By
------------	------------	-------------	-------------

8/2/2023 2:31:27 P wlantigua 8/3/2023 10:50:49 / wlantigua

On 02 August 2023 at approximately 1313 hours, PoKer Manager Adriana Jimenez requested a review in reference to a complaint received from a player regarding possible theft from the pot by a poker dealer. Jimenez identified incident possibly occurred between 1200-1230 hrs on table 19.

An initial review was conducted and the following was observed on cameras 2019 and 255.

Poker Dealer Yenisei Acevedo.

Table 19

Incident 1:

At 1200 hrs, Acevedo while dealing, is observed quickly grabbing a Red chip (\$5.00) from the pot and placing it on top of the cash located in between the rake and dealer float.

Reporting Party:

Supervisor:

EXHIBIT # -
PAGE # - 2

Incident File Full Report

Incident File #IN20230001296

At 1205:46 hrs, Poker Chip Runner Anabel Espinal retrieves the cash and Red chip which Espinal then places into her assigned tip box.

Total theft by Acevedo from pot was \$5.00.

Incident 2:

At 1211:50 hrs, Acevedo while dealing, is observed quickly grabbing a Red chip (\$5.00) from the pot, initially placing in the float but then removing and placing the chip on top of the cash located in between the rake and dealer float.

At 1213 hrs. Poker Chip Runner Espinal retrieves the cash and Red chip in which Espinal then places into her assigned tip box.

Total theft by Acevedo from pot was \$5.00.

Incident 3:

At 1222 hrs, Acevedo while dealing, is observed quickly grabbing a Pink chip (\$2.00) from the pot and placing the chip on top of the cash located in between the rake and dealer float.

At 1226 hrs, Espinal retrieves the cash and Pink chip in which Espinal then places into her assigned tip box.

Total theft by Acevedo from pot was \$2.00.

Director of Poker Angelo Garcia and Poker Manager Adriana Jimenez were informed. Acevedo was suspended pending further investigation of assigned shift.

Further investigation/review was conducted on Acevedo for the entirety of worked shift and the following was observed.

Table 5

Incident 4,5 and 6:

At 0915:13 hrs, Acevedo while counting the pot, is observed removing \$2.00 in White chips (2-White) and placing he chips on top of the cash located in between the rake and dealer float.

At 0915:42 hrs, ticevedo is observed removing an additional White chip (\$1.00) from the pot and placing on top of the cash located in between the rake and dealer float.

At 0919:57 hrs, Acevedo is observed removing an additional Whrte chip (\$1.00) from the pot and placing on top of the other chips situated on top of the cash located in between the rake and dealer float.

At 0920:46 hrs, Poker Chrp Runner Espinal retrieves lha cash and chips totaling \$4 00

Reporting Party: _____

Supervisor: _____

EXHIBIT #-1
PAGE # - 3

in White. Espinal eventually places chips into her assigned tip box.

Total theft by Acevedo from pot was \$4.00.

Table 2

At 09:59:20

Acevedo is observed removing 1 Red chip (\$2.00) from the pot and placing on top of the cash located in between the rake and dealer float.

Total theft by Acevedo from pot was \$2.00.

At 10:10 hrs, Espinal arranges to take 2 Red chips (\$5.00) from the pot and places on top of the cash located in between the rake and dealer float.

Total theft by Acevedo from pot was \$4.00.

Table 10

Incident 9:

At 10:36 hrs, Acevedo is observed removing 1 Red chip (\$5.00) from the pot and placing on top of the cash located in between the rake and dealer float.

At 10:52 hrs, Espinal arranges to take 10 Red chips (\$50.00) from the pot and places on top of the cash located in between the rake and dealer float.

Total theft by Acevedo from pot was \$5.00.

Table 6

At 12:28-12:56 hrs, Acevedo deals on table.

no unusual activity was observed.

Table 5

Incident 10:

At 11:10:09 hrs, Acevedo, while dealing, is observed quickly grabbing 4 White chips (\$4.00) from the pot and placing the chips in between the rake and dealer float. Espinal retrieves the 54.00 in high limit chips and proceeds to color up to 2 Pink chips (\$4.00) from the dealer float. Espinal then places 01 and 2 Pink chips into her assigned tip box.

Total theft by Acevedo from pot was \$4.00.

Incident 11:

At 11:32 hrs, Acevedo while dealing, is observed quickly grabbing a Red chip (\$5.00) from the pot and placing it on top of the cash located in between the rake and dealer float.

Reporting Party:

PMted S. 3/2023 10:51:06 AM

Supervisor:

EXHIBIT #- / PA8ietis -//

float

At 1322 hrs, Espinal retrieves the cash and Red chip which Espinal then places into her assigned tip box.

Total theft by Acevedo from pot was S5.00.

At 1325-1355 hrs, Acevedo leaves Table 5 after placing her tip box near the Poker Supervisor's podium goes on break during this time period.

Table 17

Incident 12:

At 1421:24 hrs, player in seat 4 is observed placing a tip amounting to approximately 2 Red chips (S10.00) Just In front of the dealer tray/float for Acevedo.

At 1421:30 hrs, after paying out the flop bet winnings for seat 5, Acevedo with approximately 2 Red chips (\$10.00) palmed in her right hand uses the right hand to pick up the placed tips of 2 Red chips (S10.00) and in the same motion Acevedo proceeds to grab a Red chip (\$5.00) from the high hand button in front of seat #5 and placing the total of 5 Red chips (\$25.00 total) in between the rake and the Designated Player. Shortly after Acevedo removes all 5 Red chips and places into her tip box.

Total theft by Acevedo from the DP was \$15.00 (\$10.00 during payout, S5.00 from the high hand button).

Conclusion:

Acevedo was observed stealing a total of S49.00 from player pot(s). Acevedo places a total of S34.00 of stolen pot money to Espinal as tip with S15.00 going to Acevedo own tip box. Espinal was not observed involved in the taking of tips and appeared unaware of where the tips provided to her originated from.

Note:

If averaging in the theft of S49.00 per day for a 5-day work week, Acevedo could have potentially taken \$12,740.00 in a year span.

All relevant footage/video saved.

Executive Brief:

**EXHIBIT# ---/
PAGE - M -S-**

Reporting Party: _____

Supervisor: _____

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License Search Entity Search Modify License Standing Maint Jln L1cof£0 CE Control

umalrrl'0701vfsfcm-orParf-Motoel W:rgerfn----- rogge - d in as:-tsmitr2

VR Home > License Search > License Home

License		Licensee	
Fed Tax# [REDACTED]	Lie Type	1012-Cardroom Employee Occupational	Expires On 06/30/2024
File# 9200	Name	ACEVEDO, YENISET DE LA CARIDAD	Extended To
License# 10991118	Rank	CEMP - Cardroom Employee Occupational	Renewed On
Entry # 10991118	Lie Status	Current	

- Licensee
- History
- Notes
- Notes History
- Back

Address

Street # 106 Street MARSHALL AVE

Une2

Lme3

City LAKE PLACID State FL Zip 33852

Routing

Other

1st License Date 01/20/2022 Rank Date 01/20/2022 Certificate#

Method I-S-1024 Status Date 01/20/2022 Certificate Date

Fee Exempt No Birth Date [REDACTED] Renewal Sent

!Select

!ActJon

Modifiers

Type	Modifier	Effective Date	Additional Info
	DEAL - Dealer	01/13/2022	
	QHRS - Quarter-Horse	04/14/2022	
	544 - Hialeah Park	02/15/2023	
	3YR - 3 Year License	01/26/2016	

Alt Keys

BEST UC NBR 10991118

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EXHIBIT #-2
PAGF - /



State of Florida
 Florida Gaming Control Commission
 Division of Pari-Mutuel Wagering
 Office of Investigations

PROPERTY RECEIPT

Complaint# d/J3.0Y-3'1tJS:- Date c'J /4./43 Lab# _____

Item No.	Quantity	Description
		1 SB CONTAINING 0 FOOTAGE OF 17741 041
		ISSUE DCA CARFLAD RECEIVED

<p>I hereby acknowledge that the above list represents all property taken from my possession and that I have received a copy of this receipt.</p> <p style="text-align: center;"><u>Nhatupre</u> Signature</p>	<p>I hereby acknowledge that the above list represents all property impounded by me in the official performance of duty as Investigator for the Division of Pari-Mutuel Wagering.</p> <p style="text-align: center;"><u>1 [2 -</u> Signature - Impounding Investigator</p>
--	--

RETURNED PROPERTY RECEIPT

I hereby acknowledge the return to me, by the Division of Pari-Mutuel Wagering, the above listed property.

Signature

Date..

Received by: _____ Date: _____
 Received by: _____ Date: _____
 Received by: _____ Date: _____

EXHIBIT #3
 PAGE# - 1

Copies for: File, Transmittal, Laboratory, Property Receipt

U.S. Postal Service
Certified Mail Receipt

OUTBOUND TRACKING NUMBER
9414 7118 9966 2016 2760 37

RETURN RECEIPT TRACKING NUMBER
9490 9118 9966 2016 2760 79

FEEES

Postage per piece	\$1.590
Certified Fee	\$4.350
Return Receipt Fee	\$3.550
Total Postage & Fees:	\$9.490

10/11/23

ARTICLE ADDRESS TO:

AC - 2023043905 - PMW
Yeniset De La Caridad Acevedo
106 Marshall Ave
Lake Placid FL 33852-8194

Postmark
Here

Tracking Number:

Remove X

9414711899562016276037

Copy

Add to Informed Delivery (<https://informedelivery.usps.com/>)

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Delivered, Left with Individual

LAKE PLACID, FL 33852

October 13, 2023, 1:21 pm

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[What Do USPS Tracking Statuses Mean?](https://faq.usps.com/s/article/Where-is-my-package) (<https://faq.usps.com/s/article/Where-is-my-package>)

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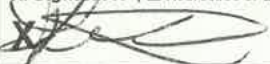

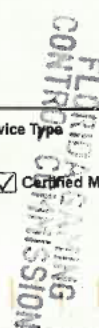


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Need More Help?

Contact USPS Tracking support for further assistance.

FAQs

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<ul style="list-style-type: none"> ■ Ensure items 1, 2, and 3 are completed. ■ Attach this card to the back of the mailpiece, or on the front if space permits. 	A. Signature: (<input type="checkbox"/> Addressee or <input type="checkbox"/> Agent) 	
1. Article Addressed to: AC - 2023043905 - PMW Yeniset De La Caridad Acevedo 106 Marshall Ave Lake Placid FL 33852-8194  9490 9118 9956 2016 2760 79	B. Received By: (Printed Name) YENISSET ACEVEDO	C. Date of Delivery 10-13-23 D. Is delivery address different from item 1? <input type="checkbox"/> Yes If YES, enter delivery address below: <input type="checkbox"/> No
2. Article Number (Transfer from service label) 9414 7118 9956 2016 2760 37	3. Service Type <input checked="" type="checkbox"/> Certified Mail®   	
PS Form 3811 Facsimile, July 2015 (SDC 3930)		Domestic Return Receipt

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Deputy Chief Attorney
Re: FGCC v. Zachary R. Oranje Case No. 2023-044814; Default Final Order
Date: November 17, 2023

Executive Summary

The Division of Pari-Mutuel Wagering seeks the revocation of Zachary R. Oranje's ("Respondent") Cardroom Employee Occupational License based on his conviction. By failing to respond to the properly served administrative complaint, Respondent waived his right to request a hearing contesting the Division's decision. Therefore, the Florida Gaming Control Commission should enter a final order revoking Respondent's Cardroom Employee Occupational License.

Background

On July 18, 2023, Respondent was convicted of 1) felony Possession of Alprazolam, (2) misdemeanor Possession of Cannabis Less Than 20 Grams, and (3) misdemeanor Driving Under the Influence or Driving with an Unlawful Blood Breath Alcohol level with 1 Prior Conviction in Marion County, Florida. Respondent did not inform the Division of conviction within 48 hours nor did Respondent inform the Division of his February 19, 2023 arrest within five days.

Based on this conviction, the Division served Respondent with an administrative complaint seeking revocation of his Cardroom Employee Occupational License via U.S. certified mail. The election of rights accompanying the administrative complaint made it clear that the Respondent had 21 days¹ to file a written response to the administrative complaint. The Respondent was served on October 20, 2023, which means the Respondent had until November 10, 2023, to respond. He has never responded.

Analysis

¹ See Fla. Admin. Code R. 28-106.111(4) ("Any person who receives written notice of an agency decision and who fails to file a written request for a hearing within 21 days waives the right to request a hearing on such matters.")

Section 550.105(5)(b), Florida Statutes, provides, in pertinent part that “the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state....”

Section 550.105(10)(d), Florida Statutes, provides that each licensee shall inform the Division, within 48 hours, “if he or she is convicted of or has entered a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.” Accordingly, Respondent license can be revoked or suspended based on the felony convictions and Respondent’s failure to inform the Division of this conviction within 48 hours.

Section 849.086(6)(f), Florida Statutes, provides that the “provisions specified in s. 550.105(4), (5), (6), (7), (8), and (10) relating to licensure shall be applicable to cardroom occupational licenses.”

Rule 75-11.011, Florida Administrative Code, states:

Any cardroom occupational licensee shall provide written notification to the division when the licensee has been arrested, indicted, or charged in any other way with a criminal offense within five days of the arrest, or if not arrested, within five days of the receipt of a charging document. Licensees are not required to report non-criminal traffic offenses.

Because Respondent failed to file a timely response to the properly served administrative complaint, he waived his right to request a hearing. Therefore, the Florida Gaming Control Commission may enter a final order revoking Respondent’s Cardroom Employee Occupational License.

Staff Recommendation: The Florida Gaming Control Commission should enter a final order revoking Zachary R. Oranje’s Cardroom Employee Occupational License.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2023-044814

ZACHARY R. ORANJE,

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against Zachary R. Oranje (“Respondent”), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering and cardroom operations pursuant to chapters 550 and 849, Florida Statutes.
2. At all times material hereto, Respondent held a Cardroom Employee Occupational License, number 11907185, issued pursuant to section 849.086(6) Florida Statutes.
3. On or about July 18, 2023, Respondent entered a plea of guilty and was convicted of (1) felony Possession of Alprazolam, (2) misdemeanor Possession of Cannabis Less Than 20 Grams, and (3) misdemeanor DUI or DUBAL with 1 Prior Conviction, in the Circuit Court of the Fifth Judicial Circuit in and for Marion County, Florida, in case number 2023-CF-000669.

COUNT I

4. Petitioner realleges and adopts paragraphs numbered one through three as if set forth fully herein.

5. Pursuant to section 550.105(5)(b), Florida Statutes:

The commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for or holder thereof has violated the provisions of this chapter or the rules of the commission governing the conduct of persons connected with racetracks and frontons. In addition, the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

6. Section 849.086(6)(f), Florida Statutes, provides that “[t]he commission shall adopt rules regarding cardroom occupational licenses. The provisions specified in s. 550.105(4), (5), (6), (7), (8), and (10) relating to licensure shall be applicable to cardroom occupational licenses.”

7. Section 550.105(5)(d), Florida Statutes, provides the term “convicted” means “having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere.”

8. Based on the foregoing, Respondent violated sections 550.105(5)(b) and 849.086(6)(f), Florida Statutes, by entering a plea of guilty and being convicted of Possession of Alprazolam, a felony, in Marion County, Florida on July 18, 2023 and is therefore subject to suspension or revocation of his occupational license.

COUNT II

9. Petitioner realleges and adopts paragraphs numbered one through three as if set forth fully herein.

10. Respondent failed to notify Petitioner within 48 hours of his July 18, 2023 conviction for Possession of Alprazolam in Marion County, Florida.

11. Pursuant to section 550.105(10)(d), Florida Statutes, each licensee shall inform the Commission, within 48 hours, “if he or she is convicted of or has entered a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.”

12. Based on the foregoing, Respondent violated section 550.105(10)(d), Florida Statutes, by failing to inform the Division of his July 18, 2023 conviction for a disqualifying offense within 48 hours.

COUNT III

13. Petitioner realleges and adopts paragraphs numbered one through three as if set forth fully herein.

14. On or about February 19, 2023, Respondent was arrested in Marion County, Florida, and was charged with Possession of Alprazolam, Possession of Marijuana Less Than 20 Grams, and DUI-Second Conviction.

15. Respondent failed to provide written notification to Petitioner within five days of his February 19, 2023 arrest.

16. Rule 75-11.011, Florida Administrative Code, states:

Any cardroom occupational licensee shall provide written notification to the division when the licensee has been arrested, indicted, or charged in any other way with a criminal offense within five days of the arrest, or if not arrested, within five days of the receipt of a charging document. Licensees are not required to report non-criminal traffic offenses.

17. Based on the foregoing, Respondent violated rule 75-11.011, Florida Administrative Code, by failing to provide written notification to Petitioner within five days of his February 19, 2023 arrest.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order revoking or suspending Respondent's Occupational License, along with any other remedy provided by sections 550.105(5) and 849.086(6)(f), Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2023-044814 is signed this 11th day of October 2023.

/s/Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399-2202
Telephone: (850) 794-8066
Facsimile: (850) 536-8709
Primary: Emily.Alvarado@flagaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

NOTICE OF RIGHTS

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
Complaint Search Update	Change Recording License Type	Public Case Info	Delete Complaint	Mass Activity Update	Mass Discipline				

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **cstubbs1**

[VR Home](#) > [Complaint Search](#) > [Maintain Complaint](#) > **Maintain Complaint Activities**

Lic Type	1012 - Cardroom Employee Occupational	Status	90 Closed	Status Date	09/08/2023
Complaint #	2023044814	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#	Respondent	ORANJE, ZACHARY R	Responsible	bjones - JONES, BRADFORD	Private Case

[List](#) | [Detail](#)

Activity	15 - Assigned to Investigator	Security Level	1	<input type="button" value="Add"/> <input type="button" value="Change"/> <input type="button" value="Delete"/> <input type="button" value="Clone"/> <input type="button" value="Notes History"/> <input type="button" value="Rslt Notes History"/> <input type="button" value="Back"/>
Start Activity	08/09/2023	Respondent	ORANJE, ZACHARY R	
Follow Up Date	-	Follow Up Group	I - Investigator/Inspector	
Close Date	09/08/2023	30 days	Follow Up By Individual	
Activity Notes				
Activity Result				
Updated	09/11/2023 09:37:28	By	cstubbs1	

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Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office: PMW	Region: NORTHERN	Date of Complaint: AUGUST 9, 2023	Case Number: 2023 04 4814
RESPONDENT: ORANJE, ZACHARY R. 3843 PEPPER TREE LANE APT. #7206 WILDWOOD, FLORIDA 34785 TEL. #(321)504-1191		COMPLAINANT: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 WEST COMMERCIAL BOULEVARD, SUITE 165 FT. LAUDERDALE, FLORIDA 33309	
License # and Type: 11907185 - 1012	Profession: DEALER	Report Date: AUGUST 14, 2023	
Period of Investigation: AUGUST 9, 2023 – AUGUST 14, 2023		Type of Report: FINAL	
<p>Alleged Violation(s): 550.105 Occupational licenses of racetrack employees; fees; denial, suspension, and revocation of license; penalties and fines. — (5)(b) The commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for or holder thereof has violated the provisions of this chapter or the rules of the commission governing the conduct of persons connected with racetracks and frontons. In addition, the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.</p> <p>550.105 Occupational licenses of racetrack employees; fees; denial, suspension, and revocation of license; penalties and fines. — (10) (d) Under penalty of perjury, each person who is licensed or who is fingerprinted as required by this section must agree to inform the division within 48 hours if he or she is convicted of or has entered a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.</p> <p>75-11.011 Notification of Criminal Conviction or Charge Any cardroom occupational licensee shall provide written notification to the division when the licensee has been arrested, indicted, or charged in any other way with a criminal offense within five days of the arrest, or if not arrested, within five days of the receipt of a charging document. Licensees are not required to report non-criminal traffic offenses.</p> <p>Synopsis: Licensee Zachary R. ORANJE, a Cardroom Dealer at Oxford Downs, and who holds a PMW Cardroom Employee Occupational License, (Lic# 11907185 / 1012), was convicted on July 18, 2023, for Possession of Alprazolam (Felony 3rd Degree), Possession of Cannabis Less than 20 Grams (Misdemeanor 1st Degree), and DUI (Misdemeanor 2nd Degree). In addition, ORANJE failed to notify the Division of his February 19, 2023 arrest which led to the above convictions as required by the Florida Statutes and Rules noted above.</p>			
Related Case:			
Investigator Supervisor / Date <i>C. Derek Washington</i> /s/ C. Derek Washington / August 14, 2023		Chief of Investigations / Date <i>Bradford D. Jones</i> Bradford D. Jones / September 8, 2023	

CONTINUATION

On February 28, 2023, the Division received an Applicant Hit notification from the Florida Department of Law Enforcement (FDLE). The report indicated that on February 19, 2023, **ORANJE** was arrested by the Marion County Sheriff's Office and charged with the following offenses (**Exhibit #1**):

1. Possession of Possession of Alprazolam (Felony 3rd Degree)
2. Possession of Cannabis-20Grams or Less (Misdemeanor 1st Degree)
3. DUI Impairment or DUBAL¹ 1 prior Conviction (Misdemeanor 2nd Degree)

A check of the VERSA License database revealed **ORANJE** received his PMW Cardroom Employee Occupational license on December 5, 2018, to work as a Dealer at the Oxford Downs. He is currently licensed until June 30, 2024 (**Exhibit #2**).

On February 28, 2023, PMW Operations Review Specialist Jonathan Dye placed an Enforcement Alert on **ORANJE**'s PMW license regarding the above offenses (**Exhibit #2**). Information obtained from the Florida Comprehensive Case Information System (CCIS) for Marion County, Florida, indicated that on July 18, 2023, **ORANJE** pled Guilty to all charges listed above. For Count 1, Possession of Alprazolam (Felony 3rd Degree), Adjudication was Withheld. For Counts 2 & 3, he was Adjudicated Guilty and was sentenced to 24 months' probation; he was also fined \$1,917.00 (**Exhibit #3**).

Conclusion: **ORANJE** is in violation of the above-listed Statutes and Rule due to his arrest, subsequent conviction and for failing to notify the Division of the same.

Status: Investigations case closed and forwarded to Legal for review.

¹ *Driving with an Unlawful Blood Alcohol Level.*

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III. EXHIBITS

 1. FDLE Notification 1-3

 2. License & Enforcement Alert 1-3

 3. Court Documents form CCIS 1-16

PMW Occupational License Applicant Hit Routing Slip

RE: Oranje, Zachary R LICENSE NO: 11907185
(LICENSEE NAME)

APPLICANT HIT RECEIVED: 02/28/2023
(DATE)

Jonathan Dye 950 - Ocala Breeders Dealer

Applicant Hit ORS **Facility (d/b/a name)** **Occupation/Job Title**

Pursuant to Section 550.105(5)(a) The division may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; animal cruelty, illegal gambling, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Licensing Section Review		
Did the applicant self-report this conviction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Conviction		
<input checked="" type="checkbox"/> Felony		
<input type="checkbox"/> Misdemeanor – Gambling Related/Bookmaking		
<input type="checkbox"/> Animal Cruelty		
Is the applicant currently under suspension, has unpaid fines, or has been refused a license by any racing jurisdiction? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		
<input type="checkbox"/> Cardroom Licenses, Professions 1012, 1031 and 1032 (Fraud, Larceny, Theft, etc.)		
<input type="checkbox"/> Other:		
Comments:		
02/20/2023- Marion County, Florida (Case #: 422023CF000669CFAXXX)		
Charge 3- POSSESSION OF ALPRAZOLAM – Adjudication Withheld		
<input type="checkbox"/> Referred to Investigations	Initials:	Date:

License Administrator Review:	<input type="checkbox"/> No Referral Required	<input checked="" type="checkbox"/> Forward to Investigations	Initials:
			

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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[License Search](#) |
 [Entity Search](#) |
 [Modify License Standing](#) |
 [Maintain License CE Control](#)


Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **dwashingto**

[VR Home](#) |
 [License Search](#) |
 [Display Alert](#)

Fed Tax # [REDACTED]	Lic Type 1012 - Cardroom Employee Occupational	Expire On 06/30/2024
File # 11335	Name Oranje, Zachary R	Extended To
License # 11907185	Rank CEMP - Cardroom Employee Occupational	Renewed On 05/13/2021
Entity # 11907185	Lic Statu Current	

Description	Effective	Notes
Enforcement Alert	02/28/2023	<input checked="" type="checkbox"/>

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VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: dwashingto

VR Home | License Search | Display Alert | Maintain License Modifier | **BASE CLONE**

Search Criteria | Results | Detail

Modifier Type **A - Alert**
 Modifier **ENFO - Enforcement**
 Effective **02/28/2023**
 Expire -
 Additional Info :
 Prompt
 Value **APPLICANT HIT**
 Notes **Applicant must submit form PMW-3120 and provide court disposition records for the 02/19/2023 offense in Marion County, Florida.**
 Updated **02/28/2023 10:55:29**
 By **jdye1**

Notes History
 Change
 Delete
 Save
 Cancel
 < >

Add | Back



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VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
License Search		Entity Search		Modify License Standing		Maintain License CE Control			

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: nmelvat

[VR Home](#) > [Complaint Search](#) > [Maintain Complaint](#) > [License Home](#)

License Fed Tax # [REDACTED] Lic Type 1012 - Cardroom Employee Occupational Expires On 06/30/2024 File # 11335 Name Oranje, Zachary R Extended To CEMP - Cardroom License # 11907185 Rank Employee Occupational Renewed On 05/13/2021 Entity # 11907185 Lic Status Current		<input type="checkbox"/> Licensee <input type="checkbox"/> History <input checked="" type="checkbox"/> Notes <input type="checkbox"/> Notes History <input type="checkbox"/> Back																							
Address Street # 3843 Street PEPPER TREE LN Line 2 APT 7206 Line 3 City WILDWOOD State FL Zip 34785 Routing																									
Other 1st License Date 12/05/2018 Rank Date 05/13/2021 Certificate # Method I-S-1020 Status Date 12/05/2018 Certificate Date Fee Exempt No Birth Date [REDACTED] Renewal Sent																									
Select Action <input type="text"/>  																									
Modifiers <table border="1"> <thead> <tr> <th>Type</th> <th>Modifier</th> <th>Effective Date</th> <th>Additional Info</th> </tr> </thead> <tbody> <tr> <td>A</td> <td>ENFO - Enforcement Alert</td> <td>02/28/2023</td> <td>APPLICANT HIT</td> </tr> <tr> <td>C</td> <td>DEAL - Dealer</td> <td>12/05/2018</td> <td></td> </tr> <tr> <td>I</td> <td>QHRS - Quarter-Horse</td> <td>12/05/2018</td> <td></td> </tr> <tr> <td>L</td> <td>950 - Ocala Breeders</td> <td>12/05/2018</td> <td></td> </tr> <tr> <td>Y</td> <td>3YR - 3 Year License</td> <td>12/05/2018</td> <td></td> </tr> </tbody> </table>		Type	Modifier	Effective Date	Additional Info	A	ENFO - Enforcement Alert	02/28/2023	APPLICANT HIT	C	DEAL - Dealer	12/05/2018		I	QHRS - Quarter-Horse	12/05/2018		L	950 - Ocala Breeders	12/05/2018		Y	3YR - 3 Year License	12/05/2018	
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L	950 - Ocala Breeders	12/05/2018																							
Y	3YR - 3 Year License	12/05/2018																							
Alt Keys BEST LIC NBR 11907185																									

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ORIGINAL

ARREST AFFIDAVIT/FIRST APPEARANCE FORM

BELLEVUE POLICE DEPARTMENT

OBTS# 4203212455 Agency ORI # FL0420200

Court Case Number 23CF1609Z X Felony County Ordinance Infraction Agency Case Number: 2023020343 X Misdemeanor Local Ordinance Not Applicable

Defendant's Name: Last First Middle ORANJE, ZACHARY RYAN-LEE SEX RACE HGT WGT HAIR EYES M W 5'10" 150 BRO HAZ

Mailing Address: St./P.O. Box City State Zip 1360 SW 145TH ST Ocala FL 34473- Phone: Home (321) 504-1191 Place of Birth: OREGON

Place of Employment: Street City State Zip Occupation: Social Security No. Driver Lic. No. Vehicle Towed By: Hold on Vehicle: Yes No Arrest Suffix: 1

Arrest Date: Mo. 2 Day 19 Year 2023 6:10 AM Arrest Location: 5800 SE ABSHIER BLVD, Belleview, FL 34420 U.S. Citizen: Y Resident Status: Resident Non Resident Unknown

Activity: X Stolen Property B. Buy M. Manufacture / Produce / Cultivate Z. Other F. Forgery T. Traffic R. Smuggle K. Dispense / Distribute N. N/A Type: A. Amphetamine B. Barbiturate C. Cocaine E. Heroin H. Hallucinogen M. Marijuana O. Opium/deriv S. Synthetic P. Paraphernalia/ Equipment U. Unknown A. Fraud O. Counterfeit P. Possess D. Deliver U. Use S. Sell

Table with columns: Description, Counts, Activity, Type, Statute, Bond Amount, In Accordance to Bond Schedule. Rows include: 90DAD-DRIVING UNDER THE INFLUENCE - 2ND CONVICTION, 35A-POSSESSION OF MARIJUANA < 20 GRAMS, 35A-POSSESSION OF ALPRAZOLAM.

Indication of: Alcohol Influence Drug Influence Weapon Seized/Type: 01-Unarmed Juvenile Disposition: Handled Within Department Referred to Other Authorities

JAIL LOG: (To be completed by booking Officer) Jail Inmate Number:

Date Booked: 2-19-23 Time Booked: 0755 Booking Officer: 6090 Fingerprinted By: 6090 Photographed By: 6040 Bin Number:

Advised of Rights By: Advise Check for Warrant(s): NCIC FCIC Local Holds: Yes No Agency of Hold:

Attorney (if Known): Private Religion: J Pr C Other S M D Sep Marital Status: Telephone call logged: allowed

Next of Kin/PARENTS OF JUVENILE (for emergency) No NE Relation: Address: Phone:

Bond Date: Returnable Court Date: Returnable Court Time: Release Date: Release Time: Releasing Officer:

BOND, Charge A: Charge B: Charge C: Charge D: Charge E:

NAME AND ADDRESS OF BONDSMAN: Bond Type: ROR SURETY Cash Bail Bond Cert Other

Approving Officer Signature: EXHIBIT #1 PAGE 1/16

1140283

Belleview Police Department

ORIGINAL

Complaint/Arrest	Court Case No.	Agency Case No.
Affidavit Continuation		2023020343 - 1
Defendant's Name: Last First Middle	Date of Birth	
ORANJE, ZACHARY RYAN-LEE	[REDACTED]	

PROBABLE CAUSE AFFIDAVIT:

(specify probable cause for each charge)

Before Me, the undersigned authority personally appeared SGT CHRISTINA T. ESQUIVEL who being duly sworn, alleges, on information and belief, that on the 19th day of February, 2023, in Marion County, Florida, the defendant did:

COMMIT THE OFFENSES OF DRIVING UNDER THE INFLUENCE - 2ND CONVICTION, POSSESSION OF MARIJUANA LESS THAN 20 GRAMS, AND POSSESSION OF ALPRAZOLAM TO WIT:

ON 2/19/2023 AT APPROXIMATELY 5:50AM I, SERGEANT C. ESQUIVEL #509 OF THE BELLEVIEW POLICE DEPARTMENT, RESPONDED TO SE HWY 484/SE ABSHIER BLVD TO ASSIST OFFICERS GALLER #578 AND ECKERT #563 WITH A DUI INVESTIGATION. OFC GALLER ADVISED THEY HAD RESPONDED TO REPORTS OF A DRIVER ASLEEP BEHIND THE WHEEL AND NOTICED SEVERAL INDICATORS OF IMPAIRMENT WHILE SPEAKING WITH THE DRIVER (DEFENDANT ZACHARY ORANJE). OFC GALLER ADVISED MCFR HAD ALREADY EVALUATED ORANJE. SINCE OFFICERS GALLER AND ECKERT WERE DUE TO END THEIR SHIFTS AT 6AM, I RESPONDED TO TAKE OVER THE INVESTIGATION.

UPON ARRIVAL, I OBSERVED A WHITE HATCHBACK (FLORIDA #059RYD) WAS PARKED IN THE EASTBOUND LANE OF SE HWY 484 AT THE INTERSECTION WITH SE ABSHIER BLVD WITH MULTIPLE BPD PATROL VEHICLES PARKED BEHIND WITH THEIR EMERGENCY LIGHTS ACTIVE. I PARKED MY VEHICLE IN THE NEARBY CVS PARKING LOT (5800 SE ABSHIER BLVD) AWAY FROM THE FLASHING LIGHTS. PFC ECKERT ESCORTED ORANJE TO MY PATROL VEHICLE. I GREETED ORANJE AND IDENTIFIED MYSELF. I EXPLAINED TO ORANJE THAT THERE WAS CONCERN ABOUT HIS LEVEL OF IMPAIRMENT AND REQUESTED HE PERFORM FIELD SOBRIETY EXERCISES WITH ME. ORANJE AGREED, WITH THE FOLLOWING RESULTS:

ORANJE DID NOT HAVE ANY MEDICAL IMPAIRMENTS OR PHYSICAL DISABILITIES, ASIDE FROM A METAL ROD IN HIS LEG FROM YEARS PRIOR AND SOME ANXIETY.

HORIZONTAL GAZE NYSTAGMUS

ORANJE'S EYES WERE BLOODSHOT AND GLASSY AND HIS EYELIDS WERE DROOPY. ORANJE'S PUPILS WERE OF EQUAL SIZE AND HIS EYES TRACKED EQUALLY. ORANJE DISPLAYED A LACK OF SMOOTH PURSUIT AND DISTINCT AND SUSTAINED NYSTAGMUS IN BOTH EYES.

SWORN to and SUBSCRIBED before me this 19 day of February 2023

Sgt Christina T. Esquivel #509
AFFIANT

[Signature] 5611
Notary Public - Certified Officer
(circle one)

Belleview Police Department
ARRESTING AGENCY

EXHIBIT #1
PAGE 2/16

SEAL

Belleview Police Department

ORIGINAL

Complaint/Arrest	Court Case No.	Agency Case No.
Affidavit Continuation		2023020343 - 1
Defendant's Name: Last First Middle	Date of Birth	
ORANJE, ZACHARY RYAN-LEE	[REDACTED]	

PROBABLE CAUSE AFFIDAVIT:

(specify probable cause for each charge)

Before Me, the undersigned authority personally appeared SGT CHRISTINA T. ESQUIVEL who being duly sworn, alleges, on information and belief, that on the 19th day of February, 2023 in Marion County, Florida, the defendant did:

WALK AND TURN

ORANJE COULD NOT MAINTAIN HIS BALANCE WHILE LISTENING TO THE INSTRUCTIONS. ORANJE CROSSED HIS LEGS ON EACH STEP (MISSING HEEL-TO-TOE), TOOK TEN STEPS IN BOTH DIRECTIONS, MADE AN IMPROPER TURN, AND STEPPED OFF OF THE LINE.

ONE LEG STAND

ORANJE BENT HIS LEG AND WAS TOLD TO KEEP IT STRAIGHT. ORANJE STOPPED THE EXERCISE TO SAY HE WAS ANXIOUS AND ASK FOR CLARIFICATION. THIS HAPPENED MULTIPLE TIMES. ORANJE PUT HIS FOOT DOWN PRIOR TO 30 SECONDS, USED HIS ARMS FOR BALANCE, AND SWAYED MORE THAN TWO INCHES.

I THEN PLACED ORANJE UNDER ARREST AND IN HANDCUFFS, WHICH WERE DOUBLE-LOCKED BEHIND HIS BACK. PFC ECKERT SEARCHED ORANJE AND LOCATED A LARGE WAD OF CASH. I COUNTED THE CASH (ON CAMERA AND IN FRONT OF ORANJE) AND DETERMINED THERE WAS \$460 MADE UP OF \$100, \$20, AND \$5 BILLS. ORANJE WAS PLACED IN THE BACK SEAT OF MY PATROL VEHICLE. ORANJE ADVISED HIS ANXIETY WAS WORSENING AND ASKED IF HIS HANDCUFFS COULD BE MOVED TO THE FRONT. ORANJE HAD BEEN POLITE AND COOPERATIVE THROUGHOUT THE INCIDENT, SO I AGREED AND RE-HANDCUFFED HIM WITH HIS HANDS IN FRONT (DOUBLE-LOCKED).

WHILE INTERACTING WITH ORANJE, I SMELLED THE ODOR OF MARIJUANA COMING FROM HIS PERSON AND THE ODOR OF AN ALCOHOLIC BEVERAGE EMITTING FROM HIS BREATH.

OFC GALLER AND PFC HUNT #566 CONDUCTED A PROBABLE-CAUSE SEARCH OF ORANJE'S VEHICLE. IN THE DRIVER DOOR HANDLE IN PLAIN VIEW WERE THREE YELLOW RECTANGULAR PILLS WITH THREE BREAK LINES AND STAMPED WITH "R039". THESE PILLS ARE COMMONLY REFERRED TO AS XANAX BARS AND WERE CONFIRMED THROUGH DRUGS.COM AS ALPRAZOLAM. IN THE CENTER CONSOLE WAS AN EMPTY MARIJUANA PACKAGE DEPICTING BARACK OBAMA WITH A MARIJUANA CIGARETTE FLOATING BY HIS MOUTH AND \$100 BILLS FALLING AROUND HIS FACE. IN BETWEEN THE PASSENGER SEAT AND CENTER CONSOLE WERE TWO "BUDS" OF A GREEN LEAFY SUBSTANCE WHICH FIELD-TESTED POSITIVE FOR MARIJUANA.

SWORN to and SUBSCRIBED before me this 19 day of February, 2023

Sgt Christina T. Esquivel #509
AFFIANT

[Signature]
Notary Public - Certified Officer
(circle one)

Belleview Police Department
ARRESTING AGENCY

SEAL

EXHIBIT #1
PAGE 3/16

Bellevue Police Department

Complaint/Arrest	Court Case No.	Agency Case No.
Affidavit Continuation		2023020343 - 1
Defendant's Name: Last First Middle	Date of Birth	
ORANJE, ZACHARY RYAN-LEE	[REDACTED]	

PROBABLE CAUSE AFFIDAVIT:

(specify probable cause for each charge)

Before Me, the undersigned authority personally appeared SGT CHRISTINA T. ESQUIVEL who being duly sworn, alleges, on information and belief, that on the 19th day of February, 2023, in Marion County, Florida, the defendant did:

PFC ECKERT ADVISED HE WAS THE FIRST OFFICER ON SCENE AND OBSERVED ORANJE WAS SLUMPED TO THE SIDE WHILE SITTING IN THE DRIVER'S SEAT. PFC ECKERT ADVISED HE KNOCKED ON THE WINDOW AND ATTEMPTED TO WAKE ORANJE. PFC ECKERT ADVISED ORANJE SLOWLY WOKE UP, BUT WAS DISORIENTED. PFC ECKERT ADVISED HE TOLD ORANJE TO PUT THE VEHICLE IN PARK, BUT ORANJE DIDN'T UNDERSTAND HIM FOR NEARLY 45 SECONDS. PFC ECKERT ADVISED ORANJE FINALLY MOVED FOR THE GEAR SHIFT, BUT PUT THE CAR INTO NEUTRAL, REVERSE, AND DRIVE MULTIPLE TIMES BEFORE SHIFTING INTO PARK. PFC ECKERT AND OFC GALLER ADVISED THEY COULD SMELL MARIJUANA AND ORANJE ADMITTED TO SMOKING MARIJUANA AROUND MIDNIGHT AT A PARTY.

I TRANSPORTED ORANJE TO THE MARION COUNTY JAIL WITHOUT INCIDENT. I ESCORTED ORANJE INTO THE INTOXILYZER ROOM AND CONDUCTED A 20 MINUTE OBSERVATION, AFTER WHICH I REQUESTED A BREATH SAMPLE. ORANJE COMPLIED WITH RESULTS OF 0.054 AND 0.052. WHEN INFORMED OF HIS RESULTS, ORANJE REMARKED ON THE NUMBERS AND STATED "I THOUGHT I SLEPT IT OFF COMPLETELY". I REQUESTED ORANJE PROVIDE A URINE SAMPLE, TO WHICH HE INITIALLY REFUSED. I READ ORANJE IMPLIED CONSENT, AFTER WHICH HE PROVIDED A URINE SAMPLE.

OFC GALLER (A CERTIFIED DRUG RECOGNITION EXPERT) RESPONDED TO THE JAIL TO CONDUCT A DRUG INFLUENCE EVALUATION. ORANJE DECLINED TO PARTICIPATE IN THE EVALUATION AND (POST-MIRANDA) DECLINED TO SPEAK WITH OFFICERS.

WHILE BEING MEDICALLY SCREENED BY THE JAIL NURSE, ORANJE ADVISED HE HAD HAD "4 OR 5 SHOTS" THE NIGHT BEFORE AND TOOK MEDICATION FOR HIS ANXIETY.

I CONDUCTED A RECORDS CHECK AND DISCOVERED THE FOLLOWING:
 8/4/2016 - SUSPENSION FOR REFUSAL TO SUBMIT TO BREATH/URINE/BLOOD TEST (REINSTATED ON 5/27/2020)
 10/31/2016 - GUILTY CONVICTION FOR DRIVING UNDER THE INFLUENCE

SWORN to and SUBSCRIBED before me
 this 19 day of February
2023

Sgt Christina T. Esquivel #509
 AFFIANT

[Signature]
 Notary Public - Certified Officer
 (circle one)

Bellevue Police Department
 ARRESTING AGENCY

SEAL

EXHIBIT #1
PAGE 4/16

Bellevue Police Department

ORIGINAL

Complaint/Arrest

Court Case No.

Agency Case No.

Affidavit Continuation

2023020343 - 1

Defendant's Name: Last First Middle

Date of Birth

ORANJE, ZACHARY RYAN-LEE

PROBABLE CAUSE AFFIDAVIT:

(specify probable cause for each charge)

Before Me, the undersigned authority personally appeared SGT CHRISTINA T. ESQUIVEL who being duly sworn, alleges, on information and belief, that on the 19th day of February, 2023, in Marion County, Florida, the defendant did:

ORANJE WAS ISSUED CITATIONS #ACA170E FOR DUI AND #AH2X99E FOR DRIVING WHILE IN POSSESSION OF A CONTROLLED SUBSTANCE.

SWORN to and SUBSCRIBED before me

this 19 day of February 2023

Sgt Christina T. Esquivel #509

AFFIANT

Notary Public - Certified Officer (circle one) 6611

Bellevue Police Department ARRESTING AGENCY

EXHIBIT #1 PAGE 5/16

SEAL

IN THE CIRCUIT COURT OF THE FIFTH JUDICIAL CIRCUIT
OF THE STATE OF FLORIDA, IN AND FOR MARION COUNTY

STATE OF FLORIDA

CASE NO

2023-CF-000669-A

vs.

ZACHARY RYAN LEE ORANJE (A) W/M, 12/16/1993

INFORMATION

IN THE NAME AND BY THE AUTHORITY OF THE STATE OF FLORIDA:

WILLIAM M GLADSON, State Attorney for the Fifth Judicial Circuit of the State of Florida, in and for Marion County prosecuting for the State of Florida, by and through the undersigned Assistant State Attorney, in the said County, under oath, information makes that, in the County of Marion, and the State of Florida:

COUNT I: POSSESSION OF ALPRAZOLAM (F3)
893.13(6)(a) and 893.03(4)(b)(2)

ZACHARY RYAN LEE ORANJE on or about February 19, 2023, did unlawfully and knowingly have in his actual or constructive possession a controlled substance, to-wit: Alprazolam, in violation of Florida Statutes 893.13(6)(a) and 893.03(4)(b)(2)

COUNT II: POSSESSION OF CANNABIS - 20 GRAMS OR LESS (M1)
893.13(6)(a) and 893.13(6)(b) and 893.03(1)(c)7

ZACHARY RYAN LEE ORANJE on or about February 19, 2023, did unlawfully and knowingly have in his actual or constructive possession a controlled substance, to-wit: Cannabis, commonly referred to as Marijuana, in an amount of twenty grams or less, in violation of Florida Statutes 893.13(6)(a), 893.13(6)(b) and 893.03(1)(c)7

COUNT III: DUI IMPAIRMENT OR DUBAL 1 PRIOR CONVICTION (MM)
316.193(1)(a) and 316.193(2)(a)

ZACHARY RYAN LEE ORANJE on or about February 19, 2023 did unlawfully drive or was in actual physical control of a vehicle within the State of Florida, while under the influence of an alcoholic beverage, any chemical substance set forth in s. 877.111, or any substance controlled under Chapter 893, when affected to the extent that his normal faculties were impaired, or with a blood or breath alcohol level of 0.08 percent or higher, and having previously been convicted of the offense of Driving Under the Influence on 10/31/2016, in violation of Florida Statutes 316.193(1) and 316.193(2)(a)

**EXHIBIT #1
PAGE 6/16**

STATE OF FLORIDA, COUNTY OF MARION


Personally appeared before me, WILLIAM M GLADSON, State Attorney for the Fifth Judicial Circuit, State of Florida, in and for Marion County, or his duly designated Assistant State Attorney, who first being sworn, says that the allegations as set forth in the foregoing information are based upon facts that have been sworn to as true, and which if true, would constitute the offense therein charged. Prosecution instituted in good faith and subscribed under oath, certifying he or she has received testimony under oath from the material witness or witnesses of the offense.

WILLIAM M GLADSON
State Attorney



SHANAE PICKENS
Assistant State Attorney
Fifth Judicial Circuit of Florida
Florida Bar No. 115972

Sworn to and subscribed before me this 20th day of March, 2023.


Affiant Personally Known to Notary Public

FCIC REFERENCE NUMBERS:

- 1) POSSESSION OF ALPRAZOLAM 3551
- 2) POSSESSION OF CANNABIS - 20 GRAMS OR LESS 3562
- 3) DUI IMPAIRMENT OR DUBAL 1 PRIOR CONVICTION 5407



EXHIBIT #1
PAGE 7/16

M-2023-07528-A

**STATE OF FLORIDA
IN THE CIRCUIT COURT OF THE FIFTH JUDICIAL CIRCUIT
IN AND FOR MARION COUNTY FLORIDA**

STATE OF FLORIDA,
-vs-
ZACHARY RYAN-LEE ORANJE
Defendant.

UCN: 422023CF000669CFAXXX
Case Number: 23CF000669AX
OBTS#:
4203212455

Judgment

- PROBATION VIOLATOR/COMMUNITY CONTROL VIOLATOR
- RESENTENCE RETRIAL

The defendant ZACHARY RYAN-LEE ORANJE being personally before the court represented by JAMES P TARQUIN, the attorney of record and having entered a plea of Guilty/Admit to the following crime(s):

CNT #	CHARGE	LVL DGR
1	893.13.6A POSSESSION OF ALPRAZOLAM	Felony Third Degree
2	893.13.6B POSSESSION OF CANNABIS LESS THAN 20 GRAMS	Misdemeanor First Degree
3	316.193.1A DUI IMPAIRMENT OR DUBAL 1 PRIOR CONVICITION	Misdemeanor Second Degree

- The PROBATION/COMMUNITY CONTROL previously ordered in this case is revoked.
- The PRIOR ADJUDICATION OF GUILT IN THIS CASE IS CONFIRMED and no cause having been shown why the defendant should not be adjudicated guilty.

It is ordered that Adjudication of Guilt be Withheld as to COUNT 1, Adjudication of Guilt as to COUNT 2 AND COUNT 3.

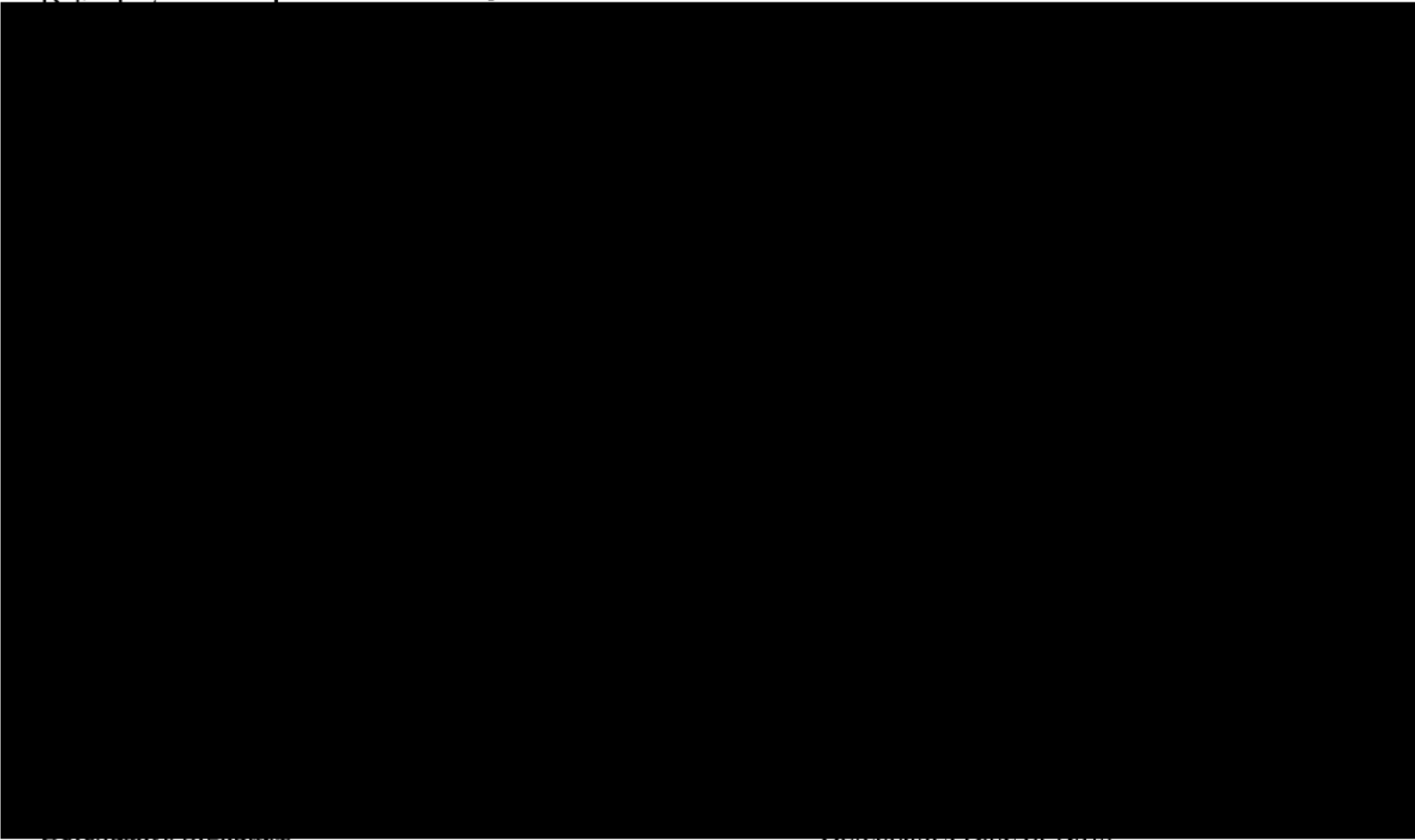
State of Florida

23-CF-6669

Case Number

vs

Zachary Oranje



Zachary Oranje
Print Name of Defendant

I HEREBY CERTIFY that the above and foregoing fingerprints are the fingerprints of the Defendant,

Zachary Oranje, and that they were placed thereon by said Defendant in my presence

this 18 day of July, 20 23.

Fingerprints taken by:

E. CABRERA Deputy
Name and Title of Court Officer, Court Employee, or
Criminal Justice Agency Employee.

[Signature]

6853

7/18/23

**STATE OF FLORIDA
IN THE CIRCUIT COURT OF THE FIFTH JUDICIAL CIRCUIT
IN AND FOR MARION COUNTY FLORIDA**

STATE OF FLORIDA,

-vs-

ZACHARY RYAN-LEE ORANJE
Defendant.

UCN: 422023CF000669CFAXXX

Case Number: 23CF000669AX

Sentence

(As To Count 1)

The defendant, being personally before this court, accompanied by the defendants' attorney of record, JAMES P TARQUIN and having been ADJ WITHHELD BY JUDGE herein, and the court having given the defendant an opportunity to be heard and to offer matters in mitigation of sentence, and to show cause why the defendant should not be sentenced as provided by law, and no cause being shown,

(Check applicable provision)

- and the court having on 07/18/2023 deferred imposition of sentence until this date
- and the court having previously entered a judgment in this case on _____ now resentsences the defendant
- and the court having placed the defendant on probation/community control and having subsequently revoked the defendant's probation/community control

IT IS SENTENCE OF THE COURT that:

- The defendant pay a fine pursuant to section 775.083, Florida Statutes, plus a 5% surcharge pursuant to section 938.04 Florida Statutes.
- The defendant is sentenced as a youthful offender in accordance with section 958.04, Florida Statutes.

TO BE IMPRISONED:

In the event the defendant is ordered to serve additional split sentences, all incarcerations portions shall be satisfied before the defendant begins service to the supervision terms.

COMMUNITY CONTROL/PROBATION:

Community Control

For a term of 0.000 year(s) 0.000 month(s) 0.000 day(s)

Probation

For a term of 24.000 month(s)

**STATE OF FLORIDA
IN THE CIRCUIT COURT OF THE FIFTH JUDICIAL CIRCUIT
IN AND FOR MARION COUNTY FLORIDA**

STATE OF FLORIDA,

-vs-

ZACHARY RYAN-LEE ORANJE
Defendant.

UCN: 422023CF000669CFAXXX

Case Number: 23CF000669AX

Sentence

(As To Count 2)

The defendant, being personally before this court, accompanied by the defendants' attorney of record, JAMES P TARQUIN and having been ADJUDICATED GUILTY CONVICTED herein, and the court having given the defendant an opportunity to be heard and to offer matters in mitigation of sentence, and to show cause why the defendant should not be sentenced as provided by law, and no cause being shown,

(Check applicable provision)

- and the court having on 07/18/2023 deferred imposition of sentence until this date 07/18/2023
- and the court having previously entered a judgment in this case on _____ now resentsences the defendant
- and the court having placed the defendant on probation/community control and having subsequently revoked the defendant's probation/community control

IT IS SENTENCE OF THE COURT that:

The Defendant is hereby committed to the custody of the Sheriff of MARION County Florida.

- The defendant pay a fine pursuant to section 775.083, Florida Statutes, plus a 5% surcharge pursuant to section 938.04 Florida Statutes.
- The defendant is sentenced as a youthful offender in accordance with section 958.04, Florida Statutes.

TO BE IMPRISONED:

For a term of Minimum 0.000 year(s) 0.000 month(s) 0.000 day(s) Maximum 2.000 day(s)

In the event the defendant is ordered to serve additional split sentences, all incarcerations portions shall be satisfied before the defendant begins service to the supervision terms.

COMMUNITY CONTROL/PROBATION:

Community Control

For a term of 0.000 year(s) 0.000 month(s) 0.000 day(s)

Probation

For a term of 0.000 year(s) 0.000 month(s) 0.000 day(s)

**STATE OF FLORIDA
IN THE CIRCUIT COURT OF THE FIFTH JUDICIAL CIRCUIT
IN AND FOR MARION COUNTY FLORIDA**

STATE OF FLORIDA,

-vs-

ZACHARY RYAN-LEE ORANJE
Defendant.

UCN: 422023CF000669CFAXXX

Case Number: 23CF000669AX

Sentence

(As To Count 3)

The defendant, being personally before this court, accompanied by the defendants' attorney of record, JAMES P TARQUIN and having been ADJUDICATED GUILTY CONVICTED herein, and the court having given the defendant an opportunity to be heard and to offer matters in mitigation of sentence, and to show cause why the defendant should not be sentenced as provided by law, and no cause being shown,

(Check applicable provision)

- and the court having on 07/18/2023 deferred imposition of sentence until this date
- and the court having previously entered a judgment in this case on _____ now resentsences the defendant
- and the court having placed the defendant on probation/community control and having subsequently revoked the defendant's probation/community control

IT IS SENTENCE OF THE COURT that:

- The defendant pay a fine pursuant to section 775.083, Florida Statutes, plus a 5% surcharge pursuant to section 938.04 Florida Statutes.
- The defendant is sentenced as a youthful offender in accordance with section 958.04, Florida Statutes.

TO BE IMPRISONED:

In the event the defendant is ordered to serve additional split sentences, all incarcerations portions shall be satisfied before the defendant begins service to the supervision terms.

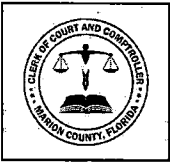
COMMUNITY CONTROL/PROBATION:

Community Control

For a term of 0.000 year(s) 0.000 month(s) 0.000 day(s)

Probation

For a term of 12.000 month(s)



Gregory C. Harrell
 Clerk of Court and Comptroller
 Marion County

NCCC - CRIMINAL
 JUL 20 '23 AM 10:49

Payment Plan Agreement

Case Number(s):	2023-CF-669A **PROB CASE: 22 MONTH CONTRACT**		
Applicant Name:	First Name: ZACHARY	Middle Name: RYAN-LEE	Last Name: ORANJE

SECTION 4: Acceptable Payment Methods (s. 28.42(2), F.S.)

Payments can be made as follows:

- **Pay Online:** To make a payment for a criminal financial obligation with a credit card online, visit <https://www.myfloridacounty.com/courtpay> The financial service provider, MyFloridaCounty, charges a nominal, non-refundable fee for each credit card transaction.
- **Pay by Phone:** To make a payment for a criminal financial obligation with a credit card by phone, call (352) 671-5674 from 8:00 a.m. to 4 p.m., Monday through Friday, excluding holidays. The financial service provider, MyFloridaCounty, charges a nominal, non-refundable fee for each credit card transaction.
- **Pay by Mail:** Mail a check, money order or cashier's check, include your case number with your payment. Mail to Gregory C. Harrell, Marion County Clerk of Court and Comptroller, Attn: Criminal Division, Post Office Box 1030, Ocala, FL 34478.
- **Pay In-Person:** Pay in person by credit card, cash, cashier's check, or money order at the Marion County Courthouse, 110 NW 1st Avenue, Ocala, FL 34475. Location: 1st Floor, Room #105

SECTION 5: Payment Plan Terms (s. 28.42(2), F.S.)

 Z.O
Initial Here

I understand that court-imposed financial obligations and civil penalties are penalties from my sentence or set by applicable law and I am required to pay for all fines, fees, and costs incurred from my case proceeding(s).

 Z.O
Initial Here

- I understand and agree to pay a one-time \$25 partial payment setup fee to establish a payment plan – (s. 28.24(27)(c), F.S.), applicable clearance form fee(s), reinstatement fee, and late fee, and down payment.
- If I fail to complete my payment plan and the clerk creates a new payment plan for me, I understand the clerk will assess an additional \$25 partial payment setup fee each time a new payment agreement is established. I further understand that the financial service provider, MyFloridaCounty, charges a nominal, non-refundable fee for each payment made by credit card.

 Z.O
Initial Here

I understand that it is my responsibility to make timely payments pursuant to the plan, regardless of any e-notification reminders or future circumstances (i.e., incarceration or unemployment).

- Payment is due no later than 11:59 p.m. EST on the date given.

 Z.O
Initial Here

I will timely update my address, cell phone number, email address, and any other contact information with the Clerk's Office so that I may receive notifications, should this service become available in the future. This service is NOT currently offered by the clerk's office.

- Failing to update my contact information may prevent me from receiving payment plan notifications.

 Z.O
Initial Here

I will notify the Clerk's office immediately with a request to modify my original payment plan if my financial situation changes.

 Z.O
Initial Here

I understand my monthly payment will be applied to the case with the oldest case number, unless otherwise directed.

SECTION 6: Failure to Comply

- 2.0
Initial Here

Failing to pay as agreed may result in the Florida Highway Safety and Motor Vehicles (FLHSMV) issuing an order suspending my driver license and my privilege to drive 20 days after the date the order of suspension is mailed (ss. 318.15 or 322.245, F.S.).

 - FLHSMV will send notification of suspension to the address they have on file.
- 2.0
Initial Here

If I fail to establish a new payment plan, my license will remain suspended. If my case(s) remains unpaid after 90 days, my case(s) will be referred to a collection agency (s. 28.246(6), F.S.).

 - The collection agency may add additional fees of up to 40% to my outstanding balance, and I may need to pay the new balance through the collection agency.
- 2.0
Initial Here

A failure to pay timely, which results in any criminal fine assessed by the court not being paid by the date established by the court, may result in the arrest of the defendant for failure to pay the fine.
- 2.0
Initial Here

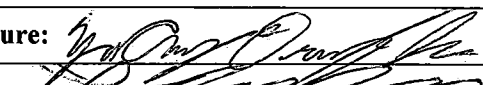

Criminal cases may be subject to a non-refundable lien fee of \$22.

FOR CLERK'S OFFICE USE ONLY

Payment Calculation

Beginning Balance of fines, filing fees, service charges, and court costs	\$ 1917.00
Down payment (Lesser of 10% of the amount on line above or \$100.00)	\$ 0
Partial Payment Setup Fee	\$ 25.00
Applicable Reinstatement Fee	\$ 0
Applicable late fee and clearance form fees	\$ 0
TOTAL AMOUNT DUE TODAY:	\$ 0

Payment Schedule

Amount Paid Today:	\$ 0
Balance for Payment Plan:	\$ 1942.00
On the 20TH day of the month, beginning 08/20/2023 until the balance is paid in full.	
\$ 88.27 a month.	
Monthly payment is considered reasonable if it does not exceed the greater of two percent of annual net income divided by 12 or \$25.00.	
TOTAL NUMBER OF MONTHS FOR PAYMENT PLAN CONTRACT:	22
Applicant Signature: 	Date: 7-20-23
Clerk Signature: 	Date: 7/20/23



(<http://www.dc.state.fl.us/index.html>)

Florida Department of Corrections
(<http://www.dc.state.fl.us/index.html>)



Ron DeSantis, Governor
Ricky D. Dixon, Secretary

"Inspiring Success by Transforming One Life at a Time"

Offender Search (</OffenderSearch/InmateInfoMenu.aspx>) **Visit an Inmate** (</ci/visit.html>)

Correctional Institutions (</ci/index.html>) **Probation Services** (</cc/index.html>) **Programs** (</development/index.html>)

FDC Jobs (<http://www.fdcjobs.com>) **Newsroom** (</comm/index.html>) **Statistics** (</pub/index.html>)


Contact Us (<http://www.dc.state.fl.us/citizen/index.html>)

Corrections Offender Network

Supervised Population Information Detail

(This information was current as of 7/30/2023)



DC Number:	F72006
Name:	ORANJE, ZACHARY RYAN-LI
Race:	WHITE
Sex:	MALE
Birth Date:	██████████
Supervision Begin Date:	07/18/2023
Current Location:	<u>GAINESVILLE</u> (http://prod.fdpw001.fdc.myflorida.com/c)
Current Status:	<u>ACTIVE</u>
Supervision Type:	PROBATION FELONY
Scheduled Termination Date:	07/17/2025
 CLICK HERE for Custody Status Updates	
(https://www.vinelink.com/vinelink/servlet/SubjectSearch?siteID=10000&agency=900&offenderID=F72006)	

Aliases:

ZACHARY ORANJE, ZACHARY RYAN-LEE ORANJE

Note: The offense descriptions are truncated and do not necessarily reflect the crime for which the offender is on supervision. Please refer to the court documents or the Florida Statutes for further information or definition.

Current Community Supervision History:

EXHIBIT #1
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Offense Date	Offense	Sentence Date	County	Case No.	Community Supervision Length
02/19/2023	POSS.CONTROL.SUBS/OTHER	07/18/2023	MARION	2300669	0Y 24M 0D
02/19/2023	DUI-MISD.	07/18/2023	MARION	2300669	0Y 12M 0D

[First](#)
[Previous](#)
[Next](#)
[Last](#)
[Return to List](#)

[New Search](#)

Record: 1 of 1

The Florida Department of Corrections updates this information regularly, to ensure that it is complete and accurate, however this information can change quickly. Therefore, the information on this site may not reflect the true current location, status, scheduled termination date, or other information regarding an offender.

This database contains public record information on felony offenders sentenced to the Department of Corrections. This information includes offenders sentenced or released to state supervision or offenders received for supervision from another state as the result of an Interstate Compact transfer. Information contained herein includes current supervision offenses. Offense types include related crimes such as attempts, conspiracies and solicitations to commit crimes. Information on offenders sentenced to county jail, county probation, or any other form of supervision is not contained. The information is derived from court records provided to the Department of Corrections and is made available as a public service to interested citizens. The Department of Corrections makes no guarantee as to the accuracy or completeness of the information contained herein. Any person who believes information provided is not accurate may contact the Department of Corrections.

For questions and comments, you may contact the Department of Corrections, Bureau of Probation and Parole Field Services, at [GAINESVILLE Circuit Office \(http://prod.fdc-wpws001.fdc.myflorida.com/cc/o8.html\)](#). This information is made available to the public and law enforcement in the interest of public safety.

Search Criteria: (/OffenderSearch/search.aspx?TypeSearch=AO) Last Name: Oranje First Name: Zachary Search Aliases: YES Offense Category: Supervision Type: ALL Supervision Status: ALL County of Supervision: ALL Current Location: ALL

Current Status Definitions: **Active** - offender is being actively supervised by the probation officer in the community. **Active Suspense** - offender is temporarily unavailable for direct supervision during the supervision term, due to being in custody in jail or another facility, but is still being monitored by a probation officer for release, arrest, etc. **Absconder** - offender absconds from supervision (his/her whereabouts are unknown and the offender is not available for supervision) and warrant is issued for violation.

[Return to Corrections Offender Information Network \(../OffenderSearch/InmateInfoMenu.aspx\)](#)

About Us

<http://www.dc.state.fl.us/about.html>

As Florida's largest state agency, and the third largest prison system in the country, FDC employs 24,000 members, incarcerates approximately 80,000 inmates and supervises nearly 146,000 offenders in the community.

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[Organization](#) (<http://www.dc.state.fl.us/org/orgchart.html>)
[Regulatory Plan](#) (<http://www.dc.state.fl.us/pub/regulatory/2018.pdf>)
[Victim Services](#) (<http://www.dc.state.fl.us/vict/index.html>)
[Inmate and Offender Programming](#) (<http://www.dc.state.fl.us/development/index.html>)
[Corrections Foundation](#) (<https://www.correctionsfoundation.org/>)
[Parole Information](#) (<https://www.fcor.state.fl.us/index.shtml>)
[Inspector General](#) (<http://www.dc.state.fl.us/ig/index.html>)
[Prison Rape Elimination Act](#) (<http://www.dc.state.fl.us/PREA/index.html>)

Contact Us

<http://www.dc.state.fl.us/citi>

501 South Calhoun Street
Tallahassee, FL 32399-2500
Main: (850) 488-7052

U.S. Postal Service
Certified Mail Receipt

OUTBOUND TRACKING NUMBER
9414 7118 9966 2034 7321 88

RETURN RECEIPT TRACKING NUMBER
9490 9118 9966 2034 7321 37

FEEES

Postage per piece	\$1.590
Certified Fee	\$4.350
Return Receipt Fee	\$3.550
Total Postage & Fees:	\$9.490

10/17/23

ARTICLE ADDRESS TO:

AC - 2023044814 - PMW
Zachary R Oranje
3843 Pepper Tree Ln Apt 7206
Wildwood FL 34785-7630

Postmark
Here

Tracking Number:

Remove X

9414711899562034732188

Copy

Add to Informed Delivery (<https://informedelivery.usps.com/>)

Latest Update

Your item was delivered to an individual at the address at 4:06 pm on October 20, 2023 in WILDWOOD, FL 34785.

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FAQs

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Deputy Chief Attorney
Re: FGCC v. Andry G. Blanco Case No. 2023-046372; Default Final Order
Date: November 17, 2023

Executive Summary

The Division of Pari-Mutuel Wagering seeks the suspension of Andry G. Blanco (“Respondent”) Pari-Mutuel Wagering Professional Individual Occupational License. By failing to respond to the administrative complaint, Respondent waived his right to request a hearing contesting the Division’s decision. Therefore, the Florida Gaming Control Commission should enter a final order suspending Respondent’s Pari-Mutuel Wagering Professional Individual Occupational License.

Background

On February 8, 2023, a default final judgment of \$4,678.00 was entered against Respondent for unpaid financial obligations regarding veterinary services provided on the ground of a pari-mutuel facility in the County Court of the Seventeenth Judicial Circuit in and for Broward County, Florida in case number COCE22073628. These unpaid financial obligations related to racing conducted at a pari-mutuel facility in the state of Florida.

The Division filed an administrative complaint against Respondent seeking suspension of Respondent’s Pari-Mutuel Wagering Professional Individual Occupational License. The election of rights accompanying the administrative complaint made it clear that the Respondent had 21 days¹ to file a written response to the administrative complaint. The Respondent was served on September 25, 2023, which means the Respondent had until October 16, 2023, to respond. He has never responded.

¹ See Fla. Admin. Code R. 28-106.111(4) (“Any person who receives written notice of an agency decision and who fails to file a written request for a hearing within 21 days waives the right to request a hearing on such matters.”)

Analysis

Section 550.105(7), Florida Statutes, provides, that “[t]he Commission may deny, revoke, or suspend any occupational license if the applicant therefor or holder thereof accumulates unpaid obligations or defaults in obligations, or issues drafts or checks that are dishonored or for which payment is refused without reasonable cause, if such unpaid obligations, defaults, or dishonored or refused drafts or checks directly relate to the sport of jai alai or racing being conducted at a pari-mutuel facility within this state.” Respondent defaulted in Seventeenth Circuit on a case relating to horse racing in the state of Florida. Accordingly, Respondent license may be suspended or revoked.

Because Respondent failed to file a timely response to the properly served administrative complaint, he waived his right to request a hearing.

Staff Recommendation: The Florida Gaming Control Commission should enter a final order suspending Andry G. Blanco’s Pari-Mutuel Wagering Professional Individual Occupational License until the Commission receives confirmation that payment has been satisfied.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2023-046372

ANDRY G. BLANCO,

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against Andry G. Blanco (“Respondent”), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering pursuant to chapter 550, Florida Statutes.
2. At all times material hereto, Respondent held a Pari-Mutuel Wagering Professional Individual Occupational License, 6917240-1021, issued by Petitioner.
3. On or about February 8, 2023, a default judgment of \$4,678.00 was entered against Respondent for unpaid financial obligations regarding the provision of veterinary services in the County Court of the Seventeenth Judicial Circuit in and for Broward County, Florida, in case number COCE22073628.
4. These unpaid financial obligations relate to racing conducted at a pari-mutuel facility in the state of Florida.

5. Pursuant to section 550.105(7), Florida Statutes:

The commission may deny, revoke, or suspend any occupational license if the applicant therefor or holder thereof accumulates unpaid obligations or defaults in obligations, or issues drafts or checks that are dishonored or for which payment is refused without reasonable cause, if such unpaid obligations, defaults, or dishonored or refused drafts or checks directly relate to the sport of jai alai or racing being conducted at a pari-mutuel facility within this state.

6. Based on the foregoing, Respondent violated section 550.105(7), Florida Statutes, by accumulating unpaid obligations or defaults in obligations that directly related to racing conducted at a pari-mutuel facility in the state of Florida.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties the Commission is authorized to impose pursuant to chapter 550, Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2023-046372 is signed this 24th day of August 2023.

/s/Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way
Tallahassee, Florida 32399-2202
Telephone: (850) 794-8066
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@flgaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

Legal: 08/22/23
6 Days

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VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
Complaint Search Update	Change Mass Status Update	Recording License Type Public Case Info	Delete Complaint	Mass Activity Update	Mass Discipline				

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: nmelvai

[VR Home](#) > [Complaint Search](#) > [Maintain Complaint](#)

Lic Type	1021 - Pari-Mutuel Wagering Individual Occupational	Status	90 Closed	Status Date	08/17/2023
Complaint #	2023046372	Case Type	CMP - Complaint	Disposition	
Docket#		Respondent	BLANCO, ANDRY G	Responsible	bjones - JONES, BRADFORD
					Private Case

Complaint	Respondent	Complainant	Add'l Info
Source	CNSM - Consumer	Security Level	1
Form	PCOM - PMW Complaint	Priority	
Class'n	IV-A - Financial Responsibility	Complexity	R - Regular
Security	STND - Standard	Incident	02/08/2023
Region	SR - Southern Region	Received	08/15/2023
Reference	550.105 (7)		
Entered	08/16/2023	Entered By	cstubbs1
Summary	<p>321-Gulfstream Park - Financial Responsibility -: On August 14, 2023, a copy of a Default Final Judgment, Case #COCE-22-073628 was received via e-mail from Attorney Mark Gunderson. Per said Default Final Judgment filed on February 8, 2023, in the County Court For the 17th Judicial Circuit in and For Broward County, FL, in Division 100 Teigland, Franklin, & Brokken, D.V.M.'s Inc., shall recover from Andry G. BLANCO the sum of \$3,507.50 in principle, filing fees of \$310.50, process server fees of \$60.00, and attorney fees in the amount of \$800.00, totaling \$4,678.00, which shall bear interest at the rate of 5.52% per year.</p>		
Updated	08/22/2023 09:50:43	By	nmelvai

Parties
 Activities

Allegations
 Discipline

Violations
 Compliance

Related
 Disposition

Inspection
 Costs

Time Tracking

Attachments

Work Notes

6 Days	<input type="button" value="Change"/>	<input type="button" value="Save"/>	<input type="button" value="OK"/>	<input type="button" value="Cancel"/>	<input type="button" value="Back"/>
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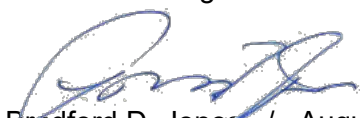
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Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office: PMW	Region: SOUTHERN	Date of Complaint: January 3, 2023	Case Number: 2023 04 6372
Respondent: BLANCO, ANDRY G. 4104 SW 22ND ST. OCALA, FL. 34474 		Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATION 1400 W. COMMERCIAL BLVD., SUITE 165 FT. LAUDERDALE, FL. 33309 (954) 202-3900	
License # and Type: 6917240 - 1021	Profession: Individual Animal Owner	Report Date: August 16, 2023	
Period of Investigation: August 15, 2023, through August 16, 2023		Type of Report: Final	
Alleged Violation: F.S.S. 550.105 Occupational licenses of racetrack employees; fees; denial, suspension, and revocation of license; penalties and fines. – (7) “The commission may deny, revoke, or suspend any occupational license if the applicant therefor or holder thereof accumulates unpaid obligations or defaults in obligations, or issues drafts or checks that are dishonored or for which payment is refused without reasonable cause, if such unpaid obligations, defaults, or dishonored or refused drafts or checks directly relate to the sport of jai alai or racing being conducted at a pari-mutuel facility within this state.”			
Synopsis: On August 14, 2023, a copy of a Default Final Judgment against Andry G. BLANCO , dated February 8, 2023 in favor of Teigland, Franklin & Brokken, D.V.M.’S, Inc., (Case No. COCE-22-073628) was received via e-mail from Attorney Mark Gunderson. Per said judgment, Teigland, Franklin & Brokken, D.V.M.’S, Inc., shall recover from BLANCO the sum of \$3,507.50 in principle, filing fees of \$310.50, process server fees of \$60.00 and attorney fees in the amount of \$800.00, totaling \$4,678.00, which shall bear interest at the rate of 5.52% per year (EXHIBIT #1).			
Related Case:			
Investigator / Date		Investigator Supervisor / Date	
		Julio Minaya / August 16, 2023 	
Chief of Investigations / Date			
 Bradford D. Jones / August 17, 2023			

CONTINUATION

A check of VERSA licensing records reflect that Andry G. **BLANCO** obtained a 3-year Florida PMW Occupational license as an Individual Animal Owner on June 28, 2023. This license expires on June 30, 2026. A copy of his licensing information is attached as **EXHIBIT #2**.

In the letter from Gunderson dated August 14, 2023, he states that the original amount of \$3,507.50, is for veterinary services provided by his client at a Florida Pari-Mutuel facility where **BLANCO** stables his horses. Additional fees bring the total balance owed to \$4,678.00. Gunderson also provided copies of the Original Complaint and invoices related to his case. These documents are attached as **EXHIBITS #1, & 3** and reflect services provided in the state of Florida.

On August 16, 2023, an Enforcement Alert was placed on **BLANCO'S** license record in VERSA to reflect this unsatisfied Financial Obligation. A copy of the License Action Request Form and VERSA alert is attached as **EXHIBIT #4**.

Conclusion: **BLANCO** is in apparent violation of F.S.S. 550.105(7) for failing to satisfy the Final Judgment.

Status: Case is closed by Investigations and forwarded to Legal for review.

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III. INVESTIGATIVE REPORT 2

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3. Original Court Complaint & Invoices..... 1-4

4. License Action ALERT..... 1-2

Julio Minaya

From: Mark Gunderson <mgunderson@mindspring.com>
Sent: Monday, August 14, 2023 8:18 AM
To: Minaya, Julio; Fleming, Donna
Cc: Trish Shelton
Subject: Division of Pari-Mutuel Wagering Complaint- Blanco
Attachments: 2023_08_14 Letter to FL Division Blanco1.pdf; 2022_12_01 Complaint Blanco.pdf

Follow Up Flag: Follow up
Flag Status: Flagged

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Julio/Donna,

Attached is my client's complaint to the Division of Pari-mutuel wagering against Andry Blanco and related relevant documents. Call with any questions.

Mark Gunderson
Attorney • CPA
2510 SW 18 Street
Ft. Lauderdale, FL 33312
(954) 288-1001 Mobile
(954)791-6154 Fax

"Greed is a powerful motivator...

many people behave badly and dishonestly, creating serious hardships for others in order to enrich themselves"

-Richard Bitner-

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Law Office of
Mark Gunderson, PL
Attorney ♦ CPA

2510 SW 18 Street ♦ Fort Lauderdale, Florida 33312
phone: (954) 288-1001 ♦ fax: (954) 791-6154 ♦ e-mail: mgunderson@mindspring.com

DIVISION OF PARI-MUTUEL WAGERING COMPLAINT – ANDRY G. BLANCO

August 14, 2023

Via Certified Mail – 7022 1670 0002 2970 9518
Via email - Donna.Fleming@myfloridalicense.com

Via email - Julio.Minaya@myfloridalicense.com

Division of Pari-Mutuel Wagering
DBPR 0070 – Uniform Complaint Form
2601 Blairstone Rd.
Tallahassee, Florida 32399

Julio Minaya, Investigation Supervisor
Division of Pari-Mutuel Wagering
1400 West Commercial Boulevard, Suite 165
Fort Lauderdale, FL 33065

Re: Teigland, Franklin & Brokken, DVMs Inc. v. Andry Blanco
17th Judicial Circuit - State of Florida – COCE 22073628 Division 100 - \$4,678.00

Gentlemen:

On February 8, 2023, my client, Teigland, Franklin & Brokken, DVMs Inc. obtained judgment¹ against Andry Blanco, a licensee, concerning veterinary services which my client provided at a Florida pari-mutuel facility where Mr. Blanco stabled his horses. Since obtaining that judgment, Mr. Blanco has failed to satisfy his obligation.

Florida statutes states: “the division [pari-mutuel wagering] may deny, revoke, or suspend any occupational license if the applicant therefor or holder thereof accumulates unpaid obligations or defaults in obligations...if such unpaid obligations...directly relate to the sport of ... racing being conducted at a pari-mutuel facility within this state.” 550.105(7) Fla. Stat.

Mr. Blanco holds a Pari-Mutuel Wagering Individual Occupational license issued by the State of Florida, as does my client. Mr. Blanco has accumulated unpaid obligations and has defaulted on an obligation that directly related to the sport of racing conducted at a pari-mutuel facility.

Accordingly, my client requests that Mr. Blanco’s occupational/horse racing license be suspended or revoked, in accordance with the procedures found at Fla. Admin. Code R. 61D-3.001, which states that, “alleged violations of Chapter 550, F.S., or Chapter 61D, F.A.C., in horseracing shall be heard by a board of stewards.”

Thank you for your attention to this matter.

/s/ Mark Gunderson, Esq.

Cc. Client
Andry Blanco, 2600 SW 10th Street #704, Ocala, FL 34471

¹ Judgment attached.

IN THE COUNTY COURT OF THE 17TH JUDICIAL CIRCUIT
IN AND FOR BROWARD COUNTY, FLORIDA

CASE NO. COCE22073628 DIVISION: 100 JUDGE: Powell, Jackie (100)

Teigland, Franklin and Brokken DVMS Inc

Plaintiff(s) / Petitioner(s)

v.

Andry Blanco

Defendant(s) / Respondent(s)

_____ /

DEFAULT FINAL JUDGMENT

This action was heard upon Plaintiff's Motion for Default and Final Judgment by Default and


IT IS ADJUDGED that Defendant, ANDRY BLANCO is in default for failing to attend the pre-trial hearing set by this Court for January 3, 2023. Plaintiff, TEIGLAND, FRANKLIN & BROKKEN, D.V.M.'S, Inc., 12277 SW 55th Street #909, Ft. Lauderdale, FL 33330, shall recover from Defendant, ANDRY BLANCO, 2600 SW 10th St., #704, Ocala, FL 34471 the sum of \$3,507.50 in principal, filing fees of \$310.50, process server fees of \$60.00 and attorney fees in the amount of \$800.00, **making a total of \$4,678.00**, which shall bear interest at the rate of 5.52% per year, for which let execution issue forthwith.

IT IS FURTHER ORDERED AND ADJUDGED that the Defendant shall complete Florida Small Claims Rules Form 7.343 (Fact Information Sheet) and return it to the Plaintiff's attorney within 30 days from the date of this final judgment, unless the final judgment is satisfied or a motion for new trial or notice of appeal is filed. **The Defendant should NOT file the completed form 7.343 with the court.**

Jurisdiction of this case is retained to enter further orders that are proper to compel the Defendant to complete form 7.343 and return it to the Plaintiff's attorney.

DONE AND ORDERED IN CHAMBERS at Fort Lauderdale, Broward County Florida

DONE AND ORDERED in Chambers at Broward County, Florida on 8th day of February, 2023.


COCE22073628 02-08-2023 8:40 AM

COCE22073628 02-08-2023 8:40 AM

Hon. Jackie Powell

COUNTY COURT JUDGE

Electronically Signed by Jackie Powell

Copies Furnished To:

Andry Blanco , Address : 2600 SW 10th Street #704, Ocala, FL 34471

Mark Gunderson , E-mail : mgunderson@mindspring.com

PRIVATE ATTORNEY FOR COMPLAINANT (IF APPLICABLE)				
Last Name	First	Middle	Title	Suffix
GUNDERSON, MARK, ESQ				
ADDRESS				
Street Address or P.O. Box				
2510 SW 18 STREET				
City FORT LAUDERDALE			State FL	Zip Code (+4 optional) 33312
County (if Florida address) BROWARD		Country		
CONTACT INFORMATION				
Primary Phone Number 954-288-1001		Alternate Phone Number		

SUBJECT OF COMPLAINT				
Last Name	First	Middle	Title	Suffix
ANDRY G BLANCO				
License Number (if known) 6917240				
Company/Occupation HORSE OWNER				
MAILING ADDRESS				
Street Address or P.O. Box				
2600 SW 10TH STREET #704				
City OCALA			State FL	Zip Code (+4 optional) 34471
County (if Florida address)		Country		
CONTACT INFORMATION				
Primary Phone Number 352-816-8360		Primary E-Mail Address UNKNOWN		
RESIDENCE ADDRESS (IF DIFFERENT THAN MAILING ADDRESS)				
Street Address				
City			State	Zip Code (+4 optional)
County (if Florida address)		Country		

PRIVATE ATTORNEY FOR SUBJECT OF COMPLAINT (IF APPLICABLE)				
Last Name	First	Middle	Title	Suffix
NONE				
ADDRESS				
Street Address or P.O. Box				
City			State	Zip Code (+4 optional)
County (if Florida address)		Country		
CONTACT INFORMATION				
Primary Phone Number		Alternate Phone Number		

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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License Search	Entity Search	Modify License Standing	Maintain License CE Control
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Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: jmlnaya

VR Home > License Search > License Home

License

Fed Tax # [REDACTED] Lic Type **1021 - PMW Professional Individual Occupational** Expires On **06/30/2026**

File # **41163** Name **BLANCO, ANDRY G** Extended To

License # **6917240** Rank **PIND - Professional Individual Occupational** Renewed On

Entity # **6917240** Lic Status **Current**

- Licensee
- History
- Notes
- Notes History
- Back

Address

Street # **4104** Street **SW 22ND ST**

Line 2

Line 3

City **OCALA** State **FL** Zip **34474**

Routing

Other

1st License Date **06/28/2023** Rank Date **06/28/2023** Certificate #

Method **I-S-1020** Status Date **06/28/2023** Certificate Date

Fee Exempt **No** Birth Date [REDACTED] Renewal Sent

Select

Action  

Modifiers

Type	Modifier	Effective Date	Additional Info
C	IOWN - Individual Animal Owner	08/07/2022	
C	JCKY - Jockey	06/28/2023	
I	TBRD - Thoroughbred	11/29/2003	
L	910 - TLH Licensing	06/28/2023	
Y	3YR - 3 Year License	12/04/2005	

Alt Keys

BEST LIC NBR **6917240**

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EXHIBIT #2
PAGE #1

968
8/15/2023

FAQ | Help | Sign Out

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: jminaya

VR Home > License Search > License Home > **Basic Entity Data**

Basic Entity Data | List of Addresses | List of Names

Lic Type	1021 - PMW Professional Individual Occupational		Entity #	6917240	
Fed Tax #	[REDACTED]	Fed Tax Type	SSN #	Name BLANCO, ANDRY G	
<input checked="" type="checkbox"/> Last Name	BLANCO	First	ANDRY	Middle G	
Title		Suffix		Qualifier	
Gender	M	Race	4 - Hispanic		
Driver License #		Birth Date	[REDACTED]		
Mailing Address	<input checked="" type="checkbox"/>	Private Address	<input type="checkbox"/>	Preferred Name	<input checked="" type="checkbox"/>
Street #	4104	Street	SW 22ND ST		
Line 2					
Line 3					
City	OCALA	County	Marion		
Zip	34474	State	Florida	Country United States	
Routing					
Phone #	352 816 8360	Ext	E-Mail anderlin1422@gmail.com		
Insp Region		Receive Email	<input type="checkbox"/>		
Updated	06/09/2023 10:25:40	By	mpacheco		

- Notes
- Notes History
- Fix
- Change
- Save
- OK
- Cancel
- Back

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EXHIBIT #2
PAGE #2

FAQ | Help | Sign Out

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
License Search		Entity Search		Modify License Standing		Maintain License CE Control			

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **jminaya**

VR Home > License Search > License Home > **View License Event Log**

Fed Tax # [REDACTED]	Lic Type 1021 - PMW Professional Individual Occupational	Expires On 06/30/2026
File # 41163	Name BLANCO, ANDRY G	Extended To
License # 6917240	Rank PIND - Professional Individual Occupational	Renewed On
Entity # 6917240	Lic Status Current	

Updated	By	Description
06/28/2023 15:39:54	rkitchens	Initial Professional Occupational Licens # 242853 approved
06/28/2023 15:39:54	btruong	Rank and/or status changed, expiry date assigned
06/28/2023 15:39:54	btruong	Association/Location Codes 910 added
06/28/2023 15:39:54	btruong	Association/Location Codes 321 deleted
06/28/2023 15:39:54	btruong	Association/Location Codes 321 changed
06/28/2023 15:39:54	btruong	Occupational Codes JCKY added
04/14/2023 16:52:50	jdye1	Initial Professional Occupational Licens # 242853 opened
04/14/2023 16:51:18	jdye1	Occupational Codes RIDR deleted
04/14/2023 16:51:18	jdye1	Occupational Codes RIDR changed
01/12/2023 07:31:29	batch	Upgrade 90-day Temp to 3yr Permanent # 238443 expired
01/12/2023 07:31:29	batch	Rank and/or status changed
08/23/2022 00:00:06	dvercilien	Administrative RETL deleted

Page: of 10

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EXHIBIT #2
PAGE # 3
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8/15/2023

IN THE COUNTY COURT FOR THE
SEVENTEENTH JUDICIAL CIRCUIT IN AND
FOR BROWARD COUNTY, FLORIDA

TEIGLAND, FRANKLIN & BROKKEN,
D.V.M.'S, INC.

Plaintiff,

vs

SMALL CLAIMS DIVISION

ANDRY BLANCO
Defendants.

COMPLAINT

Plaintiff, TEIGLAND, FRANKLIN & BROKKEN, D.V.M.'S, INC. ("Plaintiff"), sues defendant, ANDRY BLANCO, ("Defendant") and alleges,

1. This is an action on an open account.
2. This Court has subject matter jurisdiction over this dispute because this complaint seeks damages of \$8,000.00 or less exclusive of interest, attorneys' fees, and costs. §34.01(2) Fla. Stat.
3. Venue is proper in the 17th Judicial Circuit, in that plaintiff's cause of action arose in Broward County Florida. §47.011 Fla. Stat.
4. Plaintiff is a resident of Broward County and otherwise *sui juris*.
5. The Court has jurisdiction over the Defendant in that Plaintiff's cause of action against Defendant arises from Defendant operating, conducting, engaging in, or carrying on a business or business venture in this state, breaching a contract in this state by failing to perform acts required by the contract to be performed in this state, and/or Defendants' substantial and not isolated activity within this state. §48.193 Fla. Stat.
6. Service is alternatively made (if applicable) via substituted service on the Secretary for the State of Florida in accordance with §48.161 Fla. Stat. or §48.181 Fla. Stat. in that Defendant(s) were residents of this state and subsequently became nonresident(s) of this state or have concealed there whereabouts.
7. Defendant owe Plaintiff \$3,507.50 that is due with interest since October 31, 2022, based on an agreement for goods and services that were sold and delivered at an agreed upon price, directly related to horse racing being conducted at a pari-mutuel facility. See Exhibit A.
8. Plaintiff is entitled to prevailing party attorney fees pursuant to agreement between the parties.

WHEREFORE, Plaintiff demands judgment for damages, attorney fees and costs against Defendant.

MARK GUNDERSON, P.L.
Attorney for Plaintiff
2510 SW 18 Street
Fort Lauderdale Florida 33312
(954) 288-1001; (954) 791-6154 Fax
mgunderson@mindspring.com
/s/ Mark Gunderson FBN: 0842141

EXHIBIT #3
PAGE #1
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Statement



Teigland, Franklin & Brokken, DVMS, Inc.
 PO BOX 267160
 Weston, Florida, 33326-7160

Tel: (954) 680-5886
 Fax: (954) 680-5976
 Office@tfbequine.com

Andry Blanco
 100 NW 23rd Ave #506
 Ocala, FL 34475-6246

Date: Oct-31-22
 Client #: 263144
 Page: 1

Trainer: D'ANGELO, JOSE FRANCISCO

\$ _____
 AMOUNT REMITTED

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
	Balance Forward					500.00
*** PAYMENTS AND ADJUSTMENTS TO YOUR ACCOUNT ****						
6/27/22	Credit					-500.00
	Note: WAIVE ATTORNEY FEES AND INTEREST					
	PETER D 100.00%					
6/22/22	Flu-Vac Innovator EHV-4 Flu/Rhino Vaccine	1	17	FL		42.00
6/22/22	Core EQ Innovator Vaccine- (EWT/Rabies/WN)	1	17	FL		88.00
6/25/22	Lasix/Salix - AM EIPH Prevention	1	10	FL		25.00
6/25/22	Video-Endoscopy Exam	1	17	FL		75.00
6/29/22	Tranquilize - Acepromazine & Dormosedan	1	02	FL		39.00
7/2/22	Enterolyte X 2 Via Stomach Tube	1	38	FL		55.00
7/2/22	Lasix/Salix - AM EIPH Prevention	1	38	FL		25.00
7/2/22	Video-Endoscopy Exam	1	38	FL		75.00
7/9/22	Video-Endoscopy Exam	1	17	FL		75.00
7/9/22	Enterolyte X 2 Via Stomach Tube	1	17	FL		55.00
7/9/22	Lasix/Salix - AM EIPH Prevention	1	17	FL		25.00
7/16/22	Lasix/Salix - AM EIPH Prevention	1	17	FL		25.00
7/16/22	Video-Endoscopy Exam	1	17	FL		75.00
7/18/22	Banamine Paste X 2	0.04	02	FL		2.20
7/23/22	Tranquilize - Acepromazine & Dormosedan	1	17	FL		39.00
7/23/22	Inject Carpus Joint	1	17	FL		198.00
	<i>Left Front [InterCarpal]</i>					
	<i>Inject Hyvisc</i>					
7/23/22	Video-Endoscopy Exam	1	17	FL		75.00
	Note: AF - 1 CLEAN					

ALL TREATMENTS HAVE BEEN APPROVED BY YOUR TRAINER. TOTAL AMOUNT IS DUE UPON RECEIPT OF STATEMENT.

We accept MASTERCARD, VISA, DISCOVER and AMERICAN EXPRESS.

Click here to make a secure payment at www.tfbequine.com select resources for the payment tab.

Thank You

Amount past due 60 days or more will bear interest at the maximum rate allowable by law. In the event we are required to take action to collect the amount owed to us, you will be responsible for a \$100.00 administration fee plus all attorney fees and costs regardless of whether a lawsuit is filed.

Statement



Teigland, Franklin & Brokken, DVMs, Inc.
PO BOX 267160
Weston, Florida, 33326-7160

Tel: (954) 680-5886
Fax: (954) 680-5976
Office@tfbequine.com

Andry Blanco
100 NW 23rd Ave #506
Ocala, FL 34475-6246

Date: Oct-31-22
Client #: 263144
Page: 2

Trainer: D'ANGELO, JOSE FRANCISCO

\$ _____
AMOUNT REMITTED

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
7/23/22	Enterolyte X 2 Via Stomach Tube	1	17	FL		55.00
7/23/22	Lasix/Salix - AM EIPH Prevention	1	17	FL		25.00
7/26/22	Banamine Paste x 4	0.07	02	FL		3.85
7/26/22	Dexamethasone/Trichloromethiazide (Naq) Paste x 4	0.07	02	FL		2.73
7/26/22	Naproxen 500 mg - 500 Tabs	0.02	02	FL		1.38
7/27/22	Phenylbutazone Injection	1	17	FL		15.00
7/27/22	Tranquilize - Acepromazine/Butorphanol/Dormosedan	1	17	FL		50.00
7/27/22	Inject Hock Joints	2	17	FL		398.00
	<i>Left, Right</i>					
	<i>Inject Hyalovet</i>		17	FL		
7/27/22	Methocarbamol 750 Mg-500 Tabs	0.02	02	FL		2.64
7/30/22	Lasix/Salix - AM EIPH Prevention	1	17	FL		25.00
7/30/22	Video-Endoscopy Exam	1	17	FL		75.00
7/30/22	Enterolyte X 2 Via Stomach Tube	1	17	FL		55.00
8/5/22	Lasix/Salix - AM EIPH Prevention	1	02	FL		25.00
8/5/22	Video-Endoscopy Exam	1	02	FL		75.00
8/5/22	Enterolyte X 2 Via Stomach Tube	1	02	FL		55.00
8/5/22	Progress Evaluation	1	02	FL		0.00
8/5/22	Banamine Paste x 4	0.07	02	FL		3.85
8/5/22	Dexamethasone/Trichloromethiazide (Naq) Paste x 4	0.07	02	FL		2.73
8/5/22	Naproxen 500 mg - 500 Tabs	0.02	02	FL		1.38
8/19/22	Lasix/Salix - AM EIPH Prevention	1	17	FL		25.00
8/19/22	Video-Endoscopy Exam	1	17	FL		75.00
	Note: AF 1					
	CLEAN					
8/19/22	Enterolyte X 2 Via Stomach Tube	1	17	FL		55.00
8/19/22	Tranquilize - Acepromazine & Dormosedan	1	17	FL		39.00
8/19/22	Inject Carpus Joint	2	17	FL		372.00

ALL TREATMENTS HAVE BEEN APPROVED BY YOUR TRAINER. TOTAL AMOUNT IS DUE UPON RECEIPT OF STATEMENT.

We accept MASTERCARD, VISA, DISCOVER and AMERICAN EXPRESS.

[Click here to make a secure payment at www.tfbequine.com](http://www.tfbequine.com) select resources for the payment tab.

Thank You

Amount past due 60 days or more will bear interest at the maximum rate allowable by law. In the event we are required to take action to collect the amount owed to us, you will be responsible for a \$100.00 administration fee plus all attorney fees and costs regardless of whether a lawsuit is filed.

EXHIBIT #3
PAGE #3



Louis Trombetta, Executive Director

Ron DeSantis, Governor

**DIVISION OF PARI-MUTUEL WAGERING
LICENSE ACTION REQUEST FORM**

To: Licensing Administrator

From: Investigator Minaya

Date: August 16, 2023

X	PLEASE ADD ALERT : Contact Investigations Prior to Licensing
	PLEASE REMOVE ALERT FROM LICENSE RECORD

License number and type: 6917240 - 1021

Last Name: **BLANCO**

First Name: Andry G

DOB: [REDACTED]

SS#: [REDACTED]

Reason for Addition/Removal of Alert: **DO NOT LICENSE!** Unsatisfied Default Final Judgment pending against Andry G. **BLANCO**, in favor of Tieglund, Franklin & Brokken, D.V.M.'S Inc., Case # COCE-22-073628, Division 100, in the Circuit Court of the 17th Judicial Court in Broward County, Florida, in the amount of \$4,678.00 plus 5.52% interest per year.

Responsible Party: Inv. Sup Minaya

Case Number: 2023 04 6372

Approved by: _____ Date _____

Added/Removed by:  _____ Date August 16, 2023

Revised 12/08

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: jminaya

VR Home > License Search > License Home > **Maintain License Modifiers - BASE_CLONE**

Search Criteria | Results | Detail

Modifier Type **A - Alert**
Modifier **ENFO - Enforcemt Alert**
Effective **08/16/2023**
Expires -

Additional Info :
Prompt
Value

DO NOT LICENSE! Unsatisfied Default Final Judgment pending against Andry G. BLANCO, in favor of Tieglund, Franklin & Brokken, D.V.M.'S Inc., Case # COCE-22-073628, Division 100, in the Circuit Court of the 17th Judicial Court in Broward County, Florida, in the amount of \$4,678.00 plus 5.52% interest per year.

Updated **08/16/2023 16:17:07**
By **jminaya**

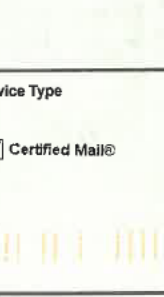


Notes History
Change
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EXHIBIT #4
PAGE # 2

976
8/16/2023

SENDER: COMPLETE THIS SECTION	COMPLETE THIS SECTION ON DELIVERY	
<ul style="list-style-type: none"> ■ Ensure items 1, 2, and 3 are completed. ■ Attach this card to the back of the mailpiece, or on the front if space permits. 	A. Signature: (<input type="checkbox"/> Addressee or <input type="checkbox"/> Agent) X 	
1. Article Addressed to: AC - 2023046372 - PMW Andry Blanco 2600 Sw 10th St Apt 704 Ocala FL 34471-8831	B. Received By: (<i>Printed Name</i>) Andry Blanco	C. Date of Delivery 9-25-23
	D. Is delivery address different from item 1? <input type="checkbox"/> Yes If YES, enter delivery address below: <input type="checkbox"/> No	
 9490 9118 9956 2043 4187 70	3. Service Type <input checked="" type="checkbox"/> Certified Mail®	
2. Article Number (<i>Transfer from service label</i>) 9414 7118 9956 2043 4187 38		
PS Form 3811 Facsimile, July 2015 (SDC 3930)	Domestic Return Receipt	

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Deputy Chief Attorney
Re: FGCC v. Akili Adhama Gray, Jr Case No. 2023-053889; Default Final Order
Date: November 17, 2023

Executive Summary

The Division of Pari-Mutuel Wagering seeks the revocation of Akili Adhama Gray, Jr's ("Respondent") Pari-Mutuel Wagering Professional Individual Occupational License based on his conviction. By failing to respond to the properly served administrative complaint, Respondent waived his right to request a hearing contesting the Division's decision. Therefore, the Florida Gaming Control Commission should enter a final order revoking Respondent's Pari-Mutuel Wagering Professional Individual Occupational License.

Background

On March 1, 2023, Respondent was convicted of Aggravated Battery with a Deadly Weapon and Tampering with Physical Evidence, in Alachua County, Florida. Respondent did not inform the Division of the conviction within 48 hours.

Based on this conviction, the Division served Respondent with an administrative complaint seeking revocation of his Pari-Mutuel Wagering Professional Individual Occupational License via U.S. certified mail. The election of rights accompanying the administrative complaint made it clear that the Respondent had 21 days¹ to file a written response to the administrative complaint. The Respondent was served on October 23, 2023 which means the Respondent had until November 13, 2023 to respond. He has never responded.

Analysis

Section 550.105(5)(b), Florida Statutes, provides, in pertinent part that "the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any

¹ See Fla. Admin. Code R. 28-106.111(4) ("Any person who receives written notice of an agency decision and who fails to file a written request for a hearing within 21 days waives the right to request a hearing on such matters.")

other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state...”

Section 550.105(10)(d), Florida Statutes, provides that each licensee shall inform the Division, within 48 hours, “if he or she is convicted of or has entered a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.” Accordingly, Respondent license can be revoked or suspended based on the felony convictions and Respondent’s failure to inform the Division of this conviction within 48 hours.

Because Respondent failed to file a timely response to the properly served administrative complaint, he waived his right to request a hearing. Therefore, the Florida Gaming Control Commission may enter a final order revoking Respondent’s Pari-Mutuel Wagering Professional Individual Occupational License.

Staff Recommendation: The Florida Gaming Control Commission should enter a final order revoking Akili Adhama Gray, Jr’s Pari-Mutuel Wagering Professional Individual Occupational License.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2023-053889

AKILI ADHAMA GRAY JR.,

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against Akili Adhama Gray Jr. (“Respondent”), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering pursuant to chapter 550, Florida Statutes.
2. At all times material hereto, Respondent held a Pari-Mutuel Professional Individual Occupational License, number 13310707, issued pursuant to section 550.105(2)(a) Florida Statutes.
3. On or about March 1, 2023, Respondent entered a plea of nolo contendere and was convicted of Aggravated Battery with a Deadly Weapon and Tampering with Physical Evidence, felonies, in the Circuit Court of the Eighth Judicial Circuit in and for Alachua County, Florida, in case number 2022-CF-00538.

COUNT I

4. Petitioner realleges and adopts paragraphs numbered one through three as if set forth fully herein.

5. Pursuant to section 550.105(5)(b), Florida Statutes:

The commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for or holder thereof has violated the provisions of this chapter or the rules of the commission governing the conduct of persons connected with racetracks and frontons. In addition, the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

6. Section 550.105(5)(d), Florida Statutes, provides the term “convicted” means “having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere.”

7. Based on the foregoing, Respondent violated section 550.105(5)(b), Florida Statutes, by entering a plea of nolo contendere and being convicted of Aggravated Battery with a Deadly Weapon and Tampering with Physical Evidence, felonies, in Alachua County, Florida on March 1, 2023 and is therefore subject to suspension or revocation of his occupational license.

COUNT II

8. Petitioner realleges and adopts paragraphs numbered one through three as if set forth fully herein.

9. Respondent failed to notify Petitioner within 48 hours of his March 1, 2023 conviction for Aggravated Battery with a Deadly Weapon and Tampering with Physical Evidence in Alachua County, Florida.

10. Pursuant to section 550.105(10)(d), Florida Statutes, each licensee shall inform the Commission, within 48 hours, “if he or she is convicted of or has entered a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.”

11. Based on the foregoing, Respondent violated section 550.105(10)(d), Florida Statutes, by failing to inform the Division of his March 1, 2023 conviction for a disqualifying offense within 48 hours.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order revoking or suspending Respondent’s Occupational License, along with any other remedy provided by section 550.105(5), Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2023-053889 is signed this 11th day of October 2023.

/s/Emily A. Alvarado
Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way, Suite 250
Tallahassee, Florida 32399-2202
Telephone: (850) 794-8066
Facsimile: (850) 536-8709
Primary: Emily.Alvarado@flagaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

NOTICE OF RIGHTS

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
Complaint Search Update	Change Mass Status Update	Recording License Type Public Case Info	Delete Complaint	Mass Activity Update	Mass Discipline				

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: cstubbs1

[VR Home](#) > [Complaint Search](#) > [Maintain Complaint](#)

Lic Type	1021 - Pari-Mutuel Wagering Individual Occupational	Status	90 Closed	Status Date	10/10/2023
Complaint #	2023053889	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#	Respondent	GRAY, AKILI ADHAMA JR	Responsible	bjones - JONES, BRADFORD	Private Case

Complaint	Respondent	Complainant	Add'l Info
---------------------------	----------------------------	-----------------------------	----------------------------

Source	INTN - Internal	Security Level	1	<input type="checkbox"/>	Parties	<input checked="" type="checkbox"/>	Activities
Form	INTR - Internal	Priority		<input type="checkbox"/>	Allegations	<input type="checkbox"/>	Discipline
Class'n	CHIS - Criminal History	Complexity	R - Regular	<input type="checkbox"/>	Violations	<input type="checkbox"/>	Compliance
Security	STND - Standard	Incident	02/02/2023	<input type="checkbox"/>	Related	<input checked="" type="checkbox"/>	Disposition
Region	CR - Central Region	Received	09/25/2023	<input type="checkbox"/>	Inspection		
Reference	550.105	Entered	09/25/2023	<input type="checkbox"/>	Costs		
		Entered By	cstubbs1	<input type="checkbox"/>	Time Tracking	<input type="checkbox"/>	Auto Assign
Summary	320 - Tampa Bay Downs - Licensee Akili Adhama GRAY JR., a Jockey at Tampa Bay Downs, and who holds a PMW Professional Individual Occupational License, (Lic# 13310707 / 1021), was convicted on March 1, 2023, of Aggravated Battery with Deadly Weapon (2nd Degree Felony) and Tampering with Physical Evidence (3rd Degree Felony). Upon his conviction, GRAY failed to notify the Division of his convictions as required by Florida Statutes			<input type="checkbox"/>	Attachments	<input type="checkbox"/>	History
Updated	10/10/2023 08:08:06	By	cstubbs1	<input type="checkbox"/>	Work Notes	<input type="checkbox"/>	Print Report

<input type="button" value="Change"/>	<input type="button" value="Save"/>	<input type="button" value="OK"/>	<input type="button" value="Cancel"/>	<input type="button" value="Back"/>
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[Get Adobe Reader.](#)

Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office: PMW	Region: Central	Date of Complaint: September 25, 2023	Case Number: 2023 05 3889
Respondent: GRAY, AKILI ADHAMA JR. P.O. BOX 1581 ALACHUA, FLORIDA 32616 14850 SE 30TH STREET MORRISTON, FLORIDA 32668		Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 WEST COMMERCIAL BOULEVARD, SUITE 165 FT. LAUDERDALE, FLORIDA 33309	
License # and Type: 13310707 - 1021		Profession: JOCKEY	Report Date: October 6, 2023
Period of Investigation: September 25, 2023 – October 6, 2023		Type of Report: FINAL	
<p>Alleged Violation: 550.105 Occupational licenses of racetrack employees; fees; denial, suspension, and revocation of license; penalties and fines: (5)(b) The commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for or holder thereof has violated the provisions of this chapter or the rules of the commission governing the conduct of persons connected with racetracks and frontons. In addition, the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.</p> <p>(d) For purposes of this subsection, the term “convicted” means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere.</p> <p>(10)(d) Under penalty of perjury, each person who is licensed or who is fingerprinted as required by this section must agree to inform the commission within 48 hours if he or she is convicted of or has entered a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.</p>			
<p>Synopsis: Licensee Akili Adhama GRAY JR., a Jockey Apprentice, who holds PMW Professional Individual Occupational License, (Lic.# 13310707 / 1021), was convicted on March 1, 2023, of Aggravated Battery with Deadly Weapon (2nd Degree Felony) and Tampering with Physical Evidence (3rd Degree Felony). Upon entering a plea of nolo contendere and the receiving the court’s verdict of Adjudication Withheld, GRAY failed to notify the Division as required by Florida State Statutes.</p>			
Related Case:			
Investigator Supervisor / Date <i>C. Derek Washington</i> /s/ C. Derek Washington / October 6, 2023		Chief of Investigations / Date <i>Bradford D. Jones</i> Bradford D. Jones / October 9, 2023	

CONTINUATION

On April 13, 2022, **GRAY** applied for a PMW Professional Individual Occupational License (1021) to work as a Jockey Apprentice at all Florida PMW Tracks. On his application, he answered "No" to the question, "Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you?" Upon receipt of his Criminal History Report, it revealed an arrest on February 23, 2022, in Alachua County, Florida, for Aggravated Battery with Deadly Weapon (2nd Degree Felony) and Tampering with Physical Evidence (3rd Degree Felony). It further showed that the case was still pending (**Exhibit #1**).

On September 6, 2022, **GRAY** received his PMW Professional Individual Occupational License (1021) with a letter acknowledging his February 23, 2022, arrest in Alachua County, Florida, which was currently pending judicial review and final disposition. The letter further directed **GRAY** to forward final court disposition paperwork within 48 hours of the date of disposition, as required by Florida State Statute 550.105(10)(d) (**Exhibit #2**). In addition, PMW Operations Review Specialist C. Ritter placed an Enforcement Alert on his VERSA license record regarding his arrest (**Exhibit #3**).

Information obtained from the Florida Comprehensive Case Information System (CCIS) for Alachua County, Florida, indicated that **GRAY** was arrested following a heated altercation with another male who shared his residence. That argument (over a beer taken from the apartment refrigerator) culminated with **GRAY** stabbing the other male in the back.

CCIS information for Alachua County, Florida, also shows that on Mar 1, 2023, **GRAY** pled Nolo Contendere to the offenses of (Ct. 1) Aggravated Battery with Deadly Weapon (2nd Degree Felony) and (Ct. 2) Tampering with Physical Evidence (3rd Degree Felony). He was sentenced to 180 days in County Jail with 24 days credited for time served, followed by six (6) years' probation for (Ct. 1) and five (5) years for (Ct. 2) to be served concurrent with (Ct. 1). **GRAY** was also ordered to pay \$1,123.00 in Court costs and Fines which as of the date of this report remain unsatisfied. Adjudication was Withheld for both offenses (**Exhibit #4**). **GRAY** is currently licensed until June 30, 2025 (**Exhibit #5**)¹.

Conclusion: **GRAY** has violated of Florida State Statute 550.105(10)(d) due to his felony convictions and subsequent failure to notify the Division within 48 hours.

Status: Closed by Investigations and forwarded to Legal for review.

¹ As per the Florida Department of Corrections, **GRAY'S** current verified permanent address is 14850 SE 30th Street, Morriston, Florida 32668 which differs from the address on his VERSA License Record (**EXHIBIT #6**).

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**Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
DBPR PMW-3120 – Individual Occupational License Application**

RECEIVED
AUG 25 2022

Instructions: Please review this application thoroughly and complete all sections that pertain to you and are not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

DEMOGRAPHIC INFORMATION				
Social Security Number [REDACTED]	Birth Date [REDACTED]	Gender <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female		
Last Name GRAY	First Akili	Middle Adhama	Suffix JR	
Have you used, been known as, or called by another name (example – maiden name, pseudonym, nickname) or alias other than the name used on the application? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
If yes, list the name or names used: _____				
Race/Ethnicity (optional) <input checked="" type="checkbox"/> Black or African American <input type="checkbox"/> Asian or Pacific Islander <input type="checkbox"/> Native American, Alaska Native <input type="checkbox"/> White or Caucasian <input type="checkbox"/> Hispanic/Latino <input type="checkbox"/> Other				
Current Mailing Address P.O. Box 1581		Email Address (optional)		
City Alachua	State FL	Zip Code (+4 optional) 32616	Country, if other than US	
Primary Phone Number 352 519-6997		Secondary/Cell Phone Number (optional) 301-455-6437		
Current Street Address 14150 NW 157th PL				
City Alachua	State FL	Zip Code (+4 optional) 32615	Country, if other than USA USA	
Type of Occupational License applying for: <input type="checkbox"/> Pari-Mutuel General Individual <input type="checkbox"/> Pari-Mutuel Professional Individual <input type="checkbox"/> Cardroom Employee			Facility where employed and/or doing business: All Florida TRACKS	
Occupation: Jockey Apprentice				
Does your position require access to the Cardroom? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			Is this your first time applying for a racing/gaming license in Florida? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
Are you a Supervisor, Manager, or Shareholder of a business with a pari-mutuel permit? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
Do you own or lease animals intended for racing in Florida? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes, complete the following: Stable Name, Kennel Name, or Business Name _____ Trainer Name (horse or greyhound racing only) _____				
TO BE COMPLETED BY DOCTORS, VETERINARIANS, NURSES, PARAMEDICS, AND EMTS ONLY				
Type of professional license (attach a copy of Florida professional license):			Florida License Number	
FOR DIVISION USE ONLY				
License Code 1021	License # 13310707	File # 72173	App # 238567	
Association Code 920	Date Received 8/25/22	Entered By PMP	License Year 22/25	
License Fee 80	FP Date 8/25/22	FP Fee 37.25	Total Fee 117.25	
Off Temp	Waiver Requested	ARCI	Enforcement	Minor

RECEIVED
AUG 25 2022
FLORIDA GAMING
CONTROL COMMISSION

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes
 No
 Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

Yes
 No
 Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE

RECEIVED
 SEP - 1 PM 2:12
 FLORIDA GAMING
 CONTROL COMMISSION

Yes
 No
 Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

Yes
 No
 Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.78, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.78, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.


 Signature of Applicant

08/29/22
 Date

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
---------	-------	--------	-------------	---------	------	------	------------	-------------	--------

[Complaint Search](#) [Change Recording License Type](#) [Delete Complaint](#) [Mass Activity Update](#) [Mass Discipline Update](#)
[Mass Status Update](#) [Public Case Info](#)

Domain 100 - Division of Pari-Mutuel Wagering

Logged in as: pprentice

VR Home > Complaint Search

Search Criteria	Results
-----------------	---------

Case Type	Complaint #	Status	Reference	Incident	Respondent	Complainant	Lic Type	Public Case	View	Process
-----------	-------------	--------	-----------	----------	------------	-------------	----------	-------------	------	---------

No items found.

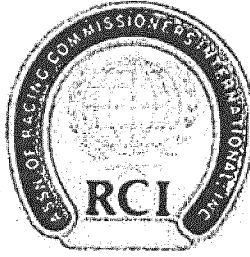
Total: 0

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RECEIVED
 2022 SEP -1 PM 2:12
 FLORIDA GAMING
 CONTROL COMMISSION

EXHIBIT #1
PAGE 8/9

LICENSEES



Licensee Search

Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number [REDACTED]
Date of Birth:
First Name:
Middle Name:
Last Name: GRAY
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State
-----------	-----------	------------	-------------	----------------	---------	------------	------	-------

[Licensees](#) [Rulings](#) [Horse Tracking](#) [Bulletin Board](#) [Preferences](#) [Log Off](#)

RECEIVED
 2022 SEP -1 PM 2:12
 FLORIDA GAMING
 CONTROL COMMISSION

EXHIBIT #1
PAGE 9/9



FLORIDA
GAMING CONTROL
COMMISSION

JOHN MACIVER, CHAIRMAN
MICHAEL YAWORSKY, VICE CHAIR
JULIE I. BROWN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER

September 6, 2022

Mr. Akili Adhama Gray Jr
Po Box 1581
Alachua, Florida 32616

Dear Mr. Gray:

Enclosed is your PMW Professional Individual Occupational license issued by the Florida Gaming Control Commission. Based upon the documentation recently received, we acknowledge your 02/23/2022 arrest in Alachua County Florida that is currently pending judicial review and final disposition (Case # 012022CF000538A).

Once these matters have been resolved in a court of law, you are required to forward the disposition documents to the address below, including your name, license number and contact information within 48 hours of the date of disposition, pursuant to 550.105 (10) (d), Florida Statutes.

If you have any questions or concerns regarding this matter, please feel free to contact me at 850.488.3211.

Sincerely,

David Donaldson

David Donaldson,
Licensing Administrator
Florida Gaming Control Commission

OFFICE OF OPERATIONS
2601 BLAIR STONE ROAD
TALLAHASSEE, FLORIDA 32399

EXHIBIT #2

[FAQ](#) | [Help](#) | [Sign Out](#)

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
License Search Entity Search Modify License Standing Maintain License CE Control									

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: **dwashingto**

[VR Home](#) > [License Search](#) > **Display Alerts**

Fed Tax # [REDACTED]	Lic Type 1021 - PMW Professional Individual Occupational	Expires On 06/30/2025
File # 72173	Name Gray, Akili Adhama Jr	Extended To
License # 13310707	Rank PIND - Professional Individual Occupational	Renewed On
Entity # 13310707	Lic Status Current	

Description	Effective	Notes
Enforcement Alert	09/06/2022	<input checked="" type="checkbox"/>

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EXHIBIT #3
PAGE 1/2

[FAQ](#) | [Help](#) | [Sign Out](#)

[VR Home](#) | [Inbox](#) | [Entity](#) | [Application](#) | [License](#) | [Cash](#) | [Exam](#) | [Inspection](#) | [Enforcement](#) | [Report](#)

[License Search](#) | [Entity Search](#) | [Modify License Standing](#) | [Maintain License CE Control](#)

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: **dwashingto**

[VR Home](#) > [License Search](#) > [Display Alerts](#) > **Maintain License Modifiers - BASE_CLONE**

[Search Criteria](#) | [Results](#) | [Detail](#)

Modifier Type **A - Alert**
Modifier **ENFO - Enforcemt Alert**
Effective **09/06/2022**
Expires -

Additional Info :

Prompt

Value **Open Case**

Applicant has an open case for their 2/23/2022 arrest in Alachua County, Florida. Once the matter has been resolved in a court of law, or upon final disposition of the case, the applicant is required to forward the disposition documents to the Division.

Notes

Updated **09/06/2022 14:11:46**

By **critter**

[Notes History](#)

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[Save](#)

[Cancel](#)

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>

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EXHIBIT #3
PAGE 2/2

Arrest Report

ALACHUA COUNTY SHERIFFS OFFICE
2621 SE HAWTHORNE RD

OBTS: 0108051271
ASO22JBN000905



Report Date / Time 2/23/2022 12:18 PM	Report Number ASOCHG00005659M	Case Number/Cad Number ASO22OFF001616 / 022322-0371	Reporting Officer Name FARLOW, MATTHEW
Originating Agency OR FL0010000	Occur Date Time Range 02/23/2022 11:07:25 - 02/23/2022 11:10:00	Jurisdiction ASO	Clearance

012022CF000538A

Location of Occurrence		County ALACHUA	Location Type RESIDENCE	Location Description SANTA FE CROSSINGS
Street Number 3205	Street NW 83rd St	Apt/Lot/Bldg 431	City GAINESVILLE	State FL
				Zip Code 32606

Suspect									
First Name AKILI	Middle Name ADHAMA	Last Name GRAY	Suffix JR.	Race BLACK	Sex MALE	Height 4'11"	Weight 90	Hair BLK	Eyes BRO
MNI # ASO18MNI015306	SSN [REDACTED]	Date of Birth [REDACTED]	Age 19	ID Type	Drivers License or other ID	State	OCA / Agency ID		
Place of Birth: GAINESVILLE FL USA		Address * RESIDENCE / 3205 NW 83RD ST 431, GAINESVILLE, FL 32606 /							

Arrest		Arrest Date/Time 2/23/2022 12:18:44 PM	Arrest Location Type RESIDENCE	Arrest Location Description
Street Number 3205	Street NW 83rd St	Apt/Lot/Bldg 431	County ALACHUA	City GAINESVILLE
		State FL	Zip Code 32606	

Charge :		Counts 1	Charge 918.13.1a	Bond Amount \$0.00	No Bond
Charge Degree T	Charge Level FELONY	General Offense Code EVIDENCE-DESTROYING			
Charge Description ALTER DESTROY CONCEAL REMO REC DOC PHYS EVIDEN					

Charge :		Counts 1	Charge 784.045.1a2	Bond Amount \$0.00	<input type="checkbox"/> No Bond
Charge Degree S	Charge Level FELONY	General Offense Code AGGRAV BATTERY			
Charge Description PERSON USES A DEADLY WEAPON					

Probable Cause CR#2022-001616 Probable Cause		EXHIBIT #4 PAGE 1/15
--	--	---------------------------------

Report Date / Time 2/23/2022 12:18 PM	Report Number ASOCHG00005659M	Case Number/Cad Number ASO22OFF001616 / 022322-0371	Reporting Officer Name FARLOW, MATTHEW
Originating Agency ORI FL0010000	Occur Date Time Range 02/23/2022 11:07:25 - 02/23/2022 11:10:00	Jurisdiction ASO	Clearance

The following probable cause narrative was transferred to ACSO Deputy Farlow ID#2153 from Detective Charles Beveridge ID#0506, with the Criminal Investigations Division.

On the morning of February 23, 2022, the Alachua County Sheriff's Office (ACSO) received a call for service concerning a person being stabbed. The incident location was identified as the Crossings at Santa Fe Apartment complex; Apartment #431 in building #4. ACSO Uniformed Patrol Deputies and personnel with the Alachua County Fire Rescue (ACFR) responded to further investigate. VICTIM #1, identified as [REDACTED] was located at the complex's front office with a wound to the lower right hand portion of his back. His wound was bleeding profusely. VICTIM #1 verified the incident occurred in Apartment #431 and identified SUSPECT #1 as a small frame, thin black male wearing a blue shirt. He had a low fade style haircut and no facial hair. VICTIM #1 provided her was stabbed with an unknown type of knife/sharp object during an altercation with SUSPECT #1 over a beer. VICTIM #1 was then transported by ACFR to Shands Hospital for advanced medical care.

Upon Law Enforcement's arrival at Apartment #431, SUSPECT #1, identified as AKILI ADHAMA GRAY JR, was located within the apartment. He matched the description VICTIM #1 provided. SUSPECT #1 was detained for further investigation. Post Miranda, SUSPECT #1 verified he and VICTIM #1 were in the apartment together. VICTIM #1 took a beer from the apartments' refrigerator that did not belong to him, which VICTIM #1 confronted him about. Per SUSPECT #1, a verbal altercation occurred, which lead to a physical altercation. During the physical portion of the altercation, VICTIM #1 reportedly produced a knife and attempted to swing it towards SUSPECT #1. SUSPECT #1 reported he was able to grab VICTIM #1's hand containing the knife and redirect his efforts and energy back towards VICTIM #1. SUSPECT #1 said the knife then punctured the lower torso of VICTIM #1. It should be noted that SUSPECT #1 described VICTIM #1 as being approximately three times his own size and admittedly had not formal training in hand-to-hand combat or knife defense skills. When questioned about the whereabouts of the knife, SUSPECT #1 said the knife was still impaled in the side of VICTIM #1 when he left Apartment #431. Additionally, SUSPECT #1 admitted to making efforts to clean up the blood within the crime scene, prior to the arrival of Law Enforcement.

Further attempts to conduct a follow-up interview with VICTIM #1 were made at Shands. However, due to internal bleeding and concerns of perforated intestines, VICTIM #1 was prepped and transferred to an operating room. As of this writing, VICTIM #1 is considered to be in critical condition and was in surgery.

SUSPECT #1 is being criminally charges with Aggravated Battery with a Deadly Weapon and Tampering with Evidence. He was transported to the Alachua County Department of the Jail without incident.

Jail Booking Facility

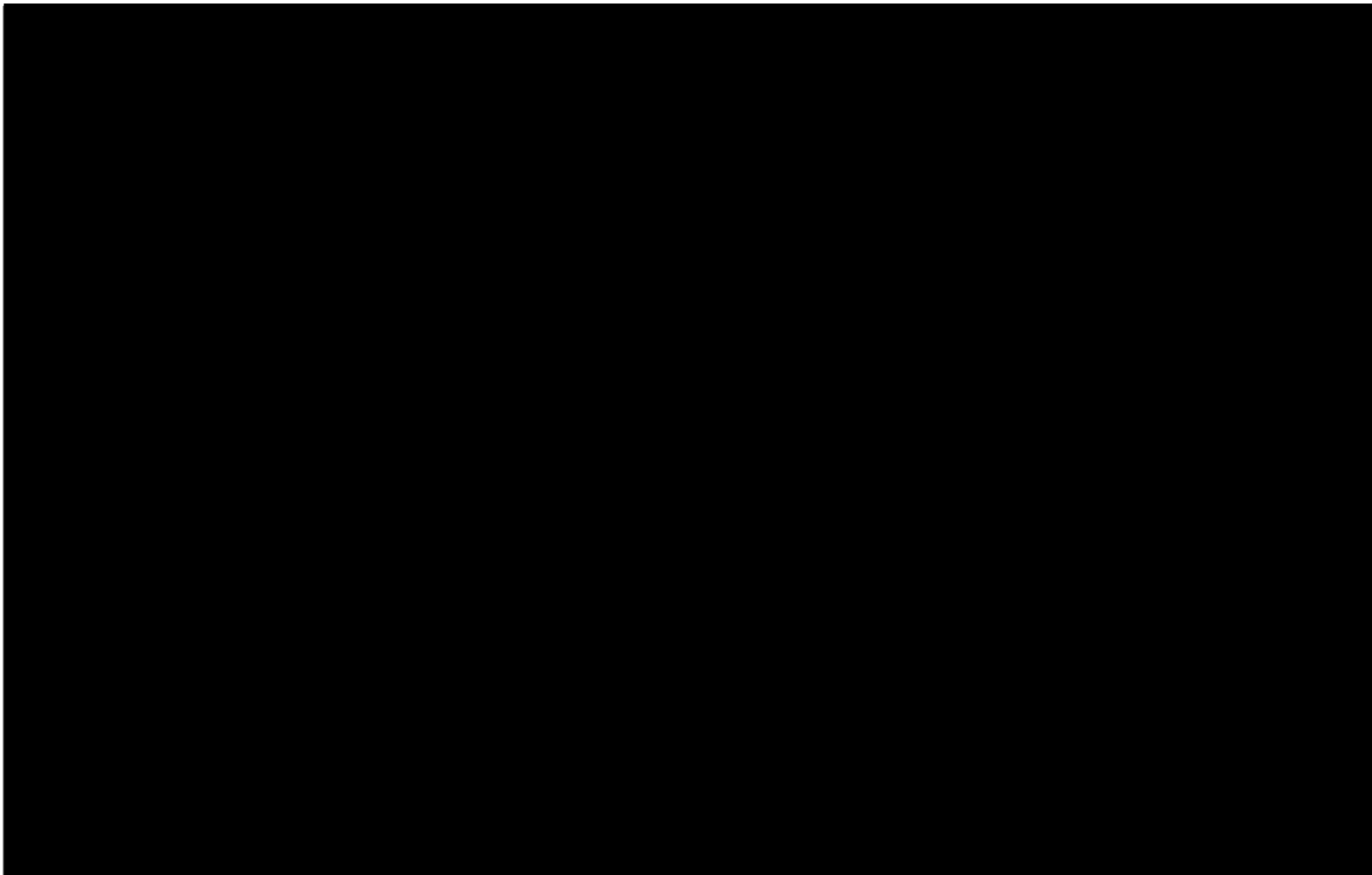
Booking Date/Time	Booking County	Booking Facility	Booking Facility Phone
	ALACHUA		

EXHIBIT #4
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Report Date / Time 2/23/2022 12:18 PM	Report Number ASOCHG00005659M	Case Number/Cad Number ASO22OFF001616 / 022322-0371	Reporting Officer Name FARLOW, MATTHEW
Originating Agency ORI FL0010000	Occur Date Time Range 02/23/2022 11:07:25 - 02/23/2022 11:10:00	Jurisdiction ASO	Clearance

Booking Facility Location	Booking Number
---------------------------	----------------

Booking Comments



Rank / ID #

Reporting Role

Org/Unit

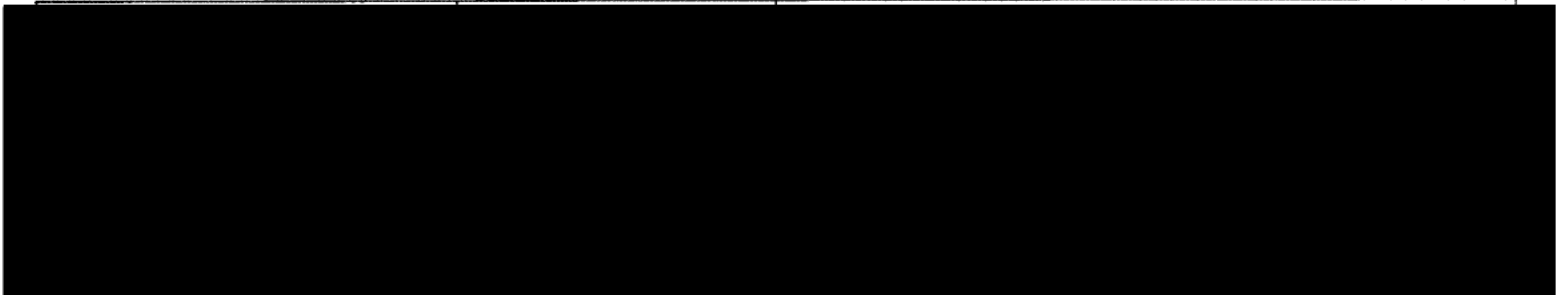


EXHIBIT #4
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IN THE CIRCUIT COURT OF THE EIGHTH JUDICIAL CIRCUIT
IN AND FOR ALACHUA COUNTY, FLORIDA

STATE OF FLORIDA
Plaintiff,

Case Number: 01-2022-CF-000538-A

Division II

vs.

AKILI ADHAMA GRAY JR, B/M, ([REDACTED])

CHARGES:

- I) AGGRAVATED BATTERY - DEADLY WEAPON
- II) TAMPERING WITH PHYSICAL EVIDENCE

INFORMATION

IN THE NAME AND BY THE AUTHORITY OF THE STATE OF FLORIDA:

BRIAN S. KRAMER, STATE ATTORNEY for the Eighth Judicial Circuit, prosecuting for the State of Florida, under oath, alleges by information that AKILI ADHAMA GRAY JR, in Alachua County, Florida, on or about February 23, 2022, did actually and intentionally touch or strike PAUL EUGENE KING JR against his will or did intentionally cause bodily harm to PAUL EUGENE KING JR, and in committing said act, AKILI ADHAMA GRAY JR did use a deadly weapon, to wit: knife, contrary to Section 784.045(1)(a)(2), Florida Statutes. (L7) (FDLE #4134)

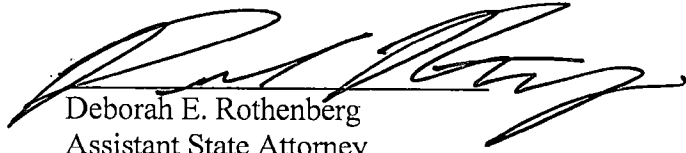
COUNT II: And BRIAN S. KRAMER, STATE ATTORNEY for the Eighth Judicial Circuit, prosecuting for the State of Florida, under oath, further alleges, by information that AKILI ADHAMA GRAY JR, in Alachua County, Florida, on or about February 23, 2022, knowing that a criminal trial or proceeding or an investigation by a duly constituted prosecuting authority, law enforcement agency, grand jury or legislative committee of the State of Florida is pending or is about to be instituted did alter, destroy, conceal or remove any record, document or thing, to-wit: blood, with the purpose to impair its verity or availability in such a proceeding or investigation or did make, present, or use any record, document, or thing, knowing it to be false, contrary to Section 918.13, Florida Statutes. (L3) [FDLE #3801]

STATE OF FLORIDA
COUNTY OF ALACHUA

Personally appeared before me the undersigned Deborah E. Rothenberg, Assistant State Attorney, Eighth Judicial Circuit of Florida, who, being first duly sworn, says that the allegations set forth in the foregoing INFORMATION are based upon facts that have been sworn to as true, and which if true, would constitute the offense therein charged, and is filed in good faith, and does hereby certify that he/she has received testimony under oath from the material witness or witnesses for the offense.

EXHIBIT #4
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1005

Brian S. Kramer
State Attorney

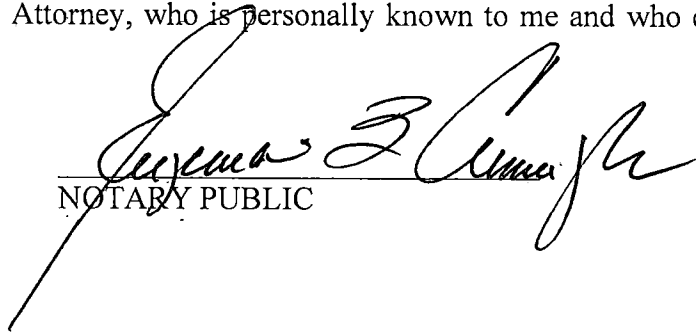


Deborah E. Rothenberg
Assistant State Attorney
Florida Bar No.: 104382
120 West University Avenue
Gainesville, FL 32601
(352) 374-3670
E-service: eservice@sao8.org
E-mail: rothenbergd@sao8.org

The foregoing instrument was acknowledged before me this 25 day of March, 2022 by Deborah E. Rothenberg, Assistant State Attorney, who is personally known to me and who did take an oath.



EUGENIA B CUNNINGHAM
Commission # GG 291784
Expires February 1, 2023
Bonded Thru Budget Notary Services



NOTARY PUBLIC

2023

IN THE CIRCUIT COURT OF
THE EIGHTH JUDICIAL CIRCUIT
IN AND FOR ALACHUA COUNTY, FLORIDA

- Community Control Violator
- Probation Violator

STATE OF FLORIDA
vs

AKILI ADHAMA GRAY
Defendant

Case: 01-2022-CF-000538-A
Division: F2

JUDGMENT

The defendant, AKILI ADHAMA GRAY, being personally before this court represented by JOHN A RAMSEY, the attorney of record, and the state represented by DARLA K WHISTLER and having

- been tried and found guilty by jury/by court of the following crime(s)
- entered a plea of guilty to the following crime(s)
- entered a plea of nolo contendere to the following crime(s)
- admitted to violating probation
- been found in violation of probation at hearing

Court	Crime	Offense Statute Number(s)	Degree of Crime
1	Aggravated Battery	784.045	2F
	Deadly Weapon		(1)(c)
			(2)
2	Tampering with Physical Evidence	918.13	3F

and no cause being shown why the defendant should not be adjudicated guilty, IT IS ORDERED THAT the defendant is hereby ADJUDICATED GUILTY of the above crime(s).

and good cause being shown; IT IS ORDERED THAT ADJUDICATION OF GUILT BE WITHHELD.

The qualifying offender per F.S. 943.325(1)(b)(5) is required to submit an FDLE-approved blood or biological specimen, F.S. 943.325(7). Unless the defendant has been declared indigent by the court, he/she shall pay the actual costs of collecting the approved biological specimens required under F.S. 943.325.



DONE AND ORDERED March 1, 2023

[Signature]
PHILLIP A PENA, Judge of the Circuit Court

Filed in Open Court March 1, 2023 by *[Signature]* D.C.

TOSHIA WHITEHURST

I HEREBY CERTIFY THAT A COPY OF THIS Judgment was furnished by U.S. Mail and/or hand delivery at the addresses of record to counsel for the state and defense/defendant pro se this _____ day of _____, 20____.

BY Deputy Clerk: _____

STATE OF FLORIDA

IN THE FIRST JUDICIAL
CIRCUIT COURT, IN AND FOR
COUNTY

-VS-

AKILI GRAY

CASE NUMBER 01-22-CF-538-A

Defendant

DC NUMBER _____

Local Jurisdiction Identification Number: _____

ORDER OF PROBATION

This cause coming before the Court to be heard, and you, the defendant, being now present before the court, and you having

- entered a plea of guilty to
- been found guilty by jury verdict of
- entered a plea of nolo contendere to
- been found guilty by the court trying the case without a jury of

Count 1 Aggravated Battery: Deadly Weapon Count _____

Count 2 Tampering with Physical Evidence Count _____

Count _____ Count _____

Count _____ Count _____

SECTION 1: JUDGMENT OF GUILT

- The court hereby adjudges you to be guilty of the above offense(s).

FILED IN OPEN COURT
March 1, 2023

 TOSHIA WHITEHURST
 D.C.

Now, therefore, it is ordered and adjudged that the imposition of sentence is hereby withheld and that you be placed on Probation for a period of _____ under the supervision of the Department of Corrections, subject to Florida law.

SECTION 2: ORDER WITHHOLDING ADJUDICATION

Now, therefore, it is ordered and adjudged that the adjudication of guilt is hereby withheld and that you be placed on
 CT 1 1.0 - Probation for a period of 1 year under the supervision of the Department of Corrections, subject to Florida law.
 CT 2 1.0 5 years Probation to run in concurrent with CT 1

SECTION 3: INCARCERATION DURING PORTION OF SUPERVISION SENTENCE



It is hereby ordered and adjudged that you be:

- committed to the Department of Corrections for a term of _____ prison with credit for _____ jail time, followed by Probation for a period of _____ under the supervision of the Department of Corrections, subject to Florida law.
- or
- confined in the County Jail for a term of _____ with credit for _____ jail time. After you have served _____ of the term, you shall be placed on Probation for a period of _____ under the supervision of the Department of Corrections, subject to Florida law.
- or
- confined in the County Jail for a term of 180 Days with credit for 24 Days jail time, as a special condition of supervision.

6

IT IS FURTHER ORDERED that you shall comply with the following standard conditions of supervision as provided by Florida law:

- (1) You will report to the probation officer as directed.
- (2) You will pay the State of Florida the amount of \$ 10 per month, as well as 4% surcharge, toward the cost of your supervision in accordance with s. 948.09, F.S., unless otherwise exempted in compliance with Florida Statutes.
- (3) You will remain in a specified place. You will not change your residence or employment or leave the county of your residence without first procuring the consent of your officer.
- (4) You will not possess, carry or own any firearm. You will not possess, carry, or own any weapon without first procuring the consent of your officer.
- (5) You will live without violating any law. A conviction in a court of law is not necessary for such a violation of law to constitute a violation of your probation, community control, or any other form of court ordered supervision.
- (6) You will not associate with any person engaged in any criminal activity.
- (7) You will not use intoxicants to excess or possess any drugs or narcotics unless prescribed by a physician, an advanced practice registered nurse, or a physician assistant. Nor will you knowingly visit places where intoxicants, drugs or other dangerous substances are unlawfully sold, dispensed or used.
- (8) You will work diligently at a lawful occupation, advise your employer of your probation status, and support any dependents to the best of your ability, as directed by your officer.
- (9) You will promptly and truthfully answer all inquiries directed to you by the court or the officer, and allow your officer to visit in your home, at your employment site or elsewhere, and you will comply with all instructions your officer may give you.
- (10) You will pay restitution, court costs, and/or fees in accordance with special conditions imposed or in accordance with the attached orders.
- (11) You will submit to random testing as directed by your officer or the professional staff of the treatment center where you are receiving treatment to determine the presence or use of alcohol or controlled substances.
- (12) You will submit a DNA sample, as directed by your officer, for DNA analysis as prescribed in ss. 943.325 and 948.014, F.S.
- (13) You will submit to the taking of a digitized photograph by the department. This photograph may be displayed on the department's website while you are on supervision, unless exempt from disclosure due to requirements of s. 119.07, F.S.
- (14) You will report in person within 72 hours of your release from incarceration to the probation office in _____ County, Florida, unless otherwise instructed by the court or department. (This condition applies only if section 3 on the previous page is checked.) Otherwise, you must report immediately to the probation office located at _____.

SPECIAL CONDITIONS

EXHIBIT #4
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1. You must undergo a Drug and Alcohol Mental Health evaluation and, if treatment is deemed necessary, you must successfully complete the treatment, and be responsible for the payment of any costs incurred while receiving said evaluation and treatment, unless waived by the court.
Additional instructions ordered: _____

SPECIAL CONDITIONS – CONTINUED

2. You will make restitution to the following victim(s), as directed by the court, until the obligation is paid in full:
NAME: _____
TOTAL AMOUNT: \$ _____
Additional instructions ordered, including specific monthly amount, begin date, due date, or joint & several: _____

NAME: _____
TOTAL AMOUNT: \$ _____
Additional instructions ordered, including specific monthly amount, begin date, due date, or joint & several: _____

3. You will be required to pay for drug testing unless exempt by the court.
4. You will enter the Department of Corrections Non-Secure Drug Treatment Program or other residential treatment program/Probation and Restitution Center for a period of successful completion as approved by your officer. You are to remain until you successfully complete said Program and Aftercare. You are to comply with all Rules and Regulations of the Program. You shall be confined in the county jail until placement in said program, and if you are confined in the jail, the Sheriff will transport you to said program.
5. You will abstain entirely from the use of alcohol and/or illegal drugs, and you will not associate with anyone who is illegally using drugs or consuming alcohol.
6. You will submit to urinalysis testing on random basis to determine the presence of alcohol or illegal drugs. You will be required to pay for the tests unless exempt by the court.
7. You will not visit any establishment where the primary business is the sale and dispensing of alcoholic beverages.
8. You will successfully complete _____ hours of community service at a rate of _____, at a work site approved by your officer.
Additional instructions ordered: _____
9. You will remain at your residence between 10 p.m. and 6 a.m. due to a curfew imposed, unless otherwise directed by the court.
10. You will submit to electronic monitoring, follow the rules of electronic monitoring, and pay for the cost of the electronic monitoring service.
11. You will not associate with _____ during the period of supervision.
12. You will have no contact (direct or indirect) with the victim or the victim's family during the period of supervision.
13. You will have no contact (direct or indirect) with _____ during the period of supervision.
14. You will maintain full time employment or attend school/vocational school full time or a combination of school/work during the term of your supervision.
15. You will make a good faith effort toward completing basic or functional literacy skills or a high school equivalency diploma.
16. You will successfully complete the Probation & Restitution Program, abiding by all rules and regulations.
17. You will attend a support group with a focus on _____ at least monthly, unless otherwise directed by the court.

18. You must successfully complete Anger Management Batters Intervention Program and be responsible for the payment of any costs incurred while receiving said treatment, unless waived. If convicted of a Domestic Violence offense, as defined in s. 741.28, F.S., you must attend and successfully complete a batterer's intervention program, unless otherwise directed by the court.

Additional instructions ordered: _____

- 19. You will attend an HIV/AIDS Awareness Program consisting of a class of not less than two (2) hours or more than four (4) hours in length, the cost for which will be paid by you.
- 20. If you have been found to have committed a crime on or after October 1, 2008 for the purpose of benefitting, promoting, or furthering the interests of a criminal gang, you are prohibited from knowingly associating with other criminal gang members or associates, except as authorized by law enforcement officials, prosecutorial authorities, or the court, for the purpose of aiding in the investigation of criminal activity.
- 21. You will successfully complete a Post-adjudicatory treatment-based drug court program, as provided in s. 397.334(3), F.S.
- 22. If you are required to register as a sexual predator under s. 775.21 or sexual offender under s. 943.0435, s. 944.606, or s. 944.607, F.S., you will undergo an evaluation, at your expense, by a qualified practitioner to determine whether you need sexual offender treatment. If the qualified practitioner determines that sexual offender treatment is needed and recommended, you must successfully complete and pay for the treatment as provided in s. 948.31, F.S.
- 23. Pay \$1 per month during the term of probation or community control to supplement rehabilitative efforts through First Step Funds, pursuant to s. 948.039(2), F.S.
- 24. If you are a veteran or servicemember, as defined in s. 394.47891(2)(c) or (d), F.S., you will participate in a treatment program capable of treating mental illness, traumatic brain injury, substance abuse disorder, or psychological problems.
- 25. You will successfully complete a postadjudicatory mental health court program under s. 394.47892, F.S.
- 26. You will successfully complete a postadjudicatory military veterans and servicemembers court program under s. 394.47891, F.S.

- 27. Other: The defendant must either make monthly payments towards Court costs or complete ten (10) hours of community service per month or a combination of the two. to begin 90 Days from release.
- 28. Other: Provide Proof of RX to P.O
- 29. Other: Provide pay stubs to P.O
- 30. Other: Minimum Restitution: 250.00
- 31. Sentenced as youthful offender

Effective for offenders whose crime was committed on or after September 1, 2005, there is hereby imposed, in addition to any other provision in this section, mandatory electronic monitoring as a condition of supervision for those who:

- Are placed on supervision for a violation of chapter 794, s. 800.04(4), (5), or (6), s. 827.071, or s. 847.0145 and the unlawful sexual activity involved a victim 15 years of age or younger and the offender is 18 years of age or older; or
- Are designated as a sexual predator pursuant to s. 775.21; or
- Has previously been convicted of a violation of chapter 794, s. 800.04(4), (5), or (6), s. 827.071, or s. 847.0145 and the unlawful sexual activity involved a victim 15 years of age or younger and the offender is 18 years of age or older.

You are hereby placed on notice that should you violate your probation or community control, and the conditions set forth in s. 948.063(1) or (2) are satisfied, whether your probation or community control is revoked or not revoked, you shall be placed on electronic monitoring in accordance with F.S. 948.063.

Effective for offenders who are subject to supervision for a crime that was committed on or after May 26, 2010, and who has been convicted at any time of committing, or attempting, soliciting, or conspiring to commit, any of the criminal offenses listed in s. 943.0435(1)(h)1.a.(I), or a similar offense in another jurisdiction, against a victim who was under the age of 18 at the time of the offense; the following conditions are imposed in addition to all other conditions:

(a) A prohibition on visiting schools, child care facilities, parks, and playgrounds, without prior approval from the offender's supervising officer. The court may also designate additional locations to protect a victim. The prohibition ordered under this paragraph

does not prohibit the offender from visiting a school, child care facility, park, or playground for the sole purpose of attending a religious service as defined in s. 775.0861 or picking up or dropping off the offender's children or grandchildren at a child care facility or school.
(b) A prohibition on distributing candy or other items to children on Halloween; wearing a Santa Claus costume, or other costume to appeal to children, on or preceding Christmas; wearing an Easter Bunny costume, or other costume to appeal to children, on or preceding Easter; entertaining at children's parties; or wearing a clown costume; without prior approval from the court.

Effective for offenders whose crime was committed on or after October 1, 2014, and who is placed on probation or community control for a violation of chapter 794, s. 800.04, s. 827.071, s. 847.0135(5), or s. 847.0145, in addition to all other conditions imposed, is prohibited from viewing, accessing, owning, or possessing any obscene, pornographic, or sexually stimulating visual or auditory material unless otherwise indicated in the treatment plan provided by a qualified practitioner in the sexual offender treatment program. Visual or auditory material includes, but is not limited to, telephone, electronic media, computer programs, and computer services.

YOU ARE HEREBY PLACED ON NOTICE that the court may at any time rescind or modify any of the conditions of your probation, or may extend the period of probation as authorized by law, or may discharge you from further supervision. If you violate any of the conditions of your probation, you may be arrested and the court may revoke your probation, adjudicate you guilty if adjudication of guilt was withheld, and impose any sentence that it might have imposed before placing you on probation or require you to serve the balance of the sentence.

IT IS FURTHER ORDERED that when you have been instructed as to the conditions of probation, you shall be released from custody if you are in custody, and if you are at liberty on bond, the sureties thereon shall stand discharged from liability. (This paragraph applies only if section 1 or section 2 is checked.)

IT IS FURTHER ORDERED that you pay:
Court Costs, Fees, and Fines, as imposed at sentencing, in the total amount of: \$ _____

Payments processed through the Department of Corrections will be assessed a 4% surcharge pursuant to s. 945.31, F.S.
Pursuant to s. 948.09, F.S., you will be assessed an amount of \$2.00 per month for each month of supervision for the Training Trust Fund Surcharge.

- Court Costs/Fines Waived
- Court Costs/Fines in the amount of _____ converted to _____ community service hours
- Court Costs/Fines in the amount of _____ reduced to civil judgment.

SPECIFIC INSTRUCTIONS FOR PAYMENT: _____

IT IS FURTHER ORDERED that the clerk of this court file this order in the clerk's office and provide certified copies of same to the officer for use in compliance with the requirements of law.

DONE AND ORDERED, on 3-1-2023

NUNC PRO TUNC _____



Phillip A. Pena, Circuit Judge

I acknowledge receipt of a copy of this order and that the conditions have been explained to me and I agree to abide by them.

Date: _____

Defendant

Instructed by: _____
Supervising Officer



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FLORIDA DEPARTMENT OF CORRECTIONS

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Ricky D. Dixon, Secretary

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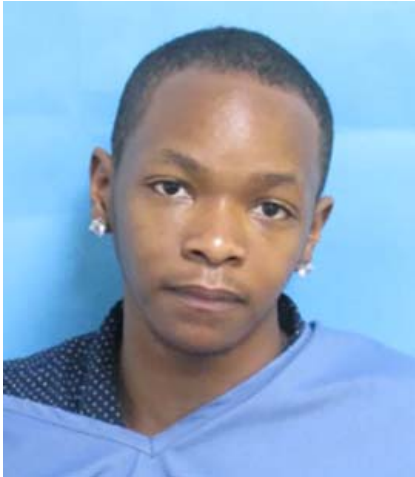
INSTITUTIONS (</ci/index.html>) PROBATION SERVICES (</cc/index.html>) PROGRAMS (</development/index.html>)

NEWSROOM (</comm/index.html>)

CORRECTIONS OFFENDER NETWORK

Supervised Population Information Detail

(This information was current as of 9/24/2023)



DC Number:	B41775
Name:	GRAY, AKILI ADHAMA
Race:	BLACK
Sex:	MALE
Birth Date:	[REDACTED]
Supervision Begin Date:	03/01/2023
Current Location:	GAINESVILLE (http://prod.fdpws001.fdc.myflorida.com/c)
Current Status:	<u>ACTIVE</u>
Supervision Type:	PROBATION FELONY
Scheduled Termination Date:	02/28/2029



(<https://www.vinelink.com/vinelink/servlet/SubjectSearch?siteID=10000&agency=900&offenderID=B41775>)

Current Verified PERMANENT Address:

14850 SE 30TH ST
MORRISTON, FL 32668

Aliases:

AKILI ADHAMA GRAY, AKILI ADHAMA GRAY JR

Note: The offense descriptions are truncated and do not necessarily reflect the crime for which the offender is on supervision. Please refer to the court documents or the Florida Statutes for further information or definition.

EXHIBIT #4
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Current Community Supervision History:

Offense Date	Offense	Sentence Date	County	Case No.	Community Supervision Length
02/23/2022	AGG BATTERY/W/DEADLY WEAPON	03/01/2023	ALACHUA	2200538	6Y oM oD
02/23/2022	OBSTRUCT CRIME INVESTIGATION	03/01/2023	ALACHUA	2200538	5Y oM oD

Record: 1 of 1

The Florida Department of Corrections updates this information regularly, to ensure that it is complete and accurate, however this information can change quickly. Therefore, the information on this site may not reflect the true current location, status, scheduled termination date, or other information regarding an offender.

This database contains public record information on felony offenders sentenced to the Department of Corrections. This information includes offenders sentenced or released to state supervision or offenders received for supervision from another state as the result of an Interstate Compact transfer. Information contained herein includes current supervision offenses. Offense types include related crimes such as attempts, conspiracies and solicitations to commit crimes. Information on offenders sentenced to county jail, county probation, or any other form of supervision is not contained. The information is derived from court records provided to the Department of Corrections and is made available as a public service to interested citizens. The Department of Corrections makes no guarantee as to the accuracy or completeness of the information contained herein. Any person who believes information provided is not accurate may contact the Department of Corrections.

For questions and comments, you may contact the Department of Corrections, Bureau of Probation and Parole Field Services, at GAINESVILLE Circuit Office (<http://prod.fdc-wpws001.fdc.myflorida.com/cc/o8.html>). This information is made available to the public and law enforcement in the interest of public safety.

Search Criteria: (/OffenderSearch/search.aspx?TypeSearch=AO) Last Name: gray First Name: akili Search Aliases: YES Offense Category: Supervision Type: ALL Supervision Status: ALL County of Supervision: ALL Current Location: ALL

Current Status Definitions: **Active** - offender is being actively supervised by the probation officer in the community. **Active Suspense** - offender is temporarily unavailable for direct supervision during the supervision term, due to being in custody in jail or another facility, but is still being monitored by a probation officer for release, arrest, etc. **Absconder** - offender absconds from supervision (his/her whereabouts are unknown and the offender is not available for supervision) and warrant is issued for violation.

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REGULATORY PLAN

CORRECTIONS

(/PUB/REGULATORY/2022-

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2023 REGULATORY

(HTTPS://WWW.CORRECTIONSFOUNDATION.ORG/)

PLAN.PDF)

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FFCE

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(/PREA/INDEX.HTML)

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501 SOUTH CALHOUN STREET
TALLAHASSEE, FLORIDA 32399-2500
850-488-7052



EXHIBIT #4
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VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **dwashingto**

[VR Home](#) > [License Search](#) > [License Home](#)

License Fed Tax # ██████████ Lic Type 1021 - PMW Professional Individual Occupational Expires On 06/30/2025 File # 72173 Name Gray, Akili Adhama Jr Extended To License # 13310707 Rank PIND - Professional Individual Occupational Renewed On Entity # 13310707 Lic Status Current		<input type="checkbox"/> <table border="1"> <tr><td>Licensee</td></tr> <tr><td>History</td></tr> <tr><td>Notes</td></tr> <tr><td>Notes History</td></tr> <tr><td>Back</td></tr> </table>	Licensee	History	Notes	Notes History	Back																			
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Address Street # Street PO BOX 1581 Line 2 Line 3 City ALACHUA State FL Zip 32616 Routing																										
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Select Action <input type="text"/>  																										
Modifiers <table border="1"> <thead> <tr> <th>Type</th> <th>Modifier</th> <th>Effective Date</th> <th>Additional Info</th> </tr> </thead> <tbody> <tr> <td>A</td> <td>ENFO - Enforcement Alert</td> <td>09/06/2022</td> <td>Open Case</td> </tr> <tr> <td>C</td> <td>JCKY - Jockey</td> <td>08/25/2022</td> <td></td> </tr> <tr> <td>I</td> <td>TBRD - Thoroughbred</td> <td>08/25/2022</td> <td></td> </tr> <tr> <td>L</td> <td>920 - Orlando Regional Office</td> <td>08/25/2022</td> <td></td> </tr> <tr> <td>Y</td> <td>3YR - 3 Year License</td> <td>08/25/2022</td> <td></td> </tr> </tbody> </table>		Type	Modifier	Effective Date	Additional Info	A	ENFO - Enforcement Alert	09/06/2022	Open Case	C	JCKY - Jockey	08/25/2022		I	TBRD - Thoroughbred	08/25/2022		L	920 - Orlando Regional Office	08/25/2022		Y	3YR - 3 Year License	08/25/2022		
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- NEWSROOM ([/comm/index.html](#))

CORRECTIONS OFFENDER NETWORK

SUPERVISED POPULATION INFORMATION DETAIL

(This information was current as of 10/8/2023)



DC Number: B41775

Name: GRAY, AKILI ADHAMA

Race: BLACK

Sex: MALE

Birth Date: [REDACTED]

Supervision Begin Date: 03/01/2023

Current Location: [GAINESVILLE \(http://prod.fdc-wpws001.fdc.myflorida.com/cc/o8.html\)](http://prod.fdc-wpws001.fdc.myflorida.com/cc/o8.html)

Current Status: **ACTIVE**

Supervision Type: PROBATION FELONY

Scheduled Termination Date: 02/28/2029



<https://www.vinelink.com/vinelink/servlet/SubjectSearch?siteID=10000&agency=900&offenderID=B41775>

Current Verified PERMANENT Address:

14850 SE 30TH ST
MORRISTON, FL 32668

Aliases:

AKILI ADHAMA GRAY, AKILI ADHAMA GRAY JR

Note: The offense descriptions are truncated and do not necessarily reflect the crime for which the offender is on supervision. Please refer to the court documents or the Florida Statutes for further information or definition.

Current Community Supervision History:

Offense Date	Offense	Sentence Date	County	Case No.	Community Supervision Length
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02/23/2022	OBSTRUCT CRIME INVESTIGATION	03/01/2023	ALACHUA	2200538	5Y 0M 0D

First Previous Next Last Return to List

New Search

Record: 1 of 1

The Florida Department of Corrections updates this information regularly, to ensure that it is complete and accurate, however this information can change quickly. Therefore, the information on this site may not reflect the true current location, status, scheduled termination date, or other information regarding an offender.

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Search Criteria: (/OffenderSearch/search.aspx?TypeSearch=AQ) Last Name: GRAY First Name: AKILI Search Aliases: YES Offense Category: Supervision Type: ALL Supervision Status: ALL County of Supervision: ALL Current Location: ALL

Current Status Definitions: **Active** - offender is being actively supervised by the probation officer in the community. **Active Suspense** - offender is temporarily unavailable for direct supervision during the supervision term, due to being in custody in jail or another facility, but is still being monitored by a probation officer for release, arrest, etc. **Absconder** - offender absconds from supervision (his/her whereabouts are unknown and the offender is not available for supervision) and warrant is issued for violation.

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U.S. Postal Service
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OUTBOUND TRACKING NUMBER
9414 7118 9956 2034 5046 86

RETURN RECEIPT TRACKING NUMBER
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FEES

Postage per piece	\$1.590
Certified Fee	\$4.350
Return Receipt Fee	\$3.550
Total Postage & Fees:	\$9.490

10/17/23

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AC - 2023053889 - PMW
Akili Adhama Gray, Jr.
PO Box 1581
Alachua FL 32616-1581

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Tracking Number:

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9414711899562034504686

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FAQs

5. Discussion of stewards' recommended order

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Deputy Chief Attorney
Re: FGCC v. Aubrey Asher Maragh Case No. 2023-003854; Stewards' Recommended Order
Date: November 17, 2023

Executive Summary

The Division of Pari-Mutuel Wagering seeks the adoption of the recommended order issued by the stewards against Aubrey Asher Maragh (“Respondent”) to resolve Case No. 2023-003854. Pursuant to the terms of the proposed order, Respondent would be issued a \$1,500 fine, a 15-day suspension, and must return all money distributed from the purse for violating section 550.2415(1)(a), Florida Statutes, and rule 75-6.008(2)(f), Florida Administrative Code.

Background

Respondent was the trainer of record for the racing horse Modazzle, which was owned by Dominick Ralph Auricchio. On December 18, 2022, Modazzle finished in 2nd place in the 2nd race of the performances held by Gulfstream Park. A serum sample was taken from Modazzle to test for any prohibited substances. The sample was sent to the University of Florida Lab (“UF Lab”) and subsequently tested for prohibited substances. The UF Lab detected 5-hydroxydantrolene¹ in the serum. 5-hydroxydantrolene was detected at a blood serum concentration of 135 +/- 0.11 pg/mL.

The Division filed an administrative complaint alleging a violation of section 550.2415(1)(a), Florida Statutes, which makes it a violation of Florida law to race an animal that been determined to have a prohibited substance present in its system. Respondent returned an Election of Rights form requesting a hearing before the Board of Stewards.² This hearing was conducted on September 20, 2023.

¹ 5-hydroxydantrolene, is a metabolite of dantrolene. Dantrolene is a Class 4 drug. See Uniform Classification Guidelines for Foreign Substances, version 8.0, revised December 2014, by the Association of Racing Commissioners International, Inc. Dantrolene is a skeletal muscle relaxant used to treat exertional rhabdomyolysis.

² Pursuant to section 120.80(19), Florida Statutes and rule 75-3.001(2), Florida Administrative Code, Respondent is permitted to request a hearing before a board of stewards for alleged violations of chapter 550, Florida Statutes or chapter 75, Florida Administrative Code.

Analysis

Rule 75-6.008(2)(f), Florida Administrative Code, provides that it is a violation if 5-hydroxydantrolene exists at a serum concentration greater than 100 pg/mL.

The penalty for this violation is a Class C penalty under the Uniform Classification Guidelines for Foreign Substances, version 8.0, revised December 2014, by the Association of Racing Commissioners International, Inc. (“Guidelines”).

Respondent has two prior violations of section 550.2415(1)(a), Florida Statutes, in the last 365 days, in case numbers 2022-024607 and 2022-045845. As provided in rule 75-3.001(19)(b), Florida Administrative Code:

In the event the stewards or division judge determine a statute or rule has been violated and a penalty of a license suspension of greater than 60 days, or a fine of greater than \$1,000 should be imposed for the violation, the stewards or division judge shall forward a recommendation to the division stating their findings of fact, statement of statutes or rules violated, and recommended penalty within 14 days after the hearing.

The stewards’ recommended order for this violation by Respondent is the imposition of a \$1,500 fine, a 15-day suspension of the Respondent’s occupational license, along with the return of the purse. Therefore, the Commission must approve the issuance of this penalty.³

Staff Recommendation: The Florida Gaming Control Commission should enter a Final Order adopting and incorporating the stewards recommended order in Case No. 2023-003854.

³ Rule 75-3.001(19)(b), Florida Administrative Code, requires that a fine recommendation determined to be over \$1000 by the board of stewards must be forwarded to the division for consideration.

RULING OF THE STEWARDS**RECOMMENDED ORDER****Date: September 20, 2023****Case Number: 2023-003854****Licensee Name: AUBREY ASHER MARAGH****License Number: 2013878****License Type: 1021****Incident Date: December 18, 2022****Incident Location: 321 - Gulfstream Park Racing Association****Rule(s) and/or Statute(s) Violated: 550.2415(1)(a)****FINDINGS OF FACT**

An administrative complaint was filed against **AUBREY ASHER MARAGH** on January 24, 2023. On February 20, 2023, AUBREY ASHER MARAGH requested a hearing before the Stewards.

The licensee admitted to being the trainer of record for the horse **Modazzle**. Blood sample (313722) collected from **Modazzle** (finished 2nd in the 2nd race) at 321-Gulfstream Park Racing Association on December 18, 2022, was tested by the University of Florida Racing Laboratory. The University of Florida Racing Laboratory determined that **5-hydroxydantrolene (dantrolene)** was present in the sample at a concentration of 135 ± 11 pg/mL.

The licensee has committed 2 violations in the past 365 days. The violations committed include:

- 1) 2022-024607- Class 4 positive test – betamethasone, \$1000 Fine and Loss of Purse.
- 2) 2022-045845 – Level 3 Stacking violation – flunixin & phenylbutazone, \$500 Fine.

Witnesses Testimony	
Name	NO WITNESS TESTIMONY
Occupation/Background	
Relevant Testimony	
Name	NO WITNESS TESTIMONY
Occupation/Background	
Relevant Testimony	

Documents Presented	
Document Title	NO DOCUMENTS PRESENTED

CONCLUSIONS OF LAW

The Stewards have jurisdiction to hear this case pursuant to Sections 120.80 and 550.1155, Florida Statutes, and Rule 75-3.001, Florida Administrative Code. Section 550.2415(1), Florida Statutes, states:

“It is a violation of this section for a person to impermissibly medicate an animal or for an animal to have a prohibited substance present resulting in a positive test for such medications or substances based on samples taken from the animal before or immediately after the racing of that animal.”

“The finding of a prohibited substance in a race-day specimen constitutes prima facie evidence that the substance was administered and was carried in the body of the animal while participating in the race”.

Rule 75-6.008, Florida Administrative Code, states **5-hydroxydantrolene (dantrolene)** is only permitted to be detected in a race day sample at a concentration less than or equal to a blood serum concentration of 100 pg/mL. The University of Florida Racing Lab tested the race day blood sample (313722) from **Modazzle** and detected **5-hydroxydantrolen (dantrolene)** at a concentration of 135 +/- 11 pg/mL.

Rule 75-6.002, Florida Administrative Code states: "the trainer of record shall be responsible for and be the absolute insurer of the condition of the horses or racing greyhounds he/she enters to race."

Having been served a Notice of Violation and Hearing informing him of a hearing to be held on Friday, September 15, 2022, at the Gulfstream Park Stewards' Office, and having failed to appear, trainer **Aubrey Asher Maragh** waived his rights to a formal hearing as per Florida Administrative Code 75-3.001(14)(b).



After considering the evidence and testimony presented, the stewards find Aubrey Asher Maragh to be in violation of Section 550.2415(1)(a), Florida Statutes, and Rule 75-6.008, Administrative Code, by racing a horse found to have been administered **5-hydroxydantrolene (dantrolene)** resulting in a positive test for **5-hydroxydantrolene** in a serum concentration in excess of the 100 pg/mL limit as prescribed by rule.

The Association of Racing Commissioners International, ARCI, standards adopted in Rule 75-6.011, Florida Administrative Code, classify **5-hydroxydantrolene (dantrolene)** as a Class 4 Substance. The ARCI Penalty Class for this substance is Class C. Based on the facts established regarding the licensee's history of violations, the ARCI recommended minimum penalty is a \$1500 fine, a 15-day suspension, and disqualification/loss of purse.

Therefore, the Recommendation of the Stewards is that trainer, **Aubrey Asher Maragh**, be fined Fifteen Hundred Dollars (\$1500.00), and his occupational license be suspended 15 days (the dates to be determined by the Board of Stewards after the Final Order is filed with the Agency Clerk). The fine is due and payable to the Gulfstream Park Board of Relief within fifteen (15) days of the filing date of the Final Order by the Agency Clerk.

Additionally, the owner of the horse, **Modazzle**, at the time of the violation, Dominick Auricchio, shall forfeit the purse awarded **Modazzle** for finishing 2nd in the 2nd race on December 18, 2022, and return the earnings to the Gulfstream Park Horsemen's Bookkeeper within fifteen (15) days of the date the Final Order is filed by the Agency Clerk.

Mitigating or Aggravating Facts	
Type of Mitigation or Aggravation (Rule 75-6.011, F.A.C.)	Factual Findings
(a) The impact of the offense to the integrity of the pari-mutuel industry.	
(b) The danger to the public and/or racing animals.	
(c) The number and date of prior violations of any penalty class in Florida and any other jurisdiction.	1. April 29, 2022, FGCC Case # 2022-024607 2. September 4, 2022, FGCC Case # 2022-045845
(d) The number of similar prior offenses.	
(e) The time period between offenses.	
(f) The number of complaints filed against the licensee, which have resulted in prior discipline.	
(g) The length of time the licensee has been licensed in Florida or any other jurisdiction.	

PENALTY IMPOSED	
Stewards' Recommended Final Order (**)	
Days of Suspension:	15
Suspension Dates:	To Be Determined
Fine made payable to the Board of Relief: Gulfstream Park	\$1500 and Loss of Purse
Board of Stewards Signatures: Aubrey Asher Maragh FGCC Case Number 2023003854	 Stephen DiMauro-Assoc Steward Date <i>09-24-2023</i>
	 Chip Spencer-Assoc Steward Date <i>9-24-23</i>
	 Kevin Scheen – State Steward Date

NOTICES

All civil penalties imposed by the stewards must be paid within 15 days.

(*)Stewards' Final Orders:

In the event the stewards determine a statute or rule has been violated and a penalty of a license suspension of 60 days or less, or a fine not to exceed \$1,000 is sufficient to address the violation, the stewards shall enter an order within 14 days after the hearing.

A steward's order may be appealed by submitting a written request for an appeal on Form PMW-3100, Request for Appeal of Stewards'/Judges' Ruling, available at <https://www.fgcc.fl.gov/> or by contacting the Florida Gaming Control Commission, 2601 Blair Stone Road, Tallahassee, Florida 32399. The appeal shall state in writing the reason the licensee believes the stewards' order should be reversed. Failure of the licensee to file a request for an appeal hearing within 10 days of the decision of the stewards constitutes a waiver of the right to an appeal.

A request for an appeal hearing following a stewards' ruling shall not automatically stay the decision of the stewards regarding the penalty imposed. A licensee may request a stay of the penalty imposed on Form PMW-3090, Request for Stay, available at <https://www.fgcc.fl.gov/> or by contacting the Florida Gaming Control Commission, 2601 Blair Stone Road, Tallahassee, Florida 32399 specify the reasons supporting the issuance of a stay. If a ruling is appealed and a stay has been entered the fine must be paid within 15 days of the resolution of the appeal.

()Stewards' Recommended Orders:**

In the event the stewards determine a statute or rule has been violated and a penalty of a license suspension of greater than 60 days, or a fine of greater than \$1,000 should be imposed for the violation, they shall forward a recommendation to the Florida Gaming Control Commission stating their findings of fact, statement of statutes or rules violated, and recommended penalty within 14 days after the hearing. The recommendation shall be served to each party at the time it is forwarded to the Florida Gaming Control Commission. A party shall have 14 days from the date the recommendation is issued in which to file a response prior to the entry of a final order.

CERTIFICATE OF SERVICE

I hereby certify this 10th day of October, 2023, that a true copy of the foregoing has been furnished by U.S. mail to:

Aubrey Asher Maragh
6901 Southwest 16th Court
Pembroke Pines, Florida 33023

Dominick Ralph Auricchio
11872 Oak Leaf Drive
Davie, Florida 33330

/s/ Melba L. Apellaniz

Melba Apellaniz
COMMISSION CLERK
Florida Gaming Control Commission

Cc: Donna Fleming
Ebonie Lanier

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2023-003854

AUBREY ASHER MARAGH,

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against Aubrey Asher Maragh (“Respondent”), and alleges as follows:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering pursuant to chapter 550, Florida Statutes.
2. At all times material hereto, Respondent held a Pari-Mutuel Wagering Professional Individual Occupational License, 2013878-1021, issued by Petitioner.
3. At all times material hereto, Respondent was the trainer of record for the racing horse “Modazzle,” with microchip number 981020029166922.
4. At all times material hereto, “Modazzle” was owned by Dominick Ralph Auricchio, who holds a Pari-Mutuel Wagering Professional Individual Occupational License, number 7149147-1021, issued by Petitioner.
5. On December 18, 2022, “Modazzle” participated in the 2nd race of the performances held by Gulfstream Park Racing Association, Inc. (“Gulfstream Park”).

6. On December 18, 2022, “Modazzle” finished in 2nd place in the 2nd race of the performances held by Gulfstream Park.

7. Gulfstream Park is a facility operated by a permitholder authorized to conduct pari-mutuel wagering in this state under chapter 550, Florida Statutes.

8. On December 18, 2022, blood sample number 313722 was collected from “Modazzle.”

9. Blood sample number 313722 was processed and forwarded to the University of Florida Racing Laboratory (“UF Lab”), for analysis.

10. The UF Lab tested the serum extracted from blood sample number 313722 and found that it contained **5-hydroxydantrolene (a metabolite of dantrolene)**.

11. In blood sample number 313722, **5-hydroxydantrolene** was detected at a serum concentration of 135 +/- 11 pg/mL.

12. Pursuant to section 550.2415(1)(a), Florida Statutes:

The racing of an animal that has been impermissibly medicated or determined to have a prohibited substance present is prohibited. It is a violation of this section for a person to impermissibly medicate an animal or for an animal to have a prohibited substance present resulting in a positive test for such medications or substances based on samples taken from the animal before or immediately after the racing of that animal.

13. According to rule 61D-6.008(2)(f), Florida Administrative Code, it is a violation of section 550.2415, Florida Statutes, if **5-hydroxydantrolene** exists at a serum concentration greater than 100 pg/mL.

14. Section 550.2415(1)(c), Florida Statutes, states, “[t]he finding of a prohibited substance in a race-day specimen constitutes prima facie evidence that the substance was administered and was carried in the body of the animal while participating in the race.”

15. Rule 61D-6.002(1), Florida Administrative Code, provides that, "[t]he trainer of record shall be responsible for and be the absolute insurer of the condition of the horses...he/she enters to race."

16. Based on the foregoing, Respondent violated section 550.2415(1)(a), Florida Statutes, and rule 61D-6.008(2)(f), Florida Administrative Code, by racing "Modazzle" with an impermissible concentration of a permitted substance in its body on December 18, 2022.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties specified in rule 61D-6.011, Florida Administrative Code, section 550.2415(3)(a), Florida Statutes, and/or any other relief that the Commission is authorized to impose pursuant to chapter 550, Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2023-003854 is signed this 24th day of January, 2023.

/s/Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 717-1783
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@fgcc.fl.gov
Secondary: Ebonie.Lanier@fgcc.fl.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

NOTICE OF RIGHT TO REQUEST A SPLIT SAMPLE

Pursuant to rule 61D-6.006, Florida Administrative Code, you have the right to request a split sample with respect to each "Report of Positive Result" from the UF Lab. (Copy attached as Exhibit A). To request a split sample, use Form DBPR PMW-3290, Notification to Stewards/Judge of Split Sample Request (Form 3290).

You can obtain Form 3290, as well as a list of approved split samples laboratories, at the State Office located in any Florida pari-mutuel facility, or on the Division's website: <http://www.myfloridalicense.com/DBPR/pari-mutuel-wagering/forms-and-publications/>. You must submit Form 3290 to the state steward, Division hearing officer, or office of the General Counsel, within 10 days from receipt of this Notice, or you will waive your right to request a split sample.

EXHIBIT A

01-11-23:03:51PM:University of Florida

;352

2/ 3



College of Medicine
Department of Pathology, Immunology and Laboratory Medicine
Racing Laboratory

PO Box 100275
Gainesville, FL 32610-0275
352-392-2238
352-846-1052 Fax

January 11, 2023

Mr. Joe Dillmore, Director
Florida Gaming Control Commission
2801 Blair Stone Road
Tallahassee, FL
32399-1037

RE: Report of Positive Result


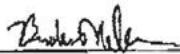
Sample 313722 has been analyzed by liquid chromatography-tandem mass spectrometry (LC-MS/MS) and found to contain the following:

5-Hydroxydantrolene found in serum

5-Hydroxydantrolene is a metabolite of Dantrolene (a skeletal muscle relaxant and class 4 drug) - the concentration of 5-Hydroxydantrolene is 135 +/- 11 pg/mL.

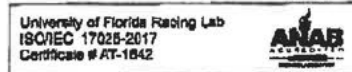
<u>E004534-FHB</u>	<u>Horse Serum</u>	<u>3.2 g</u>	<u>INTACT</u>
<u>Laboratory Number</u>	<u>Specimen</u>	<u>Final Weight</u>	<u>Seal</u>
<u>Gulfstream Park</u>		<u>12/18/2022</u>	<u>12/20/2022</u>
<u>Collected From</u>		<u>Date Collected</u>	<u>Date Received</u>

Pursuant to Chapter 550.2415 (1), F.S., the public disclosure of the information contained in this Report of Positive Result is authorized on or after 01/20/2023, or upon commencement of administrative action, whichever occurs first.

	
Dirk A Hunt	Brooks Nelson
Associate Director	Chemist III

RL 115

Page 1 of 1



The Foundation for The Gator Nation
An Equal Opportunity Institution

Florida Department of Business & Professional Regulation
dbpr
DOCUMENTATION REQUIRED FOR ALL
DAMAGED OR VOIDED FORMS SECURE
STORAGE REQUIRED - ISSUE / USE
SEQUENTIALLY

313722 DATE 12-18-22
TRACK 321
RACE 2 FINISH 2
NAME OF ANIMAL Modazzele
NOMBRE DEL ANIMAL
COLOR B SEX G AGE 3
ID NUMBER 981020029161922
 MICROCHIP TATTOO FREEZE-BRAND
BLOOD Mark Steele DVM MS
SAMPLED BY
URINE Isidro Cabrera I.C
SAMPLED BY
TRAINER Aubrey A. Maragh
ENTRENADOR/ ENTRENADORA
Guadalupe
WITNESS Ramos G.O.R.
SIGNATURE FIRMA DE TESTIGO
WITNESS 1619207
LICENSE # NÚMERO DE LICENCIA
TE RL-252-02

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2023-003854

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Respondent.

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WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties specified in rule 61D-6.011, Florida Administrative Code, section 550.2415(3)(a), Florida Statutes, and/or any other relief that the Commission is authorized to impose pursuant to chapter 550, Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2023-003854 is signed this 24th day of January, 2023.

/s/Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 717-1783
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@fgcc.fl.gov
Secondary: Ebonie.Lanier@fgcc.fl.gov

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01-11-23;03:51PM;University of Florida

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2/ 3



College of Medicine
Department of Pathology, Immunology and Laboratory Medicine
Racing Laboratory

PO Box 100275
Gainesville, FL 32610-0275
352-392-2238
352-846-1052 Fax

January 11, 2023

Mr. Joe Dillmore, Director
Florida Gaming Control Commission
2601 Blair Stone Road
Tallahassee, FL
32399-1037

RE: Report of Positive Result

Sample 313722 has been analyzed by liquid chromatography-tandem mass spectrometry (LC-MS/MS) and found to contain the following:

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Laboratory Number	Specimen	Final Weight	Seal
Gulfstream Park		12/18/2022	12/20/2022
Collected From		Date Collected	Date Received

Pursuant to Chapter 550.2415 (1), F.S., the public disclosure of the information contained in this Report of Positive Result is authorized on or after 01/20/2023, or upon commencement of administrative action, whichever occurs first.

Dirk A Hunt Associate Director

Brooks Neison Chemist III

RL 115

Page 1 of 1

University of Florida Racing Lab
ISO/IEC 17025-2017
Certificate # AT-1642

23-33



The Foundation for The Gator Nation
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Florida Department of Business & Professional Regulation
dbpr
DOCUMENTATION REQUIRED FOR ALL
DAMAGED OR VOIDED FORMS SECURE
STORAGE REQUIRED - ISSUE / USE
SEQUENTIALLY

313722 DATE 12-18-22
TRACK 321
RACE 2 FINISH 2
NAME OF ANIMAL Modazzle
NOMBRE DEL ANIMAL
COLOR B SEX G AGE 3
ID NUMBER 9810200091661922
 MICROCHIP TATTOO FREEZE-BRAND
BLOOD Mark Steele DVM MS
SAMPLED BY
URINE Isidro Cabrera I.C
SAMPLED BY
TRAINER Aubrey A. Maragh
ENTRENADOR / ENTRENADORA
Guadalupe
WITNESS Ramos G.O.D.
SIGNATURE FIRMA DE TESTIGO
WITNESS 1619207
LICENSE # NÚMERO DE LICENCIA
TE RL-252-02



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 Racing Laboratory

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Dirk A Hunt	Brooks Nelson
Associate Director	Chemist III

23-33

RL 115

Page 1 of 1

University of Florida Racing Lab
 ISO/IEC 17025-2017
 Certificate # AT-1642



The Foundation for The Gator Nation

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DOCUMENTATION REQUIRED FOR ALL
DAMAGED OR VOIDED FORMS SECURE
STORAGE REQUIRED - ISSUE / USE
SEQUENTIALLY

313722 DATE 12-18-22
TRACK 321
RACE 2 FINISH 2
NAME OF ANIMAL Modazzle
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COLOR B SEX G AGE 3
ID NUMBER 9810200291610922
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BLOOD Mark Steele DVM MS
SAMPLED BY
URINE Isidro Cabrera I.C
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ENTRENADOR/ ENTRENADORA
WITNESS Guadalupe Ramos GR
SIGNATURE FIRMA DE TESTIGO
WITNESS 1619207
LICENSE # NÚMERO DE LICENCIA
TE RL-252-02

FAQ | Help | Sign Out

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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Complaint Search Update	Change Recording License Type Mass Status Update	Public Case Info	Delete Complaint	Mass Activity Update	Mass Discipline
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Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: gricks

VR Home > Complaint Search > Maintain Complaint

Lic Type	1021 - Pari-Mutuel Wagering Individual Occupational	Status	10 Initial Review	Status Date	01/21/2023
Complaint #	2023003854	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#	Respondent MARAGH, AUBREY ASHER	Responsible	elanier - LANIER, EBONIE	Private Case	

Complaint	Respondent	Complainant	Add'l Info
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Source	LAB - UF Laboratory	Security Level	1	<input checked="" type="checkbox"/>	Parties	<input type="checkbox"/>	Activities
Form	FAX - Fax	Priority	1	<input checked="" type="checkbox"/>	Allegations	<input type="checkbox"/>	Discipline
Class'n	IV-X - Class IV Drug Positive	Complexity	R - Regular	<input checked="" type="checkbox"/>	Violations	<input type="checkbox"/>	Compliance
Security	STND - Standard	Incident	12/18/2022	<input type="checkbox"/>	Related	<input checked="" type="checkbox"/>	Disposition
Region	SR - Southern Region	Received	01/11/2023	<input type="checkbox"/>	Inspection		
Reference	313722			<input type="checkbox"/>	Costs		
Entered	01/21/2023	Entered By	gricks	<input type="checkbox"/>	Time Tracking	<input type="checkbox"/>	Auto Assign
Summary	321 - Gulfstream Park Racing Association, Horse MODAZZLE, Drug 5-hydroxydantrolene (class 4), Sample #313722 , Lab #E004534-FHB			<input type="checkbox"/>	Attachments	<input type="checkbox"/>	History
Updated	01/21/2023 14:41:35	By	gricks	<input type="checkbox"/>	Work Notes	<input type="checkbox"/>	Print Report

Change	Save	OK	Cancel	Back
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Get Adobe Reader.



VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: gricks

VR Home > License Search > License Home

License Fed Tax # [REDACTED] Lic Type 1021 - PMW Professional Individual Occupational Expires On 06/30/2024 File # 38844 Name MARAGH, AUBREY ASHER Extended To License # 2013878 Rank PIND - Professional Individual Occupational Renewed On Entity # 2013878 Lic Status Current		<input type="button" value="Licensee"/> <input type="button" value="History"/> <input checked="" type="button" value="Notes"/> <input type="button" value="Notes History"/> <input type="button" value="Back"/>																								
Address Street # 6901 Street SW 16 CT Line 2 Line 3 City PEMBROKE PINES State FL Zip 33023 Routing																										
Other 1st License Date 07/16/2021 Rank Date 07/16/2021 Certificate # Method I-S-1024 Status Date 07/16/2021 Certificate Date Fee Exempt No Birth Date [REDACTED] Renewal Sent																										
Select Action <input type="text"/>  																										
Modifiers <table border="1"> <thead> <tr> <th>Type ↕</th> <th>Modifier ↕</th> <th>Effective Date ↕</th> <th>Additional Info ↕</th> </tr> </thead> <tbody> <tr> <td>A</td> <td>FINE - Admin Fine</td> <td>09/27/2022</td> <td>\$1000 Fine due to GSP-BOR</td> </tr> <tr> <td>C</td> <td>TRNR - Trainer</td> <td>09/18/2003</td> <td></td> </tr> <tr> <td>I</td> <td>TBRD - Thoroughbred</td> <td>09/18/2003</td> <td></td> </tr> <tr> <td>L</td> <td>321 - Gulfstream Park</td> <td>06/30/2021</td> <td></td> </tr> <tr> <td>Y</td> <td>3YR - 3 Year License</td> <td>09/18/2003</td> <td></td> </tr> </tbody> </table>			Type ↕	Modifier ↕	Effective Date ↕	Additional Info ↕	A	FINE - Admin Fine	09/27/2022	\$1000 Fine due to GSP-BOR	C	TRNR - Trainer	09/18/2003		I	TBRD - Thoroughbred	09/18/2003		L	321 - Gulfstream Park	06/30/2021		Y	3YR - 3 Year License	09/18/2003	
Type ↕	Modifier ↕	Effective Date ↕	Additional Info ↕																							
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Alt Keys BEST LIC NBR PIN2013878																										

[FAQ](#) | [Help](#) | [Sign Out](#)




VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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[License Search](#)
 [Entity Search](#)
 [Modify License Standing](#)
 [Maintain License CE Control](#)

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: gricks

[VR Home](#) > [License Search](#) > [License Home](#)

License		<input type="button" value="Licensee"/> <input type="button" value="History"/> <input checked="" type="checkbox"/> <input type="button" value="Notes"/> <input type="button" value="Notes History"/> <input type="button" value="Back"/>	
Fed Tax # [REDACTED]	Lic Type 1021 - PMW Professional Individual Occupational	Expires On 06/30/2023	
File # 42602	Name AURICCHIO, DOMINICK RALPH	Extended To	
License # 7149147	Rank PIND - Professional Individual Occupational	Renewed On 05/29/2020	
Entity # 7149147	Lic Status Current		
Address			
Street # 11872 Street OAK LEAF DRIVE			
Line 2			
Line 3			
City DAVIE	State FL	Zip 33330	
Routing			
Other			
1st License Date 05/24/2017	Rank Date 05/29/2020	Certificate #	
Method I-S-1024	Status Date 05/24/2017	Certificate Date	
Fee Exempt No	Birth Date [REDACTED]	Renewal Sent	
Select			
Action	<input type="text"/>	 	
Modifiers			
			
Type	Modifier	Effective Date	Additional Info
C	IOWN - Individual Animal Owner	07/16/2004	
I	TBRD - Thoroughbred	07/16/2004	
L	321 - Gulfstream Park	05/30/2021	
Y	3YR - 3 Year License	05/14/2005	
Alt Keys			
BEST LIC NBR 7149147			

 Get Adobe Reader.

2



MAIDEN CLAIMING \$12,500
 Purse \$21,000. (Includes \$2,000 from FTHA-FOA) For Maidens, Three Years Old and Upward. Three Year Olds, 122 Lbs; Older, 124 Lbs Claiming Price \$12,500. Five And One Half Furlongs (Tapeta)

Track Record: Panther Island(3), 118 lbs; 1:02.37 (10-30-22)

equibase.com/QR

Program #	Odds	Speed	Weight	Jockey	Class	2022	2021	Life	GP	Turf	Synthetic	Off Track	Distance	Course
1	8-1				45.86	11 0 2 3	2022: \$16,260	2021: \$760	15 0 2 3	\$17,020	1 0 0 0	1 0 0 0	5 0 1 2	\$8,800
Red	Cim Prc \$12,500	Extraction Will (L)		124	Jose Morelos (166-22-13-18) 13.25%									
Owner: Roger Miller (2-0-0-1) 0.00% Silks: Red, Blue Blocks, Blue and Red Stripes on White Sleeves, Red and Blue Cap Trainer: Jose Pinchin (25-5-2-3) 20.00% Dk B/ Br.g.4 Cinco Charlie - Lucky Path by El Corredor - Bred by Westbrook Stables LLC (May 01, 2018) in KY 29Oct22 2 GP ft 6f :22.68 :4707 :1:1342 3f Mcl 12500 42 2 4 3f 3f 54 46 1/2 Maragh R R 124 bfl 10.20 Catching Magic 3/4 Knights Bird 3/4 Cajun Red 3/4 2p, stdy 4p upr, l paced 8 01Oct22 3 GP ft 5f :22.76 :4733 :1:0653 3f Mcl 12500 47 2 2 2hd 2hd 3f 3f Maragh R R 124 bfl 4.60 Captown Rcs 3/4 Corpat Affr 1/4 Extrenon Mill 1/4 duel 2w btw, lated 3rd 8 04Sep22 9 GP ft 6f :22.04 :4666 :1:1297 3f Mcl 12500 37 4 4 3 1/2 2f 4 1/2 3 1/4 Maragh R R 124 bfl 8.20 Cajun Bayou 3/4 Go Home Happy 2/4 Extrenon Mill 1/4 3wd, bump into str 7 29Jul22 1 GP ft 6f :22.50 :4596 :1:1150 3f Mcl 12500 51 7 1 3f 6 1/2 6 1/2 6 1/2 Reyes L 124 fl *3.20 Stone Age 3/4 Cajun Red 3/4 El Rosillo 2/4 tracked 3wd, faded 11 02Jul22 3 GP ft 5f :22.53 :4632 :1:0577 3f Mcl 12500 60 1 2 1hd 2hd 2hd 3 1/2 Reyes L 125 fl 7.50 Bobby's Gift 1/4 Blk's Ht Trick 1/4 Extrenon Mill 1/4 duel inside, weakened 8 11Jun22 4 GP ft 5f :21.99 :4515 :4575 3f Mcl 12500 43 3 4 9 1/2 9 1/2 9 1/2 7 1/2 Jaramillo E 125 fl 14.60 Joyful Surprise 1/4 Astral Ride 3/4 Phippo 3/4 steaded early, 3wd 10 21May22 6 GP ft 6f :23.29 :4617 :1:1023 3f Mcl 12500 34 1 12 1 1/2 3f 67 9 1/4 Calles F 1187 fl 15.00 Clango 3/4 Stone Age 3/4 Pork Chop 2/4 vied early, faltered 12 30Apr22 3 GP gd 5f :22.72 :4578 :1:0474 3f Mcl 12500 43 4 2 2 1/2 2 1/2 3 1/2 4 1/2 Calles F 1187 fl 4.40 Hay Hombre 1/4 Clango 2/4 Compass Cay 1/4 pressed winner 3path 7 20Mar22 4 GP ft 6f :22.35 :4622 :1:1137 3f Mcl 16000 62 2 3 1 1/2 1hd 2 2 2 2 2 Calles F 1187 fl 24.00 Posdon's Son 1/4 Extrenon Mill 1/4 Ghost Fighter 1/4 pace, vied 1/4, no match 7 20Feb22 2 GP ft 7f :23.98 :4816 :1:2448 4f Mcl 16000 (20-16) 47 3 3 3f 4 1/2 4 1/2 5 1/2 3 1/2 Calles F 1137 fl 4.90 Proud Contender 1/4 Readyseek 2/4 Ghost Fighter 2/4 steaded early rail 6 Workout(s): 8 Dec 22 GP 5F ft 1:01.82b 4/4 22 Oct 22 GP 5F ft 1:03.78b 23/28														

2	4-1				51.83	10 0 1 1	2022: \$7,790	2021: \$1,900	14 0 1 1	\$9,690	2 0 0 1	2 0 0 1	3 0 1 0	\$4,310
White	Cim Prc \$12,500	Corporate Affair (L)		122	Chantal Sutherland (84-8-9-14) 9.52%									
Owner: Anne-33, LLC (Anne Marie Lutchman) (11-1-2-1) 9.09% Silks: Blue, Red '33' on Gold Inverted Triangle, Gold Sleeves, Blue and Gold Cap Trainer: Padarath Lutchman (11-1-2-1) 9.09% Dk B/ Br.g.3 Gone Astray - Cheyenne Winter by Maimonides - Bred by Gerald James (Apr 01, 2019) in FL 27Nov22 10GP ft 5f :21.43 :4486 :5750 3f Mcl 12500 57 3 4 5 1/2 5 1/2 7 1/2 7 1/2 Jaramillo E 121 L 8.10 Hell's Kitchen 1/4 Can't Be Broken 1/4 Eternal Flame 1/4 steady ins, no threat 8 30Oct22 10GP ft 5f :21.91 :4497 :5659 3f Mcl 16000 62 4 1 1hd 1f 1hd 4 1/2 Torres J A 1157 L 7.90 Hjr King 1/4 Inspired Mischief 1/4 Lux Rocket 1/4 led 2p, 4p str, yield 11/8p 8 16Oct22 11GP ft 5f :21.46 :4502 :5741 3f Mcl 12500 70 5 3 3f 2 2 2 1/2 3 1/2 Torres J A 1147 L 20.50 Rare Jewel 1/4 Hell's Kitchen 1/4 Corporate Affair 1/4 3wd, left lead 12 01Oct22 3 GP ft 5f :22.76 :4733 :1:0653 3f Mcl 12500 51 4 1 3rd 1hd 1f 2 1/2 Menses M 121 L 10.90 Captown Rcs 3/4 Corpat Affr 1/4 Extrenon Mill 1/4 duel 3rd btw, held 2nd 8 18Sep22 4 GP sy 7f :22.70 :4616 :1:2577 3f Mcl 12500 46 1 3 1 1/2 1 1/2 5 1/2 7 1/4 Menses M 121 L 34.30 Hidden Warrior 2/4 Tactical Cheech 2/4 Millennium Condo 2/4 pace 3path, std 11 04Sep22 9 GP ft 6f :22.94 :4666 :1:1207 3f Mcl 12500 28 1 3 2f 4 1/2 5 1/2 6 1/5 Huayas G 1147 L 26.60 Cajun Bayou 3/4 Go Home Happy 2/4 Extrenon Mill 1/4 rail, retreated 7 27Aug22 1 GP ft 17 4676 :1:294 :4:328 3f Mcl 12500 23 5 14 15 4 1/2 12 1/2 12 1/2 Huayas G 1117 L 50.80 J P Comertank Poetic Code 1/4 Voice of Now 1/4 fast pace 3-2p, stopped 12 07Aug22 9 GP ft 5f :22.31 :4638 :1:0537 3f Mcl 12500 41 2 4 1hd 2 2 5 1/2 7 1/4 Huayas G 1127 bl 52.70 Magic Bomb 2/4 The Beast 1/4 Can't Be Broken 1/4 rail, pace, retreated 9 29Jul22 1 GP ft 6f :22.50 :4596 :1:1150 3f Mcl 12500 25 9 6 4 1/2 9 1/2 9 1/2 9 1/2 Hernandez J H 119 bl 9.90 Stone Age 3/4 Cajun Red 3/4 El Rosillo 2/4 bump start, 4wd 11 25Jun22 7 GP ft 5f :23.01 :4720 :1:0589 3f Mcl 12500 54 4 3 2 1/2 2 1/2 4 1/2 6 1/2 Gonzalez A 118 bl 7.10 Compass Cay 1/4 The Beast 1/4 Can't Be Broken 1/4 stalked 2wd, weakened 12														

3	10-1				N/A	2022: 0 0 0 0	2021: 0 0 0 0	Life: 0 0 0 0	GP: 0 0 0 0	\$0	0 0 0 0	0 0 0 0	0 0 0 0	\$0
Blue	Cim Prc \$12,500	Royal Interest (L)		122	Ivan Pimentel, Jr. (12-0-2-3) 0.00%									
Owner: Just For Fun Stable, Inc. (Ruben Sierra) (15-0-2-2) 0.00% Silks: Royal Blue, Green Star, Green Band on Sleeves, Royal Blue Cap Trainer: Rey Yanez (11-0-1-3) 0.00% B.c.3 Personal Interest - Crown Ready by More Than Ready - Bred by Just For Fun Stable, Inc. (Feb 08, 2019) in FL Workout(s): 3 Dec 22 Pm 4F ft :48.55b 30/73 26 Nov 22 Pm 4F ft :49.60b 65/80 7 Nov 22 Pm 5F ft 1:04.20b 6/5 15 Oct 22 GP 4F ft :48.11b 9/80														
4	5-1				N/A	2022: 0 0 0 0	2021: 0 0 0 0	Life: 0 0 0 0	GP: 0 0 0 0	\$0	0 0 0 0	0 0 0 0	0 0 0 0	\$0
Yellow	Cim Prc \$12,500	Modazzle (L)		122	Kevin Krigger (73-7-11-6) 9.59%									
Owner: Dominick Auricchio (0-0-0-0) 0.00% Silks: Turquoise, White Star, Turquoise Diamonds on White Sleeves, Turquoise Cap Trainer: Aubrey A. Maragh (25-0-7-5) 0.00% B.c.3 Outwork - Dazzling Contrast by General Meeting - Bred by Linda Griggs (Mar 20, 2019) in KY Workout(s): 10 Dec 22 GP 5F ft 1:01.63b 6/6 3 Dec 22 GP 4F ft :49.83b 48/83 19 Nov 22 GP 5F ft 1:02.28b 12/38 12 Nov 22 GP 5F ft 1:02.53b 14/23														

5	20-1				37.91	2022: 8 0 0 1	2021: 0 0 0 0	Life: 8 0 0 1	GP: 8 0 0 1	\$4,000	0 0 0 0	7 0 0 1	4 0 0 0	\$170
Green	Cim Prc \$12,500	Danger Ranger (L)		122	Shaun Bridgmohan (32-2-6-0) 6.25%									
Owner: Melanie Giddings (0-0-0-0) 0.00% Silks: White, Black 'M' in Pink Diamond Frame, Pink Chevrons on Sleeves, White Cap Trainer: Owner (2-0-1-0) 0.00% B.g.3 Animal Kingdom - Jelly Cable by Sky Mesa - Bred by Brent & Crystal Fernung & Godolphin LLC (May 15, 2019) in FL Previously trained by Pita Daniel 2022 (as of 11/06): (173 8 11 14 0.06) 06Nov22 1 GP ft 5f :22.68 :4611 :1:0462 3f Mcl 12500 33 3 7 8 1/2 8 1/2 7 1/2 7 1/2 Beato I 122 bl 46.70 Villagas Swing 1/4 Can't Be Broken 1/4 Senor Gee 2/4 trailed str, no menace 8 25Sep22 2 GP sy 1m :47.68 :1:1305 :1:4022 3f Mcl 12500 24 8 6 5 1/2 6 1/2 7 1/2 7 1/2 Morrison A 1137 L 35.90 Watch for the Lute 3/4 Twelve O'Clock 3/4 My Big Drama 3/4 no factor, 2wd 8 10Sep22 1 GP ft 1f :49.95 :1:1344 :1:4697 3f Mcl 12500 47 5 11 1/2 11 1/2 11 1/2 8 1/2 Menses M 120 L 63.40 Shipwreck 3/4 Poetic Code 1/4 King D 2/4 void of spd, 5wd 3/16 11 21Aug22 9 GP ft 5f :23.04 :4691 :1:0551 3f Mcl 12500 47 7 9 9 1/2 8 1/2 7 1/2 7 1/2 Morelos E 119 L 40.50 Action Dream 1/4 Rare Jewel 1/4 Cajun Red 1/4 bump early, 4wd 10 24Jul22 1 GP ft 5f :22.98 :4729 :1:0586 3f Mcl 12500 9 3 12 11 1/2 10 1/2 10 1/2 12 1/2 Maragh R R 119 L 31.90 Phippo 1/4 Rare Jewel 1/4 Rock Solid Words 1/4 eased, vained off 12 10Apr22 3 GP ft 17 4892 :1:1523 :1:4411 3f Mcl 12500 69 4 2 2 1/2 2 1/2 4 1/2 4 1/2 Reyes L 118 L 10.50 Bye Bye David 1/4 Authentic Heart 1/4 Poetic Code 1/4 bump early, 3wd btw 7 27Mar22 1 GP ft 5f :23.33 :4697 :1:0526 3f Mcl 16000 69 2 7 7 1/2 7 1/2 5 1/2 7 1/2 Reyes L 120 L 14.80 Dirt Road Dollars 2/4 Quite Ready 1/4 Astral Ride 1/4 inside 2wd, not enough 8 25Feb22 2 GP ft 5f :22.27 :4536 :5742 3 Mcl 12500 35 2 4 5 1/2 5 1/2 4 1/2 3 1/2 Lopez P 120 L 17.60 Cjn Mod 3/4 Mini's Beach House 2/4 Dnger Ranger 1/4 midpack, 2-3rd, jpt on 10 Workout(s): 11 Dec 22 Pm 4F ft :49.35b 12/17 3 Dec 22 Pm 4F ft :51.80b 73/73 27 Nov 22 Pm 3F ft :36.65b 2/8 20 Oct 22 Pm 4F ft :49.20b 8/16														

RACE 2 CONTINUED ON NEXT PAGE

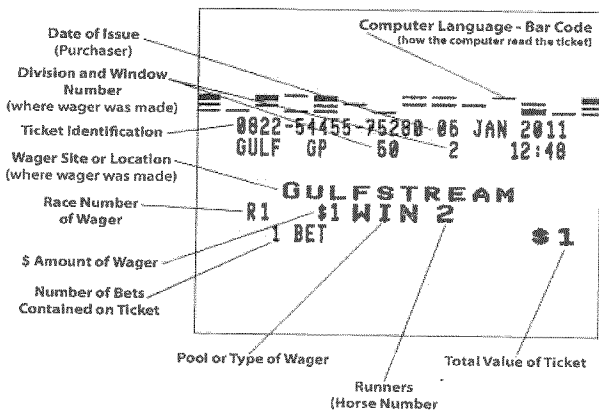
RACE 2 CONTINUED

6	10-1	Owner: Peter C. Chin (0-0-0-0) 0.00%	N/A	2022: 0 0 0 0	\$0	Turf: 0 0 0 0	\$0
		Silks: Orange, Green Diamonds, Orange Diamonds on Green Sleeves, Orange Cap		2021: 0 0 0 0	\$0	Synthetic: 0 0 0 0	\$0
Black	Clim Prc \$12,500	Trainer: Mark Passley (5-1-0-1) 20.00%	122	Life: 0 0 0 0	\$0	Off Track: 0 0 0 0	\$0
		Your a Masterpiece (L)	Miguel A. Vasquez (264-55-33-37) 20.83%	GP: 0 0 0 0	\$0	Distance: 0 0 0 0	\$0
B.g.3 Mastery - Shannon Faith by Discreet Cat - Bred by Peter Berglar Racing Interests, LLC & Paget Bloodstock (Apr 30, 2019) in KY							
Workout(s):	3 Dec 22 GP 4F ft :51.90b 86/93	26 Nov 22 GP 4F ft :51b 100/124	5 Nov 22 GP 4F ft :49.92b 47/89	29 Oct 22 GP 4F ft :51.48b 109/134			OBSAPR21 \$30,000
7	2-1	Owner: Kenneth L. Ramsey (1-0-0-0) 0.00%	N/A	2022: 0 0 0 0	\$0	Turf: 0 0 0 0	\$0
		Silks: White, Red 'R,' White Band on Red Sleeves, White Cap		2021: 0 0 0 0	\$0	Synthetic: 0 0 0 0	\$0
Orange	Clim Prc \$12,500	Trainer: Saffie A. Joseph, Jr. (113-25-21-17) 22.12%	124	Life: 0 0 0 0	\$0	Off Track: 0 0 0 0	\$0
		Cheers for Kitten (L)	Edgard J. Zayas (178-36-47-22) 20.22%	GP: 0 0 0 0	\$0	Distance: 0 0 0 0	\$0
Ch.c.4 Kitten's Joy - Elusive Champagne by Elusive Quality - Bred by Kenneth L. Ramsey & Sarah K. Ramsey (Feb 08, 2018) in KY							
Workout(s):	10 Dec 22 Pmm 4F ft :49.15b 62/82	3 Dec 22 Pmm 4F ft :49.90b 60/73	26 Nov 22 Pmm 4F ft :47.55b 12/80	19 Nov 22 Pmm 5F ft :1:01.60b 14/20			
8	7-2	Owner: Soldi Stable LLC (Alfonso Soued) and Ohana Racing LLC (Eli Soued) (4-0-0-1) 0.00%	62.2	2022: 7 0 1 1	\$9,530	Turf: 0 0 0 0	\$0
		Silks: Red, White and Green Trimmed 'S,' White and Green Trimmed Stripe on Sleeves, Red Cap		2021: 1 0 0 1	\$3,300	Synthetic: 6 0 1 1	\$9,360
Pink	Clim Prc \$12,500	Trainer: Jose Francisco D'Angelo (107-15-10-15) 14.02%	1157	Life: 8 0 1 2	\$12,830	Off Track: 1 0 0 1	\$3,300
		Eternal Flame (L)	Jaime A. Torres (175-11-25-24) 6.29%	GP: 8 0 1 2	\$12,830	Distance: 3 0 1 1	\$8,470
Ch.g.3 Jimmy Creed - Picolata by Bellamy Road - Bred by Mulholland Springs LLC (Mar 21, 2019) in KY							
27Nov22 10GP	ft	5f :2143 :4486 :5750 3f	Mcl 12500	66 5 6 87 83 65 32	Torres JA	1147 bfl	9.40
Previously trained by Zorpa Gilberto 2022 (as of 08/06): (85 16 13 9 0.19)							
06Aug22 11GP	ft	7f :2309 :4616 1:2567 3f	Mcl 12500	58 8 3 51 74 75 78	Morrison A	1127 bl	3.40
24Jul22 1 GP	ft	5 1/2 :2298 :4729 1:0586 3f	Mcl 12500	65 1 5 41 42 31 53	Morrison A	1127 bl	*2.30
17Jun22 10GP	ft	5f :2190 :4525 :5764 3f	Mcl 25000	56 3 1 41 41 33 63	Alvarado J	118 bl	2.80
12May22 8 GP	ft	5 1/2 :2338 :4687 1:0573 3f	Mcl 25000	71 5 4 74 64 42 21	Alvarado J	118 bl	3.40
25Feb22 6 GP	ft	1 1/2 :5184 1:1707 1:46 3	Moc 50000cnd	58 3 2 1/2 52 42 65 61 2 1/2	Jaramillo E	120 bl	4.50
05Jan22 1 GP	ft	5f :2148 :4488 :5793 3	Moc 50000cnd	65 6 6 54 55 44 43	Alvarado J	120 bl	7.10
22Oct21 3 GP	sy	5 1/2 :2193 :4568 1:0468 2	Mcl 50000	64 5 7 58 57 46 310	Jaramillo E	118 b	2.70
Workout(s):	29 Oct 22 Pmm 3F ft :37.80b 24/40	22 Oct 22 Pmm 3F ft :35.95b 5/30		1 Oct 22 Pmm 3F ft :38b 28/39			

L - Lasix; O - First Time Lasix; O - Off Lasix; F - Florida Bred

PROBABLE FAVORITES 7-8-2-4

HOW TO READ A MUTUEL TICKET



WAGER TYPE ABBREVIATIONS

WIN	Win	TRIWHL	Trifecta Wheel
PLA	Place	TRI P/W	Trifecta Part-Wheel
SH	Show	TRI BOX	Trifecta Box
WP	Win, Place	TRI KEY	Trifecta Key
WS	Win, Show	BET 3	Bet Three
WPS	Win, Place, Show	BET 3 WHL	Bet Three Wheel
DB	Daily Double	BET 3 P/W	Bet Three Part-Wheel
WB WHL	Daily Double Wheel	SF	Superfecta
DB P/W	Daily Double Part-Wheel	SF WHL	Superfecta Part-Wheel
EX	Exacta	SF P/W	Superfecta Box
EX WHL	Exacta Wheel	SF BOX	Superfecta Wheel
WX P/W	Exacta Part-Wheel	SF KEY	Superfecta Part-Wheel
EX BOX	Exacta Box	PICK 6	Pick Six
EX KEY	Exacta Key	PICK 6 WHL	Pick Six Wheel
TRI	Trifecta	PICK 6 P/W	Pick Six Part-Wheel

6. Discussion of license denials

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Deputy Chief Attorney
Re: Tamari Raymond Muldrow; Case No. 2023-058415
Date: November 30, 2023

Executive Summary

The Division of Pari-Mutuel Wagering seeks to deny Tamari Raymond Muldrow's ("Applicant") application for a Slot Machine/Cardroom/Pari-Mutuel Combination Occupational License. Applicant submitted a completed application for a Slot Machine/Cardroom/Pari-Mutuel Combination Occupational License on October 17, 2023. Upon review of the application, it appears Applicant has been convicted of disqualifying felony crimes. Therefore, the Florida Gaming Control Commission should authorize the issuance of a Letter of License Denial.

Pertinent Facts

On October 17, 2023, Applicant submitted their application to the Division of Pari-Mutuel Wagering for a Slot Machine/Cardroom/Pari-Mutuel Combination Occupational License. Upon review of the completed application, it appears that the Applicant was convicted of felony crimes in the state of Florida. Specifically, the Applicant was convicted of the following felonies:

- Grand Theft in the Third Degree in 2015; and
- Resisting an Officer with Violence in 2018.

Applicant did not apply for a waiver for his felony conviction, however, under section 551.107(6)(a), Florida Statutes, the legislature did not authorize the Executive Director to waive criminal convictions for slot machine licensing.

Relevant Law

Section 550.105(5)(b), Florida Statutes, provides in pertinent part that:

“. . . the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United

States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.”

Section 551.107(6)(a), Florida Statutes, provides in pertinent part that:

“. . .the commission may deny, suspend, revoke, or refuse to renew any slot machine occupational license if the applicant for such license or the licensee has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state that would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; racketeering; or a crime involving a lack of good moral character, or has had a gaming license revoked by this state or any other jurisdiction for any gaming-related offense.”

Rule 75-14.009, Florida Administrative Code, provides that:

“[t]he [commission] shall deny the application for a slot machine occupational license if a review of the application or the investigation of the applicant demonstrates . . . [t]he applicant has been convicted of any disqualifying offense under Section 551.107(6), F.S.”

Section 849.086(6)(f), Florida Statutes, provides that the “provisions specified in s. 550.105(4), (5), (6), (7), (8), and (10) relating to licensure shall be applicable to cardroom occupational licenses.”

Section 849.086(6)(g), Florida Statutes, provides that:

“[t]he commission may deny, declare ineligible, or revoke any cardroom occupational license if the applicant or holder thereof has been found guilty or had adjudication withheld in this state or any other state, or under the laws of the United States of a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing

false reports to a government agency, racing or gaming commission or authority.”

Section 550.105(5)(d), Florida Statutes, provides that:

“. . . the term “convicted” means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term “conviction” shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.”

Staff Recommendation: Because the Applicant's disqualifying criminal convictions cannot be waived, and because Applicant was convicted of a disqualifying offense under section 551.107(6), Florida Statutes, the Florida Gaming Control Commission shall deny Applicant’s application for a slot combination license. Accordingly, the Division of Pari-Mutuel Wagering recommends the Florida Gaming Control Commission authorize the issuance of a Letter of License Denial in this matter.

Licensing Administrator Review – SLOT Occupational License

RE: **MULDROW, TAMARI RAYMOND –**
13606000

Case No: **2023058415**

(APPLICANT'S NAME– LICENSE #)

INITIAL APPLICATION RECEIVED:	5/25/2023
COMPLETE APPLICATION RECEIVED:	10/17/2023
90-DAY DEADLINE:	1/15/2024

Randall Kitchens

285 - Calder

1055 – Food Service

Application Processor

Facility (d/b/a name)

Occupation/Job Title

Pursuant to Section 550.105(5)(a): The division may deny a license of any person who has been refused a license by any other state racing commission or racing authority; under suspension or has unpaid fines in another jurisdiction. The division may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; animal cruelty, illegal gambling, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Licensing Administrator Review				
Conviction				
Did the application accurately reflect the Criminal History Record? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
<input checked="" type="checkbox"/> Felony : 2 Count(s)				
<input type="checkbox"/> Misdemeanor – Industry Related/Gambling Related/Bookmaking				
<input type="checkbox"/> Animal Cruelty				
<input checked="" type="checkbox"/> Forgery, Larceny Extortion, Conspiracy to Defraud (Cardroom Professions Only)				
Comments:				
Arrest Date	Location	Charge	Level	Disposition
07/14/2015	Miami Dade, Fl	G Theft 3 rd 132015CF0144470001	F3	Adj Withheld
10/25/2017	Miami Dade, Fl	Resist Off W Viol 132017CF0205520001	F3	Adj Withheld

Disposition Unknown
<input type="checkbox"/> Felony Arrest(s) :
<input type="checkbox"/> Misdemeanor Arrest – Industry Related/Gambling Related/Bookmaking
<input type="checkbox"/> Animal Cruelty

Enforcement/Jurisdiction Offenses
<input type="checkbox"/> Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction.

Related Licenses	
Check VR License Relations to Determine if Applicant is Related to a Business.	
Is the individual applicant related to a business? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete sections below.	
Business License Number:	Business Name:
Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes <input type="checkbox"/> No <input type="checkbox"/>	
Date Deficiency Letter Issued:	Initials:

Licensing Administrator Review	
Disposition Confirmation	
Disqualifying Convictions/Arrests Confirmed? Yes <input type="checkbox"/> No <input type="checkbox"/> <input type="checkbox"/> Approval	
Forward to <input type="checkbox"/> Investigations <input checked="" type="checkbox"/> Legal <input type="checkbox"/> Director	Initials: <i>gheks 10/18/23</i>
Comments:	

Also see 132017CF0205520001xx

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
---------	-------	--------	-------------	---------	------	------	------------	-------------	--------

Complaint Search	Change Recording License Type	Delete Complaint	Mass Activity Update	Mass Discipline Update
Mass Status Update	Public Case Info			

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **rkitchens**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type	1055 - Slot Machine/Cardroom/Pari-Mutuel Individual Combination	Status	10 Initial Review	Status Date	10/17/2023
Complaint #	2023058415	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#	Respondent MULDROW, TAMARI RAYMOND	Responsible	ddonaldson - DONALDSON, DAVID		Private Case

Complaint	Respondent	Complainant	Add'l Info
-----------	------------	-------------	------------

Source	INTN - Internal	Security Level	1	<input type="checkbox"/> Parties	<input checked="" type="checkbox"/> Activities
Form	INTR - Internal	Priority		<input checked="" type="checkbox"/> Allegations	<input type="checkbox"/> Discipline
Class'n	CHIS - Criminal History	Complexity	R - Regular	<input type="checkbox"/> Violations	<input type="checkbox"/> Compliance
Security	STND - Standard	Incident	10/17/2023	<input type="checkbox"/> Related	<input checked="" type="checkbox"/> Disposition
Region	SR - Southern Region	Received	10/17/2023	<input type="checkbox"/> Inspection	
Reference				<input type="checkbox"/> Costs	
Entered	10/17/2023	Entered By	rkitchens	<input type="checkbox"/> Time Tracking	<input type="button" value="Auto Assign"/>
Summary	Application and rap sheet reviewed. Applicant's criminal history resulted in felony conviction(s) or an industry related offense. Facility - 285 - Calder.			<input type="checkbox"/> Attachments	<input type="button" value="History"/>
Updated	10/17/2023 13:18:17	By	rkitchens	<input type="checkbox"/> Work Notes	<input type="button" value="Print Report"/>

<input type="button" value="Change"/>	<input type="button" value="Save"/>	<input type="button" value="OK"/>	<input type="button" value="Cancel"/>	<input type="button" value="Back"/>
---------------------------------------	-------------------------------------	-----------------------------------	---------------------------------------	-------------------------------------

Get Adobe Reader.



STATE OF FLORIDA
 FLORIDA GAMING CONTROL COMMISSION
 2601 Blair Stone Road
 Tallahassee, FL 32399-1037

MULDROW, TAMARI RAYMOND
 10230 SW 5TH ST APT. 102
 PEMBROKE PINES, FL 33026

FILE NUMBER 11612

AMOUNT PAID \$100.00

INITIAL PIN 6612

APPROVAL DATE MAY 25, 2023



STATE OF FLORIDA AC#T 0101514
 FLORIDA GAMING CONTROL
 COMMISSION

LIC NO: 13606000 05/25/2023

TEMPORARY SLOT/CARDROOM/PARI-MUTUEL
 INDIV COMBO (1055)
 MULDRON, TAMARI RAYMOND

IS LICENSED UNDER THE PROVISIONS OF CH. 551 F.S.
 EXPIRATION DATE: PENDING APPLICATION ACTION

DETACH HERE

THIS DOCUMENT HAS A COLORED BACKGROUND, MICROPRINTING, LINEMARK, AND PATENTED PAPER.

AC#T 0101514

STATE OF FLORIDA
 FLORIDA GAMING CONTROL COMMISSION

SEQ# 23052513606000

DATE	LICENSE FEE	LICENSE NUMBER
05/25/2023	AMOUNT PD \$100.00	13606000

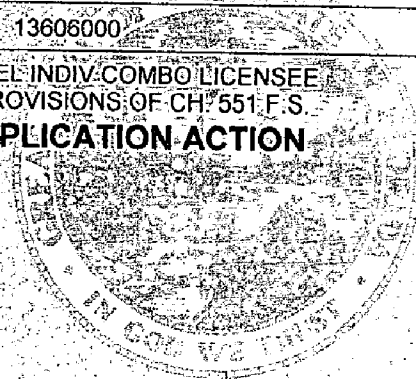
FILE NUMBER 11612

TEMPORARY SLOT/CARDROOM/PARI-MUTUEL INDIV-COMBO LICENSEE
 NAMED BELOW IS LICENSED UNDER THE PROVISIONS OF CH. 551 F.S.

EXPIRATION DATE: PENDING APPLICATION ACTION

SEQ# 23052513606000

MULDROW, TAMARI RAYMOND
 10230 SW 5TH ST APT. 102
 PEMBROKE PINES, FL 33026



RON DESANTIS
 GOVERNOR

DISPLAY AS REQUIRED BY LAW

1055

OCCUPATIONAL LICENSE FEE VOUCHER

Date 5/25/2023

Applicant Name: Tamari Muldrow
(Please print)

Position/Title: Concessions Attendant
(Please print)

This voucher must be submitted with the applicant's completed Slot Machine Individual Occupational License Application form to the DBPR Licensing and Compliance Office.

Upon receipt of an authorized voucher, Calder Casino agrees to remit to the Division:

- \$100 (3-year license) Fingerprint fee \$ _____ Upgrade Fee \$ _____

my fj
Human Resources Authorized Signature

For Accounting use only.

White-DBPR • Yellow-HR

DBPR PMW-3410 – Slot Machine Individual Occupational License Application



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

APPLICATION CHECKLIST – IMPORTANT – Submit all items on the checklist below to ensure faster processing.

ALL License Applicants must submit:

Completed Form DBPR PMW-3410 – Print clearly and complete all sections that are not optional in black or blue ink.

Provide Identification – Required by Rule 61D-14.010, Florida Administrative Code.

Provide a copy of one of the items below:

- US Passport
- Certificate of US Citizenship or Naturalization
- Permanent Resident Card

OR, Provide a copy of two of the items below:

- Birth Certificate (Certified Copy)
- Driver's License
- Military ID Card
- Student ID Card
- Country ID Card
- Foreign Passport
- Government Issued Credential
- Immigration ID Card

Additional Pages – If necessary to respond to any application questions.

Supporting Legal Documentation – If necessary to respond to background information questions in application.

Three (3) Year Licensing Fee – Make checks or money orders payable to DBPR.

Slot Machine General Occupational License - \$100.00*

*does not include fingerprint fee

Slot Machine Professional Occupational License - \$100.00*

Slot Machine/Cardroom/PMW Combination Occupational License - \$100.00*

Fingerprints – Choose One Option:

Electronic Fingerprints: Electronic fingerprints must be submitted to the Division through a law enforcement agency or an FDLE approved Livescan Service Provider. Submit electronic fingerprints with the following ORI number: FL923230Z.

IMPORTANT: Electronic fingerprint processing fees must be paid directly to the law enforcement agency or FDLE approved Livescan Service Provider.

Fingerprint Card: Fingerprint cards (FD-258) can be mailed to applicants upon request by contacting the Division at the address or phone number below. Completed fingerprint cards must be mailed to the Division with your application.

IMPORTANT: Fingerprint card processing fees must be paid to the Division. Please see <http://www.fdle.state.fl.us/Criminal-History-Records/Obtaining-Criminal-History-Information.aspx> for the current fee amount.

Fingerprint Resubmission for Renewal and Upgrade Applicants: Applicants timely renewing or upgrading a license need only provide the Division a fingerprint resubmission processing fee. Visit our website or contact us for the current fee amount.

IMPORTANT: Timely submission of renewals must occur within one year of the expiration of applicant's license.

Please mail your completed application, documentation and required fee(s) to:
Department of Business and Professional Regulation
Pari-Mutuel Wagering; Licensing Section
2601 Blair Stone Road, Tallahassee, Florida 32399-1037
Phone: 850.487.1395

**Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
DBPR PMW-3410 – Slot Machine Individual Occupational License Application**

Instructions: Please review this application thoroughly and complete all sections not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

DEMOGRAPHIC INFORMATION			
Social Security Number [REDACTED]		Birth Date (MM/DD/YYYY) [REDACTED]	Gender <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female
Last Name Muldrow	First Tamari	Middle Raymond	Suffix
Have you used, been known as, or called by another name (example – maiden name, pseudonym, nickname) or alias other than the name used on the application? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, list the name or names used: <u>Tamari Raymond Clark</u>			
Race/Ethnicity (check only one): <input checked="" type="checkbox"/> Black or African American <input type="checkbox"/> Asian or Pacific Islander <input type="checkbox"/> Native American or Alaskan Native <input type="checkbox"/> White or Caucasian <input type="checkbox"/> Hispanic/Latino <input type="checkbox"/> Other			
Are you a United States citizen? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If no, provide the name of the country of which you are a citizen: _____			
Current Mailing Address 10230 SW 5th ST Apt. 102		Email Address (optional) [REDACTED]	
City Pembroke Pines	State FL	Zip Code (+4 optional) 33026	Country United States
Primary Phone Number (786) 377-6809		Secondary/Cell Phone Number (optional) MAY 25 2023	
Current Street Address 10230 SW 5TH ST Apt. 102			
City Pembroke Pines	State FL	Zip Code (+4 optional) 33026	Country United States
Type of Slot Machine Occupational License applying for: <input type="checkbox"/> General Individual <input type="checkbox"/> Professional Individual <input checked="" type="checkbox"/> Slot Machine/Cardroom/PMW Combination		Is this your first time applying for a racing/gaming license in Florida? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
Job title(s): <u>Concessions Attendant</u>		Facility where employed and/or doing business: Calder Casino	
*Applicants for a Combo license should disclose all job titles		Employer name: Calder Casino	
FOR DIVISION USE ONLY			
License Code <u>1055</u>	License # <u>13606000</u>	File # <u>11612</u>	App # <u>41459</u>
Association Code <u>285</u>	Date Received <u>5/25/23</u>	Entered By <u>TT</u>	License Year <u>23/26</u>
License Fee <u>\$100.00</u>	FP Date <u>5/25/23</u>	FP Fee <u>\$ 0</u>	Total Fee <u>\$100.00</u>
Waiver Requested (Combo Only)	ARCIV <input checked="" type="checkbox"/>	Enforcement	

EMPLOYMENT HISTORY (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes Have you previously worked for a gaming-related employer?
 No If yes, you must list below all gaming-related employment history in the past ten years.

Name of Employer	Address	Start Date (Month/ Year) - End Date (Month/ Year)	Title/Position Held & Supervisor Name	Reason for Leaving

PREVIOUS LICENSING HISTORY (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes Have you ever been licensed in any other racing or gaming jurisdiction? If yes, you must list all current and previous licenses and provide the details in the section below.
 No

State(s) or Jurisdiction(s) Where Licensed	Date of Expiration	Is this license currently suspended or subject to fines or other discipline? Explain why.
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No

Yes Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.
 No

If you answered yes to the question above, provide details here:

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes
 No
 Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, or filing false reports to government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

Yes
 No
 Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the section provided below.

Date of Disposition	County	State	Offense	Misdemeanor or Felony?	Sentence

Yes
 No
 Do you currently work for, own or have a financial interest in a slot machine management company, slot machine manufacturer or distributor, or a business that sells slot machine related products, services, or goods to a slot machine licensee?

Yes
 No
 Do you currently own or have a financial interest in a Florida licensed slot machine facility or in any business owned by a Florida licensed slot machine facility?

ADDITIONAL INFORMATION

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging the FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

AUTHORIZATION FOR RELEASE OF INFORMATION:

I do hereby instruct all law enforcement, criminal justice agencies, gaming commissions, tribal gaming regulatory agencies or commissions, state agencies, or commissions responsible for gaming regulation to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Department of Business and Professional Regulation or the Florida Department of Law Enforcement.

I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Further, I understand that under Florida Statute, any information released that is not specifically exempted shall become part of the public record, releasable upon request to the public pursuant to Chapter 119, Florida Statutes.

Tamari Raymond Muldrow

Print Legal Name (First Middle Last)

Birth Date (MM/DD/YYYY)

Social Security Number

Calder Casino

Name of Employer

Signature of Applicant

Date



Domain 101 - Division of Pari-Mutuel Wagering - Slots

Logged in as: tyler

VR Home > Complaint Search

Search Criteria | Results

Complaint

Board	<input type="text"/>	Complaint #	<input type="text"/>
Lic Type	<input type="text"/>	Reference	<input type="text"/>
Case Type	<input type="text"/>	Status	<input type="text"/>
Assigned To	<input type="text"/>		
Incident From	<input type="text"/>	Incident To	<input type="text"/>
Key Phrase in Summary			

-
-
-
-

Respondent

Fed Tax #	<input type="text"/>	Muldraw, Tamari Raymond	Street #	<input type="text"/>
File #	11612	Slot/Cardroom/Pari-Mutuel Indiv Combo	Street	<input type="text"/>
License #	13606000	Temporary	City	<input type="text"/>
Entity #	13606000		Postal/Zip	<input type="text"/>
Key Name	Muldraw, Tamari Raymond		State	<input type="text"/>
			County	<input type="text"/>

- Complainant** Key Name
- Involved Party** Key Name

No Enforcement Found

Get Adobe Reader.



Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number:
Date of Birth:
First Name: Tamari
Middle Name:
Last Name: Clark
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State
-----------	-----------	------------	-------------	----------------	---------	------------	------	-------



Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number:
Date of Birth:
First Name: Tamari
Middle Name:
Last Name: Muldrow
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State
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Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number [REDACTED]
Date of Birth:
First Name:
Middle Name:
Last Name: Muldrow
Sex:
Commission:
License Type:

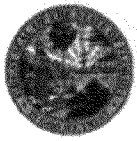
Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State
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Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number: [REDACTED]
Date of Birth:
First Name:
Middle Name:
Last Name: Clark
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State
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FLORIDA
GAMING CONTROL
COMMISSION

JULIE I. BROWN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER

June 9, 2023

RECEIVED

OCT 17 2023

Mr. Tamari Raymond Muldrow
10230 Southwest 5th St Apt. 102
Pembroke Pines, Florida 33026

RE: Application No. 41569, Entity 13606000
1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo

Dear Mr. Muldrow:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 2 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section. Please initial and date any changes made to your application.

You must list the information on your application for the following charges:

- Dade County, Florida Arrest(s) – 10/25/2017, 07/15/2015

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

If you fail to provide the Florida Gaming Control Commission with a complete application by 09/24/2023, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

OFFICE OF OPERATIONS
2601 BLAIR STONE ROAD
TALLAHASSEE, FLORIDA 32399

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850.488.3211.

Thank you,

Randall Kitchens
Operations Analyst II

Tamari Muldrow 10/17/23

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes
 No Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, or filing false reports to government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

Yes
 No Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the section provided below.

Date of Disposition	County	State	Offense	Misdemeanor or Felony?	Sentence
11/28/2017	Miami Dade	FL	RBV/FA/DW-PBL/101/19	Felony	No Action
12/18/2018	Miami Dade	FL	Resist Off/ W/Viol	Misdemeanor	WH ADJ-PROB SP Con
12/18/2018	Miami Dade	FL	Resist Off W/o Viol	Misdemeanor	WH ADJ - PROB SP Con
12/18/2018	Miami Dade	FL	Robb/CRJCK/ARM - PBL	Felony	Nolle Pros
12/17/2018	Miami Dade	FL	Batter/Agg/DWeap	Felony	Nolle Pros

Yes
 No Do you currently work for, own or have a financial interest in a slot machine management company, slot machine manufacturer or distributor, or a business that sells slot machine related products, services, or goods to a slot machine licensee?

Yes
 No Do you currently own or have a financial interest in a Florida licensed slot machine facility or in any business owned by a Florida licensed slot machine facility?

ADDITIONAL INFORMATION

Tamarci Muldrow 10/17/23

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes
 No
 Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, or filing false reports to government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

Yes
 No
 Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the section provided below.

Date of Disposition	County	State	Offense	Misdemeanor or Felony?	Sentence
11/12/2015	Miami Dade	FL	G TFT 3d/BEF10/1/19	Felony	WH Diposition SP Con
08/06/2015	Miami Dade	FL	Robb/W/AGG BATT	Misdemeanor	No Action
11/27/2017	Miami Dade	FL	Robb/CRJCK/ARM - PBL	Felony	Consolidate - Oth
11/27/2017	Miami Dade	FL	Battery/Aggravated	Felony	Consolidate -Oth
11/27/2017	Miami Dade	FL	Weapon/Use Compt FEL	Felony	No Action

Yes
 No
 Do you currently work for, own or have a financial interest in a slot machine management company, slot machine manufacturer or distributor, or a business that sells slot machine related products, services, or goods to a slot machine licensee?

Yes
 No
 Do you currently own or have a financial interest in a Florida licensed slot machine facility or in any business owned by a Florida licensed slot machine facility?

ADDITIONAL INFORMATION

IN THE CIRCUIT AND COUNTY COURTS OF THE ELEVENTH JUDICIAL CIRCUIT OF FLORIDA

IN AND FOR MIAMI-DADE COUNTY

I, JUAN FERNANDEZ-BARQUIN CLERK CIRCUIT AND COUNTY COURT OF THE ELEVENTH JUDICIAL CIRCUIT OF FLORIDA, IN AND FOR MIAMI-DADE COUNTY, DO HEREBY CERTIFY THAT A DILIGENT EXAMINATION OF THE FELONY, MISDEMEANOR AND NON CRIMINAL VIOLATION FILES AND RECORDS IN MY OFFICE REGARDING:

NAME: CLARK, TAMARI RAYMOND
DATE OF BIRTH:
RACE: BLACK SEX: MALE
YEARS RESEARCHED: 2015 - 2017

INDICATES THE FOLLOWING:

Table with columns: CITATION/ARREST/FILE DATE/, CASE NUMBER, ARST DEPT, CHARGES, DISPOSITION, DISPO DATE. Contains multiple rows of case data for TAMARI RAYMOND.



OPA LOCKA

MULDROW, TAMARI RAYMOND	AKA*			
B16018810	07/06/2016	DISORDERLY CONDUCT	NOLLE PROS	07/07/2016
		RESIST OFF W/O VIOL	NOLLE PROS	07/07/2016
CITY OF MIAMI GARDENS				

MULDROW, TAMARI RAYMOND	AKA*			
B16007051	03/16/2016	DRUG PARA/SALE/PROH	NOLLE PROS - ADMIN	04/20/2016
		DRUG PARAPHERNA/POSN	NOLLE PROS	06/13/2016
OPA LOCKA				

MULDROW, TAMARI	AKA*			
F17012545	06/26/2017	BURGLARY/UNOCC CONVY	NO ACTION	07/26/2017
		RESIST OFF W/O VIOL	NO ACTION	07/26/2017
HIALEAH				

MULDROW, TAMARI	AKA*			
F17000618	01/11/2017	G THFT/VEHICLE/ATTEM	NO ACTION	02/01/2017
OPA LOCKA				

MULDROW, TAMARI	AKA*			
F17000617	01/11/2017	STLN VEH/PROC TITLE	NO ACTION	02/01/2017
OPA LOCKA				

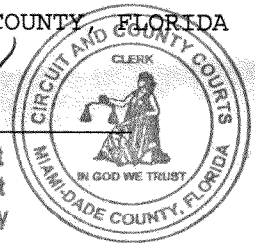
PURSUANT TO FLORIDA RULES OF COURT, RULE 2.430, COURT RECORDS THAT ARE NOT PERMANENTLY RECORDED MAY BE UNAVAILABLE, OR DISPOSED OF BY THE CLERK, IN ACCORDANCE WITH THE APPLICABLE RETENTION SCHEDULE REQUIRED BY SAID RULE. (10 YEARS FOR FELONY, MISDEMEANOR AND CRIMINAL TRAFFIC VIOLATIONS IN WHICH THE DEFENDANT WAS ADJUDICATED NOT GUILTY). PLEASE SEE FLORIDA RULES OF COURT, RULE 2.430, FOR A COMPLETE LISTING OF RECORDS RETENTION REQUIREMENTS.

WITNESS MY HAND AND THE SEAL OF THE COURT AT MIAMI, MIAMI-DADE COUNTY, FLORIDA, THIS 16 DAY OF OCTOBER, 2023.

JUAN FERNANDEZ-BARQUIN CLERK
 CIRCUIT AND COUNTY COURTS
 IN AND FOR MIAMI-DADE COUNTY, FLORIDA

PAGE: 002

BY: WJH 808
 DEPUTY CLERK
 Deputy Clerk of the Circuit Court
 of the Eleventh Judicial Circuit
 of Florida, in and for Dade County



IN THE CIRCUIT COURT OF THE ELEVENTH JUDICIAL CIRCUIT IN AND FOR MIAMI-DADE COUNTY, FLORIDA		388	CLOCK IN 12/30/2015 11:19:58 AM 12/30/2015 11:19:58 AM
CRIMINAL DIVISION	FINDING OF GUILT AND ORDER OF WITHHOLDING ADJUDICATION/SPECIAL CONDITIONS		
THE STATE OF FLORIDA	VS.	TAMARI RAYMOND CLARK	
PLAINTIFF		DEFENDANT	
CASE NUMBER:	F15014447		

FILED FOR RECORD

IT APPEARING UNTO THE COURT that the defendant being personally before the court accompanied by his/her attorney, OMARI RUDDOCK, , PD has been found guilty of the charge of

COUNT	CRIME	DEGREE
1	GRAND THEFT 3RD DEGREE	3/F

by the court upon the entry of a guilty plea and it appearing unto the court, upon a hearing of the matter, that the defendant is not likely to engage in a criminal course of conduct and the ends of justice and welfare of society do not require that the defendant shall presently suffer the penalty imposed by law, and the Court being fully advised in the premises, it is thereupon ORDERED and ADJUDGED that an adjudication of guilt be, and the same is hereby stayed and withheld.

IT IS FURTHER ORDERED AND ADJUDGED that:

The defendant is placed on PROBATION in a separate Order entered herein. NON REPORTING

SPECIAL CONDITIONS

- Costs
- DNA taken pursuant to Florida Statute 943.325
- PROBATION
- Criminal order of restitution in the amount of \$300.00 PAYABLE TO THE VICTIM: [REDACTED]

IT IS ORDERED THAT the defendant be fingerprinted pursuant to Florida Statute 921.241(1).

IN THE CIRCUIT COURT OF THE ELEVENTH JUDICIAL CIRCUIT IN AND FOR MIAMI-DADE COUNTY, FLORIDA		388	CLOCK IN 2019 JAN 15 AM 7:24 CLERK CIRCUIT & COUNTY COURTS MIAMI-DADE COUNTY, FL CIRCUIT CRIMINAL
CRIMINAL DIVISION	FINDING OF GUILT AND ORDER OF WITHHOLDING ADJUDICATION/SPECIAL CONDITIONS		
THE STATE OF FLORIDA	VS.	TAMARI RAYMOND MULDROW	
PLAINTIFF		DEFENDANT	
CASE NUMBER:	F17020552		
Tamari Raymond Clark, Tamari Muldrow			

FILED FOR RECORD

IT APPEARING UNTO THE COURT that the defendant being personally before the court accompanied by his/her attorneys, **ABBIE WAXMAN, PD** and **RUBEN PAILLERE, PD** has been found guilty of the charge of

COUNT	CRIME	DEGREE
1	RESISTING OFFICER WITH VIOLENCE TO HIS PERSON	3/F
2	RESISTING OFFICER WITHOUT VIOLENCE TO HIS PERSON	1/M

by the court upon the entry of a plea was not found and it appearing unto the court, upon a hearing of the matter, that the defendant is not likely to engage in a criminal course of conduct and the ends of justice and welfare of society do not require that the defendant shall presently suffer the penalty imposed by law, and the Court being fully advised in the premises, it is thereupon **ORDERED** and **ADJUDGED** that an adjudication of guilt be, and the same is hereby stayed and withheld.

IT IS FURTHER ORDERED AND ADJUDGED that:

The defendant is placed on **PROBATION** in a separate Order entered herein.

SPECIAL CONDITIONS

- Costs
- DNA previously taken
- PROBATION**

IT IS ORDERED THAT the defendant be fingerprinted pursuant to Florida Statute 921.241(1).

IN THE CIRCUIT COURT OF THE ELEVENTH JUDICIAL CIRCUIT IN AND FOR MIAMI-DADE COUNTY, FLORIDA		388	CLOCK IN 2019 JAN 15 AM 7:24 CLERK CIRCUIT & COUNTY COURTS MIAMI-DADE COUNTY, FL CRIMINAL ORIGINAL 15
CRIMINAL DIVISION	FINDING OF GUILT AND ORDER OF WITHHOLDING ADJUDICATION/SPECIAL CONDITIONS		
THE STATE OF FLORIDA	VS.	TAMARI RAYMOND MULDROW	
PLAINTIFF		DEFENDANT	
CASE NUMBER:	F17020552		
Tamari Raymond Clark, Tamari Muldrow			

FILED FOR RECORD

IT APPEARING UNTO THE COURT that the defendant being personally before the court accompanied by his/her attorneys, ABBIE WAXMAN, PD and RUBEN PAILLERE, PD has been found guilty of the charge of

COUNT	CRIME	DEGREE
1	RESISTING OFFICER WITH VIOLENCE TO HIS PERSON	3/F
2	RESISTING OFFICER WITHOUT VIOLENCE TO HIS PERSON	1/M

by the court upon the entry of a plea was not found and it appearing unto the court, upon a hearing of the matter, that the defendant is not likely to engage in a criminal course of conduct and the ends of justice and welfare of society do not require that the defendant shall presently suffer the penalty imposed by law, and the Court being fully advised in the premises, it is thereupon ORDERED and ADJUDGED that an adjudication of guilt be, and the same is hereby stayed and withheld.

IT IS FURTHER ORDERED AND ADJUDGED that:

The defendant is placed on PROBATION in a separate Order entered herein.

SPECIAL CONDITIONS

- Costs
- DNA previously taken
- PROBATION

IT IS ORDERED THAT the defendant be fingerprinted pursuant to Florida Statute 921.241(1).

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Deputy Chief Attorney
Re: Kevin Lapatrack Robinson; Case No. 2023-063904
Date: November 30, 2023

Executive Summary

The Division of Pari-Mutuel Wagering seeks to deny Kevin Lapatrack Robinson's ("Applicant") application for a Slot Machine/Cardroom/Pari-Mutuel Combination Occupational License. Applicant submitted a completed application for a Slot Machine/Cardroom/Pari-Mutuel Combination Occupational License on November 1, 2023. Upon review of the application, it appears Applicant has been convicted of a felony crime. Therefore, the Florida Gaming Control Commission should authorize the issuance of a Letter of License Denial.

Pertinent Facts

On November 1, 2023, Applicant submitted their application to the Division of Pari-Mutuel Wagering for a Slot Machine/Cardroom/Pari-Mutuel Combination Occupational License. Upon review of the completed application, it appears that the Applicant was convicted of a felony crime in the state of Florida. Specifically, the Applicant was convicted of Grand Theft 3rd Degree in 2001.

Applicant did not apply for a waiver for his felony conviction, however, under section 551.107(6)(a), Florida Statutes, the legislature did not authorize the Executive Director to waive criminal convictions for slot machine licensing.

Relevant Law

Section 550.105(5)(b), Florida Statutes, provides in pertinent part that:

“. . . the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing,

conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.”

Section 551.107(6)(a), Florida Statutes, provides in pertinent part that:

“. . .the commission may deny, suspend, revoke, or refuse to renew any slot machine occupational license if the applicant for such license or the licensee has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state that would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; racketeering; or a crime involving a lack of good moral character, or has had a gaming license revoked by this state or any other jurisdiction for any gaming-related offense.”

Rule 75-14.009, Florida Administrative Code, provides that:

“[t]he [commission] shall deny the application for a slot machine occupational license if a review of the application or the investigation of the applicant demonstrates . . . [t]he applicant has been convicted of any disqualifying offense under Section 551.107(6), F.S.”

Section 849.086(6)(f), Florida Statutes, provides that the “provisions specified in s. 550.105(4), (5), (6), (7), (8), and (10) relating to licensure shall be applicable to cardroom occupational licenses.”

Section 849.086(6)(g), Florida Statutes, provides that:

“[t]he commission may deny, declare ineligible, or revoke any cardroom occupational license if the applicant or holder thereof has been found guilty or had adjudication withheld in this state or any other state, or under the laws of the United States of a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority.”

Section 550.105(5)(d), Florida Statutes, provides that:

“. . . the term “convicted” means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term “conviction” shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.”

Staff Recommendation: Because the Applicant's disqualifying criminal conviction cannot be waived, and because Applicant was convicted of a disqualifying offense under section 551.107(6), Florida Statutes, the Florida Gaming Control Commission shall deny Applicant's application for a slot combination license. Accordingly, the Division of Pari-Mutuel Wagering recommends the Florida Gaming Control Commission authorize the issuance of a Letter of License Denial in this matter.

CASE FILE ROUTING SLIP SLOT MACHINE APPLICATION

RE: ROBINSON, KEVIN LAPATRICK- 12067342
(APPLICANT'S NAME- LICENSE #)

Case No: 2023063904

INITIAL APP RECEIVED:	<u>10/16/2023</u> (Date)
COMPLETE APP RECEIVED:	<u>11/1/2023</u> (Date)
90-DAY DEADLINE:	<u>1/30/2024</u> (Date)

Investigations Section:
Reviewed by Steve Kogan

N/A
(Initial & Date)

The attached file has been reviewed for completeness and accuracy, and has been forwarded to the Licensing Section.


Licensing Section:

Clari Maglioni
Application Processor

544- Hialeah Park Casino
Facility (d/b/a name)

Security Officer
Occupation/Job Title

Reviewed by David Donaldson

 11/9/23
(Initial & Date)

Is the applicant currently under suspension, has unpaid fines, or has been refused a license by any gaming or racing jurisdiction?

Yes No

Is the applicant related to another application?

Yes No

Comments: PMW Denied License in 2019 CASE# 2019030075

Office of Director:

Reviewed by Director _____
(Initial & Date)

Approve Application _____

Deny Application _____

Comments: _____

*Please attach Routing Slip to front of case file.

Licensing Administrator Review – Slot Machine Occupational License

RE: ROBINSON, KEVIN LAPATRICK- 12067342

Case No: 2023063904

(APPLICANT'S NAME- LICENSE #)

INITIAL APPLICATION RECEIVED:	10/16/2023
COMPLETE APPLICATION RECEIVED:	11/1/2023
90-DAY DEADLINE:	1/30/2024

Cleari Maglioni
Application Processor

544- Hialeah Park Casino
Facility (d/b/a name)

Security Officer
Occupation/Job Title

Pursuant to Section 551.107(6)(c) Conviction is defined as being found guilty, with or without adjudication of guilt, as a result of a jury verdict, non-jury trial, or entry of a plea of guilty or nolo contendere. Any misdemeanor conviction to include fraud and theft which are defined for purposes of slot machine gaming licensure as involving dishonesty, misrepresentation, deceit, and lack of truthful conduct in transaction with another.

Licensing Section Review				
Did the application accurately reflect the Criminal History Record? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
Conviction				
<input checked="" type="checkbox"/> Felony: 1 Count(s)				
<input type="checkbox"/> Misdemeanor – Gambling Related/Bookmaking				
<input type="checkbox"/> Two or more misdemeanor convictions that involve theft, fraud, or burglary				
<input checked="" type="checkbox"/> Forgery, Larceny, Theft, Extortion, Conspiracy to Defraud (Slot Combo Professions Only)				
Comments:				
Arrest Date	Location	Charge	Level	Disposition
02/05/2001	Miami-Dade County, Florida	Grand Theft-3 rd Deg	F	Adj. Withheld

Disposition Unknown				
<input type="checkbox"/> Felony Arrest(s) :				
<input type="checkbox"/> Misdemeanor Arrest – Gambling Related/Bookmaking				
<input type="checkbox"/> Two or more misdemeanor arrests/counts that involve theft, fraud, or burglary				
Comments:				
Arrest Date	Location	Charge	Level	Disposition

Enforcement/Jurisdiction Offenses
<input type="checkbox"/> Currently under Suspension, Declared Ineligible, Revoked, Denied, Ejected, Unpaid Fine, in this or any other state gaming commission, governmental department, agency, or other authority exercising regulatory jurisdiction over the gaming of another state or jurisdiction.
Comments:

Related Licenses	
Check VR License Relations to Determine if Applicant is Related to a Business	
Is the individual applicant related to a business? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete sections below.	
Business License Number:	Business Name:
Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes <input type="checkbox"/> No <input type="checkbox"/>	
Date Deficiency Letter Issued:	Initials:
Comments:	

Licensing Administrator Review		
Disposition Confirmation		
Disqualifying Convictions/Arrests Confirmed?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<input type="checkbox"/> Approval

7. Discussion of policies and procedures



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Blue Alert

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

02.12.01

FGCC SECTION

Law Enforcement

AUTHORITY

[CFA 5.21 - 15.20M or the most recent version](#)

[Section 16.711, F.S.](#)

[Section 16.712, F.S.](#)

[Section 784.071, F.S.](#)

I. STATEMENT OF POLICY

Public alerts may be employed using the Emergency Alert System (EAS), local radio, television and press organizations and other groups to notify the public of incidents, or enlist the aid of the public, when the exchange of information may enhance the safety of the community. Various types of alerts may be available based upon each situation and the alert system's individual criteria.

II. PURPOSE

The purpose of this policy is to provide guidelines for alerting the public to important information and soliciting public aid when appropriate.

III. RESPONSIBILITIES

1. Member Responsibilities

Members of the Division of Gaming Enforcement should notify their Special Agent Supervisor, Chief of Law Enforcement and the Director of Gaming Enforcement as soon as practicable, upon learning of a situation where public notification, a warning, or enlisting the help of the media and the public could assist in locating a missing law enforcement officer and or gathering information that could assist in the apprehending the suspect who has killed or inflicted serious bodily injury to a law enforcement officer in the line of duty, if still at large, or there is an imminent and credible threat that an individual intends to cause the serious injury or death of a law enforcement officer.

2. Supervisor Responsibilities

A supervisor, apprised of the need for a Blue Alert is responsible for making the appropriate notifications. The supervisor shall promptly notify the Director of Gaming Enforcement or the Chief of Law Enforcement who will in turn notify the Executive Director and the External Affairs Director when any Blue Alert is generated.

The supervisor in charge of the investigation to which the alert relates is responsible for:

- A. Updating alerts.
- B. Canceling alerts.
- C. Ensuring all appropriate reports are completed.
- D. Preparing an after-action evaluation of the investigation to be forwarded to the Chief of Law Enforcement.

IV. BLUE ALERTS

Blue Alerts are used to provide a statewide system for the rapid dissemination of information regarding a violent criminal who has seriously injured or killed a local, state, or federal law enforcement officer [Section 784.071, F.S.](#)

1. Criteria

The following four criteria must be met to activate a Blue Alert:

- A. A law enforcement officer must have been killed, suffered serious bodily injury or assaulted with a deadly weapon, or be missing while in the line

of duty under circumstances evidencing concern for the law enforcement officer's safety;

1. The suspect has fled the scene of the offense;

B. The investigating law enforcement agency must determine that the offender poses a serious risk to the public or to other law enforcement officers, and dissemination of available information to the public may help avert further harm or assist in the apprehension of the suspect; and

A detailed description of the offender's vehicle or other means of escape, including license plate number or partial number, must be available for broadcast to the public and, if a law enforcement officer is missing, there is sufficient information available relating to the officer's last known location and physical description.

C. The local law enforcement agency of jurisdiction must recommend issuing the Blue Alert.

2. Procedure

To activate a Blue Alert, the following steps must occur in this order:

A. The FDLE's Florida Fusion Center (FFC) watch desk must be called. This Blue Alert point of contact is staffed 24-hours a day, seven days a week.

B. The FDLE's on-call supervisor will work with the Division's on-duty supervisor to ensure the activation criteria have been met and determine if the alert will be displayed regionally or statewide.

C. The FDLE supervisor and the Division's supervisor will prepare the information for public release, including the suspect and/or vehicle information and Division's contact information.

The FDLE manages additional steps regarding activation of an alert. The same activation steps will be used if there is revised vehicle information or a broadcast area is changed.

When the offender has been captured, the FDLE must be notified and is responsible for contacting the appropriate parties to cancel the alert.

This policy adopted by the Commission on:

**Louis Trombetta
Executive Director**

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Operations Planning and Deconfliction

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

02.18.01

FGCC SECTION

Law Enforcement

AUTHORITY

[Section 16.711, Fla. Stat.](#)
[Section 16.712, Fla. Stat.](#)
[CFA 5.21 - 17.03M, 17.09 \(A\), 17.09 \(B\), 17.09 \(C\),
15.11M \(A\), 15.11M \(B\), 15.11M \(D\), 15.11M \(E\),
15.11M \(F\) or most recent version](#)

I. STATEMENT OF POLICY

It is the policy of the Division of Gaming Enforcement to properly plan and carry out high-risk operations, including participation in a regional deconfliction system, in order to provide coordination, enhance the safety of members and the public, decrease the risk of compromising investigations and prevent duplicating efforts.

II. PURPOSE

This policy provides guidelines for planning, deconfliction and execution of high-risk operations.

Additional guidance on planning and serving high-risk warrants is provided in the Warrant Service Policy.

III. DEFINITIONS

Definitions related to this policy include :

A. High-risk operations - Operations, including service of search and arrest warrants and sting operations, that are likely to present higher risks than are commonly faced by special agents on a daily basis, including suspected fortified locations, reasonable risk of violence or confrontation with multiple persons, or reason to suspect that persons anticipate the operation. This includes surveillance operations, undercover operations, decoy operations, and raids.

IV. OPERATIONS DIRECTOR

The Director of Gaming Enforcement will designate a member of this division to be the operations director.

The operations director will develop and maintain a risk assessment form to assess, plan and coordinate operations. This form should provide a process to identify high-risk operations.

The operations director will review risk assessment forms with involved supervisors to determine whether a particular incident qualifies as a high-risk operation. The director will also have the responsibility for coordinating operations that are categorized as high risk.

V. RISK ASSESSMENT

1. Risk Assessment Form Preparation

Special Agents assigned as operational leads for any operation that may qualify as a high-risk operation shall complete a risk assessment form.

When preparing the form, the special agent shall query all relevant and reasonably available intelligence resources for information about the subject of investigation, others who may be present, and the involved location. These sources may include regional intelligence and criminal justice databases, target deconfliction systems, firearm records, commercial databases, and property records. Where appropriate, the special agent should also submit information to these resources.

The special agent shall gather available information that includes but is not limited to:

- A. Photographs, including aerial photographs, if available, of the involved location, neighboring yards, and obstacles.
- B. Maps of the location.
- C. Diagrams of any property and the interior of any buildings that are involved.
- D. Historical information about the subject of investigation (e.g., history of weapon possession or use, known mental illness, known drug use, threats against police, gang affiliation, criminal history).
- E. Historical information about others who may be present at the location (e.g., other criminals, innocent third parties, dependent adults, children, animals).
- F. Obstacles associated with the location (e.g., fortification, booby traps, reinforced doors/windows, surveillance measures, number and type of buildings, geographic and perimeter barriers, the number and types of weapons likely to be present, information that suggests the presence of explosives, chemicals, or other hazardous materials, the potential for multiple dwellings or living spaces, availability of keys/door combinations).
- G. Other environmental factors (e.g., nearby venues such as schools and day care centers, proximity of adjacent homes or other occupied buildings, anticipated pedestrian and vehicle traffic at the time of service).
- H. Other available options that may minimize the risk to special agents and others (e.g., making an off-site arrest or detention of the subject of investigation).

2. Risk Assessment Review

Special Agents will present the risk assessment form and other relevant documents (such as copies of search warrants and affidavits and arrest warrants) to their supervisor and the operations director.

The supervisor and operations director shall confer and determine the level of risk. Supervisors should take reasonable actions if there is a change in circumstances that elevates the risks associated with the operation.

3. High-Risk Operations

If the operations director, after consultation with the involved supervisor, determines that the operation is high risk, the operations director shall :

- A. Determine what resources will be needed at the location, and contact and/or place on standby any of the following appropriate and available resources:
 1. Additional personnel
 2. Outside agency assistance
 3. Special equipment
 4. Medical personnel
 5. Persons trained in negotiation
 6. Additional surveillance
 7. Canines
 8. Property and Evidence Section or analytical personnel to assist with cataloging seizures
 9. Forensic specialists
 10. Specialized mapping for larger or complex locations
- B. Contact the appropriate division members or other agencies as warranted to begin preparation.
- C. Ensure that all legal documents such as search warrants are complete and have any modifications reasonably necessary to support the operation.
- D. Coordinate and command the actual operation.
- E. Ensure the patrol supervisor responsible for the target area is notified.

VI. DECONFLICTION

Deconfliction systems are designed to identify persons and locations associated with

investigations or law enforcement operations and alert participating agencies when others are planning or conducting operations in close proximity or time or are investigating the same individuals, groups or locations.

The special agent who is the operations lead shall ensure the subject of investigation and operations information have been entered in an applicable deconfliction system to determine if there is reported conflicting activity. This should occur as early in the process as practicable, but no later than two hours prior to the commencement of the operation. The special agent should also enter relevant updated information when it is received.

If any conflict is discovered, the supervisor will contact the involved jurisdiction and resolve the potential conflict before proceeding.

VII. OPERATIONS PLAN

The operations director shall ensure that a written operations plan is developed for all high-risk operations. Plans shall also be considered for other operations that would benefit from having a formal plan. The plan shall address such issues as:

- A. Operation goals, objectives, and strategies.
- B. Operation location and people:
 - 1. The subject of investigation (e.g., history of weapon possession/use, known mental illness issues, known drug use, threats against police, gang affiliation, criminal history)
 - 2. The location (e.g., fortification, booby traps, reinforced doors/windows, surveillance cameras and/or lookouts, number/type of buildings, geographic and perimeter barriers, the number and types of weapons likely to be present, information that suggests the presence of explosives, chemicals, or other hazardous materials, the potential for multiple dwellings or living spaces, availability of keys/door combinations), including aerial photos, if available, and maps of neighboring yards and obstacles, diagrams, and other visual aids.
 - 3. Other environmental factors (e.g., nearby venues such as schools and day care centers, proximity of adjacent homes or other occupied buildings, anticipated pedestrian and vehicle traffic at the time of service)
 - 4. Identification of other people who may be present in or around the operation, such as other criminal suspects, innocent third parties, and children.

- C. Information from the risk assessment form by attaching a completed copy in the operational plan.
 - 1. The volume or complexity of the information may indicate that the plan includes a synopsis of the information contained on the risk assessment form to ensure clarity and highlighting of critical information.

- D. Participants and their roles.
 - 1. An adequate number of uniformed special agents should be included in the operation team to provide reasonable notice of a legitimate law enforcement operation.
 - 2. How all participants will be identified as law enforcement.
 - 3. Identify specific roles and assignments (e.g., arrest, processing and transporting arrestees).
 - 4. All participants shall wear protective vests unless the participant is in an undercover role and the operations director has approved.

- E. Whether deconfliction submissions are current and all involved individuals, groups, and locations have been deconflicted to the extent reasonably practicable.

- F. Identification of all communications channels and call-signs. (g) Use of force issues.

- G. Contingencies for handling medical emergencies (e.g., services available at the location, closest hospital, closest trauma center).

- H. Plans for detaining people who are not under arrest.

- I. Contingencies for handling children, dependent adults, animals, and other people who might be at the location in accordance with the Child Abuse, Adult Abuse, Child and Dependent Adult Safety, and Animal Control policies.

- J. Communications plan.

- K. Responsibilities for writing, collecting, reviewing, and approving reports.
 - 1. Operations Plan Retention

Since the operations plan contains intelligence information and descriptions of law enforcement tactics, it shall not be filed with the report. The operations plan shall be stored separately and retained in accordance with the established records retention schedule.

VIII. OPERATIONS BRIEFING

A briefing shall be held prior to the commencement of any high-risk operation to allow all participants to understand the operation, see and identify each other, identify roles and responsibilities, and ask questions or seek clarification as needed. Anyone who is not present at the briefing should not respond to the operation location without specific supervisory approval.

- A. The briefing shall include a verbal review of plan elements, using visual aids, to enhance the participants' understanding of the operations plan.
- B. All participants should be provided a copy of the operations plan and search warrant, if applicable. Participating personnel should be directed to read the search warrant and initial a copy that is retained with the operation plan. Any items to be seized should be identified at the briefing.
- C. The operations director shall ensure that all participants are visually identifiable as law enforcement officers.
 1. Exceptions may be made by the operations director for special agents who are conducting surveillance or working undercover. However, those members exempt from visual identification should be able to transition to a visible law enforcement indicator at the time of enforcement actions, such as entries or arrests, if necessary.
- D. The briefing should include details of the communications plan.
 1. It is the responsibility of the operations director to ensure that Regional Communications Center is notified of the time and location of the operation, and to provide a copy of the operation plan prior to special agents arriving at the location.
 2. If the radio channel needs to be monitored by Regional Communications Center, the dispatcher assigned to monitor the operation should attend the briefing, if practicable, but at a minimum should receive a copy of the operation plan.
 3. The briefing should include a communications check to ensure that all participants are able to communicate with the available equipment on the designated radio channel.

IX. MEDIA ACCESS

No advance information regarding planned operations shall be released without the approval of the Director of Gaming Enforcement. Any media inquiries or press release after the fact shall be handled in accordance with the Media Relations Policy.

X. DEMOBILIZATION

When appropriate, the operations commander or the authorized designee should implement a phased and orderly withdrawal of law enforcement resources. All relieved personnel should promptly complete any required reports, including use of force reports, and account for all issued equipment and vehicles prior to returning to normal operational duties.

XI. OPERATIONS DEBRIEFING

High-risk operations shall be debriefed as soon as reasonably practicable. The debriefing should include as many participants as possible.

XII. TRAINING

The Training Coordinator should ensure special agents who participate in operations subject to this policy receive periodic training including, but not limited to, topics such as legal issues, deconfliction practices, operations planning concepts and reporting requirements.

This policy adopted by the Commission on:

Louis Trombetta
Executive Director

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Records Section

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

02.22.01

FGCC SECTION

Law Enforcement

AUTHORITY

[CFA 5.21 - 26.01M \(A\), 26.01M \(B\), 26.01M \(C\), 26.02M \(A\), 26.03M \(A\), 26.03M \(B\) or the most recent version](#)

[Section 943.6872, F.S.](#)

[Section 1004.343, F.S.](#)

[Section 16.711, F.S.](#)

[Section 16.712, F.S.](#)

I. STATEMENT OF POLICY

It is the policy of the Division of Gaming Enforcement to maintain division records securely, professionally and efficiently

II. PURPOSE

This policy establishes the guidelines for the operational functions of the Division of Gaming Enforcement Records Section. The policy addresses Division file access and internal requests for case reports.

III. RESPONSIBILITIES

1. Records Custodian

The Director of Gaming Enforcement shall appoint and delegate certain responsibilities to a Records Custodian. The Records Custodian shall be directly responsible to the Chief of Law Enforcement or the authorized designee.

The responsibilities of the Records Custodian include, but are not limited to:

- A. Overseeing the efficient and effective operation of the Records Section.
- B. Scheduling and maintaining Records Section time records.
- C. Supervising, training and evaluating Records Section staff.
- D. Maintaining and updating a Records Section process.
 - a. This includes procedures for distributing reports inside the Division and to other organizations.
- E. Ensuring compliance with established policies and procedures.
- F. Supervising the access, use and release of protected information (see the Protected Information Policy).
- G. Establishing security and access protocols for case reports designated as sensitive, where additional restrictions to access have been implemented. Sensitive reports may include, but are not limited to:
 - a. Cases involving Division members or public officials.
 - b. Any case where restricted access is prudent.

2. Records Section

The responsibilities of the Records Section include but are not limited to :

- A. Maintaining a records management system for case reports.
 - 1. The records management system should include a process for numbering, identifying, tracking, and retrieving case reports.
- B. Entering case report information into the records management system.
 - 1. Modification of case reports shall only be made when authorized by a supervisor.

- C. Providing members of the Division with access to case reports when needed for investigation or court proceedings.
- D. Maintaining compliance with federal, state, and local regulations regarding reporting requirements of crime statistics.
- E. Maintaining compliance with federal, state, and local regulations regarding criminal history reports and auditing.
- F. Identifying missing case reports and notifying the responsible member's supervisor.
- G. Submitting on a quarterly basis data regarding use of force resulting in serious bodily injury, death, or discharge of a firearm at a person to the FDLE. The information shall include information collected by the Federal Bureau of Investigation's National Use-of-Force Data Collection. [Section 943.6872, F.S.](#)
- H. Submitting data regarding human trafficking to the statewide human trafficking data repository consistent with the requirements of [Section 1004.343, F.S.](#)

IV. FILE ACCESS AND SECURITY

The security of files in the Records Section must be a high priority and shall be maintained as mandated by state or federal law. All case reports including, but not limited to, initial, supplemental, follow-up, evidence and any other reports related to a Division case, including field interview (FI) cards, criminal history records and publicly accessible logs, shall be maintained in a secure area within the Records Section, accessible only by authorized members of the Records Section. Access to case reports or files when Records Section staff is not available may be obtained through the Special Agent Supervisor.

The Records Section will also maintain a secure file for case reports deemed by the Director of Gaming Enforcement as sensitive or otherwise requiring extraordinary access restrictions.

1. Original Case Reports

Generally, original case reports shall not be removed from the Records Section. Should an original case report be needed for any reason, the requesting division member shall first obtain authorization from the Director of Gaming Enforcement or designee. All original case reports removed from the Records Section shall be recorded on a designated report check-out log, which shall be the only authorized

manner by which an original case report may be removed from the Records Section.

All original case reports to be removed from the Records Section shall be photocopied and the photocopy retained in the file location of the original case report until the original is returned to the Records Section. The photocopied report shall be shredded upon return of the original report to the file.

V. CONFIDENTIALITY

Records Section staff has access to information that may be confidential or sensitive in nature. Records Section staff shall not access, view, or distribute, or allow anyone else to access, distribute, or view any computer monitors, record, file, or report, whether in hard copy or electronic file format, or any other confidential, protected, or sensitive information except in accordance with the Records Maintenance and Release and Protected Information policies.

This policy adopted by the Commission on:

Louis Trombetta
Executive Director

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Off-Duty Law Enforcement Actions

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

02.38.01

FGCC SECTION

Law Enforcement

AUTHORITY

[Section 16.711, Fla. Stat.](#)

[Section 16.712, Fla. Stat.](#)

I. STATEMENT OF POLICY

It is the policy of the Division of Gaming Enforcement that special agents generally should not initiate law enforcement action while off-duty. Special Agents are not expected to place themselves in unreasonable peril and should first consider reporting and monitoring the activity. However, any special agent who becomes aware of an incident or circumstance that he/she reasonably believes poses an imminent threat of serious bodily injury or death, or significant property damage or loss, may take reasonable action to minimize or eliminate the threat.

II. PURPOSE

This policy is intended to provide guidelines for special agents of the Division of Gaming Enforcement with respect to taking law enforcement action while off-duty.

III. DECISION TO INTERVENE

There is no legal requirement for off-duty special agents to take law enforcement action. Special Agents should consider waiting for on-duty uniformed law enforcement personnel to arrive and while gathering as much accurate intelligence as possible, instead of immediately intervening. However, if a special agent decides to intervene,

he/she must evaluate whether the action is necessary or desirable, and should take into consideration:

- A. The potential to be misidentified by other law enforcement personnel.
- B. The potential to be misidentified by members of the public, who may be armed or who may take action.
- C. The tactical disadvantage of being alone and the possibility of multiple or hidden suspects.
- D. Limited off-duty firearms capabilities and ammunition.
- E. The inability to communicate with responding law enforcement personnel.
- F. The lack of equipment, such as body armor, handcuffs or control devices.
- G. Unfamiliarity with the surroundings, including escape routes.
- H. The potential for increased risk to bystanders by confronting a suspect or taking action.

1. Intervention Procedure

If involvement is reasonably necessary, the special agent should attempt to call or have someone else call 9-1-1 to request immediate assistance. If possible, the dispatcher receiving the call should obtain a description of the off-duty special agent from the caller and broadcast that information to responding special agents. Whenever practicable, the special agent should loudly and repeatedly identify him/herself as a special agent with the Division of Gaming Enforcement until acknowledged. Official identification should also be displayed when possible.

IV. CONSIDERATIONS

When encountering a non-uniformed special agent in public, uniformed special agents should wait for acknowledgement by the non-uniformed special agent in case he/she is working in an undercover capacity.

1. Non-Sworn Responsibilities

Non-sworn members should not become involved in any law enforcement action while off-duty except to notify the local law enforcement authority and remain at the scene, if safe and practicable.

2. Incidents of Personal Interest

Division members should refrain from handling incidents of personal interest (e.g., family or neighbor disputes) and should remain neutral. In such circumstances members should call the responsible agency to handle the matter.

V. REPORTING

If prior notification to the appropriate local law enforcement agency is not reasonably possible before taking action, the special agent shall notify the local law enforcement

agency as soon as reasonably practicable. Special agents shall cooperate fully with the agency having jurisdiction by providing statements or reports as requested or as appropriate.

Special Agents shall notify the Special Agent Supervisor regarding any law enforcement action taken while off-duty. The Special Agent Supervisor shall respond to the location. The supervisor may request assistance from the Inspector General, if deemed appropriate.

The Special Agent Supervisor shall determine whether a crime report or an administrative report should be completed by the involved special agent.

This policy adopted by the Commission on:

**Louis Trombetta
Executive Director**

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Ride-Alongs

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

02.42.01

FGCC SECTION

Law Enforcement

AUTHORITY

[CFA 5.21 - 14.15M \(A\), \(B\), \(C\), \(D\), \(E\) or the most recent version](#)

[Section 16.711, Fla. Stat.](#)

I. STATEMENT OF POLICY

Ride-along opportunities will be provided to the members of the public, State employees and members of the FGCC to observe and experience, first-hand, various functions of the Division of Gaming Enforcement. The term "ride-along" includes riding as a passenger with a special agent conducting routine investigative duties or observing the workday of members engaged in other functions within the Division.

II. PURPOSE

The purpose of this policy is to provide guidelines for a ride-along with members of the Division of Gaming Enforcement. This policy provides the requirements, approval process, hours of operation and member responsibilities for ride-alongs.

III. ELIGIBILITY

A ride-along is available to Florida residents, media, business owners, students currently attending class and interning in the Division of Gaming Enforcement, and those employed within the Florida Gaming Control Commission. Efforts will be made to accommodate all interested persons. However, any applicant may be disqualified without cause from participating.

Factors that may be considered in disqualifying an applicant include, but are not limited to:

- Being under 18 years of age.
- Prior criminal history.
- Pending criminal action.
- Pending lawsuit against this Division or the State
- Benefit to the Division.
- Denial by any supervisor.

IV. AVAILABILITY

A ride-along or job observation is available most days of the week, from 10:00 a.m. to 11:00 p.m. Exceptions to this schedule may be made as approved by the Director of Gaming Enforcement or Special Agent Supervisor.

V. CHIEF OF LAW ENFORCEMENT RESPONSIBILITIES

Generally, ride-along and job observation requests will be maintained and scheduled by the Special Agent Supervisor. The applicant will complete and sign a ride-along or job observation waiver form. Information requested will include a valid state-issued identification card or driver license number, birthdate, address and telephone number.

The request will be reviewed by the Director of Gaming Enforcement, who has final approval of the request. If approved, a copy of the waiver form will be forwarded to the appropriate section as soon as possible for scheduling considerations.

The Special Agent Supervisor will schedule a date, based on availability.

If the request is denied, a representative of the Division will advise the applicant of the denial.

VI. PROCEDURES

Once approved, ride-along applicants will be allowed to participate no more than once every six months. An exception may apply to the following law enforcement-involved participants:

- Interns
- Volunteers
- Chaplains
- Reserves
- Division of Gaming Enforcement applicants
- Any others with approval of the Special Agent Supervisor

An effort will be made to ensure that no more than one member of the public will participate in a ride-along or job observation during any given time period. Normally, no more than one ride-along participant will be allowed in division vehicles at a given time.

1. Off-Duty Participation

Off-duty members of this Division or any other law enforcement agency, and employees of the State, will not be permitted to participate in a ride-along with on-duty members of this division without the express consent of the Special Agent Supervisor.

In the event that such participation is permitted, the off-duty Division member, other law enforcement agency personnel or State employee shall not:

- A. Be considered on-duty.
- B. Represent him/herself as a member of this division or any other law enforcement agency.
- C. Participate in any law enforcement activity except as emergency circumstances may require.

2. Criminal History Check

All ride-along applicants are subject to a criminal history check. The criminal history check may include a local records check and a Florida's Integrated Criminal History System (FALCON) check prior to approval of the ride-along.

3. Suitable Attire

Any person approved to participate in a ride-along is required to be suitably dressed in a collared shirt, blouse or jacket, slacks and shoes. Sandals, t-shirts, tank tops, shorts, ripped or torn pants or clothing displaying law enforcement insignia or designs are not permitted. Hats and ball caps will not be worn without the express consent of the Special Agent Supervisor. The Special Agent Supervisor or a supervisor may refuse a ride-along to anyone who is not dressed appropriately.

VII. SPECIAL AGENT/MEMBER RESPONSIBILITIES

The assigned division special agent/member shall consider the safety of the ride-along or job observation participant at all times. The special agent/member shall maintain control over the participant and shall instruct the individual about the conditions that necessarily limit their participation. Instructions should include:

- A. The participant will follow the directions of the Division special agent/member.
- B. The participant will not become involved in any investigation, handling of evidence, discussions with victims or suspects, reading an individual's criminal history or other protected information, or handling any Division equipment.

1. Participation may be terminated at any time by the special agent/member if the participant interferes with the performance of the special agent/member's duties.
- C. If the ride-along is in progress, the special agent/member may return the participant to the point the ride originated.
- D. Participants may be allowed to continue a ride-along during the transportation and booking process, provided it does not jeopardize their safety.
- E. Special Agents/members will not allow participants to be present in any location or situation that would jeopardize the participant's safety or cause undue stress or embarrassment to a victim or any other member of the public.
- F. Participants who are not law enforcement officers shall not be permitted to accompany the Division special agent/member into a private residence without the express consent of the resident or other authorized person.

The special agent/member assigned to provide a ride-along shall advise the dispatcher that a ride-along participant is present in the vehicle before going into service. A special agent with a ride-along participant shall use sound discretion when encountering a potentially dangerous situation, such as a high-speed pursuit and, if feasible, let the participant out of the vehicle in a well-lit public place. The dispatcher will be advised of the situation and as soon as practicable have another Division special agent/member respond to pick up the participant at that location. The ride-along may be continued or terminated at this time.

Conduct by a person participating in a ride-along that results in termination of the ride, or is otherwise inappropriate, shall be immediately reported to the Special Agent Supervisor. The special agent/member should enter comments regarding the reasons for terminating the ride-along on the waiver form.

Upon completion of the ride-along, the special agent/member shall return the waiver form to the Special Agent Supervisor.

This policy adopted by the Commission on:

Louis Trombetta
Executive Director

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Training

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

02.52.01

FGCC SECTION

Law Enforcement

AUTHORITY

[Sections 16.711; 16.712, 16.715, Fla. Stat.](#)
[Section 112.1815, Fla. Stat.](#)
[Sections 943.131; 943.135; 943.17; 943.1701;](#)
[943.1716; 943.17191; 943.17295; 943.175;](#)
[943.1758; 943.22, Fla. Stat.](#)
[11B-35, F.A.C.](#)
[11B-27.00212, F.A.C.](#)
[CFA 5.21 - 2.05M, 4.03M, 10.01, 10.03M, 10.04M](#)
[\(A\), \(B\), \(C\), \(D\), \(E\), 10.06M \(A\), \(B\), \(C\), \(D\),](#)
[10.08, 10.09M, 10.10M \(B\), \(C\), 10.02M \(A\), \(B\),](#)
[\(C\), \(D\), \(E\), \(F\), 10.11M, 10.13M, 14.11M \(D\), \(E\),](#)
[18.12 \(B\) or most recent version](#)

I. STATEMENT OF POLICY

The Division of Gaming Enforcement shall administer a training program that will meet the standards of federal, state, local and the Florida Criminal Justice Standards and Training Commission (CJSTC) training requirements. It is a priority of this division to provide continuing education and training for the professional growth and development of its members.

II. PURPOSE

This policy establishes general guidelines for how training is to be identified, conducted and documented. This policy is not meant to address all specific training endeavors or identify every required training topic.

III. OBJECTIVES

The objectives of the training program are to:

- A. Enhance the level of law enforcement service to the public.
- B. Increase the technical expertise and overall effectiveness of division members.
- C. Provide for continued professional development of division members.
- D. Ensure compliance with CJSTC rules and regulations concerning law enforcement training

IV. TRAINING COORDINATOR

The Director of Gaming Enforcement shall designate a Training Coordinator who is responsible for developing, reviewing, updating, and maintaining the division training plan so that required training is completed. The Training Coordinator should review the training plan annually.

V. TRAINING PLAN

The training plan should include the anticipated costs associated with each type of training, including attendee salaries and backfill costs. The plan should include a systematic and detailed method for recording all training for all members. Updates and revisions may be made to any portion of the training plan at any time it is deemed necessary.

The plan will address all required training.

1. Government-Mandated Training

The following lists, while not all inclusive, identify training that is required under state and federal laws and regulations.

- A. Federally mandated training:
 - 1. National Incident Management System (NIMS) training
 - 2. Federal Emergency Management Agency (FEMA) training
- B. State-mandated training - A full-time or part-time special agent shall successfully complete:
 - 1. The CJSTC Basic Recruit Training Programs (BRTPs) and the State Officer Certification Examination (SOCE). Exemption from basic recruit training shall be in compliance with [Rule 11B-35.009, F.A.C.](#) and [Section](#)

- [943.131\(2\), Fla. Stat.](#) The BRTP or its equivalent must be successfully completed prior to assignment in any capacity in which a certified member is allowed to carry a firearm or make an arrest.
2. 40 hours of In-service, Advanced, Specialized, or Career Development training courses every four years. Such training shall include ([Section 943.17, Fla. Stat.](#); [Rule 11B-35.001, F.A.C.](#)):
 - a. Mandatory retraining. CJSTC-approved Specialized Training Program Courses and approved college credit courses may be completed to meet mandatory retraining requirements. Continuing training or education required under this section may not be used to qualify a special agent for salary incentive payments provided under [Section 943.22, Fla. Stat.](#) Mandatory retraining shall include the following ([Section 943.135 Fla. Stat.](#); [Rule 11B-27.00212, F.A.C.](#); [Rule 11B-35.007\(6\), F.A.C.](#)):
 - a. Domestic violence training pursuant to [Section 943.1701, Fla. Stat.](#)
 - b. Human diversity training pursuant to [Section 943.1716, Fla. Stat.](#)
 - c. Juvenile sexual offender investigation training pursuant to [Section 943.17295, Fla. Stat.](#)
 - d. Discriminatory profiling and professional traffic stops training pursuant to [Section 943.1758, Fla. Stat.](#)
 - e. Use of force training pursuant to [Rule 11B-27.00212\(13\), F.A.C.](#)
 - f. Access to and use of personal identification information contained in electronic databases pursuant to [Section 943.17191, Fla. Stat.](#)
 - g. Any other training required by the CJSTC, including one-time training requirements.
 3. Division members must annually complete at least 4 hours of ethics training that addresses, at a minimum, s. 8, Art. II of the State Constitution; the Code of Ethics for Public Officers and Employees; and the public records and public meetings laws of this state. This requirement may be satisfied by completion of a continuing legal education class or other continuing professional education class, seminar, or presentation, if the required subjects are covered.
 4. Advanced Training Program Courses - At least 40 hours of training on one major CJSTC-approved topic. Completion of this training shall satisfy mandatory retraining requirements or eligibility for salary incentive monies pursuant to [Section 943.22, Fla. Stat.](#) In order to successfully complete Advanced Training Program Courses, a special agent shall comply with attendance, performance, and course documentation requirements pursuant to [Rule 11B-35.001, F.A.C.](#) ([Rule 11B-35.006, F.A.C.](#)).
 5. Division In-service Training Programs - Such courses or programs shall not be part of the basic Recruit, Advanced, or Career Development training programs or courses established by CJSTC pursuant to [Section 943.17, Fla. Stat.](#), nor shall they be used to qualify a special agent for

salary incentive payment provided under [Section 943.22, Fla. Stat.](#) ([Section 943.175, Fla. Stat.](#)).

6. Members shall also receive educational training related to mental health awareness, prevention, mitigation, and treatment to include Post-Traumatic Stress Disorder ([Section 112.1815\(6\), Fla. Stat.](#)).

2. Curriculum and Lesson Plans

The Training Coordinator shall ensure the following:

- A. When training curriculum is being developed, Division policies, procedures, job descriptions, and/or job task analyses are used and incorporated into the training curriculum.
- B. All training provided by the Division includes an approved lesson plan that shall, at a minimum, consist of the following:
 1. Performance and job-related objectives of the training
 2. Content of the training
 3. Instructional techniques
 4. Identification of any tests or measurement instruments used in the training
 5. Lesson plan format

Each lesson plan shall be reviewed, approved, and maintained by the Training Coordinator.

3. Specialized Training

The Training Coordinator is responsible for maintaining a list of assignments (sworn and non-sworn) requiring specialized training and a description of the required training that includes any required in-service training.

Accreditation-mandated training includes but is not limited to:

- A. Skills development training upon or within 12 months of promotion or appointment for first line supervisors as defined by the Division.
- B. Annual use of force training in accordance with CJSTC Rule.
- C. New member orientation within 30 days, including but not limited to:
 1. Division role, purpose, goals, policies, and procedures.
 2. Working conditions and regulations.
 3. Rights and responsibilities of the member.
 4. Accreditation standards and process overview.

- D. Annual applicable legal updates.
 - 1. Sworn members shall have access to Florida statutes, legal bulletins, and training bulletins covering arrest, prisoner rights, search and seizure, stop and frisk, and other situations authorized by state and federal constitutional provisions.
- E. Initial and periodic refresher first-aid training as defined by the Division for all certified members.

4. Remedial Training

The Training Coordinator should ensure that remedial training available to members, which shall include:

- A. Documentation of the circumstances and criteria used in determining when a member is in need of remedial training.
- B. The types of remedial training available including but not limited to report writing, officer safety skills, communication skills, evidence collection, driving, etc.
- C. The timeframe for providing remedial training.
- D. The consequences for failure to participate in remedial training by affected members shall include disciplinary action up to termination.
- E. Procedures for members who are unable to demonstrate proficiency with an authorized weapon before resuming official duties.

Remedial training needs for different subjects and skills should be addressed independently, as necessary.

Remedial training includes lethal and any other type of weapons for sworn members authorized to carry weapons.

5. Instructor Requirements

All instructors teaching CJSTC high-liability topics shall, at a minimum, meet the CJSTC requirements for high-liability instructors, except that such instructors shall not be required to complete the internship requirement or be affiliated with a training school.

Members selected to be instructors for division-operated training programs shall meet the requisite skills, knowledge, abilities, and criteria for instructors.

VI. TRAINING ATTENDANCE

- A. All members assigned to attend training shall attend as scheduled unless previously excused by their immediate supervisors. Excused absences should be limited to:
 - 1. Court appearances.
 - 2. Previously approved vacation or time off.
 - 3. Illness or medical leave.
 - 4. Physical limitations preventing the member's participation.
 - 5. Emergency situations or division necessity.

- B. Any member who is unable to attend training as scheduled shall notify his/her supervisor as soon as and shall: practicable but no later than one hour prior to the start of training
 - 1. Document his/her absence in a memorandum to his/her supervisor.
 - 2. Make arrangements through his/her supervisor or the Training Coordinator to attend the required training on an alternate date.

- C. All members shall attend CJSTC-approved Basic Recruit Training Programs, Specialized Training accordance with the programs' student attendance requirements ([Rule 11B-35.001, F.A.C.](#)).

VII. DAILY TRAINING BULLETINS

The Lexipol Daily Training Bulletins (DTBs) are contained in a web-accessed system that provides training on the Division of Gaming Enforcement Policy Manual and other important topics. Generally, one training bulletin is available for each day of the month. However, the number of DTBs may be adjusted by the Training Coordinator.

Members assigned to participate in DTBs shall only use the login credentials assigned to them by the Training Coordinator. Members shall not share their passwords with others and should frequently change their passwords to protect the security of the system. After each session, members should log off the system to prevent unauthorized access. The content of the DTBs is copyrighted material and shall not be shared with others outside of the Division.

Members who are assigned to participate in the DTB program should complete each DTB at the beginning of their shifts or as otherwise directed by their supervisors. Members should not allow uncompleted DTBs to build up over time, and may be required to complete DTBs missed during extended absences (e.g., vacation, medical leave) upon returning to duty. Although the DTB system can be accessed from any internet-enabled computer, members shall only take DTBs as part of their on-duty assignments, unless directed otherwise by a supervisor.

Supervisors will be responsible for monitoring the progress of those under their command to ensure compliance with this policy.

VIII. TRAINING RECORDS

The Training Coordinator is responsible for the creation, filing, storage, release, and destruction of all training records. The Training Coordinator shall maintain complete training records for each member in accordance with the established records retention schedule.

1. Training Records Transmission to the CJSTC

Within thirty (30) days of completion of CJSTC-required training, the Training Coordinator shall electronically transmit the division member's training information via the Automated Training Management System, or submit the appropriate documentation to CJSTC reflecting the member's compliance with the mandatory retraining requirements ([Section 943.135, Fla. Stat.](#)).

2. Training Record Requirements

The Training Coordinator shall maintain training records including but not limited to :

- A. Course content.
- B. Names of members.
- C. Performance of each member.
- D. Names of instructors.

This policy adopted by the Commission on:

Louis Trombetta
Executive Director

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Reporting of Arrests, Convictions,
and Court Orders

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

03.11.01

FGCC SECTION

Human Resource Management

AUTHORITY

[18 USC Section 922](#)
[Section 16.711, F.S.](#)
[Section 16.712, F.S.](#)
[Section 16.713, F.S.](#)
[Section 741.31, F.S.](#)
[Section 790.233, F.S.](#)
[Section 943.13, F.S.](#)
[Section 943.133, F.S.](#)
[Section 943.1395, F.S.](#)
[11B-27.0011, F.A.C.](#)
[11B-27.003, F.A.C.](#)

I. STATEMENT OF POLICY

The Florida Gaming Control Commission (“FGCC,” “Commission”) requires disclosure of employee arrests, convictions, and certain court orders to maintain the high standards, ethics, and integrity in its workforce, and to ensure compatibility with the duties and responsibilities of the Commission.

II. PURPOSE

The purpose of this policy is to describe the notification requirements and procedures that employees must follow when certain arrests, convictions, and court orders restrict their ability to perform their official duties and responsibilities. This policy will also describe the

notification requirements and procedures that certain retired law enforcement officers must follow when an arrest, conviction, or court order disqualifies them from possessing a firearm.

III. CIVIL OR CRIMINAL VIOLATIONS AND NOTIFICATION REQUIREMENTS

- A. A commissioner or an employee of the FGCC must notify the commission, within three (3) calendar days after arrest for any offense.
- B. A commissioner or an employee must immediately provide detailed written notice of the circumstances to the commission if the member or employee is indicted, charged with, convicted of, pleads guilty or nolo contendere to, or forfeits bail for:
 - 1. A misdemeanor involving gambling, dishonesty, theft, or fraud;
 - 2. A violation of any law in any state, or a law of the United States or any other jurisdiction, involving gambling, dishonesty, theft, or fraud which would constitute a misdemeanor under the laws of this state; or
 - 3. A felony under the laws of this or any other state, the United States, or any other jurisdiction.
- C. If an employee is charged with a felony while employed by the FGCC, the Commission shall suspend the employee, with or without pay, and terminate employment with the Commission upon conviction.
- D. If an employee is charged with a misdemeanor while employed by the FGCC, the Commission shall suspend the employee, with or without pay, and may terminate employment with the Commission upon conviction if the Commission determines that the offense bears a close relationship to the duties and responsibilities of the position held with the Commission. In lieu of termination, the Office of the Inspector General may conduct an investigation to determine whether disciplinary action should be recommended. In those instances where just cause is found for disciplinary action, appropriate action will be initiated as it relates to the employee's ability to continue performing their duties and responsibilities with the Commission. The action or inaction by the courts in relation to the charges will not influence or dictate the action that is taken by the Commission.
- E. If an employee is charged with a civil violation that occurred while the employee was on duty as an employee of the FGCC, an immediate investigation will be conducted by the Office of the Inspector General to determine whether disciplinary action should be recommended. In those instances where just cause is found for disciplinary action, appropriate action will be initiated as it relates to the employee's ability to continue performing their duties and responsibilities with the commission. The action or inaction

by the courts in relation to the civil charges will not influence or dictate the action that is taken by the Commission.

- F. If an employee is charged with a civil violation that occurred while the employee was off duty as an employee of the FGCC, and if the alleged civil misconduct does not in any way connect with the duties and responsibilities of the employee, the Executive Director may make a determination as to whether it is in the best interest of the state for the employee to return to duty until the charges filed against the employee are resolved.
- G. Factors that will be reviewed in relation to this determination include the nature of the violation and the employee's desires in regard to returning to work prior to the resolution of the charges.

Any employee whose criminal arrest, conviction or court order restricts or prohibits that employee from fully and properly performing his/her duties may be disciplined. This includes, but is not limited to, being placed on administrative leave, reassignment and/or termination. Any effort to remove such disqualification or restriction shall remain entirely the responsibility of the employee, on his/her own time and at his/her own expense.

- H. Any employee failing to provide prompt written notice pursuant to this policy shall be subject to discipline, up to and including termination.

IV. LAW ENFORCEMENT PERSONNEL

A. Domestic Violence Convictions and Court Orders

1. Federal and Florida law prohibits individuals convicted of certain offenses and individuals subject to certain court orders from lawfully possessing firearms. Such convictions and court orders often involve allegations of the use or attempted use of force or threatened use of a weapon on any individual in a domestic relationship (e.g., spouse, cohabitant, parent, child).
2. All employees and retired law enforcement officers with identification cards issued by the Commission are responsible for ensuring that they have not been disqualified from possessing firearms by any such conviction or court order and shall promptly report any such conviction or court order to a supervisor, as provided in this policy.

B. Other Criminal Convictions and Court Orders

1. Pursuant to [Section 943.13, F.S.](#), a law enforcement officer (full-time, part-time, or auxiliary) who is convicted of any felony or misdemeanor involving perjury or a false statement, is disqualified from being a law enforcement officer in the state of Florida. Convictions of certain other offenses may also place restrictions on a law enforcement officer's ability to fully perform the duties of their job. This prohibition applies regardless of whether the guilt was established by way of verdict, guilty plea, or plea of nolo contendere.
2. While legal restrictions may or may not be imposed by statute or by the courts upon conviction of any criminal offense, criminal conduct by employees of this division may be inherently in conflict with law enforcement duties and the public trust and shall be reported as provided in this policy.

C. **Reporting**

1. All employees and all retired law enforcement officers with identification cards issued by the Commission shall immediately notify their supervisors (retired law enforcement officers should immediately notify their former supervisor or the Director of Gaming Enforcement) in writing pursuant to this policy of any past or current criminal detention, arrest, charge or conviction in any state or foreign country, regardless of whether the matter was dropped or rejected, is currently pending or is on appeal, and regardless of the penalty or sentence, if any.
2. All employees and all retired law enforcement officers with identification cards issued by the Commission shall immediately notify their supervisors (retired law enforcement officers should immediately notify their former supervisor or the Director of Gaming Enforcement) in writing if they become the subject of a domestic violence-related order or any court order that prevents the employee or retired law enforcement officer from possessing a firearm or requires suspension or revocation of their applicable Criminal Justice Standards and Training Commission (CJSTC) certification.
3. Retired law enforcement officers may have their identification cards rescinded or modified, as may be appropriate.

4. **Notification Requirements**

- i. The Commission shall conduct an investigation when there is cause to suspect that a law enforcement officer has been convicted of a felony or misdemeanor involving perjury or false statement or demonstrates a lack of good moral character as outlined in Rule [11B-27.0011, F.A.C.](#) (e.g., unlawful use of controlled substances, excessive use of force, misuse of official position, unprofessional relationships). If the investigation is

sustained, the Commission shall provide the required documentation to the CJSTC no later than 45 days after the allegations are sustained. The investigation must be completed, including the disposition, even though the law enforcement officer resigns, retires, or is terminated.

- ii. The Chief of Law Enforcement shall, within a reasonable time, submit a notice to the Florida Department of Law Enforcement of any appointment, termination, reinstatement, name change, or status change regarding any law enforcement officer, reserve law enforcement officer, dispatcher or records section supervisor, or other required employee that is employed by this division.

Additionally, the Chief of Law Enforcement shall annually submit a signed affidavit attesting to compliance with the law as outlined in [Section 943.133, F.S.](#)

This policy adopted by the Commission on:

Louis Trombetta
Executive Director

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Assignment, Use, and Management of Vehicles

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

04.01.01

FGCC SECTION

Purchasing and Contracts Section

AUTHORITY

[Section 16.712, F.S.](#)

[Chapter 284, Part II, F.S.](#)

[Chapter 287, Part II, F.S.](#)

[Section 316.614, F.S.](#)

[Section 316.613, F.S.](#)

[Section 440.09 \(4\),\(5\), F.S.](#)

[49 CFR 571.213](#)

[Chapter 60B-1 F.A.C.](#)

I. SCOPE

This policy is applicable to all Florida Gaming Control Commission (FGCC) employees (Sworn and Non-Sworn) or authorized individuals operating vehicles owned, leased, or rented by the FGCC; and any personal vehicle operated on official state business.

II. PURPOSE

To establish the responsibilities and requirements necessary to manage FGCC vehicles.

III. DEFINITIONS

- A. **Business Day:** Monday through Friday except legal holidays observed by the State of Florida.
- B. **Down Days:** Number of days during the month in which a vehicle is unusable due to routine or preventative maintenance being performed or repairs being performed.
- C. **Fleet Coordinator:** Employees appointed by their Division Director to serve as a contact person for fleet-related matters and responsible for performing the roles and responsibilities outlined in this policy.
- D. **Fleet Manager:** Employee assign to the Division of Administration, Purchasing and Contracts Section who is responsible for performing the roles and responsibilities outlined in this policy.
- E. **Hands-free:** Functionality or accessory that allows the use of a mobile communication device without using the hands, except for dialing or answering using a single button. Examples include, but are not limited to voice-activated calling, speakerphones, integrated connectivity with an automobile console, and one-ear wireless devices (e.g., Bluetooth headset). Except for one-ear devices, the use of headphones, headsets, earphones, earbuds and similar devices that interfere with a user's hearing is expressly prohibited by this policy and state law (Section 316.304, F.S.) while operating a vehicle.
- F. **Incident:** An unexpected, unintentional, or undesirable event involving damage to property or injury to any person.
- G. **Incident Report:** A report completed in paper form to document the unexpected, unintentional, or undesirable event(s) involving damage to property or injury to any person.
- H. **Limited Use Assignment Vehicle:** State-owned, leased, or rented passenger vehicles projected to be driven a minimum of 10,000 miles annually on official state business. These vehicles may not be driven to the employee's residence or used during non-working hours unless the employee:
 - Is departing upon or returning from an official trip away from their office under circumstances that make it impractical to use other means of transportation, or
 - Has a need to use the vehicle after completion of their regular workday to conduct official state business on the same day or before their usual working hours on the next day.
- I. **Mobile Equipment:** Vehicles powered by gasoline, diesel, propane, alternative fuels, or electricity which are used on public highways or state or public property.
- J. **Monthly Car Log:** A form completed daily to record vehicle operation and costs, including vehicle mileage, cost of fuel, oil change, vehicle washes, and maintenance. (Attachment C)
- K. **Monthly Fleet Report** – A form completed by Division of Gaming Enforcement sworn law enforcement staff assigned a vehicle. (Attachment B)

- L. **Off Days:** Weekends and/or holidays when a staff member is off and the vehicle is not in use.
- M. **Official State Business:** Transactions and activities necessary to carry out the lawfully recognized duties and responsibilities of the assigned position in which you receive or are eligible to receive compensation for your travel time or work time.
- N. **Pool Assignment Vehicle:** State-owned, leased, or rented vehicles assigned to a group of employees or to a group of offices. These vehicles are used for a specific trip and returned to the pool upon completion of the trip. The employee does not drive the vehicle to their residence or use it during non-working hours unless the employee:
- Is departing upon or returning from an official trip away from their office under circumstances that make it impractical to use other means of transportation, or
 - Has a need to use the vehicle after completion of their regular workday to conduct official state business on the same day or before their usual working hours on the next day.
- O. **Preventative Maintenance:** Service requirements/schedule established for vehicles by the applicable vehicle manufacturer, to ensure vehicles remain in a state of good repair and to lessen the likelihood of them breaking down unexpectedly.
- P. **Residence:** The primary home, house, or domicile as listed on the employee's driver's license.
- Q. **Smoking:** Inhaling, exhaling, burning, carrying, or possessing any lighted tobacco product, including cigarettes, cigars, and pipe tobacco, tobacco products that produce smoke, electronic cigarettes, and other similar vapor devices.
- R. **Special Assignment Vehicle:** State-owned, leased, or rented vehicles that are assigned to an employee:
- By virtue of their position and approved and authorized as a perquisite by the Department of Management Services (DMS), or
 - Who is subject to special emergency calls from their residence for law enforcement, or
 - Who is subject to emergency calls from their residence for the protection of life or property, or
 - Whose home is their office, their official base of operation and the vehicle is parked at home when not in use.
- S. **Standby (Idle) Days:** The number of days a vehicle is not driven during the month on official state business; however, the vehicle is in proper working order and could have been driven.
- T. **Text Messaging (Texting):** Reading from or entering data into any handheld or other electronic device, including for the purpose of short message service (SMS) or multimedia message service (MMS) texting, e-mailing, instant messaging, obtaining visually assisted navigational information or engaging in any other form of electronic data retrieval or electronic data communication.

- U. **Tobacco Use:** Includes, but is not limited to, the smoking of, or oral consumption of, tobacco products such as cigarettes, cigars, and pipe tobacco, tobacco products that produce smoke, electronic cigarettes, other similar vapor devices, dip, snuff, and chewing tobacco.
- V. **Vehicle:** Any motorized vehicle owned, leased, or rented by the state and licensed for use on the highways or the use of a personal vehicle for conducting official state business.
- W. **Wireless Voice/Data Communications Device:** Any device capable of transmitting and receiving voice or data communications without plugging into a wired land-based phone network. For the purposes of this policy such equipment will include, but not be limited to the following:
 - Cellular Telephones
 - Smartphones and devices for voice and/or data
 - Mobile Data Computers (Sworn personnel will have separate requirements)
 - Mobile Radios (Sworn personnel will have separate requirements)
- X. **Wright Express (WEX) Fuel Card:** A credit card assigned to each vehicle that allows employees to purchase fuel, car washes, and other associated items.

IV. POLICY

The FGCC provides state-owned, leased, or rented vehicles to its employees to support the Commission's mission and travel needs. This policy provides FGCC employees with a clear understanding of the rules and regulations that relate to the use of state-owned, leased, or rented vehicles provided by FGCC.

A. General Information

1. Employees or authorized individuals using state-owned, leased, or rented vehicles for official state business must follow state rules and regulations.
2. Employees or authorized individuals are required to follow the instructions on the brochure "What to Do in Case of an Automobile Accident: "Know Before You Go." The brochure is in each vehicle.
3. Employees are required to complete the WEX Fuel Card User Agreement Training via the Learning Management System in People's First. Employees are responsible for ensuring a copy of the signed user agreement, the certificate of completion, and a copy of their Driver's License is uploaded to the appropriate shared drive and saved in the FLEET folder. The Fleet Manager is responsible for ensuring the employee has provided all required information prior to them using a state-owned, leased, or rented vehicle. (Note: If an employee is required to use a state-owned, leased, or rented vehicle prior to providing any of the previous information, they may do so with the approval of their Division Director in writing.)
4. Vehicles are to be used for official state business only. No personal use is allowed.
5. Divisions should appoint a Fleet Coordinator. The name, location and telephone number of the Fleet Coordinator must be provided to all FGCC employees using a Commission vehicle.

6. Each year, the Division Directors should review vehicle usage to make recommendations or re-assign vehicles.
7. The use of tobacco products (smoking) in state-owned, leased, or rented vehicles is prohibited. This also extends to electronic cigarettes and any vapor-emitting device that contains nicotine. Violation of this requirement will be subject to disciplinary actions.
8. Employees are prohibited from operating a state-owned, leased, or rented vehicle while under the influence of alcohol or a controlled substance. Additionally, transporting alcohol or controlled substances is prohibited in Commission vehicles. Violation of this requirement will be subject to disciplinary actions and, in appropriate cases, criminal prosecution.
9. State employees or authorized individuals using a state-owned, leased, or rented vehicle must possess and carry a valid and appropriate Driver's License. The Fleet Manager will verify the validity of the driver's license on an annual basis using the following website <https://services.flhsmv.gov/DLCheck/>. Employees must operate state-owned, leased, or rented vehicles in compliance with any restrictions noted on their driver's license and with all applicable federal, state, and local laws or ordinances.
10. Employees operating personal vehicles for work-related duties will not be covered by state insurance such as liability, property damage, or worker's compensation, in the event of an incident. Employees operating personal vehicles for work-related duties must have insurance that meets state requirements of \$10,000 personal injury protection and \$10,000 property damage liability insurance.

B. Acquisition of Vehicles

The Commission will consider vehicles that have the greatest fuel efficiency in their class, including but not limited to alternative fuel (electric, ethanol, biodiesel, or hybrid) vehicles. The following factors will be considered in procuring fleet vehicles:

1. Purchasing Cost;
2. Maintenance Cost;
3. Fuel Cost;
4. Resale Value;
5. Manufacturer's standard warranty; and
6. Industry reliability and safety.

The Purchasing and Contracts Section will work with the Divisions requesting to purchase a vehicle to identify the appropriate procurement method that is the most efficient to purchase vehicles and will coordinate funding availability through the Budget Office and ensure all appropriate forms and approvals are completed through the DMS.

C. Vehicle Assignment and Use

The Division Directors, or their designated representative will determine the assignment of vehicles. All state-owned, leased, or rented vehicles must be assigned for usage in one of the following categories:

1. Pool Assignment

The vehicle is assigned to a group of employees in an office or to a group of offices. There must be a separate Monthly Car Log (Attachment C) of usage maintained for each vehicle.

2. Limited Use Assignment

Limited Use Assignment only pertains to the following:

- a. If the employee is projected to drive the vehicle a minimum of 10,000 miles annually on official state business, or if the Division Director, provides written justification for the need to assign the vehicle. The minimum annual mileage calculation shall not include commuting mileage.
- b. When the assignment can be fiscally justified (mileage reimbursements exceed vehicle cost).
- c. When a specialized task necessitates or requires a special use vehicle (e.g., when a 4-wheel drive vehicle is needed to accomplish a job).
- d. The employee must park the vehicle at the official headquarters of the employee or a designated off-site facility when not in use. Except as specified in [Chapter 60B-1.007, F.A.C.](#), the employee's home cannot be designated as an off-site facility.

3. Special Assignment

This section applies to the Division of Gaming Enforcement Sworn Law Enforcement Staff and the Division of Pari-Mutuel Wagering Non-Sworn investigative staff.

In accordance with [Chapter 60B-1, F.A.C.](#), when a vehicle is assigned to an employee for regular and routine use to and from home, the Fleet Coordinator must complete the required approval paperwork. A state-owned, leased, or rented vehicle may be assigned to a FGCC employee whose residence is his or her official base of operation or whose job responsibilities require the individual to be away from the official base of operation. In this case, the employee must park the vehicle at home or at the official base of operation when not in use. Vehicles may be assigned to employees for overnight use as a perquisite or for emergency service. A vehicle is considered a perquisite if the employee needs it to accomplish his or her job. A vehicle may be assigned for emergency service if the employee's duties require him or her to respond to emergency calls after normal working hours.

A. Sworn Law Enforcement Staff

1. Monthly Fleet Report (Attachment B)

Sworn Law Enforcement staff assigned vehicles in accordance with this section are required to maintain vehicle records on the Monthly Fleet Report (Attachment B) for each month to include, but not limited to the following information:

- Sworn law enforcement staff member name
- Month/Year of the report
- Beginning vehicle mileage
- Ending vehicle mileage
- State vehicle number
- Number of standby days
- Number of down days
- Total vehicle mileage for the month.
- Date of event recorded (i.e., fuel purchase, maintenance (repairs), preventative maintenance (i.e., oil change) Vehicle mileage on the date of event recorded.
- Number of gallons and total cost of fuel
- Cost, vendor, and description of maintenance (repairs) performed.
- Cost, vendor, and description of preventative maintenance (i.e., oil change) performed

The staff member is required to sign and date the report and provide comments, if necessary. The staff member is responsible for uploading the Monthly Fleet Report (Attachment B) and all supporting documentation (i.e., fuel receipts, routine, and preventative maintenance receipts) to the appropriate shared drive in the FLEET folder no later than the 10th day of each month. The staff member is also responsible for entering the following information into the Florida Equipment Electronic Tracking (FLEETWAVE) system no later than the 13th day of each month, unless this date falls on a weekend or a holiday:

- Equipment ID (state vehicle number)
- Transaction date (last day of the reporting month)
- Odometer reading (last reported mileage)
- Number of days down
- Number of days on standby
- Condition of the vehicle (chosen from provided options)

Note: Per the Department of Management Services (DMS) fuel information is automatically uploaded to the FLEETWAVE system from the WEX Card system on a daily basis; therefore, this information does not need to be entered.

2. Storage and Removal of Weapons from Vehicles

All firearms, weapons, and control devices shall be removed from a vehicle and properly secured prior to the vehicle being released for maintenance, service, or repair.

3. Required Vehicle Equipment

The following equipment including, but not limited to the following shall be maintained in the vehicle at all times:

- 1 – First Aid Kit and CPR Mask
- 1 – High-Visibility Vest
- Spare tire, jack, and lug wrench
- 1 – Fire extinguisher

The Division of Gaming Enforcement may make changes to this list as they deem appropriate.

B. Non-Sworn Investigative Staff

1) Monthly Car Log (Attachment C)

Non-Sworn investigative staff assigned vehicles in accordance with this section are required to maintain vehicle records on the Monthly Car Log (Attachment C) for each month to include, but not limited to the following information:

- Non-Sworn investigative staff member name
- Month/Year of the log
- Start point of travel each day vehicle is used
- Travel stops during the traveled day
- End miles for each day traveled
- Number of gallons and cost for fuel on the day purchased
- Cost of any maintenance on the day purchased
- Beginning vehicle mileage for the month
- Ending vehicle mileage for the month
- State vehicle number
- Total number of off days
- Total number of idle (standby) days
- Total number of down days
- Beginning miles for the month
- Ending miles for the month
- Monthly mileage
- Total fuel gallons for the month
- Total fuel cost for the month

- Total maintenance cost for the month.
- Record of any preventative maintenance performed during the month.

The staff member is required to sign and date the report. The staff member is responsible for uploading the Monthly Car Log (Attachment C) and all supporting documentation (i.e., fuel receipts, routine, and preventative maintenance receipts) to the appropriate shared drive in the FLEET folder no later than the 10th day of the month. The staff member is also responsible for entering the following information into the Florida Equipment Electronic Tracking (FLEETWAVE) system no later than the 13th day of each month, unless this date falls on a weekend or a holiday:

- Equipment ID (state vehicle number)
- Transaction date (last day of the reporting month)
- Odometer reading (last reported mileage)
- Number of days down
- Number of days on standby
- Condition of the vehicle (chosen from provided options)

Note: Per the Department of Management Services (DMS) fuel information is automatically uploaded to the FLEETWAVE system from the WEX Card system on a daily basis; therefore, this information does not need to be entered.

4. Emergency Assignment

In the event of a declared emergency (e.g., hurricanes, floods, or riots), the Division Directors, or their designated representative may assign a vehicle to an employee to protect life and property.

D. Licensing Requirements, Safety Requirements, & Fuel Purchases

1. State License Tag

All state-owned vehicles will carry an official state license tag. State license tags are issued for each individual vehicle. After the disposal of a vehicle, assigned tags are returned to the Department of Highway Safety and Motor Vehicles (DHSMV) for disposal.

2. Seat Belts

All seated occupants of state-owned, leased, or rented vehicles, personal vehicles, and other mobile equipment that is operated for state business must properly utilize seat belts or other occupant restraint systems that are provided in accordance with [Section 316.614, F.S.](#) If an accident resulting in injury occurs and seat belts were not used and failure to comply with laws and ordinances contributes to injuries involved, workers' compensation benefits may be reduced under the provisions of [Section](#)

[440.09 \(4\),\(5\), F.S.](#) Failure to utilize seat belts or other occupant restraint systems shall be considered improper use of the vehicle or equipment and shall subject employees to disciplinary action.

a. Transporting Children

Child passengers shall be transported using an approved child restraint system in compliance with [49 CFR 571.213](#) and [Section 316.613, F.S.](#)

In the event that a child is transported in the front seat of a vehicle, the seat should be pushed back as far as possible, and the passenger-side air bag should be deactivated. If this is not possible, members should arrange alternate transportation when feasible.

b. Transporting Suspects, Prisoners, or Arrestees

Suspects, prisoners, and arrestees should be in a seated position and secured in the rear seat of any Commission vehicle with safety belts provided by the vehicle manufacturer. The seat belt system is not intended to be a substitute for handcuffs or other appendage restraints.

Prisoners in leg restraints shall be transported in accordance with the Handcuffing and Restraints Policy.

3. Vehicle Preventive Maintenance

All state-owned, leased, or rented vehicles and other mobile equipment must adhere to the service requirements established by the manufacturer. All preventive maintenance and repair documentation must be submitted to the appropriate Fleet Coordinator. The Fleet Coordinator is responsible for uploading and maintaining all repair and maintenance documentation to the appropriate shared drive in the FLEET folder. The Fleet Coordinator shall ensure all documents are entered into the Florida Equipment Electronic Tracking (FLEETWAVE) system no later than the 5th day of each month, unless this date falls on a weekend or a holiday.

- a. The Fleet Coordinator confirms all state-owned, leased, or rented vehicles acquire routine maintenance. If a vehicle needs maintenance while on official state business, the driver must contact the Fleet Coordinator prior to approval.
- b. All state-owned, leased, or rented vehicles must follow the manufacturer's required service schedule, but it is recommended that the oil be changed every 5,000 miles and the belts be changed every 24 months.
- c. The driver is to be aware of the vehicles working condition and must report any problems to the Fleet Coordinator.
- d. All preventative maintenance records are maintained for the life of the vehicle.

- e. The Fleet Coordinator keeps a current vehicle activity log for each state-owned, leased, or rented vehicle.

4. Fuel Purchase:

- a. The WEX Fuel Card may be used for the purchase of fuel, car washes, and other associated items for a state-owned or leased vehicle not to exceed \$300.00. Purchases exceeding \$300.00 require the approval of the Fleet Manager. When using the card, users should do the following:
 - 1. Select a vendor following all state and FGCC purchasing rules.
 - 2. Ensure that the goods or services to be purchased are allowable and are for official state business only.
 - 3. Review the receipt before leaving the store to verify you have obtained an itemized merchant sales receipt. This information will be used to validate charges and reconcile.
 - 4. All transaction receipts must be maintained with the Monthly Fleet Report (Attachment B) and Monthly Car Log (Attachment C) and submitted to the Fleet Manager by the Fleet Coordinator by the 5th of each month for the previous month. The original receipt must be maintained for three years.
 - 5. FGCC employees are prohibited from purchasing fuel for state-owned, leased, or rented vehicles with the state purchasing card.
- b. The PCard may be used as an alternative to the State fuel card for State vehicle repairs and maintenance. Prior approval by the Director of Administration is required. The State vehicle's tag number is required in the Other Document Number (ODN) field in Works for transactions related to the goods/services.

5. Driver Responsibility When Using a State-Owned, Leased, or Rented Vehicle

While on official state business, drivers must, always, operate all state-owned, leased, or rented vehicles, all personal vehicles, and other mobile equipment in a safe and courteous manner without negligence, carelessness, or inappropriate actions. Failure to operate vehicles and equipment within these guidelines shall be considered improper use and shall subject employees to disciplinary action.

- a. Every employee within the FGCC is responsible for preventing and reporting state-owned, leased, or rented vehicle and other mobile equipment abuse and misuse:
 - 1) Operating a vehicle with insufficient oil or coolants.
 - 2) Failing to report know malfunctions, defects, or damage affecting the mechanical condition and safe operation.
 - 3) Operating a vehicle in an improperly selected gear, such as shifting into reverse when traveling forward.
 - 4) Distributing loads improperly in the cargo area of a vehicle or equipment.
 - 5) Driving at an excessive speed.

While operating a state-owned, leased, rented, or personal vehicle on official state business, the driver of such vehicle is prohibited from placing outgoing calls or receiving incoming calls on a state-issued or personal wireless device unless the driver utilizes a hands-free option or a single ear headset. If the driver is not using a hands-free option or a single-ear headset, the driver must remove the vehicle from traffic and come to a complete stop before placing or receiving calls on a wireless device. A driver's first responsibility is the safe operation of the vehicle. Safe driving deserves the driver's full attention.

- b. Exceptions to this policy are allowable only in the following emergency situations:
 - 1) A law enforcement officer or an emergency vehicle operator using a wireless device in the line of duty.
 - 2) A person using a wireless device to report illegal activity.
 - 3) A person using a wireless device to summon medical or other emergency help.
 - 4) A person using a wireless device to prevent injury or damage to a person or property.
- c. The driver of any vehicle on official state business is prohibited from sending or reading text messages and emails via state-issued or personal wireless devices while operating the vehicle. Before sending or reading text or email messages, the driver must remove the vehicle from traffic and come to a complete stop.
- d. If any local or state laws or ordinances should prohibit the use of a wireless device while driving, that law/ordinance shall take precedence over FGCC policy.
- e. Drivers of all state-owned, leased, or rented vehicles and all personal vehicles and other mobile equipment operated on official state business must operate in compliance with all applicable federal, state, and local laws or ordinances. Failure to comply with federal, state, and local laws or ordinances shall be considered improper use of a vehicle and shall subject employees to disciplinary action. All fines and penalties resulting from failure to comply with all laws or ordinances are the personal responsibility of the driver. The state will not pay for traffic tickets. The vehicle's driver is personally responsible for citations received during the operation of a vehicle for official state business. If the notice of violation is sent to the Commission, the notice will be given to the driver of the vehicle. The individual must pay the fine by the due date and provide proof of payment to the Commission. Any fees or other applicable charges because of late payment or nonpayment must be paid by the individual.
- f. Drivers of all state-owned, leased, or rented vehicles involved in an accident in which they are at fault must take and complete a drivers' training course prior to being allowed to drive state-owned, leased, or rented vehicles again.

E. Records Pertaining to State-Owned, Leased, or Rented Vehicles

The documents and records referred to below are maintained by the Fleet Manager:

1. After buying a vehicle, the Fleet Manager completes the Application for a Certificate of Title. List the owner's name as FGCC and lists the address as 4070 Esplanade Way, Suite 250, Tallahassee, FL 32399. The Fleet Manager will hand-deliver the tag and title paperwork to the DHSMV. Upon completion, she/he will send the original form, a copy of the state purchasing card receipt showing all charges, and a copy of the dealer's invoice to the Purchasing and Contracts Section for inclusion in the purchasing file.

F. Vehicle Insurance and Accident Reporting

All state-owned or leased vehicles automatically have automobile liability coverage under the State Risk Management Trust Fund. This liability coverage is provided by the Department of Financial Services (DFS). No request for coverage is necessary under the state self-insurance program. Each Fleet Coordinator is responsible for including copies of the automobile liability coverage certificate in every state-owned, leased, or rented vehicle.

1. Personal Responsibility

Commission employees involved in a vehicle accident while on official business for the Commission must make every effort to promptly notify the Florida Highway Patrol (FHP) by calling *FHP from their mobile cell phone if available and obtain the information required on the DFS Automobile Accident Report, form DFS-D0-261. Witnesses must be identified, and no commitments should be made to persons involved in the accident. First aid must be rendered as appropriate. Accidents involving Commission vehicles must be handled with the same prudence as an accident involving personal vehicles.

2. Accident Reporting

Whenever an automobile accident occurs that may develop into a liability claim against the state, the driver must file form DFS-D0-261, Automobile Accident Report with the Bureau of State Liability Claims, along with a copy of the FHP accident report. If the accident involves bodily injury, heavy property damage or non-drivable vehicles, you must do the following:

- a. Report immediately, by telephone, to the Bureau of State Liability Claims at (850) 413.3122.
- b. Have the accident investigated by FHP.
- c. Get the names, addresses, and telephone numbers of any witnesses of the accident.
- d. Report any accident immediately to your supervisor.
- e. Do not promise to pay anything.

- f. At the scene of the accident, do not discuss details of the accident with anyone except the investigating FHP Trooper.
- g. Obtain a copy of the accident report and forward to the DFS Division of Risk Management.
- h. Obtain information about the other driver(s) from the investigating law enforcement officer.
- i. If the driver is unable to telephone the report to the Bureau of State Liability Claims, the local Fleet Coordinator is responsible for making the call. If the accident occurs on a holiday or weekend or after working hours, the driver or Fleet Coordinator must provide the telephone report during the morning of the next business day.
- j. Within 48 hours after the accident, the driver or Fleet Coordinator should send a completed Automobile Accident Report form to the following:
 - 1) Commission of Insurance, Division of Risk Management Bureau of State Liability Claims 200 East Gaines St. Tallahassee, FL 32399-0337.
 - 2) Forward a copy to the DMS, Bureau of Financial Management Services, 4050 Esplanade Way, Suite 280 Tallahassee, FL 32399-0950 and the Commission's Fleet Manager.
- k. A copy of the form and the police report is retained on file with the appropriate Fleet Coordinator.

Photos of the damage, copies of insurance cards, information of the individuals involved in the accident, and any other appropriate information should accompany all automobile accident reports.

Immediately report all work-related injuries suffered in an automobile accident to AmeriSys toll-free at 1-800-455-2079. Also notify your supervisor immediately of any work-related injuries from automobile accidents.

G. Security

All employees are responsible for the security of state-owned, leased, or rented vehicles and other mobile equipment assigned to their activity.

- 1. When not in use, all state-owned, leased, or rented vehicles should be parked in a designated area.
- 2. Vehicles and equipment should be locked and secured when not in use.
- 3. Remove ignition keys and lock the vehicle or equipment when left unattended.
- 4. Take responsible measures to protect state-owned, leased, or rented equipment stored in the vehicle.
- 5. Place all work materials in the trunk, including books, papers, laptops and other state-issued equipment.

H. Florida Equipment Electronic Tracking System (FLEETWAVE)

The DMS Bureau of Fleet Management administers an online management and reporting system that assists state agencies in effectively and efficiently managing their vehicle fleets. The system also provides accountability for equipment use and expenditures. FLEETWAVE provides information on operating and maintenance costs, use, availability, and condition of state-owned, leased, or rented vehicles and mobile equipment. Utilization of this system is mandatory for all state-owned, leased, or rented automobiles, light trucks, watercraft, aircraft, and small vehicles or equipment that are designed primarily for transporting persons and that are legal to operate on public roads. All other state-owned, leased, or rented equipment is optional for tracking in the FLEETWAVE system.

1. All state-owned, leased, or rented vehicles shall be entered into FLEETWAVE within 30 calendar days from the date the equipment is received by the Fleet Manager.

I. SunPass System

The Commission utilizes the SunPass System to pay for daily tolls incurred by state-owned, leased, or rented vehicles during normal business operations.

There are two options to use the SunPass system:

1. A transponder can be purchased that is used in more than one vehicle.
2. A SunPass Mini which can be used in only one vehicle.

The Fleet Manager is responsible for tracking and maintaining the equipment and will provide guidance on which option is best depending on the established need. The Fleet Manager is responsible for ensuring the Commission's SunPass System account is always funded.

J. Fleet Coordinator Roles & Responsibilities

1. Notify the Fleet Manager of any discrepancies (ex. missing Monthly Fleet Reports (Attachment B and/or Monthly Car Log (Attachment C) entries or receipts) in Commission vehicle operation within three business days of discovery.
2. Ensure that assigned vehicles are maintained in good mechanical and safe operating condition and ensure assigned/pool vehicles are clean. Maintain all vehicle records including the Monthly Fleet Reports (Attachment B) and Monthly Car Logs (Attachment C), invoices, and supporting documentation for assigned and pool vehicles.
3. Submit any changes in fleet assignments to the Fleet Manager within 30 days of assignment. This requirement applies to pool vehicles as well as assigned vehicles.
4. Rotate vehicle assignments to equalize mileage among vehicles and update the Fleet Manager when assignments are changed.
5. Submit completed WEX Fuel Card User Agreements (attachment A) for all new employees to Fleet Manager prior to vehicle assignment.
6. Submit all WEX Fuel Card receipts as part of the Monthly Fleet Report (Attachment B and Monthly Car Log (Attachment C) by the 5th of each month to the Fleet

Manager.

7. Responsible for in person inspections of all vehicles on a quarterly basis and reporting any concerns or issues to the Fleet Manager.
8. Coordinate with the Fleet Manager to ensure a preventative and routine maintenance schedule is developed and administered for each vehicle.
9. Complete and submit surplus forms to the Fleet Manager when a vehicle is inoperable and meets replacement criteria.

K. Fleet Manager Roles & Responsibilities

1. Review reported vehicle conditions and malfunctions with Fleet Coordinator and assist with resolution. Provide technical assistance as requested by Fleet Coordinator.
2. Monitor and ensure compliance in submitting required reports and documentation for assigned/pool vehicles. The documented data being captured needs to include, but is not limited to the condition, utilization, cost, fuel consumption, maintenance, and assignment of the vehicle, pursuant to [Chapter 60B – 1.010, F.A.C. Reports and Records, Motor Vehicles and Watercraft Acquisition, Assignment and Use](#).
3. Coordinate the acquisition and purchase of Commission vehicles by working with the Division Directors, the General Services Purchasing Manager, and the Budget Manager for the Commission.
4. Determine when a vehicle has met the criteria for disposal and/or has become inoperable. Responsible for working with the DMS Bureau of Fleet Management to ensure all rules and regulations are followed.
5. Notify appropriate Commission personnel in the event of an incident involving serious bodily harm or death, negligence, or criminal activity.
6. Provide guidelines for maintenance on all Commission vehicles, considering recommendations by manufacturers, mileage, and age of vehicles.
7. Maintain Monthly Fleet Report (Attachment B) , Monthly Car Logs (Attachment C), WEX Fuel Card User Agreements (Attachment A), and all other fleet paperwork in compliance with record retention schedules.
8. Ensure Incident Reports provide detailed and concise information about the incident as well as information, phone numbers, and addresses for all witnesses.
9. Order and issue replace WEX Fuel Cards for lost, stolen, expired, or defective cards and provide direction on how to pay interim charges.
10. Notify appropriate Commission personnel in the event of misuse or suspected fraudulent charges made with the WEX Fuel Card.
11. Receiving the WEX Fuel Card monthly invoice and downloading a copy of the monthly transaction detail report. Auditing the invoice against the Monthly Transaction Detail Report and the WEX Fuel Card receipts received with the Monthly Fleet Report (attachment B) and Monthly Car Logs (Attachment C) to certify the invoice for payment. Responsible for submitting the invoice to the Bureau of Finance and Accounting.
12. Ensure the WEX Fuel Card User Agreement (Attachment A) Training is up to date in the Learning Management System in People First. Maintain a copy of the

- certificate of completion for each user who completes this training.
13. Promptly remove employee profiles from all Fleet Systems upon notification by the Bureau of Human Resources of the employee separating from the Commission.
 14. Monitor vehicle rotation to ensure vehicles with less than 10,000 miles per year are rotated within the Fleet for efficiency in route management and vehicle maintenance.
 15. Provide authorization number for all repair work over \$300 and review Monthly Fleet Reports (Attachment B)_ and Monthly Car Logs (Attachment C) for any discrepancies (ex. differences in quotes vs. actual invoice, additional repairs needed) as applicable.
 16. Ensure vehicle utilization records are reported in a timely manner to the DMS, as agreed upon by the Commission and DMS.
 17. Obtain an estimate for maintenance and/or repairs (two estimates if the initial estimate is over \$2,500.00) and work with the Purchasing and Contracts Section for approval and the appropriate method of procurement to have the work completed.

L. Disciplinary Action

The Division Director, upon determination that an employee or other person has improperly used a state-owned, leased, or rented vehicle shall take such action as they feel just and proper under the circumstances in compliance with section 287.175, F.S., and FGCC Policy number 03.01.01, Standards of Conduct and Standards of Disciplinary Action. Each incident of improper use shall be reported to the Director of Administration who will notify the Department of Financial Services detailing the nature of the misuse and the action taken in compliance with [Chapter 60B-1.004 \(1\) \(b\), F.A.C.](#)

M. Resources

1. WEX Fuel Card User Agreement (Attachment A)
2. Monthly Fleet Report (Attachment B)
3. Monthly Car Log (Attachment C)

This policy adopted by the Commission on:

Louis Trombetta
Executive Director

Date

8. Executive Director Update